
List of Issuers with No Outstanding Past-Due Share of the Issuer Accounting Support Fee

Determination of Payment under PCAOB Rule 7104(b)

As of January 15, 2024

The following is a list of issuers that have been assessed and have no outstanding past-due share of the issuer accounting support fees of the Public Company Accounting Oversight Board ("PCAOB") and the Financial Accounting Standards Board ("FASB"), as of January 15, 2024.^{1/}

A registered public accounting firm may take the inclusion of an issuer on this list as an indication that the issuer has no outstanding past-due share of the issuer accounting support fee for purposes of PCAOB Rule 7104(b).

This list will be updated at least bi-weekly. If a registered public accounting firm cannot obtain a representation from the issuer or cannot determine by reviewing the list below whether the issuer has no past-due share of the accounting support fee outstanding, the registered public accounting firm may contact the staff by phone at 1-202-207-9294 (Business Days: 9:00 a.m. to 5:00 p.m. ET) or by email at confirm@pcaobus.org.

If you believe an issuer has been excluded from this list in error, please contact the staff by phone at 1-202-207-9294 (Business Days: 9:00 a.m. to 5:00 p.m. ET) or by email at confirm@pcaobus.org.

Additional information about the issuer accounting support fee and the funding process is available in the Frequently Asked Questions ("FAQs") available in the Accounting Support Fee section of the PCAOB's Web site (<http://pcaobus.org/About/Administration/SupportFee/Pages/SupportFeeFAQ.aspx>).

^{1/} Just because an issuer does not appear on this list does not mean that the issuer has outstanding a past-due share of the accounting support fee. Many "issuers," as that term is defined in the Sarbanes-Oxley Act and the Board's rules, are not allocated a share of the issuer accounting support fee of the PCAOB and the FASB under the Board's funding rules and therefore are not on this list even though they have outstanding no past-due share of the accounting support fee. In addition, payments are received periodically by the PCAOB and are not reflected on this list until it is next updated. For more information regarding the determination of payment of accounting support fees by registered accounting firms, please see rule 7104(b).

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
 Share as of January 15, 2024

* * *

| | | |
|---------------------------------------|---------------------------------|-------------------------------------|
| 111 Inc | 58.com Inc | AB Conservative Wealth Strategy |
| 1290 Diversified Bond | 5E Advanced Materials Inc | AB Discovery Growth |
| 1290 VT DoubleLine Opportunistic Bond | 7 Acquisition Corporation | AB Discovery Value |
| 1290 VT Equity Income | 7 Days Group Holdings Ltd | AB Equity Income |
| 1290 VT GAMCO Small Co Value | 724 SOLUTIONS INC | AB Global Bond |
| 17 Education & Technology Group Inc | 7-ELEVEN INC | AB Global Core Equity |
| 180 CONNECT INC | 89bio Inc | AB Global Risk Allocation |
| 180 Life Sciences Corp | 8point3 Energy Partners LP | AB Global Value |
| 1-800 CONTACTS INC | 9 Meters Biopharma Inc | AB Government Exchange Reserves |
| 1-800-FLOWERS.COM Inc | 908 Devices Inc | AB Government Money Market |
| 1Life Healthcare Inc | 99 Cents Only Stores | AB Growth |
| 1st Century Bancshares Inc | A S V INC | AB High Income |
| 1st Constitution Bancorp | A V Homes Inc | AB High Income Municipal |
| 1st Financial Services Corp | A. H. Belo Corp | AB High Yield |
| 1st Independence Financial Group Inc | A. O. Smith Corp | AB Impact Municipal Income Shares |
| 1st Pacific Bancorp | A.C. Moore Arts & Crafts Inc | AB Income |
| 1st Source Corp | A.D.A.M. Inc | AB International |
| 1ST ST BANCORP INC | A.F.P. Provida Sa | AB International Research Growth |
| 1st United Bancorp Inc | a.k.a. Brands Holding Corp | AB International Strategic Core |
| 1stDIBS.com Inc | A10 Networks Inc | AB Intl Value |
| 21ST CENTURY INS GROUP | A123 Systems Inc | AB Large Cap Growth |
| 22nd Century Group Inc | A21 GROUP INC | AB Multi Market Strategy Trust |
| 23andMe Holding Co. | A4S SECURITY INC | AB Muni Income CA |
| 24/7 REAL MEDIA INC | AADI Bioscience Inc | AB Muni Income II FL |
| 2seventy bio Inc | AAIPHARMA INC | AB Muni Income II VA |
| 2U Inc | AAM/Bahl & Gaynor Income Growth | AB Muni Income National |
| 360 Digitech Inc | AAM/HIMCO Short Duration | AB Municipal Bond Inflation |
| 360 GLOBAL WINE CO | AAMES INVESTMENT CORP | AB Municipal Income NY |
| 361 Managed Futures Strategy | AAON Inc | AB Municipal Income Shares |
| 3COM CORP | AAR CORP | AB Pooling Bond Inflation Protected |
| 3M Co | Aarons Co Inc (The) | AB Pooling Global Core Bond |
| 3PAR Inc | AB 2015 Retirement Strategy | AB Pooling High Yield |
| 4d Molecular Therapeutics Inc | AB 2020 Retirement Strategy | |
| 4KIDS ENTERTAINMENT INC | AB 2025 Retirement Strategy | |
| 51job Inc | AB 2030 Retirement Strategy | |
| | AB All Market Real Return | |
| | AB All Market Total Return | |
| | AB Bond Inflation | |
| | AB Concentrated Growth | |

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| | | |
|--|--|--|
| AB Pooling International Growth | Abakan Inc | ABN AMRO Bond |
| AB Pooling International Value | ABAXIS Inc | ABN Amro Holding NV |
| AB Pooling Multi Asset Real Return | ABB Ltd | ABN AMRO Investor Money Mkt |
| AB Pooling Short Duration Bond | Abbey Capital Futures Strategy | ABN AMRO Treasury MM |
| AB Pooling Small Mid Cap Growth | ABBF Corporate Bond | ABN AMRO TxEx MM |
| AB Pooling Small Mid Cap Value | ABBF Intermediate Bond | Abovenet Inc |
| AB Pooling US Large Cap Growth | ABBF US Government | Abraxas Petroleum Corp. |
| AB Pooling US Value | Abbott Laboratories | Abraxis BioScience Inc |
| AB Pooling Volatility Management | AbbVie Inc | abrdn Asia-Pacific Income Fund Inc |
| AB Relative Value | AbCellera Biologics Inc | abrdn Emerging Markets |
| AB Select US Long/Short | Abengoa SA | abrdn Gold ETF Trust |
| AB Small Cap Growth | Abeona Therapeutics Inc | ABRDN Physical Palladium Shares ETF |
| AB Small Cap Value | Abercrombie & Fitch Co. | ABRDN Physical Platinum Shares ETF |
| AB Sustainable Global Thematic | Aberdeen Asia Bond | ABRDN Physical Precious Metals Basket Shares ETF |
| AB Sustainable International Thematic | Aberdeen Asia Pacific (ex Japan) Equity | ABRDN Physical Silver Shares ETF |
| AB Tax-Managed International | Aberdeen Australia Equity Fund Inc. | abrdn Total Dynamic Dividend Fund |
| AB Tax-Managed Wealth Appreciation | Aberdeen Emerging Markets Equity Income Fund Inc | abrdn UltraShort Municipal Income |
| AB Tx Mngd Bal Wealth Strategy | Aberdeen Equity Long-Short | abrdn US Small Cap Equity |
| AB Value | Aberdeen Global High Income | Absci Corp |
| AB VPS Balnced Wealth | Aberdeen International Equity | Absolute Convertible Arbitrage |
| AB VPS Dynamic Asset Allocation | Aberdeen Select International Equity | Absolute Opportunities |
| AB VPS Global Risk Allocation-Moderate | Aberdeen Total Return Bond | Absolute Strategies |
| AB VPS Global Technology | ABG Acquisition Corp I | AC Alternatives Market Neutral Value |
| AB VPS Growth & Income | Abgenix Inc | AC Immune SA |
| AB VPS Intl Value | ABIF-Global REIT Institutional | ACA CAPITAL HOLDINGS INC |
| AB VPS Large Cap Growth | Abigail Adams National Bancorp Inc | Acacia Communications Inc |
| AB VPS Small/Mid Cap Value | Ability Inc. | Acacia Research Corp |
| AB VPS Value | Abington Bancorp Inc | Academy Sports and Outdoors Inc |
| AB Wealth Appreciation | ABIOMED Inc | Acadia Healthcare Co Inc |
| | ABITIBI CONSOLIDATED INC | Acadia Pharmaceuticals Inc |
| | ABLE ENERGY INC | Acadia Realty Trust |
| | ABLE LABORATORIES INC | Acadian Emerging Markets |
| | ABLEAUCTIONS.COM INC | Acambis Plc |
| | Ablynx NV | |
| | ABM Industries Inc | |
| | ABN AMRO Balanced | |

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| | | |
|--|--|--------------------------------------|
| Acasti Pharma Inc | ACM Institutional MM Trust | Actua Corp |
| Accel Entertainment, Inc. | ACM Institutional MM TxFr | Actuate Corp |
| Accelerate Acquisition Corp | ACM Research Inc | Acuity Brands Inc. |
| Accelerate Diagnostics Inc | ACME Communications Inc | AcuityAds Holdings Inc |
| Accelerize Inc | Acme Packet Inc | Acumen Pharmaceuticals Inc |
| Acceleron Pharma Inc | Acme United Corp | ACURA PHARMACEUTICALS INC |
| Accelrys Inc. | ACNB Corp | Acushnet Holdings Corp |
| Accentia Biopharmaceuticals Inc | Acorda Therapeutics Inc | ACUSPHERE INC |
| Accenture PLC | Acorn Energy Inc | Acutus Medical Inc |
| Acceptance Insurance Cos Inc | Acorn International Inc | ACV Auctions Inc |
| Access Capital Community Investment | ACR GROUP INC | AD VENTURE PARTNERS INC |
| Access Flex Bear High Yield | ACRES Commercial Realty Corp | Adagene Inc |
| Access National Corp | Acres Gaming Inc | Adamas Pharmaceuticals Inc |
| Access Plans USA Inc | Acri Capital Acquisition Corporation | Adamis Pharmaceuticals Corp |
| Accessor Small/Mid Cap | Acrivon Therapeutics Inc | Adams Diversified Equity Fund Inc |
| Acclaim Enmnt Inc | ACRO INC | Adams Golf Inc. |
| ACCO Brands Corp | Acropolis Infrastructure Acquisition Corp | Adams Natural Resources Fund Inc |
| ACCREDITED HOME LENDERS HLDG | Across America Real Estate Corp | Adams Resources & Energy Inc. |
| Accredited Members Holding Corp | ACS-TECH80 LTD | ADAMS RESPIRATORY THERAPTCS |
| ACCREDO HEALTH INC. | ACT TELECONFERENCING INC | AdaptHealth Corp. |
| Accretion Acquisition Corp | Actel Corp | Adaptimmune Therapeutics plc |
| ACCUPOLL HOLDING CORP | Actiga Corp | Adaptive Biotechnologies Corp |
| ACCURAY INC | ACTION PERFORMANCE COS INC | Adara Smaller Companies |
| Accuride Corp | Actions Semiconductor Co Ltd | ADC Telecommunications Inc. |
| ACE Aviation Holdings Inc | Activcard Corp | ADC Therapeutics SA |
| ACE CASH EXPRESS INC | Active Assets CA Tax Free MM | AdCare Health Systems Inc |
| ACE COMM CORP | Active Assets Government Trust | Addus HomeCare Corp |
| AcelRx Pharmaceuticals Inc | Active Assets Govt MM | ADDvantage Technologies Group Inc |
| Acer Therapeutics Inc | Active Assets Money | ADE CORP/MA |
| Achaogen Inc | Active Assets Prime Trust | ADECCO SA |
| Achillion Pharmaceuticals Inc | Active Assets TxFr MM | Adecoagro SA |
| ACI Worldwide Inc | Active Health Foods Inc | Adeia Inc |
| Aclara Biosciences Inc | Active Network Inc | ADELPHIA COMMUN -CL A |
| Aclaris Therapeutics Inc | Active Power Inc | Adept Technology Inc |
| ACM Institutional MM CA | Activision Blizzard Inc | |
| ACM Institutional MM Govt | Activision Inc. | |
| ACM Institutional MM Prime | | |
| ACM Institutional MM Treasury | | |

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| | | |
|----------------------------|-------------------------------|----------------------------|
| ADESA INC | Advanced Emissions | AdvisorOne CLS Global |
| Adesto Technologies Corp | Solutions Inc | Diversified Equity |
| AdEx Media Inc | Advanced Energy Industries | AdvisorOne Milestone |
| ADEZA BIOMEDICAL CORP | Inc. | Treasury Obligation |
| Adicet Bio, Inc. | Advanced Environmental | AdvisorShares Peritus High |
| Adient plc | Recycling Technologies Inc | Yield ETF |
| Adira Energy Ltd | Advanced Fibre Comm Inc | Advisory Board Company |
| ADMA Biologics Inc | Advanced Life Sciences | (The) |
| Adobe Inc | Holdings Inc | ADVO INC |
| ADOLOR CORP | ADVANCED MARKETING | ADVOCAT INC |
| Adomani Inc | SERVICES | AECOM |
| ADS TEC Energy PLC | Advanced Medical Optics Inc. | Aegerion Pharmaceuticals |
| ADSTAR INC | Advanced Merger Partners | Inc |
| ADT Corp (The) | Inc | Aegion Corp |
| ADT Inc | Advanced Micro Devices Inc | AEGIS COMMUNICATIONS |
| Adtalem Global Education | Advanced Photonix Inc. | GROUP |
| Inc | ADVANCED POWER | Aegis Value |
| AdTheorent Holding | TECHNOL INC | Aeglea BioTherapeutics Inc |
| Company Inc | Advanced Tech Industries | AEGON/Trans Alger Aggrsv |
| ADTRAN Holdings Inc | Advanced Technology | Gro |
| ADUDELLE INDUSTRIES INC | Acquisition Corp | AEGON/Trans Cap Guard |
| ADV NEUROMODULATION | ADVANCED VIRAL RESEARCH | Global |
| SYS INC | CORP | AEGON/Trans Cap Guard US |
| Advance America Cash | Advanced Voice Recognition | Eqty |
| Advance Centers Inc | Systems Inc | AEGON/Trans Convertible |
| Advance Capital Balanced | Advancepcs | Sec |
| Advance Capital Retire | AdvancePierre Foods | AEGON/Trans Great Co Amer |
| Income | Holdings Inc | Sml |
| ADVANCE NANOTECH INC | AdvanSix Inc | AEGON/Trans Janus Growth |
| Advanced Accelerator | Advanta Corp | AEGON/Trans LKCM Strtgc |
| Applications SA | Advantage Oil & Gas Ltd | TtIRtn |
| Advanced Analogic | Advantage Solutions Inc. | AEGON/Trans VKM Act Intl |
| Technologies Inc | ADVANTEST CORP | Alloc |
| Advanced Battery | Advantus Srs Asset Allocation | AEHR TEST SYSTEMS |
| Technologies Inc | Advantus Srs Mortgage Sec | AEOLUS PHARMACEUTICALS |
| Advanced BioMedical | Advaxis Inc | INC |
| Technologies Inc | Advent Software Inc. | AEP INDUSTRIES INC |
| ADVANCED BIOTHERAPY INC | Advent Technologies | AerCap Holdings NV |
| ADVANCED DIGITAL INFO | Holdings, Inc. | Aerkomm Inc |
| CORP | Advent/Claymore Global | AeroCentury Corp |
| Advanced Disposal Services | Convertible Securities & | Aeroflex Holding Corp |
| Inc | Income Fund | AEROFLEX INC |
| Advanced Drainage Systems | Adverum Biotechnologies Inc | AeroGrow International Inc |
| Inc | | Aerohive Networks Inc |

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| | | |
|---------------------------------------|--------------------------------------|----------------------------------|
| Aerojet Rocketdyne Holdings Inc | Agiliti Inc | AIM Select Real Estate Income Fd |
| AEROSONIC CORP | Agilon Health Inc | AIM Small Company Growth |
| AEROTELEESIS INC | Agilysys Inc | AIM Total Return |
| Aerovate Therapeutics Inc | Agios Pharmaceuticals Inc | AIM V.I. Capital Development |
| AeroVironment Inc | AGM Group Holdings Inc-REDH | AIM V.I. Gbl Health Care |
| AerSale Corp | AGNC Investment Corp | AIM V.I. Growth |
| AES Corp (The) | Agree Realty Corp | AIM V.I. Premier Equity |
| AEterna Zentaris Inc | Agria Corp | AIM Weingarten |
| Aetna Inc. | Agrify Corp | Aimmune Therapeutics Inc |
| Aetrium Inc | Agrium Inc. | Ainos Inc |
| Aeva Technologies Inc | Ahren Acquisition Corp | AIR FRANCE - KLM |
| Aevi Genomic Medicine Inc | AIDA PHARMACEUTICALS INC | Air Lease Corp |
| AEye Inc | AIG Focused Alpha Large Cap | Air Methods Corp |
| AFC Gamma Inc | AIG Focused Growth | Air Products and Chemicals Inc. |
| Affiliated Computer Services Inc. | AIG Government Money Market | Air T Inc |
| Affiliated Managers Group Inc. | AIG Money Market | Air Transport Services Group Inc |
| Affimed NV | AIG Retirement Co I Core Equity | Airbnb Inc |
| Affinity Bancshares Inc | AIG Retirement Co II Large Cap Value | Airborne Inc |
| Affirm Holdings Inc | AiHuiShou International Co Ltd | Airborne Wireless Network |
| Affirmative Insurance Holdings Inc | Aileron Therapeutics Inc | Aircastle Ltd |
| Affymax Inc | AIM Aggressive Growth | Airgain Inc |
| Affymetrix Inc | AIM Balanced | Airgas Inc. |
| AFLAC INC | AIM Blue Chip | Airgate Pcs Inc |
| AfterNext HealthTech Acquisition Corp | AIM Core Stock | AIRNET COMMUNICATIONS CORP |
| Afya Ltd | AIM Dent Demographic Trends | Airnet Systems Inc. |
| AG Mortgage Investment Trust Inc | AIM Financial Services | AirSculpt Technologies Inc |
| Ag Services Of America | AIM Gbl Equity | Airspan Networks Holdings Inc. |
| AGA Medical Holdings Inc | AIM Gbl Financial Svcs | Airspan Networks Inc |
| Agape ATP Corp | AIM Gbl Science & Tech | AirTran Holdings Inc. |
| AGCO Corp | AIM Health Sciences | Airvana Inc |
| Agenus Inc/DE | Aim Immunotech Inc | Aixtron SE |
| AGERE SYSTEMS INC | AIM International Allocation | AK Steel Holding Corp |
| AgeX Therapeutics Inc | AIM Large Cap Basic Value | AKAMAI TECHNOLOGIES INC |
| AgFeed Industries Inc | AIM Mid Cap Basic Value | Akcea Therapeutics Inc |
| AGILE SOFTWARE CORP | AIM Opportunities I | Akebia Therapeutics Inc |
| Agile Therapeutics Inc | AIM Opportunities III | Akeena Solar Inc |
| Agilent Technologies Inc | AIM Premier Equity | Akerna Corp |
| AgileThought Inc | AIM Select Equity | Akero Therapeutics Inc |

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| | | |
|--|---|---|
| AKESIS PHARMACEUTICALS INC | Alcobra Ltd | Alger Weatherbie Specialized Growth |
| Akili Inc | Alcon Inc | AlgoDyne Ethanol Energy Corp |
| Akorn Inc | Alcon Inc. | Algonquin Power & Utilities Corp |
| Akouos Inc | Alder BioPharmaceuticals Inc | Alibaba Group Holding Ltd |
| Akoya Biosciences Inc | Alderon Iron Ore Corp | Alico Inc |
| Akre Focus | ALDERWOODS GROUP INC | Alight Inc |
| AKSYS LTD | Aldeyra Therapeutics Inc | Alignment Healthcare Inc |
| Akumin Inc | Aldila Inc | Aligos Therapeutics Inc |
| AKZO NOBEL NV | Alector Inc | Alimera Sciences Inc |
| Al Frank Fund | Alere Inc | Alio Gold Inc |
| AL Muni Cash Trust | ALERIS INTERNATIONAL INC | Alithya Group Inc |
| Alabama Aircraft Industries Inc | AlerisLife Inc | ALJ Regional Holdings Inc |
| ALABAMA NATL BANCORPORATION | Alerus Financial Corp | Alkami Technology Inc |
| Aladdin Knowledge System Ltd | Alexander & Baldwin Inc | Alkermes Plc |
| Alamo Energy Corp | Alexander's Inc. | Alkido Pharma Inc |
| Alamo Group Inc. | Alexandria Real Estate Equities Inc. | ALL AMERICAN SEMICONDUCTOR |
| Alamogordo Finl Corp | Alexco Resource Corp | ALL Fuels & Energy Co |
| Alamos Gold Inc | Alexza Pharmaceuticals Inc | Allakos Inc |
| ALAMOSA HOLDINGS INC | Alfa Corp | ALLARITY THERAPEUTICS INC |
| ALANCO TECHNOLOGIES INC | ALFACELL CORP | Allbirds Inc |
| Alaris Medical Systems Inc | Alger American Balanced | Alleghany Corp |
| Alarm.com Holdings Inc | Alger American Mid Cap Growth | Allegheny Energy Inc. |
| ALASKA AIR GROUP INC | Alger Balanced | Allegiance Bancshares Inc |
| Alaska Communications Systems Group Inc | Alger Capital Apprec | Allegiant Bancorp Inc |
| Alaunos Therapeutics Inc | Alger Capital Appreciation Instl | Allegiant Bond |
| Albany International Corp. | Alger Dynamic Opportunities | Allegiant Govt Mortgage |
| Albany Molecular Research Inc | Alger Focus Equity | Allegiant Large Cap Growth |
| Albemarle Corp | Alger Health Sciences | Allegiant Large Cap Value |
| Alberta Star Development Corp | Alger Large Cap Growth | Allegiant Mid Cap Value |
| Alberto-Culver Co | Alger Mid Cap Focus | Allegiant Multi Factor Small Cap Value |
| Albertsons Cos Inc | Alger Mid Cap Growth | Allegiant S&P 500 Index |
| ALBERTSON'S INC | Alger Mid Cap Growth Instl | Allegiant Total Return Advntg |
| Albireo Pharma Inc | Alger Money Market | Allegiant Travel Co |
| ALCAN INC | Alger Small Cap Focus | Allegion Plc |
| Alcatel-Lucent | Alger Small Cap Growth | ALLEGRO BIODIESEL CORP |
| Alcide Corp | Alger Small Cap Growth Instl | Allegro Merger Corp |
| Alcoa Corp | Alger Spectra | Allegro Microsystems Inc |
| | Alger Va Capital Appreciation | ALLEN ORGAN CO -CL B |
| | Alger Va Large Cap Growth | Allen Telecom Inc |
| | Alger Va Small Cap Growth | |

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| | | |
|-------------------------------|--------------------------------|-------------------------------|
| Allena Pharmaceuticals Inc | Allianz AGIC Opportunity | Allmerica Tr Sel Intl Equity |
| Allergan Inc. | Allianz AGIC Target | Allmerica Tr Sel Value Opport |
| Allergan PLC | Allianz CCM Emerging | Allogene Therapeutics Inc |
| ALLETE Inc. | Companies | Allos Therapeutics Inc |
| ALLIANCE ATLANTIS COMM - | Allianz Global Inv Multi-Style | Allot Ltd |
| CL B | Allianz Global Investors Value | Allovir Inc |
| Alliance Bancorp Inc of | Allianz NACM International | Alloy Inc |
| Pennsylvania | Allianz NACM Pacific Rim | Alloy Steel International Inc |
| Alliance Bancorp New | Allianz RCM Biotechnology | Allscripts Healthcare |
| England | Allianz RCM Global Small Cap | Solutions Inc |
| ALLIANCE BANCSHARES/CA | Allianz RCM Large Cap | Allspring 100% Treas Money |
| Alliance Bankshares Corp | Growth | Market |
| Alliance BVPS Growth | ALLIANZ SE | Allspring Absolute Return |
| Alliance Capital Reserves | AllianzGI Best Styles Global | Allspring Asset Allocation |
| Alliance Fiber Optic Products | Equity | Allspring CA Ltd Tax-Free |
| Inc | AllianzGI NFJ International | Allspring CA Tax-Free Bond |
| Alliance Financial Corp | Value | Allspring CB Mid Cap Value |
| Alliance Focused Gro & Inc | AllianzGI NFJ Large Cap Value | Allspring Common Stock |
| Alliance Global REIT | AllianzGI Structured Return | Allspring Core Bond |
| Investment | Allied Capital Corp | Allspring Core Plus Bond |
| Alliance Government | Allied Defense Group Inc. | Allspring CoreBuilder Series |
| Reserves | (The) | M |
| Alliance Health Care | ALLIED DOMEQC PLC | Allspring Disciplined US Core |
| Alliance Holdings GP LP | Allied Healthcare | Allspring Discovery All Cap |
| Alliance Money Reserves | International Inc. | Growth |
| Alliance Muni Inc II NJ | Allied Healthcare Products | Allspring Discovery Mid Cap |
| Alliance Muni Trust MM -CA | Inc | Growth |
| Alliance Muni Trust MM - | ALLIED HOLDINGS INC | Allspring Discovery SMID Cap |
| Genl | Allied Irish Banks PLC | Growth |
| Alliance Muni Trust MM -NJ | Allied Motion Technologies | Allspring Diversified Capital |
| Alliance Muni Trust MM -NY | Inc | Builder |
| Alliance Resource Partners | Allied Nevada Gold Corp | Allspring Diversified Income |
| LP | Allied Waste Industries Inc. | Builder |
| ALLIANCE SEMICONDUCTOR | Allied World Assurance | Allspring Emerging Growth |
| CORP | Company Holdings AG | Allspring Emerging Markets |
| Alliance Treasury Reserves | Allion Healthcare Inc. | Equity |
| AllianceBernstein Global | Alliqua BioMedical Inc | Allspring Global Long/Short |
| High Income Fund Inc | Allis-Chalmers Energy Inc | Equity |
| AllianceBernstein Holding LP | Allison Transmission | Allspring Government Money |
| AllianceBernstein Income | Holdings Inc | Market |
| Fund Inc | Allmerica Tr Core Equity | Allspring Government Sec |
| AllianceBernstein National | Allmerica Tr Govt Bond | Allspring Growth |
| Municipal Income Fund Inc | Allmerica Tr Money Mkt | Allspring Heritage Money |
| Alliant Energy Corporation | Allmerica Tr Sel Growth | Market |

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| | | |
|-------------------------------|-------------------------------|--------------------------------|
| Allspring Index | ALTELE CORP | CompleteCommodities |
| Allspring Index Asset | Ally Financial Inc | Strategy |
| Allocation | Almaden Minerals Ltd | ALPS ETF Trust - ALPS Clean |
| Allspring Intermediate Tax | Almost Family Inc | Energy ETF |
| AMT Free | ALNYLAM | ALPS O'Shares US Quality |
| Allspring Large Cap Core | PHARMACEUTICALS INC | Dividend ETF |
| Allspring Large Cap Growth | ALON USA ENERGY INC | ALPS Sector Dividend Dogs |
| Allspring Money Market | Alon USA Partners LP | ETF |
| Allspring Municipal Bond | Alpha and Omega | ALPS/Red Rock Listed Private |
| Allspring Natl Tax-Free | Semiconductor Limited | Equity |
| Money Market | Alpha Metallurgical Resource | ALPS/Smith Short Duration |
| Allspring Opportunity | Inc | Bond |
| Allspring Premier Large | Alpha Natural Resources Inc | ALPS/Smith Total Return |
| Company Growth | Alpha Natural Resources, Inc. | Bond |
| Allspring Short Government | Alpha Partners Technology | Alseres Pharmaceuticals Inc |
| Bond | Merger Corp | Alset Capital Acquisition Corp |
| Allspring Short Municipal | Alpha Pro Tech Ltd. | Alsius Corp |
| Bond | Alpha Tau Medical Ltd | ALSP Orchid Acquisition |
| Allspring Short Term Bond | Alpha Teknova Inc | Corporation I |
| Plus | Alphabet Inc | Alstom Sa |
| Allspring Short-Term High | AlphaCentric Income | ALTA EQUIPMENT GROUP |
| Income | Opportunities | INC. |
| Allspring Small Company | Alpharma Inc. | Alta Mesa Resources Inc |
| Growth | AlphaSimplex Global | Altabancorp |
| Allspring Small Company | Alternatives | AltaGas Ltd |
| Value | AlphaSimplex Managed | Altair Engineering Inc |
| Allspring Special Large Cap | Futures Strategy | Altair Nanotechnologies Inc |
| Value | ALPHASMART INC | ALTANA AG |
| Allspring Special Mid Cap | Alphatec Holdings Inc | Altegris Futures Evolution |
| Value | Alpine 4 Holdings Inc | Strategy |
| Allspring Special Small Cap | Alpine Dynamic Dividend | Altegris Managed Futures |
| Value | Alpine Global Dynamic | Strategy |
| Allspring Specialized | Dividend Fund | Altegris/AACA Opportunistic |
| Technology | ALPINE GROUP INC | Real Estate |
| Allspring Spectrum Moderate | ALPINE IMMUNE SCIENCES, | AltEnergy Acquisition Corp |
| Growth | INC | Alteon Inc |
| Allspring Strategic Municipal | Alpine Intl Real Estate | Altera Corp |
| Bond | Alpine Municipal MM | ALTERNATE ENERGY CORP |
| Allspring Treasury Plus | Alpine Realty Income & Gro | Alternate Energy Holdings |
| Money Market | Alpine US Real Estate Equity | Inc |
| Allspring Ultra Short Income | ALPS Alerian MLP ETF | Alternative Construction |
| Allspring Ultra Short | ALPS Core Commodity | Technologies Inc |
| Municipal | Management | Alternative Energy |
| Allstream Inc -CI B | | Development Corp Ltd |

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| | | |
|-----------------------------------|------------------------------------|---|
| Alternative Energy Sources Inc | Am Cent Emerging Markets | Am Cent NT Emerging Markets |
| Alternative Technology Res | Am Cent Emerging Markets Debt | Am Cent NT Equity Growth |
| Alterra Capital Holdings Ltd | Am Cent Equity Growth | Am Cent NT Focused Large Cap Value |
| Alteryx Inc | Am Cent Equity Income | Am Cent NT Global Real Estate |
| Alteva | Am Cent Equity Index | Am Cent NT Growth |
| ALTi Global, Inc. | Am Cent Focused Dynamic Growth | Am Cent NT Heritage |
| Altice usa inc | Am Cent Focused Global Growth | Am Cent NT High Income |
| ALTIGEN COMMUNICATIONS INC | Am Cent Focused Large Cap Value | Am Cent NT International Growth |
| Altimar Acquisition Corp III | Am Cent Ginnie Mae | Am Cent NT International Small-Mid Cap |
| Altimeter Growth Corp 2 | Am Cent Global Bond | Am Cent NT International Value |
| Altimmune Inc | Am Cent Global Gold | Am Cent NT Mid Cap Value |
| ALTIRIS INC | Am Cent Government Agency MM | Am Cent One Choice 2015 |
| Altisource Asset Management Corp | Am Cent Government Bond | Am Cent One Choice 2020 |
| Altisource Portfolio Solutions SA | Am Cent Growth | Am Cent One Choice 2025 |
| Altitude Acquisition Corp | Am Cent Heritage | Am Cent One Choice 2030 |
| Alto Ingredients Inc | Am Cent High Income | Am Cent One Choice 2035 |
| Altra Industrial Motion Corp | Am Cent High Yield | Am Cent One Choice 2040 |
| Altria Group Inc | Am Cent High Yield Muni | Am Cent One Choice 2045 |
| ALTUS PHARMACEUTICALS INC | Am Cent Inflation Adjusted Bnd | Am Cent One Choice 2050 |
| Altus Power Inc | Am Cent Intermediate Tax Free Bond | Am Cent One Choice 2055 |
| Alumina Ltd | Am Cent International Bond | Am Cent One Choice Aggressive |
| Alvarion Ltd | Am Cent International Discovery | Am Cent One Choice Consvr |
| Alvotech | Am Cent International Growth | Am Cent One Choice In Retirement |
| Alx Oncology Holdings Inc | Am Cent International Opportunity | Am Cent One Choice Moderate |
| Am Cent All Cap Growth | Am Cent International Value | Am Cent One Choice Very Conservative |
| Am Cent Balanced | Am Cent Mid Cap Value | Am Cent Prime Money Market |
| Am Cent CA Hi Yield Municipal | Am Cent New Opportunities | Am Cent Real Estate |
| Am Cent CA Intermediate TxFr | Am Cent Non-US Intrinsic Value | Am Cent Select |
| Am Cent CA Long Term TxFr | Am Cent NT Core Equity Plus | Am Cent Short Duration |
| Am Cent CA TxFr MM | Am Cent NT Disciplined Growth | Am Cent Short Duration Strategic Income |
| Am Cent Capital Preservation | Am Cent NT Diversified Bond | Am Cent Short Inflation Protection Bond |
| Am Cent Capital Value | | |
| Am Cent Core Plus | | |
| Am Cent Disciplined Core Value | | |
| Am Cent Disciplined Growth | | |
| Am Cent Diversified Bond | | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|---------------------------------------|--------------------------------|---|
| Am Cent Short-Term Government Bond | Ambassador Money Market | AMERICA ONLINE LTN AMR - CL A |
| Am Cent Small Cap Growth | Ambassadors Group Inc | America Service Group Inc |
| Am Cent Small Cap Value | Ambassadors International Inc | America West Resources Inc |
| Am Cent Small Company | Amber Road Inc | American Airlines Group Inc |
| Am Cent Strat Allocation Aggressive | Ambev SA | American Ammunition/Ca |
| Am Cent Strat Allocation Conservative | Ambient Corp | American Apparel Inc |
| Am Cent Strat Allocation Moderate | Ambipar Emergency Response | American Assets Trust Inc |
| Am Cent Sustainable Equity | Ambit Biosciences Corp | American Axle & Manufacturing Holdings Inc |
| Am Cent Target 2005 | Ambow Education Holding Ltd | American Balanced Fund Inc |
| Am Cent Target 2010 | Ambrx Biopharma Inc | American Bancorp of New Jersey Inc |
| Am Cent Target 2025 | AMC Entertainment Holdings Inc | AMERICAN BANK INC/PA |
| Am Cent Tax Free Money Market | Amc Entertainment Inc | American Beacon AHL Managed Futures Strategy |
| Am Cent Ultra | AMC Networks Inc | American Beacon AHL TargetRisk |
| Am Cent US Government Money Market | AMCAP Fund Inc | American Beacon ARK Transformational Innovation |
| Am Cent Utilities Income | Amcast Indl Corp | American Beacon Balanced |
| Am Cent Value | AMCOL International Corp | American Beacon Bridgeway Large Cap Value |
| Am Cent Vista | AMCOMP INC | American Beacon FEAC Floating Rate Income |
| Am Cent VP Cap Appreciation | AMCON Distributing Co | American Beacon GLG Total Return |
| Am Cent VP Income & Growth | Amcor Plc | American Beacon High Yield |
| Am Cent VP Inflation Protectn | Amcore Financial Inc | American Beacon Intermediate Bond |
| Am Cent VP International | AMDL Inc. | American Beacon International Equity |
| Am Cent VP Mid Cap Value | Amdocs Ltd | American Beacon International Equity Index |
| Am Cent VP Ultra | Amedisys Inc | American Beacon Large Cap Value |
| Am Cent VP Value | Amer Business Finl Svcs Inc | American Beacon London Company Income Equity |
| Am Cent Zero Coupon 2015 | Amer Indep KS TxEx Bond | American Beacon Mid Cap Value |
| AMAG Pharmaceuticals Inc | AMER INSD MTG SER 85 -LP | American Beacon MM Select |
| Amana Growth | Amer Insd Mtg Ser 86 -Lp | American Beacon Money Market |
| Amana Income | Amer Insd Mtg Ser 88 -Lp | |
| AMARC RESOURCES LTD | Amer Strategic Inc Portfol I | |
| Amarin Corp PLC | Amerant Bancorp Inc | |
| A-Mark Precious Metals Inc | Ameren Corporation | |
| Amaru Inc | Ameresco Inc | |
| Amazon.com Inc | Ameri Holdings Inc | |
| Ambarella Inc | AMERIANA BANCORP | |
| Ambase Corp | AMERICA FIRST APT INVESTORS | |
| | America First Reit Prtner Lp | |
| | America Movil SA De CV, Mexico | |

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| | | |
|---|---|---|
| American Beacon Money Market Select Fund | American Electric Technologies Inc | American Funds Global Balanced |
| American Beacon S&P 500 Index | American Equity Investment Life Holding Co | American Funds Global Growth Portfolio |
| American Beacon SiM High Yield Opportunities | American Exploration Corp | American Funds Global Insight |
| American Beacon Small Cap Value | American Express Co | American Funds Growth and Income Portfolio |
| American Beacon Stephens Mid Cap Growth | American Farmland Co | American Funds Growth HLS |
| American Beacon Stephens Small Cap Growth | American Financial Group Inc | American Funds Growth Portfolio |
| American Beacon Tocqueville International Value | American Financial Realty Trust | American Funds Inflation Linked Bond |
| American Beacon Treasury Inflation Protection Sec | American Funds 2010 Target Date Retirement | American Funds International Vantage |
| American Beacon US Government Money Market Select | American Funds 2015 Target Date Retirement | American Funds IS Asset Alloc |
| American Biltrite Inc. | American Funds 2020 Target Date Retirement | American Funds IS Bond Fund of America |
| AMERICAN BK NT HOLOGRAPHICS | American Funds 2025 Target Date Retirement | American Funds IS Capital Income Builder |
| American Bonanza Gold Corp | American Funds 2030 Target Date Retirement | American Funds IS Capital World Bond |
| American Campus Communities Inc | American Funds 2035 Target Date Retirement | American Funds IS Capital World Growth & Income |
| American Capital Ltd | American Funds 2040 Target Date Retirement | American Funds IS Global Growth |
| American CareSource Holdings Inc | American Funds 2045 Target Date Retirement | American Funds IS Global Small Cap |
| American Commercial Lines Inc | American Funds 2050 Target Date Retirement | American Funds IS Growth American Funds IS Growth & Inc |
| American Community Bancshares Inc | American Funds 2055 Target Date Retirement | American Funds IS High- Income Trust |
| American Community Newspapers Inc | American Funds 2060 Target Date Retirement | American Funds IS International |
| American Community Properties Trust | American Funds 2065 Target Date Retirement | American Funds IS International Growth & Income |
| American Defense Systems Inc | American Funds Conservative Growth & Income Portfolio | American Funds IS Managed Risk Asset Allocation |
| American Dental Partners Inc | American Funds Corporate Bond | American Funds IS Managed Risk Growth |
| American DG Energy Inc | American Funds Developing World Growth and Income | |
| American Eagle Outfitters Inc. | American Funds Emerging Markets Bond | |
| American Electric Power Co Inc | | |

Public Company Accounting Oversight Board
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| | | |
|---|--|---|
| American Funds IS Managed Risk Growth Income | American International Group Inc | American Public Education Inc |
| American Funds IS New World | American International Growth & Income | American Railcar Industries Inc |
| American Funds IS US Government Securities | American International Industries Inc. | American Realty Investors Inc. |
| American Funds IS Washington Mutual Investors | American Italian Pasta Co | American Renal Associates Holdings Inc |
| American Funds Moderate Growth & Income Portfolio | American Land Lease Inc | American Residential Properties Inc |
| American Funds Mortgage | American Liberty Petroleum Corp | American Retail Group Inc. |
| American Funds Multi-Sector Income | American Lithium Corp | AMERICAN RETIREMENT CORP |
| American Funds Preservation Portfolio | American Lorain Corp | American River Bankshares |
| American Funds Retirement Income Portfolio - Conservative | AMERICAN MANAGEMENT SYSTEMS | American Science and Engineering Inc. |
| American Funds Retirement Income Portfolio - Enhanced | American Med Sec Grp | AMERICAN SHARED HSPTL SERV |
| American Funds Retirement Income Portfolio - Moderate | American Medical Alert Corp | American Sierra Gold Corp |
| American Funds Strategic Bond | American Medical Systems Holdings Inc | American Software Inc |
| American Funds Tax-Aware Conservative Growth & Income Portfolio | American Midstream Partners LP | American Spectrum Realty Inc |
| American Funds Tax-Exempt Preservation Portfolio | American Mortgage Acceptance Co | American Standard Energy Corp |
| American Funds US Government Money Market | American Mutual Fund Inc | AMERICAN STATES WATER CO |
| American Greetings Corp. | American Nano Silicon Technology Inc | American Superconductor Corp |
| American High Income Muni Bond Fund Inc | American National Bankshares Inc | AMERICAN TECHNICAL CERAMICS |
| American High Income Trust | American National Group Inc | American Tower Corp |
| AMERICAN HOMEPATIENT INC | AMERICAN OIL & GAS INC | American Uranium Corp/NV |
| American Homes 4 Rent | American Oriental Bioengineering Inc | American Vanguard Corp |
| American Housing Income Trust Inc | American Outdoor Brands Inc | American Var Ins Cash Managemt |
| American Independence Corp | American Pacific Corp | American Virtual Cloud Technologies Inc |
| | American Perf IL Cash Mgmt | AMERICAN WATER STAR INC |
| | American Perf Short Trm Income | American Water Works Company Inc |
| | American Perf US Treasury | American Well Corp |
| | American Physicians Capital Inc | American Wenshen Steel Group Inc |
| | American Physicians Service Group Inc | |
| | AMERICAN POWER CONVERSION CP | |

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| | | |
|--|---|-----------------------------------|
| AMERICAN WOODMARK CORP | AMG River Road Dividend All Cap Value | Amplify Online Retail ETF |
| AmericanWest BanCorp | AMG River Road Mid Cap Value | Amplify Snack Brands Inc |
| AMERICA'S CAR-MART INC | AMG River Road Small Cap Value | Amplitude Inc |
| Americas Technology Acquisition Corp | AMG SouthernSun Small Cap | Amprius Technologies Inc |
| Americold Realty Trust Inc | AMG SouthernSun U.S. Equity | AmREIT Inc |
| AmeriCredit Corp | AMG Systematic Mid Cap Value | AMREP Corp. |
| Amerigas Partners LP | AMG TimesSquare International Small Cap | Amryt Pharma plc |
| AMERIGROUP Corp | AMG TimesSquare Mid Cap Growth | AMS HEALTH SCIENCES INC |
| Ameriprise Financial Inc | AMG TimesSquare Small Cap Growth | AMSOUTH |
| Ameris Bancorp | AMG Yacktman Focused | BANCORPORATION |
| AMERISAFE Inc | AMG Yacktman Fund | Amsouth Capital Growth |
| AmeriServ Financial Inc | Amgen Inc | Amsouth Government Income |
| AMERISOURCEBERGEN CORP | AMICAS Inc | Amsouth High Quality Bond |
| AMERISTAR CASINOS INC | Amicus Therapeutics Inc | Amsouth High Quality Muni Bond |
| Ameristock Mutual Fund | AMIS HOLDINGS INC | Amsouth Large Cap |
| AMERITYRE CORP | Amiworld Inc | Amsouth Limited Bond |
| AMERIVEST PROPERTIES INC | Amkor Technology Inc | Amsouth Prime MM |
| Ameriwest Energy Corp | AMLI RESIDENTIAL PPTYS TR | Amsouth US Treasury MM |
| Ameron International Corp. | AMMEX GOLD MINING CORP | Amsouth Value |
| AMERSHAM PLC | AMMO Inc | AMTD Digital Inc |
| AMERUS GROUP CO -CL A | AMN Healthcare Services Inc. | AMTD IDEA Group |
| Ames National Corp | Amneal Pharmaceuticals Inc | Amtech Systems Inc |
| Amesite Inc | AMNET MORTGAGE INC | AmTrust Financial Services Inc |
| AMETEK Inc | Ampal-American Israel Corp | AMX CORP |
| AMEXDRUG CORP | Ampco-Pittsburgh Corp | AMYLIN PHARMACEUTICALS INC |
| AMG Beutel Goodman Core Plus Bond | Ampex Corp | Amylyx Pharmaceuticals Inc |
| AMG Boston Common Global Impact | Amphastar Pharmaceuticals Inc | AN2 Therapeutics Inc |
| AMG GW&K Core Bond | Amphenol Corp | Anacomp Inc |
| AMG GW&K ESG Bond | Ampio Pharmaceuticals Inc | ANADARKO PETROLEUM CORP |
| AMG GW&K Municipal Bond | Amplify BlackSwan Growth & Treasury Core ETF | ANADIGICS Inc |
| AMG GW&K Small Cap Core | Amplify Energy Corp | Anadys Pharmaceuticals Inc. |
| AMG GW&K Small/Mid Cap Core | Amplify ETF Trust - Amplify Transformational Data Sharing ETF | ANALEX CORP |
| AMG Managers Montag & Caldwell Growth | | Analog Devices Inc |
| AMG Managers Pictet International | | Analogic Corp |
| AMG Managers Skyline Special Equities | | Analysts International Corp |
| | | Anaplan Inc |
| | | AnaptysBio Inc |
| | | Anaren Inc |
| | | Anavex Life Sciences Corp |

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| | | |
|---|--|---|
| Ancestry.com Inc | ANIMAS CORP | Apex Bioventures Acquisition Corp |
| Anchor BanCorp Wisconsin Inc | Anixter International Inc | Apex Mortgage Capital Inc |
| ANCHOR GLASS CONTAINER CORP | Ann Inc | APHTON CORP |
| Anchor Pathway Growth | Annaly Capital Management Inc | APi Group Corp |
| Anchor Pathway Growth & Income | Annapolis Bancorp Inc | Apigee Corp |
| Anchor Ser Asset Allocation | Annexon Inc | APOGEE ENTERPRISES INC |
| Anchor Ser Growth | Annie's Inc | Apogee Technology Inc |
| Anchor Ser Natural Resources | Annovis Bio Inc | Apogent Technologies Inc |
| Andatee China Marine Fuel Services Corp | Annuity And Life Re Hldgs | Apollo Commercial Real Estate Finance Inc |
| Andeavor | Anpath Group Inc | Apollo Diversified Real Estate |
| Andeavor Logistics LP | ANSCOTT INDUSTRIES INC | Apollo Education Group Inc |
| Andersons Inc (The) | ANSOFT CORP | Apollo Endosurgery, Inc. |
| Andina Acquisition Corp III | Answers Corp | Apollo Global Management Inc |
| Andretti Acquisition Corp | ANSYS INC | Apollo Grp Univ Phoenix Onln |
| ANDREW CORP | ANTEON INTERNATIONAL CORP | Apollo Investment |
| ANDRX CORP | Anterix Inc | Apollo Medical Holdings Inc |
| Anebulo Pharmaceuticals Inc | Antero Midstream Corp | Apollo Residential Mortgage Inc |
| ANESIVA INC | Antero Midstream Partners LP | Apollo Resources International Inc |
| ANGEION CORP | Antero Resources Corporation | Apollomics Inc |
| Angel Oak Mortgage REIT Inc | Anthera Pharmaceuticals Inc | APP Pharmaceuticals Inc |
| Angel Oak Multi-Strategy Income | Anthony & Sylvan Pools Corp | Appalachian Bancshares Inc |
| ANGELCITI ENTERTAINMENT INC | Anywhere Real Estate Inc | Appgate Inc |
| ANGELICA CORP | ANZ-AUSTRALIA & NEW ZEALD BK | AppHarvest Inc |
| Angi Inc | Anzu Special Acquisition Corp I | Appian Corp |
| Angie's List Inc | AOL Inc | Apple Hospitality REIT Inc |
| AngioDynamics Inc | AON Money Market | Apple Inc |
| Angiotech Pharmaceuticals Inc | Aon plc | APPLEBEES INTL INC |
| Anglo Swiss Resources Inc | APA Corporation | Applera Corp-Applied Biosystems Group |
| AngloGold Ashanti Ltd | APAC Customer Services Inc | Appliance Recycling Centers of America |
| Anheuser-Busch Companies Inc. | Apartment Income REIT Corp | APPLICA INC |
| Anheuser-Busch InBev SA/NV | Apartment Investment and Management Co | Applied Digital Corp |
| ANI PHARMACEUTICALS INC | Apco Oil and Gas International Inc | Applied DNA Sciences Inc |
| Anika Therapeutics Inc | Apeiron Capital Investment Corp | Applied Energetics Inc |
| Animal Health International Inc | Apellis Pharmaceuticals Inc | Applied Extrusion Tech |
| | Aperture Discover Equity | APPLIED FILMS CORP |

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| | | |
|-------------------------------------|--|------------------------------------|
| Applied Genetic Technologies Corp | AQR Large Cap Defensive Style | Arbor Realty Trust Inc. |
| Applied Imaging Corp | AQR Large Cap Momentum Style | Arbutus Biopharma Corp |
| Applied Industrial Technologies Inc | AQR Large Cap Multi Style | ARC Document Solutions Inc |
| APPLIED INNOVATION INC | AQR Long Short Equity | ARC Group Worldwide Inc |
| Applied Materials Inc | AQR Managed Futures Strategy | Arc Logistics Partners LP |
| Applied Micro Circuits Corp | AQR Managed Futures Strategy HV | ARC Resources Ltd |
| Applied Minerals Inc | AQR Multi-Strategy Alternative | ARCA biopharma Inc |
| Applied Molecular Evolution Inc | AQR Risk Parity | Arcadia Biosciences Inc |
| Applied Molecular Transport Inc | AQR Small Cap Multi Style | ARCADIA RESOURCES INC |
| Applied Nanotech Holdings Inc | AQR Style Premia Alternative | ARCADIS NV |
| APPLIED NEUROSOLUTIONS INC | Aqua Bounty Technologies Inc | ArcBest Corp |
| Applied Optoelectronics Inc | Aqua Metals Inc | Arcelormittal SA |
| Applied Signal Technology Inc | Aquantia Corp | Arch Capital Group Ltd |
| Applied Therapeutics Inc | AQUANTIVE INC | Arch Chemicals Inc. |
| APPLIX INC | AquaVenture Holdings Ltd | ARCH RESOURCES, INC |
| AppLovin Corporation | Aquestive Therapeutics Inc | Arch Therapeutics Inc |
| Approach Resources Inc | AQUILA INC | Archaea Energy Inc |
| AppTech Payments Corp | Aquila Tax-Free Trust of Oregon | Archer Aviation Inc |
| Aptio Inc | Aquila Three Peaks High Income | ARCHIPELAGO HOLDINGS INC |
| Aprea Therapeutics Inc | Aquila Three Peaks Opportunity Growth | Archipelago Learning Inc |
| Apria Healthcare Group Inc | ARACRUZ CELULOSE SA | Archon Corp |
| Apricus Biosciences Inc | Aradigm Corp | Archrock Inc |
| APROPOS TECHNOLOGY INC | ARADYME CORP | ARCHSTONE-SMITH TRUST |
| AptarGroup Inc. | Aralez Pharmaceuticals Inc | Arcimoto Inc |
| Aptevo Therapeutics Inc | Aramark | Arco Platform Ltd |
| APTIMUS INC | ARAMARK CORP | Arconic Corp |
| Aptinyx Inc | Aratana Therapeutics Inc | Arcos Dorados Holdings Inc |
| Aptiv Plc | Aravive Inc | Arcosa Inc |
| Aptorum Group Ltd | Arbinet Corp | ArcSight Inc |
| Aptose Biosciences Inc | ARBIOS SYSTEMS INC | Arctic Cat Inc. |
| Apyx Medical Corp | Arbitrage Event Driven Arbitrage Fund | Arcturus Therapeutics Holdings Inc |
| AQR Diversified Arbitrage | ARBITRON INC | Arcus Biosciences Inc |
| AQR Emerging Multi-Style II | Arbor Rapha Capital Bioholdings Corp I | Arcutis Biotherapeutics Inc |
| AQR Equity Market Neutral | | Ardagh Group SA |
| AQR Global Equity | | Ardagh Metal Packaging SA |
| AQR International Equity | | ARDEA BIOSCIENCES INC |
| AQR International Momentum Style | | Ardelyx Inc |
| | | Arden Alternative Strategies |
| | | Arden Group Inc |
| | | ARDEN REALTY INC |
| | | Ardmore Shipping Corp |

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| | | |
|--|-------------------------------------|--|
| AREL COMMUNICATIONS & SFTWRE | ARKO Corp | Artisan Components Inc |
| Arena Pharmaceuticals Inc | Arlington Asset Investment Corp | Artisan Developing World |
| ARENA RESOURCES INC | Arlington Tankers Ltd | Artisan Emerging Markets |
| Ares Capital | Arlo Technologies Inc | Artisan Focus |
| ARES CAPITAL CORPORATION | Arm Holdings PLC | Artisan Global Opportunities |
| Ares Commercial Real Estate Corp | Armada Hoffler Properties Inc | Artisan Global Value |
| Ares Management Corp | Armada Small Cap Growth | Artisan High Income |
| Argan Inc | Armata Pharmaceuticals Inc | Artisan International |
| ARGENTEX MINING CORP | ARMOR HOLDINGS INC | Artisan International Small-Mid Cap |
| arGEN-X SE | Armour Residential REIT Inc | Artisan International Value |
| Argo Blockchain Plc | ARMSTRONG HOLDINGS INC | Artisan Mid Cap |
| Argo Group International Holdings Ltd | Armstrong World Industries Inc | Artisan Mid Cap Value |
| ARGON ST Inc | Arno Therapeutics Inc | Artisan Partners Asset Management Inc |
| Argonaut Gold, Inc | Arotech Corp | Artisan Small Cap |
| ARGONAUT TECHNOLOGIES INC | Arqit Quantum Inc | Artisan Small Cap Value |
| Argos Therapeutics Inc | ARQULE INC | Artisan Value |
| ARGOSY GAMING CO | Array Technologies Inc | ARTISTDIRECT INC |
| Argus Capital Corp | Arrhythmia Research Technology Inc. | Artivion Inc |
| Arhaus Inc | ARRIS International plc | Art's Way Manufacturing Co. Inc |
| ARI NETWORK SERVICES INC | Arrival | Aruba Networks Inc |
| ARIAD PHARMACEUTICALS INC | Arrow DWA Balanced | Arvinas Inc |
| Ariba Inc | Arrow Electronics Inc | ARYA Sciences Acquisition Corp IV |
| Aridis Pharmaceuticals Inc | Arrow Financial Corp | ARYA Sciences Acquisition Corp V |
| Ariel Appreciation | ARROW INTERNATIONAL INC | ARYx Therapeutics Inc |
| Ariel Fund | Arrowhead Pharmaceuticals Inc | ASA Gold and Precious Metals Ltd |
| Ariel International | Arrowroot Acquisition Corp | ASAF Money Market |
| Aris Water Solutions Inc | ARS Pharmaceuticals Inc | Asana Inc |
| Arista Networks Inc | Art Technology Group Inc | ASAT HOLDINGS LTD -ADR |
| Aristotle Value Equity | ARTEMIS INTL SOLUTIONS CORP | ASB Bancorp Inc |
| ARIZONA STAR RESOURCE CORP | ARTERIS INC | ASB FINANCIAL CORP. |
| Ark Balanced | Artes Medical Inc | Asbury Automotive Group Inc |
| Ark Money Mkt | Artesian Resources Corp | Ascena Retail Group Inc |
| Ark Restaurants Corp. | ARTESYN TECHNOLOGIES INC | ASCEND ACQUISITION CORP |
| Ark Space Exploration & Innovation ETF | ArthroCare Corp | Ascendant Digital Acquisition Corp III |
| Ark US Govt Cash MM | Arthur J. Gallagher & Co. | ASCENDIA BRANDS INC |
| Ark US Treas MMF | ARTIFICIAL LIFE INC | Ascendis Pharma AS |
| Arkanova Energy Corp | Artio Global Investors Inc | |
| | Artio International Equity II | |

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|---|--------------------------------------|--|
| Ascent Capital Group Inc | Aspen Insurance Holdings Ltd | AST BlackRock Low Duration Bond |
| Ascent Solar Technologies Inc | Aspen Technology Inc | AST BlackRock/Loomis Sayles Bond |
| ASCENTIAL SOFTWARE CORP | Aspira Womens Health Inc | AST Bond 2018 |
| ASCONI CORP | ASPREVA | AST Boston Partners Large Cap Value |
| Ase Technology Holdings Co | PHARMACEUTICALS CORP | AST Capital Growth Asset Allocation |
| ASE TEST LTD | Assembly Biosciences Inc | AST Clearbridge Dividend Growth |
| Asensus Surgical Inc | Assertio Holdings Inc | AST Cohen & Steers Realty |
| ASGN Inc | Asset Acceptance Capital Corp. | AST Core Fixed Income |
| Ashanti Goldfields Co Ltd | Asset Mgmt Intrmd Mortgage | AST DeAM Small Cap Growth |
| Ashford Hospitality Trust Inc | Asset Mgmt Ultra Short Mortgage | AST Defensive Asset Allocation |
| Ashford Inc | Asset Mgmt Ultra Short Mortgage | AST Emerging Markets Equity |
| Ashland Inc | AssetMark Financial Holdings Inc | AST FI Pyramis Asset Allocation |
| Ashmore Emerging Markets Short Duration | AssetMark Intl Equity | AST Fidelity Institutional AM Quantitative |
| Ashmore Emerging Markets Total Return | AssetMark Large Cap Value | AST Franklin 85/15 Diversified Allocation |
| Ashworth Inc. | AssetMark TxEx Fixed Income | AST Franklin Templeton Founding Funds Allocation |
| Asia Electrical Power International Group Inc | Assisted Living Concepts Inc | AST Franklin Templeton Founding Funds Plus |
| Asia Green Agriculture Corp | Associated Banc-Corp | AST Franklin Templeton Global Bond |
| Asia Pacific Fund Inc | Associated Capital Group Inc | AST Global Bond |
| ASIA PACIFIC RESOURCES LTD | Associated Estates Realty Corp | AST Global Real Estate |
| Asia Pacific Wire & Cable Corp | ASSURANCEAMERICA CORP | AST Goldman Sachs Global Income |
| ASIA PAYMENT SYSTEMS INC | Assured Guaranty Ltd | AST Goldman Sachs Large Cap Value |
| ASIA PREMIUM TELEVISION GRP | AST AB Global Bond | AST Goldman Sachs Multi-Asset |
| Asia Satellite Telecom Ltd | AST Academic Strategies | AST Goldman Sachs Strategic Income |
| AsiaInfo-Linkage Inc | Asset Allocation | AST Government Money Market |
| ASIAMART INC | AST Advanced Strategies | AST GS Concentrated Growth |
| Asian Dragon Group Inc | AST Alger All-Cap Growth | AST GS Small Cap Value |
| Asian Trends Media Hldgs Inc | AST AllianzGI World Trends | AST High Yield Portfolio |
| ASK JEEVES INC | AST Am Cent Income & Growth | |
| ASKMENOW INC | AST Am Cent Intl Growth | |
| ASLAN Pharmaceuticals Ltd | AST American Funds Growth Allocation | |
| ASM International NV | AST AQR Large Cap | |
| ASML Holding NV | AST Balanced Asset Allocation | |
| Aspect Communications Corp | AST Blackrock Global Strategies | |
| Aspect Medical Systems Inc | | |
| Aspen Aerogels Inc | | |
| ASPEN EXPLORATION CORP | | |
| Aspen Group Inc | | |

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|-------------------------------|------------------------------|-------------------------------|
| AST International Equity | AST T Rowe Price Asset Alloc | ATA Inc |
| AST International Value | AST T Rowe Price Equity | Atalanta Sosnoff Capital Cp |
| AST Investment Grade Bond | Income | Atara Biotherapeutics Inc |
| AST Jennison Large Cap | AST T Rowe Price Growth | ATARI INC |
| Growth | Opportunities | Atc Healthcare Inc |
| AST JPM Global Thematic | AST T Rowe Price Large Cap | ATC Technology Corp |
| AST JPM International Equity | Value | Atea Pharmaceuticals Inc |
| AST JPM Tactical | AST T Rowe Price Natural | Atento SA |
| Preservation | Resources | ATERIAN INC |
| AST Large Cap Core | AST Wellington Mgmt | athenahealth Inc |
| AST Large Cap Growth | Hedged Equity | Athene Holding Ltd |
| AST Large Cap Value | AST Western Asset Emerging | Athenex Inc |
| AST Loomis Sayles Large Cap | Markets Debt | Athens Bancshares Corp |
| Growth | Asta Funding Inc | ATHEROGENICS INC |
| AST Lord Abbett Core Fixed | ASTEA INTERNATIONAL INC | Atheros Communications Inc |
| Income | Astec Industries Inc. | Athersys Inc |
| AST MFS Global Equity | Asterias Biotherapeutics Inc | Athira Pharma Inc |
| AST MFS Growth | Astex Pharmaceuticals Inc | Athlon Acquisition Corp |
| AST MFS Growth Allocation | Aston Small Cap | Athlon Energy Inc |
| AST MFS Large Cap Value | Aston Value | ATI Inc |
| AST Mid Cap Growth | Aston/Fortis Growth | ATI Physical Therapy, Inc. |
| AST Mid Cap Value | Aston/River Road | ATI TECHNOLOGIES INC |
| AST Multi-Sector Fixed | Independent Value | Atkore Inc |
| Income | Aston/Veredus Aggrsv Gro | Atlantic Alliance Partnership |
| AST NB Core Bond | Astoria Financial Corp | Corp |
| AST NB Mid Cap Growth | Astra Space, Inc. | Atlantic American Corp |
| AST Preservation Asset | ASTRALIS LTD | Atlantic Avenue Acquisition |
| Allocation | ASTRATA GROUP INC | Corp |
| AST Prudential Core Bond | Astrazeneca PLC | ATLANTIC BANCGROUP INC |
| AST Prudential Growth | Astrea Acquisition Corp | Atlantic Capital Bancshares |
| Allocation | Astria Therapeutics Inc | Inc |
| AST QMA International Core | Astro Aerospace Ltd | Atlantic Coast Financial Corp |
| Equity | Astronics Corp | Atlantic Data Services Inc |
| AST QMA U.S. Equity Alpha | AstroNova Inc | ATLANTIC LIBERTY |
| Portfolio | Astropower Inc | FINANCIAL |
| AST Quantitative Modeling | Astrotech Corp | Atlantic Southern Financial |
| AST Schroders Global Tactical | Asure Software Inc | Group Inc |
| AST Schroders Multi Asset | ASV Holdings Inc | Atlantic Union Bankshares |
| World Strategies | At Home Group Inc | Corp |
| AST Small Cap Growth | AT ROAD INC | Atlantica Sustainable |
| AST Small Cap Growth | AT&T CORP | Infrastructure plc |
| Opportunities | AT&T Inc | Atlanticus Holdings Corp |
| AST Small Cap Value | At&T Wireless Services Inc | AtlanticWhitehall Mid Cap |
| AST SpaceMobile, Inc | Ata Holdings Corp | Gro |

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|--------------------------------------|--------------------------------|-------------------------------------|
| ATLANTIS PLASTICS INC | ATS Corp | AuthenTec Inc |
| Atlas Acquisition Holdings Corp | ATS Medical Inc | Authentic Equity Acquisition Corp |
| Atlas Air Worldwide Holdings Inc. | Attunity Ltd | Authentidate Holding Corp. |
| Atlas CA Muni Bond | Atwood Oceanics Inc. | AUTODESK INC |
| Atlas Corp | aTyr Pharma Inc | Autohome Inc |
| Atlas Energy Group LLC | Au Optronics Corp | AUTOIMMUNE INC |
| Atlas Energy Inc | Auburn National | AUTOINFO INC |
| ATLAS ENERGY RESOURCES LLC | Bancorporation Inc | Autoliv Inc |
| Atlas Financial Holdings Inc | Audacy Inc | Autolus Therapeutics Plc |
| Atlas Global Growth | Audentes Therapeutics Inc | Automated Cash Mgmt |
| Atlas Growth Opportunities | AUDIBLE INC | Automated Government |
| Atlas Pipeline Partners LP | Audience Inc | Money Tr |
| Atlas Resource Partners LP | Audiocodes Ltd | Automated Govt Cash |
| Atlas Strategic Income | AudioEye Inc | Reserves |
| Atlas Technology Group Inc | Augme Technologies Inc | Automatic Data Processing Inc |
| Atlas US Govt & Mrtg Sec | Augmedix Inc | AUTONATION INC |
| Atlassian Corp | AUGUST TECHNOLOGY CORP | AutoNavi Holdings Ltd |
| Atlatsa Resources Corp | Ault Alliance Inc | AutoWeb Inc |
| Atlis Motor Vehicles Inc | Aura Biosciences Inc | AUTOZONE INC |
| ATM International Managed Volatility | AURA SYSTEMS INC | AUXILIO INC |
| ATM Large Cap Managed Volatility | Aurasource Inc | Auxilium Pharmaceuticals Inc |
| ATM Mid Cap | Aurelio Resource Corp | Avadel Pharmaceuticals plc |
| ATM Small Cap Managed Volatility | AuRico Gold Inc | Avalara Inc |
| Atmel Corp | Aurinia Pharmaceuticals Inc | Avalo Therapeutics Inc |
| ATMI Inc | Aurizon Medical Holding AG | Avalon Acquisition Inc |
| Atmos Energy Corp | Aurizon Mines Ltd | Avalon GloboCare Corp |
| ATMOSPHERIC GLOW TECH INC | Aurora Acquisition Corp | AVALON HOLDINGS CORP |
| ATN International Inc | Aurora Cannabis Inc | Avalon Pharmaceuticals Inc |
| ATNA Resources Ltd | Aurora Foods Inc | Avalon Rare Metals Inc |
| Atomera Inc | AURORA GOLD CORP | AvalonBay Communities Inc. |
| Atossa Therapeutics Inc | Aurora Innovation Inc | Avanex Corp |
| Atotech Limited | Aurora Mobile Ltd | Avangrid Inc |
| Atour Lifestyle Holdings Ltd | AURORA OIL & GAS CORP | AVANIR Pharmaceuticals Inc |
| Atreca Inc | Aurum Inc | Avanos Medical Inc |
| AtriCure Inc | Auspex Pharmaceuticals Inc | Avant Immunotherapeutics Inc-Old |
| ATRION Corp | Austerlitz Acquisition Corp I | Avantair Inc |
| Atrix Labs Inc | Austerlitz Acquisition Corp II | Avantax Inc |
| | AUSTIN CHALK OIL AND GAS LTD | Avanti Acquisition Corp |
| | AUSTRAL PACIFIC ENERGY LTD | Avantis Emerging Markets Equity ETF |
| | Australia Acquisition Corp | |
| | AUSTRALIAN FOREST INDUSTRIES | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| Avantis International Equity ETF | Avistar Communications Corp | AXP Partners Small Cap Growth |
| Avantis International Small Cap Value ETF | AVITA Medical Inc | AXP Research Opportunities |
| Avantis U.S. Equity ETF | Aviv REIT Inc | Axsome Therapeutics Inc |
| Avantis U.S. Small Cap Value ETF | Aviva PLC | AXS-ONE INC |
| Avantor Inc | Aviza Technology Inc | AXSYS TECHNOLOGIES INC |
| Avaya Holdings Corp | AVNET INC | AXT Inc |
| AVAYA INC | Avocent Corp | Ayala Pharmaceuticals Inc |
| Ave Maria Bond | Avolon Holdings Ltd | Ayro Inc |
| Ave Maria Catholic Value | Avon Products Inc. | Aytu BioPharma Inc |
| Ave Maria Growth | AVROBIO Inc | AZEK Company Inc (The) |
| Ave Maria Rising Dividend | AVX Corp. | Azenta Inc |
| Aveanna Healthcare Holdings Inc | Aware Inc. | Aziyo Biologics Inc |
| Aventine Renewable Energy Holdings Inc | AXA ENT Capital Appreciation | AZL DFA 5 Year Global Fixed Income |
| Aventis Sa | AXA ENT Small Co Value | AZL DFA US Core Equity |
| Avenue Credit Strategies | AXA Premier VIP Charter Small Cap Value | AZL MVP Global Balanced Index Strategy |
| Avenue Financial Holdings Inc | Axa, Paris | AZL VIP BlackRock Capital Appreciation |
| Avenue Therapeutics Inc | Axalta Coating Systems Ltd | AZL VIP BlackRock Global Allocation |
| AVEO Pharmaceuticals Inc | AXCAN PHARMA INC | AZL VIP DFA Multi-Strategy |
| AvePoint, Inc | Axcelis Technologies Inc. | AZL VIP Enhanced Bond Index |
| Averion International Corp | Axcella Health Inc | AZL VIP FIAM Multi-Strategy |
| Averox Inc | Axcess International Inc | AZL VIP FIAM Total Bond |
| Avery Dennison Corp | AXEDA SYSTEMS INC | AZL VIP Frankln Sml Cap Value |
| AveXis Inc | Axesstel Inc | AZL VIP Government Money Market |
| AVIALL INC | Axiall Corp | AZL VIP International Index |
| Aviat Networks Inc | AXIM Biotechnologies Inc | AZL VIP Invesco Intl Equity |
| AVICENA GROUP INC | Axion International Holdings Inc | AZL VIP JPM International Opportunities |
| Avid Bioservices Inc | Axion Power International Inc | AZL VIP JPMorgan Large Cap Equity |
| Avidity Biosciences Inc | AXIS Capital Holdings Ltd | AZL VIP JPMorgan US Equity |
| AvidXchange Holdings Inc | Axis Technologies Group Inc | AZL VIP LMP Large Cap Growth |
| Avient Corp | AXM PHARMA INC | AZL VIP MFS Investors Trust |
| Avigen Inc | Axon Enterprise Inc | AZL VIP MFS Value |
| aVinci Media Corp | Axonic Strategic Income | AZL VIP Mid Cap Index |
| Avinger Inc | Axonics Inc | |
| Avino Silver & Gold Mines Ltd | AXONYX INC | |
| AVIS BUDGET GROUP INC | Axos Financial Inc. | |
| Avista Corp | AXP Blue Chip Advantage | |
| | AXP CA TxEx | |
| | AXP Life Series Equity | |
| | AXP Life Series Managed | |

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| AZL VIP Moderate Index Strategy | Babylon Holdings Ltd | BALDOR ELECTRIC CO |
| AZL VIP Morgan Stanley Mid Cap Growth | BABYUNIVERSE INC | Baldwin Technology Co Inc |
| AZL VIP MVP Fusion | BACK YARD BURGERS INC | BALL CORP |
| Balanced | Backblaze Inc | Ballantyne Strong Inc |
| AZL VIP MVP Fusion Growth | BACKWEB TECHNOLOGIES LTD | BALLY TECHNOLOGIES INC |
| AZL VIP MVP Fusion Moderate | Badger Meter Inc. | BALLY TOTAL FITNESS HLDG CP |
| AZL VIP MVP Growth Index Strategy | BAETA Corp | Ballys Corp |
| AZL VIP MVP Moderate Index Strategy | Baidu Inc | Balqon Corp |
| AZL VIP MVP T Rowe Price Capital Appreciation Plus | Baillie Gifford Developed EAFE All Cap | Baltia Air Lines Inc |
| AZL VIP OCC Renaissance | Baillie Gifford EAFE Plus All Cap | Baltic Trading Ltd |
| AZL VIP OCC Value | Baillie Gifford Emerging Markets Equities | Bam Entertainment Inc |
| AZL VIP OPP Intl Growth | Baillie Gifford Global Alpha Equities | Banc of California Inc |
| AZL VIP Russell 1000 Growth Index | Baillie Gifford International Alpha | BancFirst Corp |
| AZL VIP Russell 1000 Value Index | Baillie Gifford International Growth | BANCINSURANCE CORP |
| AZL VIP S&P 500 Index | Baillie Gifford Long Term Global Growth | Banco BBVA Argentina SA |
| AZL VIP Schroder Emerging Market Equity | Baird Aggregate Bond | Banco Bilbao Vizcaya Argentaria SA (BBVA) |
| AZL VIP Small Cap Stock Index | Baird Core Intermediate Municipal Bond | Banco De Chile |
| AZL VIP TRP Capital Appreciation | Baird Core Plus Bond | BANCO ITAU HLDG FINANCEIRA |
| AZL VIP VKM Growth & Income | Baird Intermediate Bond | Banco Latinoamericano de Comercio Exterior SA |
| AZTAR CORP | Baird Mid Cap Growth | Banco Santander SA |
| AZUR INTERNATIONAL | Baird Quality Intermediate Municipal Bond | Bancolombia Sa |
| Azure Midstream Partners LP | Baird Short Bond | Bancorp Inc (The) |
| Azure Power Global Limited | Baird Short-Term Municipal Bond | Bancorp of New Jersey Inc |
| AZZ Inc | Baird Ultra Short Bond | Bancorp Rhode Island Inc |
| B Communications Ltd | BAIRNCO CORP | BancorpSouth Inc. |
| B&G Foods Inc | Baja Mining Corp | BancTrust Financial Group Inc |
| B&H Ocean Carriers Ltd | BakBone Software Inc | BANDAG INC |
| B. Riley Financial Inc | Baker Hughes a GE Co | Bandwidth Inc |
| B/E Aerospace Inc | BAKER HUGHES a GE Co LLC | Bank First Corp |
| B2Gold Corp | BAKERS FOOTWEAR GROUP INC | Bank Holdings Inc. (The) |
| Babcock & Wilcox Enterprises Inc | Bakkt Holdings, Inc. | Bank Mutual Corp |
| | Balchem Corp | Bank of America Corp |
| | | Bank Of Bermuda Ltd |
| | | Bank of Commerce Holdings |
| | | Bank of Florida Corp |
| | | Bank of Granite Corp |
| | | Bank of Ireland |
| | | Bank of Kentucky Financial Corp |

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|---------------------------------------|---------------------------------------|---|
| Bank of Marin Bancorp | Barnwell Industries Inc | Baycorp Holdings Corp |
| Bank of Montreal | Baron Asset | BAYER AG |
| Bank of New York Mellon Corp (The) | Baron Discovery | BAYER SCHERING PHARMA AG |
| Bank of South Carolina Corp | Baron Emerging Markets | BAYFIRST FINANCIAL CORP |
| Bank of the Carolinas | Baron Fifth Ave Growth | Baylake Corp |
| Bank of the James Financial Group Inc | Baron Focused Growth | BAYOU CITY EXPLORATION INC |
| Bank of the Ozarks | Baron Global Advantage | Baytex Energy Corp |
| Bank One Corp | Baron Growth | Bazaarvoice Inc |
| Bank Tokyo-Mitsubishi | Baron International Growth | BB HOLDINGS LTD |
| Bank7 Corp | Baron Opportunity | BB&T Intrmd US Govt Bond |
| BankFinancial Corp | Baron Partners | BB&T Large Cap |
| BankGuam Holding Co | Baron Real Estate | BB&T Large Cap Growth |
| Banks.com Inc | Baron Small Cap | BBB Managed Account |
| BANKUNITED FINANCIAL CORP | Baron Var Capital Asset | Completion Shares |
| BankUnited Inc | BARR PHARMACEUTICALS INC | BBH Core Select |
| Bankwell Financial Group Inc | Barra Inc | BBH Income |
| Banner Corp | Barracuda Networks Inc | BBH Intermediate Municipal Bond |
| Bannix Acquisition Corp | Barrett Business Services Inc | BBH Limited Duration |
| BANTA CORP | Barrick Gold Corp | BBH Partner Fund - International Equity |
| Banyan Acquisition Corp | Barrier Therapeutics Inc | BBH Real Return |
| Baozun Inc | BARRY (R G) CORP | BBH TxEx Money |
| Bar Harbor Bankshares | Barzel Industries Inc | BBH US Government Money Market |
| BARAN GROUP LTD | BASELINE OIL & GAS CORP | BBIF Govt Sec |
| Barclays Gbl Inv Asset Alloc | BASF AG | BBIF Money |
| Barclays Gbl LifePath 2010 | Basic Energy Services Inc | BBIF Treasury |
| Barclays Government Money Market | BASIN WATER INC | BBIF TxEx |
| Barclays PLC | Bassett Furniture Industries Inc. | BBMF CORP |
| BARD (C.R.) INC | Bath & Body Works Inc | BBQ Holdings Inc |
| BARE ESCENTUALS INC | Battalion Oil Corp | BBX Capital Corp |
| Barfresh Food Group Inc | Battery Future Acquisition Corp | BBX Capital Inc |
| Barings Active Short Duration Bond | Battle Mountain Gold Exploration Corp | BCB Bancorp Inc |
| Barings Bdc Inc | Bausch & Lomb Corporation | BCSB Bancorp Inc |
| Bark Inc | BAUSCH & LOMB INC | BD23 Tocagen Inc |
| Barnes & Noble Education Inc | Bausch Health Cos Inc | BEA SYSTEMS INC |
| Barnes & Noble Inc | Baxalta Inc | Beach First National |
| Barnes Group Inc | Bay Bancorp Inc | Bancshares Inc |
| Barnesandnoble.Com Inc | Bay Banks of Virginia Inc | Beachbody Co Inc (The) |
| Barneys New York Inc | BAY NATIONAL CORP | Beacon Federal Bancorp Inc |
| | BAY VIEW CAPITAL CORP | Beacon Power Corp |
| | BayCom Corp | |

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| | | |
|-------------------------------------|---|-----------------------------------|
| Beacon Roofing Supply Inc | Benchmark Electronics Inc | BEXIL CORP |
| Beam Global | Beneficial Bancorp Inc | Beyond Meat Inc |
| Beam Inc | Benefitfocus Inc | BeyondSpring Inc |
| Beam Therapeutics Inc | BENETTON GROUP SPA | BF ENTERPRISES INC |
| Bear State Financial Inc | Benihana Inc | BG GROUP PLC |
| Bear Stearns Companies Inc (The) | Benjamin Franklin Bancorp Inc | BG Medicine Inc |
| Bear Stearns Prime Money Mrkt | BENNETT ENVIRONMENTAL INC | BGC Partners Inc |
| BEARD CO | Benson Hill, Inc. | BGSF Inc |
| BearingPoint Inc. | Bentley Pharmaceuticals Inc. | Bha Group Holdings Inc |
| Beasley Broadcast Group Inc | Bentley System Inc | BHP Group Ltd |
| Beauty Health Co | Berenson Acquisition Corp I | BHP Group Plc |
| BEBE STORES INC | Berger Growth | Bicycle Therapeutics Ltd |
| Beckman Coulter Inc | Berger Holdings Ltd | BIDZ.com Inc |
| Becton Dickinson and Co | Berger Small Company Growth | BIF CA Muni |
| Bedford Bancshares Inc | Berkley (W.R.) Corp | BIF CT Muni |
| BEDFORD PROPERTY INVESTORS | BERKSHIRE BANCORP INC | BIF Government Securities |
| Beeston Enterprises Ltd | Berkshire Focus | BIF Money |
| Behringer Harvard | Berkshire Grey Inc | BIF NJ Muni |
| Opportunity REIT I Inc | Berkshire Hathaway Inc | BIF NY Muni |
| BEI TECHNOLOGIES INC | Berliner Communications Inc | BIF OH Muni |
| BeiGene Ltd | Bernstein International Small Cap | BIF Treasury |
| BEKEM METALS INC | Bernstein International Strategic Equities | BIF TxEx |
| Bel Fuse Inc. | Bernstein Small Cap Core | Big 5 Sporting Goods Corp |
| Belden Inc | Berry Corp | Big Cat Energy Corp |
| Belite Bio Inc | Berry Global Group Inc | Big Lots Inc |
| Belk Inc. | BESI-BE SEMICONDUCTOR INDS | BIG SKY ENERGY CORP |
| Bell Canada Intl Inc | Besra Gold Inc | BigBand Networks Inc |
| Bell Industries Inc. | Best Inc | BigBear ai Holdings Inc |
| Bell Microproducts Inc | Betawave Corp | BigCommerce Holdings Inc |
| Bellatrix Exploration Ltd | Better Choice Co Inc | Biglari Holdings Inc |
| Bellerophon Therapeutics Inc | BETTER THERAPEUTICS INC | Bilander Acquisition Corp |
| Bellicum Pharmaceuticals Inc | Better World Acquisition Corp | Bilibili Inc |
| BellRing Brands Inc | Betterware de Mexico S.A.P.I. de C.V. | BILL Holdings Inc |
| BELLSOUTH CORP | BEVERLY ENTERPRISES | Bimini Capital Management Inc |
| BELMONT BANCORP | BEVERLY HILLS BANCORP INC | BINDVIEW DEVELOPMENT CORP |
| Belo Corp. | Beverly National Corp | Bio Blast Pharma Ltd |
| Belong Acquisition Corp | | Bio Reference Laboratories Inc |
| Belpointe PREP LLC | | BioAmber Inc |
| BEMA GOLD CORP | | Bioatla Inc |
| BENCHMARK BANKSHARES INC | | Bio-Bridge Science Inc |
| | | BioCardia, Inc. |

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 Issuers With No Outstanding Past-Due
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| | | |
|--|---------------------------------------|---|
| BioClinica Inc | Bio-Rad Laboratories Inc | Black Diamond Therapeutics Inc |
| BIOCORAL INC | BioShaft Water Technology Inc | BLACK HILLS CORP |
| BIOCRYST | BioSig Technologies Inc | Black Knight Inc |
| PHARMACEUTICALS INC | BIOSITE INC | Black Mountain Acquisition Corp |
| BIOCUREX INC | BioSolar Inc | Black Spade Acquisition Co |
| BioDelivery Sciences International Inc | BIOSOURCE INTERNATIONAL INC | Black Stone Minerals LP |
| Biodesix Inc | BioSpecifics Technologies Corp | Blackbaud Inc |
| BIOENVISION INC | BioSphere Medical Inc | BlackBerry Ltd |
| BioForce Nanosciences Holdings Inc | Biostar Pharmaceuticals Inc | Blackboard Inc |
| BioForm Medical Inc | Biota Pharmaceuticals, Inc. | Blackhawk Network Holdings Inc |
| Biofrontera Inc | biote Corp | BlackLine Inc |
| Biogen Inc | Bio-Tech Corp | BlackRock 20/80 Target Allocation |
| Biohaven Ltd | Biotechnology Ultrasector ProFund | BlackRock 40/60 Target Allocation |
| Biohaven Pharmaceutical Holding Co Ltd | BioTelemetry Inc | BlackRock 60/40 Target Allocation |
| Bioheart Inc | Bioventus Inc | BlackRock 80/20 Target Allocation |
| BIOJECT MEDICAL TECHNOLOGIES INC | BIOVERIS CORP | BlackRock Advantage CoreAlpha Bond |
| BIO-KEY INTERNATIONAL INC | BioXcel Therapeutics Inc | BlackRock Advantage Global |
| BioLargo Inc | BIRKS & MAYORS INC | BlackRock Advantage International |
| Biolase Inc | Birner Dental Management Services Inc | BlackRock Advantage Large Cap Core |
| Biolife Solutions Inc | Bishop St Money Mkt | BlackRock Advantage Large Cap Core V.I. |
| Bioline RX Ltd | Bishop St Treas MM | BlackRock Advantage Large Cap Growth |
| BIO-LOGIC SYSTEMS CORP | BISYS GROUP INC | BlackRock Advantage Large Cap Value |
| Biomarin Pharmaceutical Inc | Bit Digital Inc | BlackRock Advantage Small Cap Core |
| Biomea Fusion Inc | Bitauto Holdings Ltd | BlackRock Advantage Small Cap Growth |
| BioMed Realty Trust Inc | Bitfarms Ltd | BlackRock Advantage US Total Market |
| BIOMET INC | Bitstream Inc | BlackRock Aggregate Bd Index |
| BIOMIMETIC THERAPEUTICS INC | Bitwise 10 Crypto Index Fund | |
| Bion Environmental Tech Inc | BIW LTD | |
| BioNano Genomics Inc | BJ SERVICES CO | |
| Biondvax Pharmaceuticals | BJ's Restaurants Inc | |
| Bionovo Inc | BJ's Wholesale Club Holdings Inc | |
| BioNTech SE | BJ's Wholesale Club Inc. | |
| Biopack Environmental Solutions Inc | Bjurman Barry Micro Cap Growth | |
| Bio-Path Holdings Inc | BKF CAPITAL GROUP INC | |
| BIOPHAN TECHNOLOGIES INC | Black & Decker Corp (The) | |
| Bioprogress Plc | BLACK BOX CORP | |
| BIOPURE CORP | | |
| Biora Therapeutics Inc | | |

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|---|--|---|
| BlackRock AllCp Energy & Resources | BlackRock Energy and Resources Trust | BlackRock Govt Income |
| BlackRock AMT Free Muni | BlackRock Enhanced Capital and Income Fund Inc | BlackRock Health Sciences Opportunities |
| BlackRock Aurora | BlackRock Enhanced Equity Dividend Trust | BlackRock Health Sciences Trust |
| BlackRock Balanced | BlackRock Enhanced Equity Yield & Premium Fund Inc | BlackRock Health Sciences Trust II |
| BlackRock Basic Value V.I. | BlackRock Enhanced Equity Yield Fund Inc | BlackRock Healthcare |
| BlackRock Bond | BlackRock Enhanced Global Dividend Trust | BlackRock High Equity Income |
| BlackRock CA Muni Opportunities | BlackRock Enhanced International Dividend Trust | BlackRock High Income |
| BlackRock California Municipal Income Trust | BlackRock Equity Dividend Trust | BlackRock High Yield Bond |
| BlackRock Capital Allocation Trust | BlackRock ESG Capital Allocation Trust | BlackRock High Yield Muni |
| BlackRock Capital Appreciation | BlackRock Euro | BlackRock High Yield V.I. |
| BlackRock Capital Investment Corp | BlackRock Event Driven Equity | BlackRock Impact Mortgage |
| BlackRock Cash Funds: Instl | BlackRock Floating Rate Income | Blackrock Inc |
| BlackRock Cash Funds: Prime | BlackRock Floating Rate Income Strategies Fund Inc | BlackRock Income |
| BlackRock Cash Funds: Treasury | BlackRock Floating Rate Income Trust | BlackRock Income Opportunity Trust Inc. |
| BlackRock Commodity Strategies | BlackRock Focus Value | BlackRock Income Trust Inc. (The) |
| BlackRock Core Bond | BlackRock Gbl Alloc | BlackRock Inflation Protected Bond |
| BlackRock Core Bond Trust | BlackRock Gbl Alloc V.I. | Blackrock Innovation & Growth Trust |
| BlackRock Corporate High Yield Fund III Inc | BlackRock Gbl Growth | BlackRock Insured Municipal 2008 Term Trust Inc. (The) |
| BlackRock Corporate High Yield Fund Inc | BlackRock Gbl Technology | BlackRock Insured Municipal Term Trust Inc. (The) |
| BlackRock Corporate High Yield Fund V Inc | BlackRock Gbl Value | BlackRock International |
| BlackRock Credit Allocation Income Trust | BlackRock Global Dividend Income Trust | BlackRock International Dividend |
| BlackRock Credit Allocation Income Trust II Inc | BlackRock Global Equity Income Trust | BlackRock Intl Bond |
| BlackRock Debt Strategies Fund Inc | BlackRock Global Equity Market Neutral | BlackRock Intl Equity |
| BlackRock Dynamic High Income | BlackRock Global Long/Short Credit | BlackRock Intl Value |
| BlackRock Emerging Market Long/Short Equity | BlackRock GNMA | BlackRock Intl Value V.I. |
| BlackRock Emerging Markets | BlackRock Government Income V.I. | Blackrock Inv Qual Term Tr |
| BlackRock Energy & Resources | | BlackRock Investment Quality Municipal Trust Inc. (The) |
| | | BlackRock Large Cap |
| | | BlackRock Large Cap Focus Growth |

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| BlackRock Large Cap Focus Value | BlackRock Liquidity NY Money | BlackRock MuniHoldings California Quality Fund Inc |
| BlackRock Latin America | BlackRock Liquidity TempCash | BlackRock MuniHoldings Fund Inc |
| BlackRock Lg Cap Growth Eq | BlackRock Liquidity TempFund | BlackRock MuniHoldings Investment Quality Fund |
| BlackRock Lg Cap Val Equity | BlackRock Liquidity T-Fund | BlackRock MuniHoldings New Jersey Quality Fund Inc |
| BlackRock LifePath 2040 | BlackRock Liquidity Treasury Trust | BlackRock MuniHoldings New York Quality Fund Inc |
| BlackRock Lifepath Dynamic 2020 | BlackRock Long-Horizon Equity | BlackRock MuniHoldings Quality Fund II Inc |
| BlackRock LifePath Dynamic 2030 | BlackRock Low Duration Bond | BlackRock MuniVest Fund II Inc |
| BlackRock LifePath Index 2020 | BlackRock Managed Inc | BlackRock MuniVest Fund Inc |
| BlackRock LifePath Index 2025 | BlackRock Managed Income | BlackRock MuniYield California Fund Inc |
| BlackRock LifePath Index 2030 | BlackRock Mid Cap Growth Equity | BlackRock MuniYield California Quality Fund Inc |
| BlackRock LifePath Index 2035 | BlackRock Mid-Cap Value | BlackRock MuniYield Fund Inc |
| BlackRock LifePath Index 2040 | BlackRock MM V.I. | BlackRock MuniYield Michigan Quality Fund Inc |
| BlackRock LifePath Index 2045 | BlackRock Multi-Asset Income | BlackRock MuniYield New York Quality Fund Inc |
| BlackRock LifePath Index 2050 | BlackRock Multi-Sector Income Trust | BlackRock MuniYield Quality Fund II Inc |
| BlackRock LifePath Index 2055 | BlackRock Muni MM | BlackRock MuniYield Quality Fund III Inc |
| BlackRock LifePath Index 2060 | Blackrock Muni Target Term | BlackRock MuniYield Quality Fund Inc |
| BlackRock LifePath Index Retirement | BlackRock MuniAssets Fund Inc | BlackRock National Muni |
| BlackRock Limited Duration Income Trust | BlackRock Municipal | BlackRock Natural Resources |
| BlackRock Liquid Environmentally Aware | BlackRock Municipal 2018 Term Trust | BlackRock NJ Muni Bond |
| BlackRock Liquid Federal Trust | BlackRock Municipal 2020 Term Trust | BlackRock NY Municipal Opportunities |
| BlackRock Liquidity CA Money | BlackRock Municipal 2030 Target Term Trust | BlackRock PA Muni Bond |
| BlackRock Liquidity FedFund | BlackRock Municipal Income Fund | BlackRock PA Muni MM |
| BlackRock Liquidity MuniCash | BlackRock Municipal Income Quality Trust | BlackRock PA TxFr Inc |
| BlackRock Liquidity MuniFund | BlackRock Municipal Income Trust | BlackRock Pacific |
| | BlackRock Municipal Income Trust II | BlackRock Preferred Opportunity Trust |
| | BlackRock MuniEnhanced Fund Inc | BlackRock Premier Government Institutional |

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| BlackRock Real Asset Equity Trust | BlackRock Sustainable Balanced | BLASTGARD INTL INC |
| Blackrock Resources & Commodities Strategy Trust | BlackRock Systematic Multi-Strategy | BLDRS Emerging Markets 50 ADR Index Fund |
| BlackRock S&P 500 Index | BlackRock Tactical Opportunities | Blend Labs Inc |
| BlackRock S&P 500 Index Equity | BlackRock Taxable Municipal Bond Trust | Blink Charging Co |
| BlackRock S&P 500 Index V.I. | BlackRock Technology Opportunities | Block H&R Inc |
| BlackRock Science and Technology Trust | BlackRock Total Return | BLOCKBUSTER INC |
| BlackRock Science and Technology Trust II | BlackRock Total Return V.I. | BLONDER TONGUE LABS INC |
| BlackRock Select Treasury Strategies Institutional | BlackRock Treasury Strategies Institutional | Bloom Energy Corp |
| BlackRock Senior High Income Fund Inc | BlackRock Ultra Short-Term Bond ETF | Bloomin' Brands Inc |
| BlackRock Series Balanced Cap | Blackrock US Carbon Transition Readiness ETF | Blount International Inc. |
| BlackRock Series Global Allocation | BlackRock US Treas MM | Blue Apron Holdings Inc |
| BlackRock Series Lg Cap Core | BlackRock Utility and Infrastructure Trust | Blue Biofuels Inc |
| BlackRock Series MM | BlackRock Value Opport V.I. | Blue Bird Corp |
| BlackRock Short Maturity Bond ETF | BlackRock Wealth Liquid Environmentally Aware | Blue Buffalo Pet Products Inc |
| BlackRock Short Obligations | Blackrock World EX US Carbon Transition Readiness ETF | Blue Calypso Inc |
| BlackRock Short Term Bond | BlackRock World Investment Trust | Blue Capital Reinsurance Holdings Ltd |
| BlackRock Short Term Muni | Blacksands Petroleum Inc | BLUE COAT SYSTEMS INC |
| BlackRock Small Cap Growth II | BlackSky Technology Inc | BLUE DOLPHIN ENERGY CO |
| BlackRock Small Mid Growth | Blackstone / GSO Senior Floating Rate Term Fund | Blue Earth Inc |
| BlackRock SmCap Val Eq | Blackstone Alternative Multi Manager | Blue Foundry Bancorp |
| BlackRock Sr Floating Rate | Blackstone Alternative Multi Strategy | Blue Hills Bancorp Inc |
| BlackRock Sr Floating Rate 2 | Blackstone Inc | Blue Holdings Inc |
| BlackRock Strategic Dividend Achievers Trust | Blackstone Mortgage Trust Inc | Blue Martini Software Inc |
| BlackRock Strategic Global Bond | Blade Air Mobility, Inc | Blue Nile Inc |
| BlackRock Strategic Income Opportunities | BladeLogic Inc | Blue Ocean Acquisition Corp |
| BlackRock Strategic Muni Opportunities | BLAIR CORP | BLUE OWL CAPITAL INC |
| BlackRock Sustainable Advantage Large Cap Core | BLAST ENERGY SERVICES INC | Blue Rhino Corp |
| | | Blue Ridge Bankshares Inc |
| | | BLUE RIDGE REAL ESTATE CO |
| | | Bluefire Ethanol Fuels Inc |
| | | Bluefly Inc |
| | | Bluegreen Vacations Corp |
| | | Bluegreen Vacations Holding Corp |
| | | Blueknight Energy Partners LP |
| | | BlueLinx Holdings Inc |
| | | Bluephoenix Solutions Ltd |
| | | Blueprint Medicines Corp |
| | | Bluerock Homes Trust Inc |
| | | Bluerock Residential Growth REIT Inc |

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| Bluerock Total Income+ Real Estate | BNY Mellon Emerging Markets | BNY Mellon Mid Cap Multi-Strategy |
| Bluescape Opportunities Acquisition Corp | BNY Mellon Equity Income | BNY Mellon Midcap Index |
| Blyth Inc | BNY Mellon Floating Rate Income | BNY Mellon Muni Bond |
| BM Technologies, Inc. | BNY Mellon Focused Equity Opportunities | BNY Mellon Municipal Opportunities |
| BMB Munai Inc | BNY Mellon Global Emerging Markets | BNY Mellon Natl Intrmd Muni |
| Bmc Industries Inc/Mn | BNY Mellon Global Fixed Income | BNY Mellon Natl Muni MM |
| BMC Software Inc | BNY Mellon Global Real Estate Securities | BNY Mellon Natl Short Muni |
| BMC Stock Holdings, Inc. | BNY Mellon Global Real Return | BNY Mellon Natural Resources |
| BMO Aggregate Bond | BNY Mellon Global Stock | BNY Mellon NY TxEx Bond |
| BMO Balanced Allocation | BNY Mellon Government Money Market | BNY Mellon Opportunistic Midcap Value |
| BMO Institutional Prime Money Market | BNY Mellon High Yield | BNY Mellon Opportunistic Small Cap |
| BMO Small Cap Growth | BNY Mellon Income Stock | BNY Mellon PA Intrmd Muni |
| BMO Tax Free Money Market | BNY Mellon Institutional S&P 500 Stock Index | BNY Mellon Research Growth |
| BMP Sunstone Corp | BNY Mellon Intermediate Muni Bond | BNY Mellon S&P 500 Index |
| BNC Bancorp | BNY Mellon International Bond | BNY Mellon Select Managers Small Cap Growth |
| BNCCORP INC | BNY Mellon International Core Equity | BNY Mellon Select Managers Small Cap Value |
| BNP RESIDENTIAL PROPERTIES | BNY Mellon International Equity | BNY Mellon Sh US Gov Sec |
| BNY Hamilton Core Bond | BNY Mellon International Small Cap | BNY Mellon Small Cap Multi Strategy |
| BNY Hamilton Enhanced Inc | BNY Mellon International Stock | BNY Mellon Small/Mid Cap |
| BNY Hamilton Intrmd TxEx | BNY Mellon International Stock Index | BNY Mellon Small/Mid Cap Growth |
| BNY Hamilton Large Cap Growth | BNY Mellon Intl Appreciation | BNY Mellon Smallcap Stock Index |
| BNY Hamilton NY TxFr MM | BNY Mellon Intrmd Bond | BNY Mellon Strategic Municipals Inc |
| BNY Hamilton Small Cap Gro | BNY Mellon Large Cap Equity | BNY Mellon Sustainable US Equity |
| BNY Mellon AMT Free Muni Bond | BNY Mellon Large Cap Securities | BNY Mellon Tax Sensitive Large Cap Multi-Strategy |
| BNY Mellon Appreciation | BNY Mellon Large Cap Stock | BNY Mellon US Equity |
| BNY Mellon Asset Allocation | BNY Mellon MA Intrmd Muni | BNY Mellon Var Inv Sml Cp Stk Idx |
| BNY Mellon Bond | | BNY Mellon Var Inv Stock Index Fund |
| BNY Mellon Bond Market Index | | |
| BNY Mellon CA AMT Free Muni Bond | | |
| BNY Mellon Core Plus | | |
| BNY Mellon Corporate Bond | | |
| BNY Mellon Diversified Intl | | |
| BNY Mellon Dynamic Total Return | | |
| BNY Mellon Dynamic Value | | |

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| | | |
|--|---|---|
| BNY Mellon Var Inv Tech Growth | BONE CARE INTERNATIONAL INC | Boulder Total Return Fund Inc. |
| BNY Mellon Worldwide Growth | BONSO ELECTRONIC INTL INC | Boulevard Acquisition Corp II |
| BOARDWALK BANCORP INC | Booking Holdings Inc | Bowl America Inc |
| Boardwalk Equities Inc | BOOKS-A-MILLION INC | Bowlero Corp |
| Boardwalk Pipeline Partners LP | Boomerang Systems Inc | Bowman Consulting Group Ltd |
| Bob Evans Farms Inc. | Boot Barn Holdings Inc | Bowne & Co Inc |
| BOC GROUP PLC | Boots & Coots Inc | Box Inc |
| Boca Resorts Inc | Booz Allen Hamilton Holding Corporation | Box Ships Inc |
| BODISEN BIOTECH INC | Borderfree Inc | Boxlight Corp |
| Body Central Corp | BORDERS GROUP INC | BOYD GAMING CORP |
| BOE Financial Services of Virginia Inc | BorgWarner Inc | BOYDS COLLECTION LTD |
| Boeing Co | Borland Software Corp | BOYKIN LODGING CO |
| BofA CA TxEx Reserves | Borr Drilling Limited | BP Midstream Partners LP |
| BofA Cash Reserves | BOSTON ACOUSTICS INC | BP PLC |
| BofA Government Plus Reserves | Boston Beer Co Inc. (The) | BP PRUDHOE BAY ROYALTY TRUST |
| BofA Government Reserves | Boston Co Intl Small Cap | BPI Energy Holdings Inc |
| BofA MA Muni Reserves | Boston Communications Group Inc | BPW Acquisition Corp |
| BofA MMF Reserves | Boston Omaha Corp | BPZ Resources Inc |
| BofA Muni Reserves | Boston Partners All Cap Value | Braddock Multi-Strategy Income |
| BofA NY TxEx Reserves | Boston Partners Global Equity | BRADESCO BANCO |
| BofA Treasury Reserves | Boston Partners Global Long/Short | BRADLEY PHARMACEUTICAL - CL A |
| BofA TxEx Reserves | Boston Partners Long/Short Equity | BRADY CORP |
| Bogen Communications Intl | Boston Partners Long/Short Research | Braemar Hotels & Resorts Inc |
| Bogle Small Cap Growth | Boston Partners Small Cap Value II | Brandes International Small Cap Equity |
| Bohai Pharmaceuticals Group Inc | Boston Private Financial Holdings Inc | BRANDPARTNERS GROUP INC |
| BOIS D ARC ENERGY INC | Boston Scientific Corp | Brandywine Blue |
| Boise Cascade Co | Boston Trust Asset Management | Brandywine Realty Trust |
| Boise Inc | Boston Trust SMID Cap | BrandywineGLOBAL - Alternative Credit |
| Bojangles' Inc | Boston Trust Walden Small Cap | BrandywineGLOBAL - Corporate Credit |
| BOK Financial Corp | Bostonfed Bancorp Inc | BrandywineGLOBAL - Diversified US Large Cap Value |
| Bolt Biotherapeutics Inc | Bottomline Technologies Inc | BrandywineGLOBAL - Global Opportunities Bond |
| BOLT TECHNOLOGY CORP | Boulder Brands Inc | |
| BOMBAY CO INC | | |
| Bon Ton Stores Inc (The) | | |
| Bonanza Goldfields Corp | | |
| Bonds.com Group Inc | | |
| Bone Biologics Corp | | |

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|---------------------------------------|--|---|
| BrandywineGLOBAL - High Yield | Bridge Builder Small/Mid Cap Value | BrighthouseI American Funds Growth Allocation |
| BrandywineGLOBAL - Unconstrained Bond | Bridge Builder Tax Managed Large Cap | BrighthouseI American Funds Moderate Allocation |
| BRASIL TELECOM PARTICIPACOES | Bridge Investment Group Holdings Inc | BrighthouseI Asset Allocation 100 |
| Brasilagro Cia Bras De Prop Agricol | BRIDGE STREET FINANCIAL INC | BrighthouseI Balanced Plus |
| BRASKEM SA | BridgeBio Pharma Inc | BrighthouseI BlackRock Global Tactical Strategies |
| Brass Eagle Inc | Bridgeline Software Inc | BrighthouseI BlackRock High Yield |
| Braun Consulting Inc | Bridger Aerospace Group Holdings Inc | BrighthouseI CBRE Global Real Estate |
| Bravo Brio Restaurant Group Inc | Bridgetown Holdings Ltd | BrighthouseI Harris Oakmark International |
| BRAVO FOODS INTL CORP | Bridgewater Bancshares Inc | BrighthouseI Invesco Balanced Risk Allocation |
| BRAZAURO RESOURCES CORP | Bridgeway Aggrsv Investor I | BrighthouseI Invesco Comstock |
| Braze Inc | Bridgeway Aggrsv Investor II | BrighthouseI Invesco Global Equity |
| Brazil Fast Food Corp | Bridgeway Blue Chip | BrighthouseI Invesco Small Cap Growth |
| Brazil Fund | Bridgeway Omni Small Cap Value | BrighthouseI JPMorgan Core Bond |
| Brazil Gold Corp | Bridgeway Omni Tax-Managed Small Cap Value | BrighthouseI JPMorgan Global Active Allocation |
| Brazos Micro Cap | Bridgeway Small Cap Value | BrighthouseI JPMorgan Small Cap Value |
| Brazos Small Cap | Bridgeway Ultra Small Co Mrkt | BrighthouseI Loomis Sayles Global Allocation |
| BRE Properties Inc. | BRIDGFORD FOODS CORP | BrighthouseI Loomis Sayles Growth |
| Bread Financial Holdings Inc | Brigham Exploration Co | BrighthouseI MetLife Multi-Index Targeted Risk |
| BREAKWATER RESOURCES LTD | Brigham Minerals Inc | BrighthouseI MFS Research International |
| Breeze-Eastern Corp | Bright Horizons Family Solutions Inc | BrighthouseI Morgan Stanley Discovery |
| BreitBurn Energy Partners LP | Bright Lights Acquisition Corp | BrighthouseI PanAgora Global Diversified Risk (OLD) |
| BRESLER & REINER INC | Bright Mountain Media Inc | BrighthouseI PIMCO Inflation Protected Bond |
| BRF SA | Bright Scholar Education Holdings Ltd | |
| Bridge Builder Core Bond | Brightcove Inc | |
| Bridge Builder Core Plus Bond | Brighthouse Financial Inc | |
| Bridge Builder International Equity | BrighthouseI AB International Bond | |
| Bridge Builder Large Cap Growth | BrighthouseI AllianceBernstein Global Dynamic Allocation | |
| Bridge Builder Large Cap Value | BrighthouseI American Funds Balanced Allocation | |
| Bridge Builder Municipal Bond | BrighthouseI American Funds Growth | |
| Bridge Builder Small/Mid Cap Growth | | |

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|---|--|--|
| BrighthouseI PIMCO Total Return | BrighthouseII BlackRock Bond Income | BrighthouseII/Wellington Core Equity Opportunities |
| BrighthouseI Schrodgers Global Multi-Asset | BrighthouseII BlackRock Capital Appreciation | Brightpoint Inc |
| BrighthouseI Small Cap Value | BrighthouseII BlackRock Ultra-Short Term Bond | BrightSphere Investment Group Inc |
| BrighthouseI SSGA Growth and Income ETF | BrighthouseII Frontier Mid Cap Growth | Brightspire Capital Inc |
| BrighthouseI SSGA Growth ETF | BrighthouseII Jennison Growth | BrightView Holdings Inc |
| BrighthouseI T. Rowe Price Large Cap Value | BrighthouseII MetLife Aggregate Bond Index | Brigus Gold Corp |
| BrighthouseI T. Rowe Price Mid Cap Growth | BrighthouseII MetLife Mid Cap Stock Index | BRILLIAN CORP |
| BrighthouseI TCW Core Fixed Income | BrighthouseII MetLife MSCI EAFE Index | BRILLIANCE CHINA AUTOMOTIVE |
| BrighthouseI Victory Sycamore Mid Cap Value | BrighthouseII MetLife Russell 2000 | Brilliant Earth Group Inc |
| BrighthouseI WA Management Government Income | BrighthouseII MetLife Stock Index | Brinker International Inc. |
| BrighthouseI Wells Capital Management Mid Cap Value | BrighthouseII MFS Total Return | Brink's Co (The) |
| BrighthouseI/abrdn Emerging Markets Equity | BrighthouseII MFS Value | Brink's Home Security Holdings Inc |
| BrighthouseI/Artisan International | BrighthouseII Neuberger Berman Genesis | BRINX RESOURCES LTD |
| BrighthouseI/Eaton Vance Floating Rate | BrighthouseII T. Rowe Price Large Cap Growth | Brio Software Inc |
| BrighthouseI/Franklin Low Duration Total Return | BrighthouseII T. Rowe Price Small Cap Growth | BRISTOL WEST HOLDINGS INC |
| BrighthouseI/Templeton International Bond | BrighthouseII Van Eck Global Natural Resources | Bristol-Myers Squibb Co |
| BrighthouseI/Wellington Large Cap Research | BrighthouseII Western Asset Management Strategic Bond Opportunit | BRISTOW GROUP INC |
| BrighthouseII Asset Allocation 20 | BrighthouseII Western Asset Management US Government | Bristow Group Inc. |
| BrighthouseII Asset Allocation 40 | BrighthouseII/Artisan Mid Cap Value | BRITESMILE INC |
| BrighthouseII Asset Allocation 60 | BrighthouseII/Dimensional International Small Company | BRITISH AIRWAYS PLC |
| BrighthouseII Asset Allocation 80 | BrighthouseII/Wellington Balanced | British American Tobacco PLC |
| BrighthouseII Baillie Gifford International Stock | | British Energy Plc |
| | | British Sky Broadcasting Group PLC |
| | | Britton & Koontz Capital Corp |
| | | Brixmor Property Group Inc |
| | | BROADCAST INTERNATIONAL INC |
| | | BROADCASTER INC |
| | | Broadcom Corp |
| | | Broadcom Inc |
| | | Broadmark Realty Capital Inc |
| | | Broadridge Financial Solutions Inc |
| | | Broadview Opportunity |
| | | BROADVISION INC |
| | | Broadway Financial Corp |

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| | | |
|---|---|---------------------------------------|
| BROADWING CORP | Brown Advisory Core Intl | BUCA Inc |
| Brocade Communications Systems Inc | Brown Advisory Emerging Markets Select | BUCKEYE GP HOLDINGS LP |
| Bronco Drilling Company Inc | Brown Advisory Flexible Equity | BUCKEYE PARTNERS LP |
| Brooge Energy Ltd | Brown Advisory Global Leaders | BUCKEYE TECHNOLOGIES INC |
| Brookdale Senior Living Inc | Brown Advisory Growth Equity | BUCKEYE VENTURES INC |
| Brooke Capital Corp | Brown Advisory Intrmd Income | Buckle Inc. (The) |
| Brookfield Asset Management Ltd | Brown Advisory Small Cap Fundamental Value | Bucyrus International Inc |
| Brookfield Business Corp | Brown Advisory Small Cap Gro | Buffalo Discovery |
| Brookfield Business Partners LP | Brown Advisory Sustainable Growth | Buffalo Flexible Income |
| Brookfield Canada Office Properties | Brown Advisory Tax Exempt Bond | BUFFALO GOLD LTD |
| Brookfield Corp | Brown Advisory WMC Japan Alpha Opportunities | Buffalo Growth |
| Brookfield Global Listed Real Estate | Brown Advisory WMC Strategic European Equity | Buffalo Hi Yield |
| Brookfield Infrastructure Corp | Brown Capital Management International Small Company | Buffalo International |
| Brookfield Infrastructure Partners LP | Brown Capital Management Small Company | Buffalo Mid Cap |
| Brookfield Office Properties Inc | Brown-Forman Corp | Buffalo Small Cap |
| Brookfield Property Partners LP | Brp Group Inc | Buffalo Wild Wings Inc |
| Brookfield Property REIT Inc. | Brt apartments corp | BUHRMANN NV |
| Brookfield Real Assets Income Fund Inc | Bruce Fund | Build Acquisition Corp |
| Brookfield Reinsurance Ltd | Bruker Corporation | Build-A-Bear Workshop Inc |
| Brookfield Renewable Corp | BRUNSWICK BANCORP | Builders FirstSource Inc |
| Brookfield Renewable Partners LP | Brunswick Corp | Builders Fixed Income |
| Brookfield Residential Properties Inc | Bryn Mawr Bank Corp | Building Materials Holding Corp |
| Brookline Bancorp Inc | Bsb Bancorp | Bull Run Corp |
| Brooklyn Federal Bancorp Inc | BSB Bancorp Inc | BULLDOG TECHNOLOGIES INC |
| BROOKLYN | BSD Medical Corp | BULLION RIVER GOLD CORP |
| IMMUNOTHERAPEUTICS INC | Bsp Onelink Inc | Bulova Corp |
| BROOKSTONE INC | BSQUARE Corp | Bumble Inc |
| Brooktrout Inc | BT Group PLC | Bunge Ltd |
| Brown & Brown Inc | BTRS Holdings Inc | Bunzl PLC |
| Brown (Tom) Inc | BTS Tactical Fixed Income | Burcon NutraScience Corp |
| Brown Advisory Beutel | BTU International Inc | Burford Capital Ltd |
| Goodman Large-Cap Value | | Burgerfi International Inc |
| | | BURLINGTON COAT FACTORY WRHS |
| | | BURLINGTON NORTHERN SANTA FE, LLC. |
| | | BURLINGTON RESOURCES INC |
| | | Burlington Stores Inc |
| | | Burnham US Govt MM |
| | | Burning Rock Biotech Limited |

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| | | |
|-----------------------------------|---|---|
| BURZYNSKI RESEARCH INSTITUTE | Cadence Pharmaceuticals Inc | Calamos Strategic Total Return Fund |
| Bush Industries -CI A | CADIZ INC | CalAmp Corp |
| Business First Bancshares Inc | CADMUS COMMUNICATIONS CORP | CalAtlantic Group Inc |
| BUSINESS OBJECTS SA | Cadre Holdings Inc | Calavo Growers Inc |
| BUTLER INTERNATIONAL INC | Cadre Reserve US Govt MM | CALCITECH LTD |
| Butler Mfg Co | CAE Inc. | Caldwell & Orkin Market Opport |
| Butterfly Network Inc | Caesars Acquisition Co | Caledonia Mining Corp Plc |
| BuzzFeed Inc | CAESARS ENTERTAINMENT INC | Caleres Inc |
| BWAY Holding Co | Caesarstone Ltd | Calgon Carbon Corp |
| BWC FINANCIAL CORP | CafePress Inc | California Bancorp |
| BWX Technologies Inc | Cagle's Inc. | California Coastal Communities Inc |
| Byline Bancorp Inc | CAI International Inc | California First National Bancorp |
| BYND Cannasoft Enterprises Inc | Cal Dive International Inc | California Independent Bncp |
| Byrna Technologies Inc | Cal Maine Foods Inc | California Micro Devices Corp |
| C&D TECHNOLOGIES INC | Caladrius Biosciences Inc | CALIFORNIA OIL & GAS CORP |
| C&F FINANCIAL CORP | Calamos Asset Management Inc | CALIFORNIA PIZZA KITCHEN INC |
| C&J Energy Services Inc | Calamos Convertible | California Resources Corp |
| C.H. Robinson Worldwide Inc. | Calamos Convertible and High Income Fund | California Water Service Group |
| C1 Financial Inc | Calamos Convertible Opportunities and Income Fund | CALIPER LIFE SCIENCES INC |
| C2 Inc | Calamos Dynamic | Calithera Biosciences Inc |
| C3.ai Inc | Convertible & Income Fund | Calix Inc |
| C4 Therapeutics Inc | Calamos Evolving World Growth | CALL NOW INC |
| CA Daily Tax Free | Calamos Global Dynamic Income Fund | Calliditas Therapeutics AB |
| CA INC | Calamos Global Growth & Income | CALLISTO |
| CA TxEx Money | Calamos Government MM | PHARMACEUTICALS INC |
| Cabaletta Bio Inc | Calamos Growth | CALL-NET ENTERPRISES -CL B |
| Cabana Target Drawdown 10 ETF | Calamos Growth & Income Fund | Callon Petroleum Co/DE |
| Cabela's Inc | Calamos Hedged Equity | CallWave Inc |
| CABG MEDICAL INC | Calamos High Yield | Calpine Corp |
| CABLE & WIRELESS PLC | Calamos International | Calumet Specialty Products Partners LP |
| Cable Design Tech Cp -CI A | Growth | Calvert Balanced |
| Cable One Inc | Calamos Market Neutral Income | Calvert Bond |
| Cabot Corp | Calamos Phineus Long/Short | Calvert Cash Reserves Prime |
| Cache Inc | | Calvert Emerging Markets Equity |
| CACI International Inc | | Calvert Equity |
| Cactus Inc | | Calvert First Govt MM |
| Cadbury PLC | | |
| Cadence Bancorporation | | |
| Cadence Design Systems Inc | | |
| Cadence Financial Corp | | |

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|--|------------------------------------|--|
| Calvert Global Water | Cameron International Corp | Canterbury Park Holding Corp |
| Calvert Green Bond | CAMPBELL RESOURCES INC | CANTV-CA NAC TELEFONOS VENEZ |
| Calvert Income | CAMPBELL SOUP CO | Canwest Global Com -Nvtg |
| Calvert International Equity | Camping World Holdings Inc | CANYON BANCORP |
| Calvert International Responsible Index | Campus Crest Communities Inc | CANYON RESOURCES CORP |
| Calvert Large Cap Growth | Camtek Ltd | CAP ROCK ENERGY CORP |
| Calvert New Vision Small Cap | Canaan Inc | CAPCO ENERGY INC |
| Calvert Short Dura Income | Canada Goose Holdings Inc | Cape Bancorp Inc |
| Calvert Small Cap | CANADA SOUTHERN PETROLEUM | Cape Fear Bank Corp |
| Calvert TxFr Reserves CA | Canadian Imperial Bank of Commerce | Capella Education Co |
| Calvert TxFr Reserves Ltd | Canadian National Railway Co | CAPITAL AUTOMOTIVE REIT |
| Calvert TxFr Reserves MM | Canadian Natural Resources Ltd | Capital Bank Corp |
| Calvert Ultra Short Duration Income | Canadian Pacific Kansas City Ltd | Capital Bank Financial Corp |
| Calvert US Large Cap Core Responsible Index | Canadian Solar Inc | Capital City Bank Group |
| Calvert US Large Cap Value Responsible Index | Canadian Zinc Corp | CAPITAL GOLD CORP |
| Calvert VP S&P 500 Index | CanAlaska Uranium Ltd | Capital Group CA Core Municipal |
| Calvert VP S&P MidCap 400 Index | CANANDAIGUA NATIONAL CORP | Capital Group Core Bond |
| Calvert VP SRI Balanced | CANARC RESOURCE CORP | Capital Group Core Municipal |
| CALYPTE BIOMEDICAL CORP | Canargo Energy Corp | Capital Group Dividend Value ETF |
| Calyxt Inc | CANCERVAX CORP | Capital Group Growth ETF |
| CAM Commerce Solutions Inc | Candel Therapeutics Inc | Capital Growth Systems Inc |
| Cambiar Intl Equity | CANDELA CORP | Capital Income Builder Inc |
| Cambiar Opportunity | CANETIC RESOURCES TRUST | Capital One Financial Corp. |
| Cambiar Small Cap | Cango Inc | Capital Pac Hldgs Inc |
| CAMBIOR INC | Cannabics Pharmaceuticals Inc | Capital Product Partners LP |
| Cambium Learning Group Inc | Cannabis Therapy Corp | Capital Properties Inc. |
| Cambium Networks Corp | Canna Holdings Inc | Capital Southwest Corp |
| Cambrex Corp | Cano Health, Inc | CAPITAL TITLE GROUP INC |
| CAMBRIDGE ANTIBODY TECH GRP | CANO PETROLEUM INC | Capital World Bond Fund Inc |
| Cambridge Bancorp | Canon Inc | Capital World Growth & Income Fund Inc |
| CAMBRIDGE DISPLAY TECH | Canoo Inc | CapitalSource Inc. |
| Cambridge Heart Inc | Canopy Growth Corp | CAPITALSOUTH BANCORP |
| CAMCO FINANCIAL CORP | Canplats Resources Corp | Capitol Acquisition Corp |
| Camden Learning Corp | Cantaloupe Inc | Capitol Acquisition Corp III |
| Camden National Corp | Canter Medical Corp | Capitol Bancorp Ltd |
| Camden Property Trust | | Capitol Federal Financial Inc |
| Cameco Corp | | CapLease Inc |
| | | Capri Holdings Ltd |
| | | Capricor Therapeutics Inc |

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| | | |
|---|---|---|
| CAPSOURCE FINANCIAL INC | CareFusion Corp | CARREKER CORP |
| CapStar Financial Holdings Inc | CAREGUIDE INC | Carriage Services Inc |
| Capstead Mortgage Corp | CAREMARK RX INC | CARRIER ACCESS CORP |
| Capstone Financial Group Inc | CareTrust REIT Inc | Carrier Global Corp |
| Capstone Therapeutics Corp | CareView Communications Inc | CARRINGTON LABORATORIES INC |
| Captaris Inc | Carey Watermark Investors Inc | Carrizo Oil & Gas Inc |
| CAPTIVA SOFTWARE CORP | CarGurus Inc | Carrollton Bancorp |
| Cara Therapeutics Inc | Caribou Bioscience Inc | CARROLS RESTAURANT GROUP INC |
| Caraco Pharmaceuticals Laboratories LTD | Caribou Coffee Company Inc | Cars.com Inc |
| Caraustar Industries Inc | Carillon ClariVest Capital Appreciation | Carter Bankshares Inc |
| CARBO Ceramics Inc. | Carillon Eagle Growth & Income | Carter's Inc. |
| Carbon Black Inc | Carillon Eagle Mid Cap Growth | Cartesian Growth Corporation II |
| Carbon Energy Corp | Carillon Eagle Small Cap Growth | Carvana Co |
| Carbon Natural Gas Co | Carillon Reams Core Bond | Carver Bancorp Inc. |
| Carbon Sciences Inc | Carillon Reams Core Plus Bond | CAS Medical Systems Inc |
| Carbonite Inc | Carillon Reams Unconstrained Bond | Casa Systems Inc |
| CardConnect Corp | Carillon Scout International | Cascade Bancorp |
| Cardero Resource Corp | Carillon Scout Mid Cap | Cascade Corp |
| Cardiac Science Corp | Carisma Therapeutics Inc | Cascade Financial Corp |
| Cardiac Science Inc | Carlisle Cos Inc | Cascade Microtech Inc |
| Cardica Inc | Carlyle Group Inc (The) | CASCADE NATURAL GAS CORP |
| Cardiff Oncology Inc | Carlyle Secured Lending Inc | Cascadia Acquisition Corp |
| CARDINAL BANKSHARES CORP | Carlyle Tactical Private Credit | Cascadian Therapeutics Inc |
| Cardinal Ethanol LLC | CarMax Inc | Cascal NV |
| Cardinal Financial Corp | Carmike Cinemas Inc | Casella Waste Systems Inc |
| CARDINAL HEALTH INC | Carney Technology Acquisition Corp II | Casey's General Stores Inc. |
| Cardinal Resources Inc | Carnival Corporation & Plc | Cash Accumulation Liq Assets |
| CardioDynamics International Corp | Carnival PLC | Cash Accumulation Natl MM |
| CARDIOGENESIS CORP | Carolina Bank Holdings Inc | Cash America International Inc. |
| CardioGenics Holdings Inc | Carolina Financial Corp | Cash Management Trust |
| CardioTech International Inc. | CAROLINA NATIONAL CORP | Cash Reserve Treasury |
| CardioVascular BioTherapeutics Inc | CarParts.com Inc | Cash Reserve TxFr Series |
| Cardiovascular Systems Inc | CARPENTER TECHNOLOGY CORP | Cash Store Financial Services Inc (The) |
| Cardium Therapeutics Inc | CARRAMERICA REALTY CORP | CASH SYSTEMS INC |
| Cardtronics plc | | CASI Pharmaceuticals Inc |
| Care Capital Properties Inc | | CASPIAN INTL OIL CORP |
| Care.com Inc | | CASPIAN SERVICES INC |
| CareCloud Inc | | Cass Information Systems Inc |
| CareDx Inc | | |

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|-------------------------------|------------------------------|------------------------------|
| Cassava Sciences Inc | Catholic Responsible | CDC Corp |
| Castle (A M) & Co | Investments Multi-Style US | CDEX INC |
| Castle Biosciences Inc | Equity | CDI Corp. |
| Castle Brands Inc | Catholic Responsible | CDK Global Inc |
| CASTLE ENERGY CORP | Investments Short Duration | CDW Corp |
| Castlepoint Holdings Ltd | Bond | CE Franklin Ltd. |
| Castlight Health Inc | Cato Corp (The) | CEB Inc |
| Castor Maritime Inc | Causeway Emerging Markets | CEC Entertainment Inc. |
| CAT Money Market | Causeway Intl Value | CECIL BANCORP INC |
| Catalent Inc | Cavalier Homes Inc | CECO Environmental Corp. |
| CATALINA LIGHTING INC | CAVALRY BANCORP INC | Cedar Fair LP |
| CATALINA MARKETING CORP | Cavanal Hill Government | Cedar Realty Trust Inc |
| CATALYST BIOSCIENCES, INC. | Securities Money Market | CEDARA SOFTWARE CORP |
| Catalyst Enhanced Income | Cavanal Hill TxFr MM | CEF Govt & Agency Securities |
| Strategy | Cavanal Hill US Treasury | CEF Money Market |
| Catalyst Health Solutions Inc | Cavco Industries Inc | Celadon Group Inc |
| Catalyst Hedged Futures | Cavitation Technology Inc | CELANESE AG |
| Strategy | Cavium Inc | Celator Pharmaceuticals Inc |
| Catalyst Pharmaceutical Inc | Cb Bancshares Inc | Celcuity Inc |
| CATALYST SEMICONDUCTOR | CB Financial Services Inc | CELEBRATE EXPRESS INC |
| INC | CBA Money | Celera Corp |
| Catalyst/Millburn Hedge | cbdMD Inc | CELERITEK INC |
| Strategy | Cbeyond Inc | Celestica Inc |
| CATALYTICA ENERGY SYS INC | CBIZ Inc | CELGENE CORP |
| Catamaran Corp | CBL & Associates Properties | CELL GENESYS INC |
| Catapult Communications | Inc. | Celldex Therapeutics Inc |
| Corp | Cboe Global Markets Inc | Cellebrite DI Ltd |
| CatchMark Timber Trust Inc | CBOT HOLDINGS INC | Cellectis SA |
| CATELLUS DEVELOPMENT | CBRE Clarion Long/Short | CELLEGY PHARMACEUTICALS |
| CORP | CBRE Global Real Estate | INC |
| Caterpillar Inc | Income Fund | Celltech Group Plc |
| Cathay General Bancorp | CBRE Group Inc | Cellular Biomedicine Group |
| Catholic Responsible | CBRE REALTY FINANCE INC | Inc |
| Investments Bond | CC Neuberger Principal | Cellular Dynamics |
| Catholic Responsible | Holdings III | International Inc |
| Investments Equity Index | CCA Industries Inc. | CEL-SCI CORP |
| Catholic Responsible | Ccbt Financial Companies Inc | Celsion Corp |
| Investments International | CCC INFORMATION SVCS | Celsius Holdings Inc |
| Equity | GRP INC | Cemex SAB de CV |
| Catholic Responsible | CCC Intelligent Solutions | Cenntro Electric Group |
| Investments Magnus 60/40 | Holdings Inc | Limited |
| Alpha Plus | CCF Holding Company | Genovus Energy Inc |
| | CCFNB Bancorp Inc | Centene Corp |
| | C-COR INC | |

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|---|-------------------------------------|--------------------------------|
| Centennial Communications Corp | Central Valley Community Bancorp | CFC INTERNATIONAL INC |
| Centennial Government Trust | Central Vermont Public Service Corp | CFS Bancorp Inc |
| Centennial Money Market Trust | Central Virginia Bankshares Inc | CG Cap Mkts Large Cap Val Eqty |
| Centennial TxEx Trust | Centrespace | CG Cap Mkts Small Cap Val Eqty |
| Center Coast Brookfield Midstream Focus | Centrue Financial Corp | CGI Inc |
| Center Financial Corp | Centrus Energy Corp | CGM Advisor Targeted Equity |
| Centerline Holding Co | Century Aluminum Co | CGM Capital Development |
| CenterPoint Energy Inc. | Century BanCorp Inc | CGM Focus |
| CENTERPOINT PROPERTIES TRUST | Century Casinos Inc | CGM Mutual |
| Centerra Gold Inc | Century Communities Inc | CGM Realty |
| CenterState Bank Corp | Century Petroleum Corp | CH Energy Group Inc. |
| Centessa Pharmaceuticals Ltd | CENTURY REALTY TRUST | CHAD THERAPEUTICS INC |
| Centex Corp. | Century Shares Trust | Chain Bridge I |
| Centillium Communications Inc | Century Small Cap Select | Challenger Energy Corp |
| Centogene NV | Century Therapeutics Inc | Chalone Wine Group Ltd |
| CENTRA SOFTWARE INC | Cenveo Inc. | Champion Enterprises Inc. |
| CENTRACORE PPTYS TRUST | Cephalon Inc | CHAMPION INDUSTRIES INC |
| Centrais Eletricas Brasileiras Sa-Eletronbras | Cepheid Inc | Champlain Mid Cap |
| CENTRAL BANCORP INC/MA | Cepton Inc | Champlain Small Company |
| CENTRAL COAST BANCORP | Ceradyne Inc | Championship Auto Racing Team |
| Central Europe and Russia Fund Inc | Ceragenix Pharmaceuticals Inc | CHAMPPS ENTMT INC |
| Central European Distribution Corp | Cerberus Telecom Acquisition Corp | Changyou.com Ltd |
| Central European Media Enterprises Ltd | Cerence Inc | ChannelAdvisor Corp |
| CENTRAL FREIGHT LINES INC | CEREPLAST INC | Channell Commercial Corp |
| CENTRAL FUND CANADA -CL A | CERES GROUP INC | Chaparral Energy Inc |
| Central Garden & Pet Co | Ceres Inc | CHAPARRAL RESOURCES INC |
| Central GoldTrust | Cerevel Therapeutics Holdings Inc | CHAPARRAL STEEL CO |
| Central Jersey Bancorp | CERIDIAN CORP | CHAPEAU INC |
| Central Pacific Financial Corp. | Ceridian HCM Holding Inc | Charah Solutions Inc |
| CENTRAL PARKING CORP | Cerner Corp | Charge Enterprises Inc |
| Central Securities Corp | Certara Inc | ChargePoint Holdings, Inc |
| Central Sun Mining Inc | Certegy Inc | Charles Holdings Inc |
| | Cerulean Pharma Inc | Charlotte Russe Holding Inc |
| | Cerus Corp | Charlotte's Web Holdings Inc |
| | Ceva Inc | Charm Communications Inc |
| | CF Bankshares Inc | Charming Shoppes Inc |
| | Cf Finance Acquisition Corp | Chart Acquisition Corp |
| | CF Industries Holdings Inc | Charter Communications Inc |
| | | Charter Financial Corp/MD |
| | | Charter One Finl Inc |

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|---|---|--|
| Chartered Semiconductor Manufacturing Ltd | Chenghe Acquisition Co | China Digital Animation Development Inc |
| Chartwell Income | Cheniere Energy Inc. | CHINA DIGITAL MEDIA CORP |
| CHARTWELL INTL INC | Cheniere Energy Partners LP | China Digital TV Holding Co Ltd |
| CHARYS HOLDING CO INC | Cheniere Energy Partners LP Holdings LLC | China Direct Industries Inc |
| Chase Corp | Cherokee Inc | China Distance Education Holdings Ltd |
| Chase Growth | Cherokee International Corp | China Dongsheng International Inc |
| Chateau Communities Inc | Cherry Hill Mortgage Investment Corp | China Eastern Airlines Corp Ltd |
| Chatham Lodging Trust | Chesapeake Corp. | China Education Alliance Inc |
| CHATTEM INC | Chesapeake Granite Wash Trust | China Energy Recovery Inc |
| CHAUS (BERNARD) INC | Chesapeake Lodging Trust | China Environmental Protection Inc |
| Chavant Capital Acquisition Corp | Chesapeake Utilities Corp. | China Executive Education Corp |
| CHC Group Ltd | CHESTER VY BANCORP INC | CHINA FINANCE INC |
| CHDT Corp | Chesterfield Finl Corp | China Finance Online Co Ltd |
| Check Point Software Technologies Ltd | Cheviot Financial Corp | China Fire & Security Group Inc |
| Check-Cap Ltd | CHEVRON CORP | China Fund Inc. (The) |
| CHECKERS DRIVE-IN RESTAURANT | Cheyenne Resources Inc | China GengSheng Minerals Inc |
| CHECKFREE CORP | Chicago Atlantic Real Estate Finance Inc | China Gerui Advanced Materials Group Ltd |
| Checkmate Pharmaceuticals Inc | CHICAGO RIVET & MACHINE CO | China Green Agriculture Inc |
| Checkpoint Systems Inc | Chicken Soup for the Soul Entertainment Inc | China GrenTech Corp Ltd |
| Checkpoint Therapeutics Inc | Chicopee Bancorp Inc | China Healthcare Acquisition Corp |
| Cheesecake Factory Inc. (The) | Chico's FAS Inc. | China Housing & Land Development Inc |
| Cheetah Mobile Inc | Childrens Place Inc (The) | China Hydroelectric Corp |
| Chefs' Warehouse Inc (The) | Chimera Investment Corp | China Index Holdings Limited |
| Chegg Inc | China 3C Group | China Industrial Steel Inc |
| Chelsea Property Group Inc | China Agritech Inc | China Industrial Waste Management Inc |
| Chelsea Therapeutics International Ltd | China Armco Metals Inc | China Information Technology Inc |
| Chem RX Corp | China Auto Logistics Inc | China Infrastructure Construction Corp |
| Chembio Diagnostics Inc. | China Biologic Products Holdings Inc | China Infrastructure Investment Corp |
| Chemed Corp | China Botanic Pharmaceutical Inc | |
| ChemoCentryx Inc | China Bottles Inc | |
| CHEMOKINE THERAPEUTICS CORP | China Cablecom Holdings Ltd | |
| Chemomab Therapeutics Ltd | China Ceramics Co Ltd | |
| Chemours Co (The) | China CGame Inc | |
| Chemspec International Ltd | China Clean Energy Inc | |
| Chemtura Corp | China Customer Relations Centers Inc | |
| Chemung Financial Corp | | |

Public Company Accounting Oversight Board
Issuers With No Outstanding Past-Due
Share as of January 15, 2024

| | | |
|--------------------------------|-------------------------------|-------------------------------|
| China INSONline Corp | China Organic Agriculture Inc | China United Insurance |
| China Integrated Energy Inc | China Petroleum & Chemical | Service Inc |
| China Intelligent Lighting and | Corp Sinopec | China Valves Technology Inc |
| Electronics Inc | China Pharma Holdings Inc | China Voice Holding Corp |
| CHINA IVY SCHOOL INC | China Precision Steel Inc | China VoIP & Digital Telecom |
| China Jianye Fuel Inc | China Real Estate | Inc |
| China Jo-Jo Drugstores Inc | Information Corp | China Water and Drinks Inc |
| China Kanghui Holdings | China Recycling Energy Corp | China Wind Systems Inc |
| China Kangtai Cactus Biotech | China Ritar Power Corp | China XD Plastics Co Ltd |
| Inc | China Security & Surveillance | China Yida Holding Co |
| China Lending Corp | Technology Inc | China Yili Petroleum Co |
| China Life Insurance Co Ltd | China Shandong Industries | China Yuchai International |
| China Lithium Technologies | Inc | Ltd |
| Inc | China Shen Zhou Mining & | China-Biotics Inc |
| China Marine Food Group | Resources Inc | ChinaCache International |
| Ltd | China Shengda Packaging | Holdings Ltd |
| China Mass Media Corp | Group Inc | ChinaEdu CORP |
| China MediaExpress Holdings | China Shenghuo | ChinaGrowth North |
| Inc | Pharmaceutical Holdings Inc | Acquisition Corp |
| China Medicine Corp | China Shoe Holdings Inc | Chindata Group Holdings Ltd |
| CHINA METRO-RURAL | China ShouGuan Mining Corp | Chindex International Inc |
| HOLDINGS LTD | China Sky One Medical Inc | CHINOOK THERAPEUTICS, |
| China Ming Yang Wind | China Solar & Clean Energy | INC. |
| Power Group Ltd | Solutions Inc | ChipMOS TECHNOLOGIES |
| China Mobile Games and | China Southern Airlines Co | (Bermuda) Ltd |
| Entertainment Group Ltd | Ltd | Chipmos Technologies Inc |
| China Mobile Ltd | China Sun Group High-Tech | Chipotle Mexican Grill Inc |
| China Natural Gas Inc | Co | Chippac Inc |
| China Natural Resources Inc | China Sunergy Co Ltd | Chiquita Brands International |
| China Nepstar Chain | China SXT Pharmaceuticals | Inc |
| Drugstore Ltd | Inc | CHIRON CORP |
| CHINA NETCOM GROUP | China Techfaith Wireless | Chisen Electric Corp |
| CORP | Communication Technology | CHITTENDEN CORP |
| China Networks International | Ltd | Choice Hotels International |
| Holdings Ltd | China Technology | Inc. |
| China New Borun Corp | Development Group CP | Choice One Communication |
| China New Energy Group Co | China Tel Group Inc | Inc |
| China North East Petroleum | China Telecom Corp Ltd | Choicene Financial Services |
| Holdings Ltd | China TopReach Inc | Inc |
| China Nuokang Bio- | China TransInfo Technology | CHOICEPOINT INC |
| Pharmaceutical Inc | Corp | CHOLESTECH CORP |
| China Nutrifruit Group Ltd | China Unicom (Hong Kong) | CHORDIANT SOFTWARE INC |
| China Opportunity | Ltd | Christopher & Banks Corp. |
| Acquisition Corp | | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
 Share as of January 15, 2024

| | | |
|-------------------------------|-------------------------------------|---|
| CHROMCRAFT REVINGTON INC | CION Investment Corp | CLARCOR Inc. |
| CHRONIMED INC | Cipher Mining Inc | CLARIENT INC |
| Chubb Corp | Cipher Pharmaceuticals Inc | Clarim Acquisition Corp |
| Chubb Ltd | CIRCUIT CITY STORES INC | Clarivate Plc |
| Chunghwa Telecom Co Ltd | Cirrus Logic Inc. | Clark Holdings Inc |
| Church & Dwight Co Inc | Cisco Systems Inc | CLARK INC |
| Churchill Capital Corp | Cision Ltd | Clarkston Founders |
| Churchill Capital Corp V | CISO Global Inc | Clarkston Partners |
| Churchill Capital Corp VI | CIT Group Inc | Claros Mortgage Trust Inc |
| Churchill Capital Corp VII | Citadel Broadcasting Corp. | Clarus Corp |
| Churchill Downs Inc | Citi CA TxFr Reserve | CLASSIC BANCSHARES INC |
| Churchill TxFr Kentucky | Citi CT TxFr Reserves | Claude Resources Inc |
| Churchill Ventures Ltd | Citi Trends Inc | Claxson Interactive Group |
| Chuy's Holdings Inc | CITIGROUP INC | CLAYMONT STEEL HLDGS INC |
| CHYRON CORP | Citius Pharmaceuticals Inc | Clayton Holdings Inc |
| CI&T Inc | Citizens & Northern Corp | Clayton Homes Inc |
| CIA ENERGETICA DE MINAS | Citizens Bancorp of Virginia Inc | Clayton Williams Energy Inc |
| Cian PLC | Citizens Community Bancorp Inc | Clean Coal Technologies Inc |
| CIB Marine Bancshares Inc | Citizens Core Growth | Clean Diesel Technologies Inc |
| CIBA SPECIALTY CHEMICALS | Citizens Financial Corp/WV | Clean Earth Acquisitions Corp |
| CIBC Atlas Disciplined Equity | Citizens Financial Group Inc | Clean Energy Fuels Corp |
| CIBC Atlas Income | Citizens Financial Services Inc | Clean Energy Technologies Inc |
| Opportunities | Citizens First Bancorp Inc | Clean Harbors Inc |
| CIBC Atlas Mid Cap Equity | Citizens First Corp | Clean Power Technologies Inc |
| CIBER Inc. | CITIZENS FIRST FINL CORP | Clean Systems Technology Gp |
| CIBT Education Group Inc | CITIZENS HOLDING CO | CleanSpark Inc |
| Cidara Therapeutics Inc | Citizens Inc. | Cleantech Biofuels Inc |
| CIFC LLC | Citizens Republic Bancorp Inc | Clear Channel Outdoor Holdings Inc |
| CIG Wireless Corp | Citizens South Banking Corp | CLEAR CHOICE FINANCIAL INC |
| CIGMA METALS CORP | Citrix Systems Inc | Clear Secure Inc |
| Cigna Money Market | City Holding Co | Clear Skies Solar Inc |
| CIGNA Variable Money | City National Corp | CLEARANT INC |
| Market | City Office REIT Inc | ClearBridge Aggressive Growth |
| Cima Labs Inc | Civeo Corp | ClearBridge All Cap Value |
| Cimarex Energy Co. | Civista Bancshares Inc | ClearBridge American Energy MLP Fund Inc |
| Cimpress PLC | CIVITAS BANKGROUP INC | ClearBridge Appreciation |
| CINCINNATI BELL INC | Civitas Resources Inc | |
| Cincinnati Financial Corp | Civitas Solutions Inc | |
| Cinemark Holdings Inc | CKE Restaurants Inc. | |
| Cinergy Corp | CKX Inc. | |
| CINRAM INTL INCOME FUND | CKX LANDS INC | |
| CINTAS CORP | CLAIRES STORES INC | |
| CINTEL CORP | | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
 Share as of January 15, 2024

| | | |
|---|---|--|
| ClearBridge Dividend Strategy | Clementia Pharmaceuticals Inc | CNB Corp |
| ClearBridge Energy Midstream Opportunity Fund Inc | Clene Inc | CNB FINANCIAL CORP MASS |
| ClearBridge Energy MLP Fund Inc | Cleveland-Cliffs Inc | CNB Financial Corp/PA |
| ClearBridge Energy MLP Total Return Fund Inc | Clever Leaves Holdings Inc | Cnb Florida Bancshares Inc |
| ClearBridge Large Cap Growth | CLICK COMMERCE INC | CNC Development Ltd |
| ClearBridge Large Cap Value | Cliffwater Corporate Lending | CNET Networks Inc |
| ClearBridge Mid Cap | Cliffwater Enhanced Lending | CNH Global NV |
| ClearBridge Select | Clifton Bancorp Inc | CNH Industrial N.V. |
| ClearBridge Small Cap Growth | Climate Real Impact Solutions II Acquisition Corp | CNL Lifestyle Properties Inc |
| ClearBridge Tactical Dividend Income | ClimateRock | CNO Financial Group Inc |
| ClearBridge Var Aggressive Growth | Climb Global Solutions Inc | Cnooc Ltd |
| ClearBridge Var All Cap Value | CLINICAL DATA INC | Cnova NV |
| ClearBridge Var Appreciation | Clipper Fund | CNR California Tax Exempt Money Market |
| ClearBridge Var Dividend Strategy | Clipper Realty Inc | CNR Prime Money Market |
| ClearBridge Var Small Cap Growth | Cloopen Group Holding Ltd | CNS INC |
| Clearfield Inc | Clorox Co (The) | CNS Response, Inc. |
| Clear-Lite Holdings Inc | CLOSURE MEDICAL CORP | CNX Gas Corp |
| Clearly Canadian Beverage Corp | Cloudera Inc | CNX Midstream Partners LP |
| ClearOne Inc | Cloudflare Inc | CNX Resources Corp |
| ClearPoint Business Resources Inc | Clough Global Equity Fund | Coachmen Industries Inc. |
| ClearPoint Neuro Inc | Clough Global Opportunities Fund | Coast Distribution System Inc. |
| Clearside Biomedical Inc | Clover Leaf Capital Corp | COAST FINANCIAL HOLDINGS INC |
| ClearSign Technologies Corp | Clovis Oncology Inc | Coastal Bancorp Inc |
| Cleartronic Inc | CLPS Inc | COASTAL BANKING CO INC |
| Clearwater Analytics Holdings Inc | CLST Holdings Inc | Coastal Financial Corp |
| Clearwater Paper Corp | ClubCorp Holdings Inc | COASTAL FINANCIAL CORP/DE |
| Clearway Energy Inc | CLYVIA INC | Coastway Bancorp Inc |
| Clearwire Corp | CM Advisers | COATES INTERNATIONAL LTD |
| Cleco Corp | CMA FL Muni | Cobalt Corp |
| | CMA MA Muni | Cobalt International Energy Inc |
| | CMA MI Muni | CoBiz Financial Inc |
| | CMA NC Muni | Cobra Electronics Corp |
| | CMA PA Muni | Coca Cola Consolidated Inc |
| | CMC Materials Inc | Coca-Cola Co (The) |
| | CMG High Yield Bond | Coca-Cola Europacific Partners PLC |
| | CMG Small Cap | Coca-Cola HBC AG |
| | CMG Strategic Equity | Cocrystal Pharma Inc |
| | CMS Energy Corp | Cocrystal Pharma, Inc. |
| | CNA FINANCIAL CORP | |
| | CNA SURETY CORP | |
| | CNB BANCORP INC/NY | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|--|--|-----------------------------------|
| Coda Octopus Group Inc | Cohen & Steers International Realty | Cole Credit Property Trust IV Inc |
| Coda Octopus Group, Inc. | Cohen & Steers Low Duration Preferred & Income | Cole National Corp |
| Codexis Inc | Cohen & Steers Ltd Duration Preferred and Income Fund Inc | Coleman Cable Inc |
| Co-Diagnostic Inc | Cohen & Steers MLP Income and Energy Opportunity Fund Inc | COLES MYER LTD |
| Codiak BioSciences Inc | Cohen & Steers Preferred Securities and Income | COLEY PHARMACEUTICAL GROUP |
| Codorus Valley Bancorp Inc | Cohen & Steers Premium Income Realty Fund Inc | COLGATE-PALMOLIVE CO |
| Coeptis Therapeutics Holdings Inc | Cohen & Steers Quality Income Realty Fund Inc. | Colicity Inc |
| Coeptis Therapeutics Inc | Cohen & Steers Real Assets | CollaGenex Pharmaceuticals Inc |
| Coeur Mining Inc | Cohen & Steers Real Estate Securities | Collective Brands Inc |
| COFFEE HOLDING CO INC | Cohen & Steers Realty Shares | Collectors Universe Inc |
| Cogdell Spencer Inc | Cohen & Steers REIT and Preferred and Income Fund Inc | COLLEGIATE FUNDING SERVICES |
| Cogent Biosciences, Inc. | Cohen & Steers REIT and Utility Income Fund Inc. | Collegium Pharmaceutical Inc |
| Cogent Communications Holdings Inc | Cohen & Steers Select Preferred and Income Fund Inc | Colliers International Group Inc |
| Cogent Inc | Cohen & Steers Tax-Advantaged Preferred Securities and Income Fu | COLLINS & AIKMAN CORP |
| Cogentix Medical Inc | Cohen & Steers Worldwide Realty Income Fund Inc | Collins Industries Inc |
| Cognex Corporation | Coherent Corp | CollPlant Biotechnologies Ltd |
| Cognition Therapeutics Inc | Coherent Inc | Colombia Goldfield Ltd |
| Cognizant Technology Solutions Corp | Coherus BioSciences Inc | Colombier Acquisition Corp |
| COGNOS INC | COHESANT TECHNOLOGIES INC | Colonial Financial Services Inc |
| Cogo Group Inc | Coho Relative Value Equity | Colonial Properties Trust |
| Cohbar Inc | Cohu Inc | Colony Bankcorp Inc |
| Cohen & Steers Advantage Income Realty Fund | COINBASE GLOBAL INC | Colony Capital Inc |
| Cohen & Steers Asia Pacific Realty | COINMACH SERVICE CORP | Colony Starwood Homes |
| Cohen & Steers Closed-End Opportunity Fund Inc | COLD SPRING CAPITAL INC | COLOR KINETICS INC |
| Cohen & Steers Dividend Majors Fund | Coldwater Creek Inc | Colorado Bond Shares |
| Cohen & Steers Global Income Builder Inc | | Colorado Goldfields Inc |
| Cohen & Steers Global Infrastructure | | Colorado Medtech Inc |
| Cohen & Steers Global Realty Shares | | COLT TELECOM GROUP SA |
| Cohen & Steers Inc | | CoLucid Pharmaceuticals Inc |
| Cohen & Steers Infrastructure Fund Inc | | Columbia Bancorp |
| Cohen & Steers Instl Global Realty Shares | | Columbia Acorn Emerging Markets |
| Cohen & Steers Instl Realty | | Columbia Acorn Fund |
| | | Columbia Acorn International |
| | | Columbia Acorn Intl Select |
| | | Columbia Acorn Select |
| | | Columbia Acorn USA |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|---|---|--|
| Columbia Adaptive Risk Allocation | Columbia Global Bond | Columbia Large Cap Value |
| Columbia Asia Pacific ex-Japan | Columbia Global Dividend Opportunity | Columbia Large Company Index |
| Columbia Asset Allocation | Columbia Global Infrastructure | Columbia Liberty Fund |
| Columbia Balanced | Columbia Global Opportunities | Columbia MA Intrmd Muni Bond |
| COLUMBIA BANCORP | Columbia Global Strategic Equity | Columbia Managed Muni |
| Columbia Banking System Inc | Columbia Global Technology Growth | Columbia Marsico Growth Var |
| Columbia Blended Equity | Columbia Global Value | Columbia Marsico Intl Opp |
| Columbia Capital Allocation Moderate Conservative | Columbia Government Money Market | Columbia Marsico Intl Opp Var |
| Columbia Common Stock | Columbia Greater China | Columbia Marsico Mid Cap Growth |
| Columbia Conservative High Yield | Columbia Growth | Columbia Mid Cap Growth Opportunity |
| Columbia Contrarian Core | Columbia Growth & Income | Columbia Mid Cap Value & Restructuring |
| Columbia Core Bond | Columbia Growth Stock | Columbia Mid Cap Value (old) |
| Columbia Corp Income | Columbia High Income | Columbia Money Market |
| Columbia CT Muni Reserves | Columbia High Yield Muni | Columbia Mortgage Opportunities |
| Columbia Daily Cash Reserves | Columbia High Yield Opport | Columbia Multi Adv International Value |
| Columbia Daily Income Co MM | Columbia Income Builder II | Columbia Multi Adv Small Cap Value |
| Columbia Disciplined Core | Columbia Inflation Protected Sec | Columbia Multi Strategy Alternatives |
| Columbia Disciplined Growth | Columbia Integrated Large Cap Growth | Columbia Multi-Manager Alternatives Strategies |
| Columbia Disciplined Value | Columbia Intermediate Duration Municipal Bond | Columbia Multi-Manager Directional Alternatives Strategies |
| Columbia Diversified Bond | Columbia International Equity | Columbia Multi-Manager Growth Strategies |
| Columbia Dividend Income | Columbia International Growth | Columbia Multi-Manager International Equity Strategies |
| Columbia Dividend Opportunity | Columbia International Stock | Columbia Multi-Manager Small Cap Equity Strategies |
| Columbia Emerging Markets Bond | Columbia Intl Value | Columbia Multi-Manager Total Return Bond Strategies |
| Columbia Emerging Markets Consumer ETF | Columbia Intrmd Core Bond | |
| Columbia Emerging Markets Opportunity | Columbia Intrmd Govt Income | |
| Columbia Energy & Natural Res | Columbia Large Cap Enhanced Core | |
| COLUMBIA EQUITY TRUST | Columbia Large Cap Growth | |
| Columbia European Equity | Columbia Large Cap Growth II | |
| Columbia Federal Securities | Columbia Large Cap Growth V | |
| Columbia Financial Inc | | |
| Columbia Fixed Income Sec | | |
| Columbia Flexible Capital Income | | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
 Share as of January 15, 2024

| | | |
|--|--|---|
| Columbia Multi-Manager Value Strategies | Columbia Small Company Equity | Columbia Variable Select Large Cap Equity |
| Columbia Muni Income | Columbia Small Company Index | Columbia Variable US Equities |
| Columbia Newport Tiger | Columbia Small/Mid Cap Value | Columbia Young Investor |
| Columbia NY Intrmd Muni Bond | Columbia Sportswear Co | Columbus Acquisition Corp |
| Columbia OR Intermediate Muni Bond | Columbia Strategic California Municipal Income | COLUMBUS MCKINNON CORP |
| Columbia Overseas Core | Columbia Strategic Income | COMARCO INC |
| Columbia Pipeline Group Inc | Columbia Tax Exempt | Combanc Inc |
| Columbia Pipeline Partners LP | Columbia Tax Managed Growth | CombiMatrix Corp |
| Columbia Portfolio Builder Conservative | Columbia Thermostat | Comcast Corp |
| Columbia Portfolio Builder Moderate Aggressive | Columbia Total Return Bond | COMDISCO HOLDING CO INC |
| Columbia Portfolio Builder Moderate Conservative | Columbia Ultra Short Municipal Bond | COMFORCE Corp |
| Columbia Portfolio Builder Total Equity | Columbia US Treasury Index | Comfort Systems USA Inc. |
| Columbia Prime Reserves | Columbia Utilities | Comm Bancorp Inc |
| Columbia Property Trust Inc | Columbia VA Intrmd Muni | Command Government Portfolio |
| Columbia Pyrford | Columbia Value & Restructure | Command Money Mkt Portfolio |
| Columbia International Stock | Columbia Var Cash Management | COMMAND SECURITY CORP |
| Columbia Quality Income | Columbia Var Global Bond | Command TxFr Money Portfolio |
| Columbia Real Estate Equity | Columbia Var High Yield Bond | COMMERCE BANCORP INC/NJ |
| Columbia Select International Equity | Columbia Var High Yield Bond | Commerce Bancshares Inc |
| Columbia Select Mid Cap Growth | Columbia Var Income Opportunities | Commerce Bond |
| Columbia Select Opportunities | Columbia Var Large Cap Value | Commerce Cap Govt MM |
| Columbia Select Small Cap | Columbia Var Mid Cap Value Opportunity | Commerce Cap Treas Oblig MM |
| Columbia Seligman Premium Technology Growth Fund Inc | Columbia Var Strategic Inc | Commerce CORE Equity |
| Columbia Short Bond | Columbia Variable Contrarian Core | COMMERCE ENERGY GROUP INC |
| Columbia Short Intrmd Bond | Columbia Variable Emerging Markets | Commerce Group Inc. (The) |
| Columbia Sht Intrmd Govt | Columbia Variable Long Government/Credit Bond | Commerce MO TxFr Intrmd |
| Columbia Small Cap Core | Columbia Variable Mid Cap Growth | Commerce One Inc |
| Columbia Small Cap Growth | | COMMERCEFIRST BANCORP INC |
| Columbia Small Cap Growth II | | CommerceHub Inc |
| Columbia Small Cap Value | | COMMERCEPLANET INC |
| | | Commercesouth Inc |
| | | Commercial Bancshares Inc/Oh |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
 Share as of January 15, 2024

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|---|--|--|
| COMMERCIAL BANKSHARES INC | Community Trust Bancorp Inc | Computer Task Group Inc |
| COMMERCIAL CAPITAL BANCORP | Community Valley Bancorp | Computerized Thermal Imaging |
| COMMERCIAL FEDERAL Commercial Metals Co | Community West Bancshares | COMPUWARE CORP |
| Commercial National Financial Corp | CommunityOne Bancorp | CompX International Inc. |
| Commercial Vehicle Group Inc | CommunitySouth Financial Corp | comScore Inc |
| COMMONWEALTH BANKSHARES INC | CommVault Systems Inc | Comstock Homebuilding Cos Inc |
| Commonwealth Industries Inc | Companhia Brasileira De Distribuicao | Comstock Mining Inc |
| COMMONWLTH TELE ENTER CommScope Holding Co Inc | Companhia de Saneamento Basico do Estado de Sao Paulo SABESP | Comstock Resources Inc. |
| CommScope Inc. | COMPASS BANCSHARES INC | COMSYS IT Partners Inc |
| COMMUN INTELLIGENCE Communications Systems Inc. | Compass Digital Acquisition Corp | Comtech |
| COMMUNITY BANCORP INC/CA | Compass Diversified Holdings | Telecommunications Corp. |
| Community Bancorp/Nj | Compass Inc | Comverge Inc |
| Community Bancorp/NV | Compass Minerals International Inc. | Comverse Technology Inc |
| Community Bancorp/VT | Compass Pathways Plc | Conagra Brands Inc |
| COMMUNITY BANCSHARES INC/DE | Compass Therapeutics Inc | Conatus Pharmaceuticals Inc |
| Community Bank System Inc. | Compellent Technologies Inc | Concentrix Corp |
| Community Bankers Trust Corp | COMPETITIVE TECHNOLOGIES INC | CONCEPTUS INC |
| COMMUNITY BANKS INC | COMPEX TECHNOLOGIES INC | Concert Pharmaceuticals Inc |
| Community Bankshares Inc. | Complete Genomics Inc | Concerto Software Inc |
| COMMUNITY CAPITAL BANCSHARES | Complete Production Services Inc | Concho Resources Inc |
| Community Capital Corp | CompoSecure Inc | Concord Acquisition Corp |
| Community Central Bank Corp. | COMPOSITE TECHNOLOGY CORP | CONCORD CAMERA CORP |
| COMMUNITY FINANCIAL CORP/VA | Compton Petroleum Corp | CONCORD |
| Community First Bancorp | Compucom Systems Inc | COMMUNICATIONS INC |
| Community First Bankshares | COMPUDYNE CORP | Concord Efs Inc |
| Community Healthcare Trust Inc | Compugen Ltd | Concord Medical Services Holdings Ltd |
| | Compute Health Acquisition Corp | CONCORDE CAREER |
| | Computer Access Tech Corp | COLLEGES INC |
| | Computer Horizons Corp. | Concordia International Corp |
| | COMPUTER NETWORK TECH CORP | Concrete Pumping Holdings Inc |
| | Computer Programs and Systems Inc | Concur Technologies Inc |
| | | Concurrent Computer Corp |
| | | Condor Hospitality Trust Inc |
| | | Conduent Inc |
| | | Cone Mills Corp |
| | | Conestoga Small Cap |
| | | CONEXANT SYSTEMS INC |
| | | Confluent Inc |
| | | Conformis Inc |
| | | Congress Mid Cap Growth |

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 Issuers With No Outstanding Past-Due
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|-------------------------------|------------------------------|----------------------------|
| CONMED Corp | Consumer Discretionary | Cooper-Standard Holdings |
| Conmed Healthcare | Select Sector SPDR Fund | Inc |
| Management Inc | (The) | CooTek Cayman Inc |
| Connect Biopharma Holdings | Consumer Portfolio Services | Copa Holdings SA |
| Ltd | Inc | Copano Energy LLC |
| Connecticut Bancshares Inc | Consumer Staples Select | Copart Inc |
| Connecticut Water Service | Sector SPDR Fund (The) | Copeland Risk Managed |
| Inc | Consumers Bancorp Inc | Dividend Growth |
| ConnectOne Bancorp Inc | Container Store Group Inc | Copel-Cia Paranaense |
| Connecture Inc | (The) | Energia |
| CONNETICS CORP | Contango Oil & Gas Co | Copernic Inc |
| Conn's Inc | Contango ORE Inc | COPPER MTN NETWORKS |
| Cono Italiano Inc | Continental Airlines Inc. | INC |
| Conocophillips | Continental Building | COPsync Inc |
| CONOR MEDSYSTEMS INC | Products Inc | CORAUTUS GENETICS INC |
| Conrad Industries Inc | CONTINENTAL ENERGY CORP | Corazon Capital V838 |
| Consensus Cloud Solutions | Continental Fuels Inc | Monoceros Corp |
| Inc | CONTINENTAL MATERIALS | Corbus Pharmaceuticals |
| CONSOL Coal Resources LP | CORP | Holdings Inc |
| CONSOL Energy Inc | Continental Minerals Corp | CORCEPT THERAPEUTICS INC |
| Consolidated | Continental Resources Inc | CORD BLOOD AMERICA INC |
| Communications Holdings | CONTINUCARE CORP | Core & Main Inc |
| Inc | ContraFect Corp | Core Laboratories NV |
| CONSOLIDATED ENERGY INC | Control4 Corp | Core Mark Holding Co Inc |
| Consolidated Gems Inc | Controladora Vuela | Core Molding Technologies |
| Consolidated Mercantile Inc | Compania de Aviacion SA de | Inc. |
| Consolidated Water Co. Ltd | CV | Core Scientific Inc |
| Consortium Service | Convera Corp | CoreCard Corporation |
| Management Group Inc | CONVERGENCE ETHANOL | CoreCivic Inc |
| Constant Contact Inc | INC | Corel Corp |
| CONSTAR INTERNATIONAL | ConvergeOne Holdings Inc | CoreLogic Inc |
| INC | CONVERIUM HOLDING AG | CorEnergy Infrastructure |
| Constellation Acquisition | Conversant Inc | Trust Inc |
| Corp I | CONVERSION SERVICES INTL | CorePoint Lodging Inc |
| Constellation Brands Inc | INC | CoreSite Realty Corp |
| Constellation CIP Ultra Short | Convio Inc | CORGENTECH INC |
| Fixed Income | CON-WAY INC | CORGI INTERNATIONAL LTD |
| Constellation Energy Corp | Conyers Park III Acquisition | CORILLIAN CORP |
| Constellation Energy Group | Corp | Corindus Vascular Robotics |
| Inc. | Cooper Cos Inc (The) | Inc |
| Constellation | Cooper Industries Plc | CORIO INC |
| Pharmaceuticals Inc | Cooper Tire & Rubber Co | Corium International Inc |
| Construction Partners inc | COOPERATIVE BANKSHARES | CORIXA CORP |
| | INC | CorMedix Inc |

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 Issuers With No Outstanding Past-Due
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| | | |
|---|---|--|
| Cornell Companies Inc. | Cosan Ltd | COWEN GROUP INC |
| Cornerstone Advisors Public Alternatives | COSI INC | Cowen Inc |
| CORNERSTONE BANCORP INC | COSINE COMMUNICATIONS INC | Cowlitz Bancorporation |
| Cornerstone Bancorp/SC | Cosmos Holdings Inc | Cox Communications -CI A |
| Cornerstone Building Brands Inc | Cost Plus Inc | Cox Radio Inc. |
| Cornerstone OnDemand Inc | Costamare Inc | Coyote Resources Inc |
| CORNERSTONE RLTY INCOME TR I | CoStar Group Inc | Cp Ships Ltd |
| Cornerstone Strategic Value Fund Inc. | Costco Wholesale Corp | CPAC INC |
| Cornerstone Therapeutics Inc | COST-U-LESS INC | CPC OF AMERICA INC |
| Cornerstone Total Return Fund Inc. | Coterra Energy Inc | CPEX Pharmaceuticals Inc |
| CornerWorld Corp | COTHERIX INC | CPFL Energia SA |
| Corning Inc | Cotiviti Holdings Inc | CPI Aerostructures Inc |
| Corporacion America | Cotton States Life Insurance | CPI Card Group Inc |
| Airports SA | Coty Inc | CPI Corp. |
| Corporate Capital Trust Inc | Couchbase Inc | CPI INTERNATIONAL INC |
| Corporate Office Properties Trust | Cougar Biotechnology Inc | CPS Technologies Corp |
| CORRECTIONAL SERVICES CORP | Counsel Corp | CRA International Inc |
| Correlate Infra Partners Inc | Counterpath Corp | Cracker Barrel Old Country Store Inc |
| CORRIENTE RESOURCES INC | Country Style Cooking Restaurant Chain Co Ltd | Craft Brew Alliance Inc |
| Corsair Gaming Inc | Countrywide Financial Corp | CRAFTMADE INTERNATIONAL INC |
| Corsair Partnering Corp | County Bancorp Inc | Crailar Technologies Inc |
| Cortex Pharmaceuticals Inc. | Coupa Software Inc | Crane Company |
| CORTLAND BANCORP | Coupang Inc | Crawford & Co |
| Cortland General MMF | Courier Corp | Crawford United Corp |
| Cortland Muni Money Mkt | Coursera Inc | Cray Inc |
| Cortland US Govt | Cousins Properties Incorporated | CRDENTIA CORP |
| Cortronix Biomedical Advancement Technologies Inc | COVAD COMMUNICATIONS GROUP | CREATIVE MEDIA & COMMUNITY TRUST CORPORATION |
| CORUS BANKSHARES INC | Covance Inc | CREATIVE TECHNOLOGY LTD |
| Corus Entertainment Inc | COVANSYS CORP | CREATIVE VISTAS INC |
| CORUS GROUP PLC | Covanta Holding Corp | CREDENCE SYSTEMS CORP |
| Corvas International Inc | Covenant Logistics Group Inc | Credit Acceptance Corp |
| CorVel Corp. | Coventry Health Care Inc. | Credit One Financial Inc |
| Corvus Gold Inc | Cover-All Technologies Inc | Credit Suisse Group |
| Corvus Pharmaceuticals Inc | Covest Bancshares Inc | Credit Suisse High Yield Bond Fd |
| | Covetrus Inc | Creditriskmonitor.com Inc |
| | Covia Holdings Corp | CreditSuisse Cap Appreciation |
| | Covidien Plc | CreditSuisse Cash Reserve |
| | Covisint Corp | CreditSuisse Fixed Income |
| | COVISTA COMMUNICATIONS INC | |

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|-------------------------------|-------------------------------|------------------------------|
| CreditSuisse Intl Focus | Criticare Systems Inc | CS Disco Inc |
| CreditSuisse Large Cap Value | Crixus BH3 Acquisition | CSB Bancorp Inc/OH |
| CreditSuisse Mid Cap Core | Company | CSG Systems International |
| CreditSuisse Prime MM | CRM Holdings Ltd | Inc. |
| CreditSuisse Small Cap Core | CRM Long/Short | CSI Compressco LP |
| CreditSuisse US Govt Money | Opportunities | CSK AUTO CORP |
| CreditSuisse VAR Tr Sml Cap | CRM Mid Cap Value | CSP Inc |
| Core 1 | CRM Small Cap Value | CSRA Inc |
| Credo Petroleum Corp | CRM Small Mid Cap Value | CSS Industries Inc. |
| Credo Technology Group | Crocs Inc | CST Brands Inc |
| Holding Ltd | Croft Leominster Value | CSW Industrials Inc |
| CREF Core Bond Account | CROGHAN BANCSHARES INC | CSX Corp |
| CREF Equity Index Account | Cronos Group (The) | CT COMMUNICATIONS INC |
| CREF Global Equities Account | Cronos Group Inc | CT Muni Cash |
| CREF Growth Account | Cross (A.T.) Co | CTC Media Inc |
| CREF Inflation Linked Bond | Cross Country Healthcare Inc | CTI BioPharma Corp |
| Account | Cross Timbers Royalty Trust | CTI MOLECULAR IMAGING |
| CREF Money Market Account | CrossAmerica Partners LP | INC |
| CREF Social Choice Account | CrossFirst Bankshares Inc | CTIVP Allspring Short |
| CREF Stock Account | Crosshair Exploration & | Duration Government |
| CREO INC | Mining Corp | CTIVP American Century |
| CRESCENT BANKING CO | CrossingBridge Low Duration | Diversified Bond |
| Crescent Capital BDC Inc | High Yield | CTIVP CenterSquare Real |
| CRESCENT R E EQUITIES INC | Crossroads Systems Inc | Estate |
| Crescera Capital Acquisition | CROSSTEX ENERGY INC | CTIVP Lazard International |
| Corp | CrowdGather Inc | Equity Advantage |
| CRESTED CORP | CrowdStrike Holdings Inc | CTIVP Los Angeles Capital |
| CRESTWOOD EQUITY | CROWLEY MARITIME CORP | Large Cap Growth |
| PARTNERS LP | Crown Amer Realty Trust | CTIVP MFS Value |
| Crestwood Midstream | Crown Castle Inc | CTIVP Morgan Stanley |
| Partners LP | Crown Crafts Inc | Advantage |
| Crexendo Inc | Crown Holdings Inc | CTIVP Partners International |
| CreXus Investment Corp | Crown Media Holdings Inc | Core Equity |
| CRH Medical Corp | Crown Pacific Partners -Lp | CTIVP Partners International |
| CRH PLC | CROWN RESOURCES CORP | Growth |
| CRIIMI MAE INC | CRT PROPERTIES INC | CTIVP Partners International |
| CRIMSON EXPLORATION INC | Crucell NV, Leiden | Value |
| Crimson Wine Group Ltd | Crude Carriers Corp | CTIVP Principal Blue Chip |
| Crinetics Pharmaceuticals Inc | Cryo-Cell International Inc | Growth |
| CRISPR Therapeutics AG | CRYOCOR INC | CTIVP TCW Core Plus Bond |
| Cristalchile-Cristaler Chile | Cryoport Inc | CTIVP TRP Large Cap Value |
| Criteo SA | Cryptologic Ltd | CTIVP Westfield Mid Cap |
| Critical Path Inc | Crystal River Capital Inc | Growth |
| CRITICAL THERAPEUTICS INC | Crystallex International Corp | CTO Realty Growth Inc |

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| CTS Corp | CV THERAPEUTICS INC | CytoDyn Inc |
| CU Bancorp/CA | CVB Financial Corp | CYTOGEN CORP |
| CubeSmart | CVD Equipment Corp | CYTOGENIX INC |
| CUBIC ENERGY INC | Cvent Inc | Cytokinetics Inc |
| CUBIST PHARMACEUTICALS INC | CVR Energy Inc | Cytomedix Inc |
| CUISINE SOLUTIONS INC | CVR Partners LP | CytomX Therapeutics Inc |
| Cullen Agricultural Holding Corp | CVR Refining LP | Cytori Therapeutics Inc |
| Cullen High Dividend Equity | CVRx Inc | Cytosorbents Corp |
| Cullen/Frost Bankers Inc | CVS Health Corp | CytRx Corp |
| Cullinan Oncology Inc | Cyalume Technologies | CYTYC CORP |
| Cullman Bancorp Inc | Holdings Inc | D And Z Media Acquisition Corp |
| Culp Inc. | Cyan Inc | D&E Communications Inc |
| Culture Medium Holdings Corp | CYANOTECH CORP | D&K HEALTHCARE RESOURCES INC |
| Cumberland Pharmaceuticals Inc | CYBER DEFENSE SYSTEMS INC | D. Medical Industries Ltd |
| Cumberland Resources Ltd | CyberArk Software Ltd | D.R. Horton Inc. |
| Cummins Inc. | CyberDefender Corp | DA32 Life Science Tech Acquisition Corp |
| Cumulus Media Inc | Cyberguard Corp | DADE BEHRING HOLDINGS INC |
| CUNO INC | CYBERKINETICS NEURO SYS INC | Daily Assets |
| CuraGen Corp | CyberOptics Corp | Daily CT TxFr Income MM |
| Curaleaf Holdings Inc | CyberSource Corp | Daily Income Money Market |
| CURATIVE HEALTH SERVICES INC | Cybox International Inc. | Daily Income Muni |
| Cure Pharmaceutical Holding Corp | Cyclacel Pharmaceuticals Inc | Daily Income US Govt |
| CureVac N.V. | Cyclerion Therapeutics Inc | Daily Income US Treasury |
| CURIS INC | CYGENE LABORATORIES INC | Daily Journal Corp |
| CURON MED INC | CYGNE DESIGNS INC | Daily NJ Muni Income MM |
| CurrencyShares Mexican Peso Trust | Cygnus Inc | Daily NY TxFr Income MM |
| CurrencyShares Swedish Krona Trust | Cymabay Therapeutics Inc | Daily TxFr Income MM |
| CURRENT TECHNOLOGY CORP | Cymer Inc | Daimler AG |
| Curtiss-Wright Corp | Cynapsus Therapeutics Inc | Dakota Gold Corp |
| Cushman & Wakefield Ltd | Cyngn Inc | Dakota Plains Holdings Inc |
| Custom Truck One Source, Inc. | Cynosure Inc | Dakota Territory Resource Corp |
| Customers Bancorp Inc | Cyplasin Biomedical Ltd | Daktronics Inc |
| Cutter & Buck Inc | CYPRESS BIOSCIENCE INC | DALECO RESOURCES CORP |
| CV Sciences Inc | Cypress Energy Partners LP | Dan River Inc -CI A |
| | CYREN Ltd | Dana Inc |
| | CyrusOne Inc | Danaher Corp |
| | CYS Investments Inc | Danaos Corp |
| | Cysive Inc | Danimer Scientific Inc |
| | Cytec Industries Inc. | |
| | Cyteir Therapeutics Inc | |
| | Cytek Biosciences Inc | |
| | CYTOCORE INC | |

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| DANKA BUSINESS SYSTEMS PLC | DAXOR CORP Day One BioPharmaceuticals Inc | DEL GLOBAL TECHNOLOGIES CORP Del Laboratories Inc |
| DANONE (GROUPE) Danvers Bancorp Inc | DAYBREAK OIL & GAS INC DayStar Technologies Inc. | DEL MONTE FOODS CO Del Taco Restaurants Inc |
| Daqo New Energy Corp | Dayton Superior Corp | Delaware American Services |
| DARA BioSciences Inc | DBV Technologies S.A. | Delaware Balanced Fund |
| Darden Restaurants Inc | DBX ETF Trust - Xtrackers Emerging Markets Carbon Reduction and | Delaware Cash Reserve |
| Darkpulse Inc | DBX ETF Trust - Xtrackers Low Beta High Yield Bond ETF | Delaware Corporate Bond |
| Darling Ingredients Inc | DBX ETF Trust - Xtrackers MSCI USA ESG Leaders Equity ETF | Delaware Delchester |
| Darwin Professional Underwriters Inc | DCB Financial Corp | Delaware Diversified Income |
| DASEKE, INC. Dassault Systemes SA | DCT Industrial Trust Inc | Delaware Emerging Markets |
| Data Domain Inc | DDi Corp. | Delaware Equity Income |
| Data I/O Corp | DE RIGO SPA -ADR | Delaware Extended Dur Bond |
| Datakey Inc | Dearborn Bancorp Inc | Delaware Floating Rate |
| Datalink Corp | DEB SHOPS INC | Delaware Foundation Moderate Allocation |
| DATAMIRROR CORP | Decibel Therapeutics Inc | Delaware Fund for Income |
| DATARAM CORP | Deciphera Pharmaceuticals Inc | Delaware Global Equity |
| DATASCOPE CORP | Deckers Outdoor Corp | Delaware Growth & Income |
| DATASTREAM SYSTEMS INC | DECODE GENETICS INC | Delaware Growth and Income |
| DATATEC SYSTEMS INC | DECOMA INTL INC -CL A | Delaware Growth Equity |
| DATATRAK INTERNATIONAL INC | Decor Products International Inc | Delaware Healthcare |
| Datawatch Corp | DECORATOR INDUSTRIES INC | Delaware High Yield Opport Delaware Inflation Protected Bond |
| DatChat Inc | Decorize Inc | Delaware Intl Value Equity |
| Datto Holding Corp | Deep Down Inc | Delaware Ivy Accumulative |
| Dave & Buster's Entertainment Inc | Deep Lake Capital Acquisition Corp | Delaware Ivy Asset Strategy |
| Dave Inc | DEEP WELL OIL & GAS INC | Delaware Ivy Balanced |
| Davenport Core Leaders | Deer Park Total Return Credit | Delaware Ivy Cash Management |
| Davenport Small Cap Focus | DEERE & COMPANY | Delaware Ivy Core Bond |
| Davenport Value & Income | Definitive Healthcare Corp | Delaware Ivy Core Equity |
| DAVI SKIN INC | Dejour Energy Inc | Delaware Ivy Corporate Bond |
| Davis Appreciation & Income | Dekania Corp | Delaware Ivy Global Bond |
| Davis Financial | Del Frisco's Restaurant Group Inc | Delaware Ivy Global Equity Income |
| Davis Global | | Delaware Ivy Global Growth |
| Davis Govt MM | | Delaware Ivy High Income |
| Davis New York Venture | | Delaware Ivy Intl Core Equity |
| Davis Opportunity | | Delaware Ivy Large Cap Growth |
| Davis Real Estate | | |
| Davis Value Var Portf | | |
| DaVita Inc | | |
| Dawson Geophysical Co | | |

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|---|---|--|
| Delaware Ivy Limited Term Bond | Delaware Opportunity | DELTA NATURAL GAS CO INC |
| Delaware Ivy Mid Cap Growth | Delaware PT Emerging Markets | DELTA OIL & GAS INC |
| Delaware Ivy Mid Cap Income Opportunities | Delaware PT Global Fixed Inc | deltathree Inc |
| Delaware Ivy Muni High Income | Delaware PT Global Real Estate Securities | Deltek Inc |
| Delaware Ivy Municipal Bond | Delaware PT Labor Sel Intl Eq | Deltic Timber Corp |
| Delaware Ivy Science & Technology | Delaware PT Large Cap Growth Equity | DELUXE CORP |
| Delaware Ivy Small Cap Growth | Delaware Real Estate Inv Trust | DemandTec Inc |
| Delaware Ivy Smid Cap Core | Delaware Select Growth | Demandware Inc |
| Delaware Ivy Systematic Emerging Markets Equity | Delaware Small Cap Core | Denali Therapeutics Inc |
| Delaware Ivy Value | Delaware Small Cap Value | Denbury Inc. |
| Delaware Ivy VIP Asset Strategy | Delaware Smid Cap Growth | DENDREON CORP |
| Delaware Ivy VIP Core Equity | Delaware Special Situations | DENDRITE INTERNATIONAL INC |
| Delaware Ivy VIP Corporate Bond | Delaware Tax Exempt Income | DENISON INTL PLC -ADR |
| Delaware Ivy VIP Growth | Delaware Total Return | Denison Mines Corp |
| Delaware Ivy VIP High Income | Delaware Trend | Denmark Bancshares Inc |
| Delaware Ivy VIP | Delaware TxFr CO | Dennys Corp |
| International Core Equity | Delaware TxFr MN | DENTSPLY SIRONA Inc |
| Delaware Ivy VIP Mid Cap Growth | Delaware TxFr MN Insured | Derma Sciences Inc |
| Delaware Ivy VIP Pathfinder Moderate | Delaware TxFr PA | Dermira Inc |
| Delaware Ivy VIP Pathfinder Moderate Managed Volatility | Delaware TxFr USA | DERMISONICS INC |
| Delaware Ivy VIP Pathfinder Moderately Aggressive | Delaware TxFr USA Intrmd | DermTech, Inc. |
| Delaware Ivy VIP Science & Technology | Delaware Value | Descartes Systems Group Inc (The) |
| Delaware Ivy Wilshire Global Allocation | Delaware VIP Emerging Mkt | Descrypto Holdings Inc |
| Delaware Large Cap Value | Delaware VIP High Yield | DESERT SUN MINING CORP |
| Delaware Ltd Term | Delaware VIP REIT | Design Therapeutics Inc |
| Diversified Income | Delaware VIP Small Cap Value | Design Within Reach Inc |
| Delaware Natl High Yield Muni | Delaware VIP Trend | Designer Brands Inc |
| | Delaware Wealth Builder | Desktop Metal, Inc. |
| | Delek Logistics Partners LP | Despegar com Corp |
| | Delek US Holdings Inc | Destination Maternity Corp |
| | dELiAs Inc | Destination XL Group Inc |
| | DELPHAX TECHNOLOGIES INC | Destiny Media Technologies Inc |
| | Delphi Financial Group Inc | Deswell Industries Inc |
| | Delphi Technologies PLC | Deutsche Bank AG |
| | DELTA & PINE LAND CO | Deutsche Floating Rate |
| | Delta Air Lines Inc | Deutsche Global Small Cap |
| | Delta Apparel Inc | Deutsche Government Cash Reserve |
| | Delta Financial Corp | Deutsche Government Series Cash Reserve Government |
| | | Deutsche Large Cap Value |

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|------------------------------|-------------------------------|------------------------------|
| Deutsche MA TxFr Muni | DFA Emerging Markets | DFA Large Cap International |
| Deutsche Municipal Income | Sustainability Core 1 | DFA Municipal Bond |
| Trust | DFA Emerging Markets Value | DFA Municipal Real Return |
| Deutsche Select Alternative | DFA Enhanced US Large Co | DFA Real Estate Securities |
| Allocation | DFA Global 25/75 Port | DFA Selectively Hedged |
| Deutsche Short Muni Bond | DFA Global 60/40 Port | Global Fixed Income |
| Deutsche Small Cap Value | DFA Global Core Plus Fixed | DFA Short Duration Real |
| Deutsche Strategic | Income | Return |
| Government Securities | DFA Global Equity Port | DFA Short Term Extended |
| Deutsche Tax Exempt | DFA Global Real Estate | Quality |
| Deutsche Telekom AG | Securities | DFA Short Term Municipal |
| Deutsche Treasury Money | DFA Global Sustainability | Bond |
| Deutsche Ultra Short | Fixed Income | DFA Short-Term Government |
| Duration | DFA Inflation Protected | DFA Social Fixed Income |
| Deutsche Unconstrained | Securities | DFA TA US Core Equity 2 |
| Income | DFA Intermediate Govt Fixed | DFA TA World ex US Core |
| Deutsche X-trackers MSCI | Income | Equity |
| Japan Hedged Equity ETF | DFA Intermediate Term | DFA Targeted Credit |
| DEVCON INTERNATIONAL | Extended Quality | DFA Tax Mngd International |
| CORP | DFA Intermediate-Term | Value |
| Devon Energy Corp | Municipal Bond | DFA Tax Mngd US Equity |
| Dex Media Inc | DFA International Core | DFA Tax Mngd US Mktwide |
| DexCom Inc | Equity | Value |
| DF Dent Midcap Growth | DFA International High | DFA Tax Mngd US Mktwide |
| DFA 1 Year Fixed Income | Relative Profitability | Value II |
| DFA 2 Year Global Fixed | DFA International Large Cap | DFA Tax Mngd US Small Cap |
| Income | Growth | DFA Tax Mngd US Targeted |
| DFA 5 Year Global Fixed | DFA International Real Estate | Value |
| Income | Securities | DFA US Core Equity 1 |
| DFA CA Intermediate-Term | DFA International Small Cap | DFA US Core Equity 2 |
| Municipal Bond | Value | DFA US High Relative |
| DFA CA Short Term | DFA International Small | Profitability |
| Municipal Bond | Company | DFA US Large Cap Equity |
| DFA Commodity Strategy | DFA International Social Core | DFA US Large Cap Growth |
| DFA Continental Small Co | Equity | DFA US Large Cap Value |
| DFA Diversified Fixed Income | DFA International | DFA US Large Cap Value III |
| DFA Emerging Markets | Sustainability Core 1 | DFA US Large Company |
| DFA Emerging Markets Core | DFA International Value | DFA US Micro Cap |
| Equity | DFA International Value III | DFA US Small Cap |
| DFA Emerging Markets Sml | DFA International Vector | DFA US Small Cap Growth |
| Cap | Equity | DFA US Small Cap Value |
| DFA Emerging Markets Social | DFA Investment Grade | DFA US Social Core Equity 2 |
| Core Equity | DFA Japanese Small | DFA US Sustainability Core 1 |
| | Company | DFA US Targeted Value |

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|-----------------------------|------------------------------|----------------------------|
| DFA US Vector Equity | DIANA SHIPPING INC | Dimensional Emerging Core |
| DFA World Core Equity | DICE Therapeutics Inc | Equity Market ETF |
| DFA World ex US Core Equity | Dicerna Pharmaceuticals Inc | Dimensional Emerging |
| DFA World ex US | Dick's Sporting Goods Inc. | Markets Core Equity 2 ETF |
| Government Fixed Income | Didi Global Inc | Dimensional International |
| DFA World ex US Targeted | Diebold Nixdorf Inc | Core Equity 2 ETF |
| Value | DIEDRICH COFFEE INC | Dimensional International |
| DFC Global Corp | DIGENE CORP | Core Equity Market ETF |
| DHI Group Inc | DIGIMARC CORP | Dimensional International |
| DHT Holdings Inc | Digirad Corp | High Profitability ETF |
| DHX Media Ltd | Digital Ally Inc | Dimensional International |
| Diacrin Inc | Digital Angel Corp | Small Cap Value ETF |
| Diageo PLC | DIGITAL FUSION INC | Dimensional International |
| DIAGNOSTIC PRODUCTS | Digital Health Acquisition | Value ETF |
| CORP | Corp | Dimensional Short-Duration |
| Dial Corporation | DIGITAL IMPACT INC | Fixed Income ETF |
| Dial Global Inc | DIGITAL INSIGHT CORP | Dimensional US Core Equity |
| Dialogic Inc. | DIGITAL LIGHTWAVE INC | 2 ETF |
| DIALYSIS CORP OF AMERICA | Digital Media Solutions, Inc | Dimensional Us Core Equity |
| Diamante Minerals Inc | DIGITAL MUSIC GROUP INC | Market ETF |
| DiaMedica Therapeutics Inc | Digital Realty Trust Inc | Dimensional US Equity ETF |
| Diamond Foods Inc | Digital River Inc | Dimensional US High |
| Diamond Hill Core Bond | Digital Turbine Inc | Profitability ETF |
| Diamond Hill Investment | DigitalBridge Group, Inc. | Dimensional US Marketwide |
| Group Inc | DIGITALFX INTERNATIONAL | Value ETF |
| Diamond Hill Large Cap | INC | Dimensional US Small Cap |
| Diamond Hill Long Short | DigitalGlobe Inc | ETF |
| Diamond Hill Short Duration | DIGITALNET HOLDINGS INC | Dimensional US Targeted |
| Securitized Bond | DIGITALPOST INTERACTIVE | Value ETF |
| Diamond Hill Small Cap | INC | Dimensional World ex U.S. |
| Diamond Hill Small Mid Cap | Digitalthink Inc | Core Equity 2 ETF |
| DIAMOND I INC | DIGITALTOWN INC | Dine Brands Global Inc |
| Diamond Management & | DIGITAS INC | Dingdong (Cayman) Limited |
| Technology Consultants Inc | Diguang International | Diodes Inc |
| Diamond Offshore Drilling | Development Co Ltd | DIOMED HOLDINGS INC |
| Inc. | Diligent Corp | DIONEX CORP |
| Diamond Resorts | Dillard's Inc. | Dipexium Pharmaceuticals |
| International Inc | Dime Community Bancshares | Inc |
| Diamond S Shipping Inc | Inc | Diplomat Pharmacy Inc |
| Diamondback Energy Inc | Dimeco Inc | DIRECT GENERAL CORP |
| DIAMONDHEAD CASINO | Dimension Therapeutics Inc | DIRECTED ELECTRONICS INC |
| CORP | Dimensional Core Fixed | DIRECTV |
| DiamondRock Hospitality Co | Income ETF | Direxion Commodity Trends |
| Diana Containerships Inc | | |

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| Direxion Daily 20-plus Year Treasury Bear 3X Shares | DISH Network Corp | DOBSON COMMUNICATIONS CORP |
| Direxion Daily Emerging Markets Bull 3x Shares | Dish TV INDA Ltd | Docebo Inc |
| Direxion Daily Energy Bull 2X Shares | Disruptive Acquisition Corp I | Docent Inc |
| Direxion Daily Financial Bear 3X Shares | DISTINCTIVE DEVICES INC | Docgo Inc |
| Direxion Daily Financial Bull 3X | Distribucion Y Servicio D.Y.S.SA,Santiago | DOCUCORP INTERNATIONAL INC |
| Direxion Daily Gold Miners Index Bull 2X Shares | Distributed Energy Systems Corp. | DOCUMENT SCIENCES CORP |
| Direxion Daily Junior Gold Miners Index Bull 2X Shares | Distribution Solutions Group Inc | Document Security Systems Inc |
| Direxion Daily S&P 500 Bear 3X Shares | Ditech Networks Inc | Documentum Inc |
| Direxion Daily S&P 500 Bull 3X Shares | Diversey Holdings Ltd | Dodge & Cox Balanced |
| Direxion Daily S&P Biotech Bull 3X Shares | Diversified Growth & Income | Dodge & Cox Global Bond |
| Direxion Daily S&P Oil & Gas Exp & Prod Bull 2X Shares | Diversified Healthcare Trust | Dodge & Cox Global Stock |
| Direxion Daily Semiconductor Bull 3X Shares | Diversified Hi Quality Bond | Dodge & Cox Income Fund |
| Direxion Daily Small Cap Bull 3x Shares | Diversified II Core Bond | Dodge & Cox Intl Stock |
| Direxion Monthly NASDAQ 100 Bull 2X | Diversified II Equity Growth | Dodge & Cox Stock |
| Direxion NASDAQ-100 Equal Weighted Index Shares | Diversified II International Eqty | Dolan Co (The) |
| Direxion Shares ETF Trust - Direxion Daily Small Cap Bear 3X Sha | Diversified II Intrmd Horizon | Dolby Laboratories Inc |
| Direxion Shares ETF Trust - Direxion Daily Technology Bull 3X Sh | Diversified II Intrmd/Long Horizo | Dole Food Co Inc |
| DIRT MOTOR SPORTS INC | Diversified II Long Horizon | Dole plc |
| DIRTT Environmental Solutions Ltd | Diversified II Special Equity | Dollar General Corporation |
| Disaboom Inc | Diversified II Stock Index | Dollar Thrifty Automotive Group Inc. |
| Discover Financial Services | Diversified II Value & Income | Dollar Tree Inc |
| DISCOVERY PARTNERS INTL INC | Diversified Instl Special Equity | Dolphin Digital Media Inc |
| | Diversified Restaurant Holdings Inc | Domini Impact Equity |
| | Diversified Special Equity | Domini Impact International Equity |
| | Diversinet Corp | Dominion Diamond Corp |
| | DivX Inc | Dominion Energy Inc |
| | Dixie Group Inc (The) | Dominion Energy Midstream Partners LP |
| | DJO INC | DOMINION HOMES INC |
| | DJSP Enterprises Inc | Dominion Resources Black Warrior Trust |
| | DLB Small Company Opportunity | Domino's Pizza Inc |
| | DLocal Ltd | Domo Inc |
| | DMC Global Inc | Domtar Corp |
| | DNB Financial Corp | DOMTAR INC |
| | DNP Select Income Fund Inc. | Donaldson Co Inc. |
| | DOBI MEDICAL INTL INC | Donegal Group Inc |
| | | Donnelley Financial Solutions Inc |

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|---|--|---|
| DoorDash Inc | Dow 30 Enhanced Premium & Income Fund Inc | Dreyfus Basic US Mortgage Sec |
| Doral Energy Corp | Dow Inc | Dreyfus CA AMT Free Muni Cash |
| Dorchester Minerals LP | Dow Jones and Co Inc. | Dreyfus Cash Management |
| Dorian LPG Ltd | Downey Financial Corp. | Dreyfus Cash Mgmt Plus |
| Dorman Products Inc | Doximity Inc | Dreyfus Citizens Sel Prim MM |
| Dot Hill Systems Corp. | DP Cap Acquisition Corp I | Dreyfus Core Value |
| DOUBLE EAGLE PETROLEUM CO | Dpac Technologies Corp | Dreyfus CT |
| DOUBLECLICK INC | DPL Inc. | Dreyfus Emerging Leaders |
| DoubleLine Core Fixed Income | Dr Reddy'S Laboratories Ltd | Dreyfus Emerging Markets |
| DoubleLine Emerging Markets Fixed Income | DraftKings Holdings Inc. | Debt Local Currency |
| DoubleLine Flexible Income | Draganfly Inc | Dreyfus Emerging Mrkts |
| DoubleLine Floating Rate | DRAGON PHARMACEUTICALS INC | Dreyfus Equity Gro |
| DoubleLine Global Bond | Dragoneer Growth | Dreyfus FL Intrmd Muni Bond |
| DoubleLine Income Solutions Fund | Opportunities Corp III | Dreyfus FL Muni Money Market |
| DoubleLine Infrastructure Income | DragonWave Inc | Dreyfus General CA Muni MM |
| DoubleLine Low Duration Bond | Draxis Health Inc | Dreyfus General Govt Sec Money Market |
| DoubleLine Shiller Enhanced CAPE | DRDGold Ltd | Dreyfus General Muni Bond |
| DoubleLine Total Return Bond | DREAMS INC | Dreyfus General NY Muni Bond |
| DoubleLine Yield Opportunities Fund | DreamWorks Animation Inc | Dreyfus General NY Muni MM |
| DOUBLE-TAKE SOFTWARE INC | Dreman/Claymore Dividend & Income Fund | Dreyfus General Treasury Securities MM |
| DoubleVerify Holdings Inc | Dresser-Rand Group Inc | Dreyfus GNMA |
| Douglas Dynamics Inc | DREYER'S GRAND ICE CRM HLDGS | Dreyfus Government Securities Cash |
| Douglas Elliman Inc | Dreyfus 100% US Treas MM LP | Dreyfus Govt Cash Mgmt |
| Douglas Emmett Inc | Dreyfus A Bonds Plus | Dreyfus Greater China |
| Douyu International Holdings Ltd | Dreyfus Active Midcap | Dreyfus Growth & Income |
| DOV PHARMACEUTICAL INC | Dreyfus Alpha Growth | Dreyfus High Yield Strategies Fund |
| Dova Pharmaceuticals Inc | Dreyfus Alternative | Dreyfus Institutional Preferred Government Money Market |
| Dover Corp | Diversifier Strategies | Dreyfus Institutional Preferred Treasury Obligations |
| Dover Downs Gaming & Entertainment Inc. | Dreyfus AMT Free Muni Reserves | |
| Dover Motorsports Inc. | Dreyfus Balanced Opport | |
| DOVER SADDLERY INC | Dreyfus Basic CA Muni MM | |
| | Dreyfus Basic Money Market | |
| | Dreyfus Basic Muni Money Mkt | |
| | Dreyfus Basic NY Muni MM | |
| | Dreyfus Basic US Government MM | |

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| Dreyfus Institutional Preferred Treasury Securities MM | Dreyfus NY TxEx Intrmd Bond | Dreyfus Worldwide Dollar MM |
| Dreyfus Institutional Treasury Securities Cash Advantage | Dreyfus Opportunistic Fixed Income | Dreyfus/Boston Co Intl Core Equity |
| Dreyfus Instl Cash Advntg | Dreyfus PA Muni Money Market | Dreyfus/Boston Co Small Cap Growth |
| Dreyfus Instl Cash Advntg+ | Dreyfus Premr Balanced | Dreyfus/Boston Co Small Cap Tax Sens |
| Dreyfus Instl Money Market | Dreyfus Premr Core Bond | Dreyfus/Boston Co Small Cap Value |
| Dreyfus Instl Preferred Government Plus Money Market | Dreyfus Premr Enterprise | Dreyfus/Founders Discovery |
| Dreyfus Instl Preferred MM | Dreyfus Premr Future Leaders | Dreyfus/Founders Growth |
| Dreyfus Instl Prime MM | Dreyfus Premr High Income | Dreyfus/Founders Mid Cap Grow |
| Dreyfus Instl US Govt MM | Dreyfus Premr Intl Small Cap | Dreyfus/Standish Mellon Fixed Income |
| Dreyfus Instl US Treas MM | Dreyfus Premr MD Bond | DRI Corp |
| Dreyfus Intl Value | Dreyfus Premr Mid Cap Stock | Driehaus Active Income |
| Dreyfus Intrmd Term Income | Dreyfus Premr OH Bond | Driehaus Emerging Markets Growth |
| Dreyfus Large Cap Value | Dreyfus Premr Small Co Stock | Driehaus Emerging Markets Small Cap Growth |
| Dreyfus LifeTime Gro & Inc | Dreyfus Premr Tax Mngd Growth | Driehaus Intl Discovery |
| Dreyfus Liquid Assets | Dreyfus Premr Yield Advntg | Driehaus Select Credit |
| Dreyfus MA Muni Money Market | Dreyfus Research Core | DRIVE SHACK INC |
| Dreyfus MM Instrument - Govt | Dreyfus S&P Stars Opp | Dropbox Inc |
| Dreyfus MM Instrument Money Mkt | Dreyfus Short Intrmd Govt | DRS Technologies Inc. |
| Dreyfus Money Market | Dreyfus Short Intrmd Muni | drugstore.com Inc |
| Dreyfus Money Mkt Rsrvs | Dreyfus Short Term Inc | Dryden Government Securities Money Market Series |
| Dreyfus Muni Bond Opportunity | Dreyfus Small Cap Value | Dryden Intl Value |
| Dreyfus Muni Cash Mgmt Plus | Dreyfus Socially Responsible Growth Fund | Dryden Money Mkt NY |
| Dreyfus Muni Money Market | Dreyfus Strategic Municipal Bond Fund Inc. | Dryden Muni Insured |
| Dreyfus National Muni Money Market | Dreyfus Tech Growth | Dryden Small Cap Value |
| Dreyfus NJ Muni Bond | Dreyfus Treasury Obligations Cash Management | Dryden Ultra Short Bond |
| Dreyfus NJ Muni Money Market | Dreyfus Treasury Securities Cash Mgt | DSG INTERNATIONAL LTD |
| Dreyfus NY AMT Free Muni Bond | Dreyfus TxEx Cash Mgmt | DSL.NET INC |
| Dreyfus NY AMT Free Muni Money Market | Dreyfus US Treas Rsrvs | DST Systems Inc. |
| Dreyfus NY Muni Cash Mgmt | Dreyfus Var Inv Apprec | DSW Inc |
| | Dreyfus Var Inv Develop Ldrs | DT Midstream Inc |
| | Dreyfus Var Inv Growth & Inc | DTE Energy Co |
| | Dreyfus Var Inv Midcap Stock | DTRT Health Acquisition Corp |
| | Dreyfus Var Inv Money Market | DTS Inc |
| | Dreyfus Var Inv Quality Bond | |

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|---|---------------------------------------|---|
| Duane Reade Inc | DWS Blue Chip | DWS Govt & Agency Securities Portfolio |
| DUCKWALL ALCO STORES INC | DWS Blue Chip VIP | DWS Govt & Agency VIP |
| Ducommun Inc | DWS CA Tax-Free Income | DWS Growth & Income VIP |
| Duff & Phelps Corp | DWS Capital Growth | DWS High Income |
| Duff & Phelps Utility and Corporate Bond Trust Inc. | DWS Capital Growth VIP | DWS High Income Opportunities Fund Inc |
| Duff & Phelps Utility and Infrastructure Fund | DWS Cash Investment Trust | DWS High Income VIP |
| Duke Energy Corp | DWS Communication | DWS Intermediate Tax Free |
| Duke Realty Corp | DWS Core Equity | DWS International Growth |
| Duluth Holdings Inc | DWS Core Fixed Income | DWS International Select Equity VIP |
| Dun & Bradstreet Holdings Inc | DWS Core Fixed Income VIP | DWS International VIP |
| DUNCAN ENERGY PARTNERS LP | DWS Core Plus Income | DWS Intl Equity |
| DUNE ENERGY INC | DWS CROCI Equity Dividend | DWS Intl Select Eqty |
| Dunkin' Brands Group Inc | DWS CROCI International | DWS Large Cap Value VIP |
| Duolingo Inc | DWS CROCI US | DWS Large Company Growth |
| Duoyuan Global Water Inc | DWS Davis Venture Value VIP | DWS Latin America Equity |
| Duoyuan Printing Inc | DWS Disciplined Market Neutral | DWS LifeCompass 2015 |
| DuPont De Nemours Inc | DWS Dreman Small Mid Cap Value VIP | DWS LifeCompass 2020 |
| DuPont Fabros Technology Inc | DWS EAFE Equity Index | DWS Lifecycle Long Range |
| DUPONT PHOTOMASKS INC | DWS Emerging Market Fixed Income | DWS Managed Muni Bond |
| Dupree KY TxFr Income | DWS Emerging Markets Equity | DWS Mid Cap Growth |
| DUQUESNE LIGHT HOLDINGS INC | DWS Enhanced Commodity Strategy | DWS MM Prime |
| DURA AUTOMOTIVE SYS -CL B | DWS Equity 500 Index | DWS Money Market VIP |
| Duraswitch Inds Inc | DWS Equity 500 Index VIP | DWS NY TxFr Income |
| Durata Therapeutics Inc | DWS Equity Partners | DWS RREEF Global Infrastructure |
| DURATEK INC | DWS ESG Liquidity | DWS RREEF Global Real Estate Securities |
| DURAVEST INC | DWS Europe Equity | DWS RREEF Real Assets |
| Durect Corp | DWS Global Commodities Stock fund Inc | DWS RREEF Real Estate Fund II |
| DUSA Pharmaceuticals Inc | DWS Global High Income | DWS RREEF Real Estate Fund Inc |
| DUSKA THERAPEUTICS INC | DWS Global Income Builder | DWS RREEF Real Estate Securities |
| Dussault Apparel Inc | DWS Global Opportunity VIP | DWS S&P 500 Index |
| Dutch Bros Inc | DWS GNMA | DWS Science and Technology |
| DUTCH GOLD RESOURCES INC | DWS Gold & Precious Metals Management | DWS Short Duration |
| Dutchfork Bancshares Inc | DWS Government Cash Management | DWS Short Term Bond |
| DWave Quantum Inc | DWS Government Money Market Series | DWS Small Cap Growth |
| DWS Balanced VIP | DWS Govt & Agency Money | DWS Small Cap Growth VIP |
| | | DWS Small Cap Index VIP |

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| DWS Small Cap Value | Eagle Bancorp Inc | EasyLink Services |
| DWS Strategic High Yield Tax-Free | Eagle Bancorp Montana Inc | International Corp |
| DWS Strategic Value VIP | EAGLE BROADBAND INC | Eaton Corp Plc |
| DWS Treasury Portfolio | Eagle Bulk Shipping Inc | Eaton Vance AMT Free Muni Income |
| DWS TxEx Money | Eagle Financial Services Inc | Eaton Vance Asian Sm Co |
| DWS US Bond Index | EAGLE HOSPITALITY PROP TR | Eaton Vance Atlanta Capital Focused Growth |
| DWS Value Builder | Eagle Intl Equity | Eaton Vance Atlanta Capital Select Equity |
| Dwyer Group Inc | EAGLE MATERIALS INC | Eaton Vance Atlanta Capital SMID Cap |
| DXC Technology Co | Eagle Mid Cap Stock | Eaton Vance Balanced |
| Dyadic International Inc | Eagle MLP Strategy | Eaton Vance CA Municipal Opportunities |
| DYAX CORP | Eagle Money Market | Eaton Vance California Municipal Bond Fund |
| Dycom Industries Inc. | Eagle Muni Money Market | Eaton Vance Cash Management |
| DYNABAZAAR INC | Eagle Pharmaceuticals Inc | Eaton Vance Commodity Strategy |
| DYNACQ HEALTHCARE INC | Eagle Rock Energy Partners LP | Eaton Vance Corp. |
| Dynamex Inc. | EAGLE TEST SYSTEMS INC | Eaton Vance Diversified Currency Income |
| Dynamic Oil & Gas Inc | Eargo Inc | Eaton Vance Dividend Builder |
| Dynamic Oil & Gas Services Portfolio | EarthLink Holdings Corp | Eaton Vance Emerging Markets Debt Opportunities |
| Dynamics Research Corp | Earthstone Energy Inc | Eaton Vance Emerging Markets Local Income |
| Dynasil Corp Of America | EAST DELTA RESOURCES CORP | Eaton Vance Enhanced Equity Income Fund |
| DYNAVAX TECHNOLOGIES CORP | EAST PENN FINANCIAL CORP | Eaton Vance Enhanced Equity Income Fund II |
| DynaVox Inc | East Resources Acquisition Co | Eaton Vance FL Muni |
| Dyncorp International Inc | East West Bancorp Inc | Eaton Vance Floating Rate Advantage |
| Dyne Therapeutics Inc | Easterly Global Real Estate Properties Inc | Eaton Vance Floating Rate High Income |
| Dynegy Inc. | Easterly Government Properties Inc | Eaton Vance Floating-Rate Income Trust |
| Dyntek Services Inc | Eastern American Natural Gas Trust | Eaton Vance Global Dividend Income |
| E DIGITAL CORP | Eastern Bankshares Inc | |
| E Merge Technology Acquisition Corp | Eastern Co (The) | |
| E*Trade CA Muni Money Market | Eastern Environment Solutions Corp | |
| E*Trade Govt Money Market | Eastern Goldfields Inc | |
| E*Trade Money Market | Eastern Insurance Holdings Inc | |
| E*Trade Muni Money Market | Eastern Virginia Bankshares Inc | |
| E*Trade S&P 500 Index | EastGroup Properties Inc. | |
| E. I. du Pont de Nemours and Co | EASTMAN CHEMICAL CO | |
| e.l.f. Beauty Inc | Eastman Kodak Co | |
| E.ON AG | EASYLINK SERVICES CP -CL A | |
| E.PIPHANY INC | | |
| E2open Inc | | |
| EACO Corp | | |

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| Eaton Vance Global Macro Absolute Return | Eaton Vance PA Muni Income | Eaton Vance Tax-Advantaged Dividend Income Fund |
| Eaton Vance Global Macro Absolute Return Advantage | Eaton Vance Prime Rate Reserve | Eaton Vance Tax-Advantaged Global Dividend Income Fund |
| Eaton Vance Govt Obligation | Eaton Vance Richard Bernstein All Asset Strategy | Eaton Vance Tax-Managed Buy-Write Income Fund |
| Eaton Vance Greater India | Eaton Vance Richard Bernstein Equity Strategy | Eaton Vance Tax-Managed Buy-Write Opportunities Fund |
| Eaton Vance Grtr China Grow | Eaton Vance Risk Managed Equity Option Income | Eaton Vance Tax-Managed Diversified Equity Income Fund |
| Eaton Vance High Income Opportunities | Eaton Vance Risk-Managed Diversified Equity Income Fund | Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund |
| Eaton Vance High Yield Muni Income | Eaton Vance Senior Floating Rate Trust | Eaton Vance Tax-Managed Global Diversified Equity Income Fund |
| Eaton Vance Inc of Boston | Eaton Vance Senior Income Trust | Eaton Vance Tax-Managed Value |
| Eaton Vance Instl Short Income | Eaton Vance Short Duration Diversified Income Fund | Eaton Vance Total Return Bond |
| Eaton Vance Instl Short Treas | Eaton Vance Short Duration Government Income | Eaton Vance VT Fltng Rate Inc |
| Eaton Vance Large Cap Value | Eaton Vance Short Duration Municipal Opportunities | Eaton Vance Worldwide Hlth Sci |
| Eaton Vance Limited Duration Income Fund | Eaton Vance Short Duration Strategic Income | eBay Inc. |
| Eaton Vance MA Muni | Eaton Vance Short Inflation-Protected Income | Ebix Inc |
| Eaton Vance Multi Strategy Absolute Return | Eaton Vance TABS Short-Term Municipal Bond | EBOOKERS PLC |
| Eaton Vance Multisector Income | Eaton Vance Tax Advantaged Global Dividend Opportunities Fund | ECA Marcellus Trust I |
| Eaton Vance Municipal Bond Fund | Eaton Vance Tax Managed Equity Asset Allocation | ECARX Holdings Inc |
| Eaton Vance Municipal Income Trust | Eaton Vance Tax Managed Global Dividend Income | ECB Bancorp Inc |
| Eaton Vance Municipal Opportunities | Eaton Vance Tax Managed Growth 1.0 | ECC CAPITAL CORP |
| Eaton Vance National Limited Maturity Municipal Income | Eaton Vance Tax Managed Growth 1.1 | ECCO ENERGY CORP |
| Eaton Vance National Muni Income | Eaton Vance Tax Managed Growth 1.2 | Echelon Corp |
| Eaton Vance National Municipal Opportunities Trust | Eaton Vance Tax Mngd Sm Cp Gro | Echo Global Logistics Inc |
| Eaton Vance National Ultra-Short Municipal Income | | Echo Therapeutics Inc |
| Eaton Vance NJ Muni Income | | EchoStar Corp |
| Eaton Vance NY Muni Income | | ECI TELECOMMUNICATIONS -ORD |
| Eaton Vance OH Muni Income | | Eclipsys Corp |
| | | Ecoark Holdings Inc |
| | | Ecolab Inc. |
| | | ECOLLEGE.COM |

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Ecology and Environment
 Inc.
 E-Commerce China
 Dangdang Inc
 Ecopetrol SA
 EcoReady Corp
 Ecosphere Technologies Inc
 ECOST.COM INC
 Ecotality Inc
 Ecovyst Inc
 ECtel Ltd Petah Tikva
 EDAC TECHNOLOGIES CORP
 EDAP TMS SA
 EDCI Holdings Inc
 Eddie Bauer Holdings Inc
 Edelbrock Corp
 Edelman Financial Group Inc
 Eden Bioscience Corp
 EDEN ENERGY CORP
 Edesa Biotech Inc
 EDGAR Online Inc
 EDGE PETROLEUM CORP
 Edge Phnx Strategic Alloc
 Edge Phnx-Capital Growth
 Edgen Group Inc
 Edgewater Foods
 International Inc
 Edgewater Technology Inc
 Edgewell Personal Care Co
 Edgewise Therapeutics Inc
 Edgewood Growth
 Edgio Inc
 eDiets.com Inc
 Edison International
 Edison Schools Inc
 Editas Medicine Inc
 EDO CORP
 Edoc Acquisition Corp
 EDP-Energias de Portugal SA
 Educate Inc
 Education Lending Group Inc
 Education Management Corp
 Education Realty Trust Inc

EDUCATIONAL
 DEVELOPMENT CORP
 Edward Jones Money Market
 EDWARDS (A G) INC
 Edwards J D & Co
 Edwards Lifesciences Corp
 EFC BANCORP INC
 eFFECTOR Therapeutics Inc
 EFJohnson Technologies Inc
 EFT BioTech Holdings Inc
 EFUNDS CORP
 e-Future Information
 Technology Inc
 EG Acquisition Corp
 eGain Corp
 Egalet Corp
 EGL INC
 eHealth Inc
 eHi Car Services Ltd
 E-House (China) Holdings Ltd
 Eidos Therapeutics Inc
 Eiger BioPharmaceuticals,
 Inc.
 EII Global Property
 EII International Property
 Einstein Noah Restaurant
 Group Inc
 Ekso Bionics Holdings Inc
 El Capitan Precious Metals
 Inc
 EL PASO ELECTRIC CO
 El Paso Holding Co
 El Paso Pipeline Partners LP
 Elamex S A De Cv
 ELAN CORP PLC
 Elanco Animal Health Inc
 eLandia International Inc
 Elastic N.V.
 Elbit Imaging Ltd
 Elbit Systems Ltd
 ELCOM INTERNATIONAL INC
 Elder Beerman Stores Corp
 Eldertrust
 Eldorado Gold Corp

ELECTR CLEARING HOUSE
 Electra Battery Materials
 Corporation
 Electrameccanica Vehicles
 Corp
 ELECTRIC AQUAGENICS
 UNLIMITD
 ELECTRO ENERGY INC
 ELECTRO RENT CORP
 Electro Scientific Industries
 Inc
 ElectroCore Inc
 ELECTROLUX AB
 Electromed Inc
 Electronic Arts Inc
 Electronic Cigarettes
 International Group Ltd
 Electronic Data Systems Corp
 ELECTRONIC SENSOR TECH
 INC
 ELECTRONICS BOUTIQUE
 HLDG CP
 Electronics for Imaging Inc
 Electrum Special Acquisition
 Corp
 Eledon Pharmaceuticals Inc
 Element Solutions Inc
 Elements International
 Portfolio
 Elements U.S. Portfolio
 Elements U.S. Small Cap
 Portfolio
 Elevance Health Inc
 Elevate Credit Inc
 Elevation Oncology Inc
 Efun Diversified
 Efun Income
 Efun International Equity
 Efun Money Market
 Efun Tax Exempt Income
 Efun Trusts
 Eli Lilly and Co
 Eliem Therapeutics Inc
 ELINEAR INC

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| | | |
|-------------------------------------|---|---|
| Elio Motors Inc | Emerald Health Therapeutics Inc | Empower International Index |
| ELITE PHARMACEUTICALS INC | Emerald Holding Inc | Empower International Value |
| ELIZABETH ARDEN INC | Emerald Oil, Inc. | Empower Large Cap Growth |
| ELKCORP | Emerge Energy Services LP | Empower Large Cap Value |
| Ellie Mae Inc | EMERGE INTERACTIVE INC | Empower Lifetime 2015 |
| Ellington Financial Inc | EMERGENCY FILTRATION PRODS | Empower Lifetime 2025 |
| Ellington Residential Mortgage REIT | Emergency Medical Services Corp | Empower Lifetime 2035 |
| Ellomay Capital Ltd | Emergent BioSolutions Inc | Empower Lifetime 2045 |
| Elme Communities | Emergent Capital | Empower Mid Cap Value |
| E-LOAN INC | EMERGENT GROUP INC | Empower Moderate Profile |
| eLong Inc | EMERGING MARKETS GROWTH | Empower Moderately Aggressive Profile |
| Eloxx Pharmaceuticals Inc | Emerging Markets Internet & Ecommerce ETF | Empower Moderately Conservative Profile |
| Elnor Resources Inc | Emerging Media Holdings Inc | Empower Multi-Sector Bond |
| Elron Electronic Industries Ltd | Emeritus Corp | Empower Real Estate Index |
| ELSCINT LTD -ORD | Emerson Electric Co. | Empower S&P 500 Index |
| Elster Group SE | Emerson Radio Corp | Empower S&P MidCap 400 |
| Emageon Inc | Emisphere Technologies Inc | Empower S&P Small Cap 600 Index |
| eMagin Corp | Emmaus Life Sciences Inc | Empower SecureFoundation Balanced |
| EMAK WORLDWIDE INC | Emmis Communications Corp | Empower T Rowe Mid Cap Growth |
| Emazing Interactive Inc | Empeiria Acquisition Corp | Empower US Government Securities |
| EMBARCADERO TECHNOLOGIES INC | Empire District Electric Co. | Emrise Corp |
| Embark Technology Inc | Empire Energy Corp Intl | EMS Technologies Inc |
| Embarq Corp | Empire Petroleum Corp | Emulex Corp. |
| Embassy Bancorp Inc | Empire Resorts Inc | EMX Royalty Corp |
| Embeta Corp | EMPIRE RESOURCES INC | En Pointe Technologies Inc |
| Embotelladora Andina SA | Empire State Realty OP LP | En2Go International Inc |
| Embraer SA | Empire State Realty Trust Inc | Enable Midstream Partners LP |
| EMBRATEL PARTICIPACOES SA | Empire Water Corp | Enact Holdings Inc |
| EMBREX INC | Employers Holdings Inc | Enanta Pharmaceuticals Inc |
| EMC Corp | Empower Aggressive Profile | ENB Financial Corp |
| EMC Insurance Group Inc | Empower Bond Index | Enbridge Energy Partners LP |
| Emclair Financial Corp | Empower Conservative Profile | Enbridge Inc |
| EMCOR Group Inc. | Empower Core Strategies: Flexible Bond | Encompass Health Corp |
| Emdeon Inc | Empower Emerging Markets Equity | Encore Acquisition Co. |
| Emera Inc | Empower Government Money Market | Encore Bancshares Inc |
| Emerald Banking & Finance | Empower High Yield Bond | Encore Capital Group Inc |
| Emerald Dairy Inc | | Encore Energy Corp |
| Emerald Growth | | |

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| | | |
|--|-------------------------------------|--|
| Encore Energy Partners LP | Energy Fuels Inc | Enochian Biosciences Inc |
| ENCORE MEDICAL CORP | Energy Recovery Inc | Enova International Inc |
| Encore Wire Corp | Energy Services of America Corp | ENOVA SYSTEMS INC |
| ENCORIUM GROUP INC | Energy Transfer LP | Enovis Corporation |
| Encysce Biosciences Inc | Energy Transfer Operating LP | Enovix Corp |
| ENCYSIVE | Energy Transfer Partners LP | ENPATH MEDICAL INC |
| PHARMACEUTICALS INC | Energy Vault Holdings Inc | Enphase Energy Inc |
| Endava plc | Energy XXI (Bermuda) Ltd | EnPro Industries Inc. |
| ENDEAVOR ACQUISITION CORP | Energy XXI Gulf Coast Inc | Enservco Corp |
| Endeavor Group Holdings Inc | Energy XXI Ltd | Ensign Group Inc (The) |
| Endeavor Power Corp | EnergyConnect Group Inc | ENSTAR GROUP INC |
| Endeavour International Corp | EnergySolutions Inc | Enstar Group Ltd |
| Endeavour Silver Corp | ENERGYSOUTH INC | Entasis Therapeutics Holdings Inc |
| ENDESA SA | ENERGYTEC INC | Entech Solar Inc |
| Endo International plc | EnerNOC Inc | Entegra Financial Corp |
| Endocardial Solutions Inc | Enerpac Tool Group Corp | Entegris Inc |
| Endocare Inc | Enerplus Corp | Entellus Medical Inc |
| EndoChoice Holdings Inc | EnerSys | Entera Bio Ltd |
| Endocyte Inc | ENESCO GROUP INC | ENTERASYS NETWORKS INC |
| Endologix Inc | Eneti Inc | EnterConnect Inc |
| ENDRA Life Sciences Inc | Enfusion Inc | Energery corporation |
| Endurance International Group Holdings Inc | Engage Inc | EnteroMedics Inc |
| Endurance Specialty Holdings Inc | EngageSmart LLC | Enterprise 4.0 Technology Acquisition Corp |
| Endwave Corp | ENGEL GENERAL DEVELOPERS LTD | Enterprise Acquisition Corp |
| Enel Americas SA | ENGELHARD CORP | Enterprise Financial Services Corporation |
| Enel Chile SA | Engility Holdings Inc | Enterprise Government Sec |
| Enel Generacion Chile SA | Engine Gaming and Media Inc | ENTERPRISE GP HOLDINGS LP |
| ENEL SPA | ENGINEERED SUPPORT SYSTEMS | Enterprise Growth |
| Ener1 Inc | ENGlobal Corp | Enterprise High Yield Bd |
| Ener-Core Inc | Enhabit Inc | Enterprise Money Market |
| Enerflex Ltd | Enhance Skin Products Inc | Enterprise Products Partners LP |
| Energen Corp. | Eni SpA | Entertainment Gaming Asia Inc |
| Energizer Holdings Inc | ENIGMA SOFTWARE GROUP INC | Enthusiast Gaming Holdings Inc |
| Energous Corp | Enlink Midstream LLC | Entorian Technologies Inc |
| Energtek Inc | EnLink Midstream Partners LP | Entrada Therapeutics Inc |
| ENERGY & ENGINE TECHNOLOGICAL CORP | Enliven Marketing Technologies Corp | Entravision Communications Corp. |
| Energy Composites Corp | Ennis Inc | Entree Resources Ltd |

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|------------------------------|------------------------------|-------------------------------|
| Entropic Communications Inc | Epoch Biosciences Inc | EQ/Fidelity Institutional AM |
| Entrust Inc | EPOCH HOLDING CORP | Large Cap |
| Envestnet Inc | Epocrates Inc | EQ/Franklin Balanced |
| ENVIROKARE TECH INC | EPR Properties | Managed Volatility |
| Environmental Control Corp | Epresence Inc | EQ/Franklin Templeton |
| ENVIRONMENTAL POWER | Epsilon Energy Ltd | Allocation Managed Volatility |
| CORP | EQ/2000 Managed Volatility | EQ/Global Bond PLUS |
| Environmental Solutions | EQ/400 Managed Volatility | EQ/Global Equity Managed |
| Worldwide Inc | EQ/500 Managed Volatility | Volatility |
| Environmental Tectonics | EQ/AB Dynamic Growth | EQ/Goldman Sachs |
| Corp | EQ/AB Dynamic Moderate | Moderate Growth Allocation |
| Envision Healthcare Corp | Growth | EQ/Growth Strategy |
| Envision Healthcare Holdings | EQ/AB Short Duration | EQ/Intermediate |
| Inc | Government Bond | Government Bond |
| Envista Holdings Corp | EQ/AB Small Cap Growth | EQ/International Core |
| Enviva Inc | EQ/Aggressive Allocation | Managed Volatility |
| Envivio Inc | EQ/Aggressive Growth | EQ/International Equity |
| Envoy Capital Group Inc | Strategy | Index |
| Enwin Resources Inc | EQ/All Asset Allocation | EQ/International Managed |
| ENXNET INC | EQ/Alliance Bernstein | Volatility |
| Enzo Biochem Inc. | Growth & Income | EQ/International Value |
| Enzon Pharmaceuticals Inc | EQ/AllianceBernstein Short | Managed Volatility |
| EOG Resources Inc. | Term Bond | EQ/Intl ETF |
| EON COMMUNICATIONS | EQ/American Century Mid | EQ/Janus Enterprise |
| CORP | Cap Value | EQ/Janus Large Cap Growth |
| EON LABS INC | EQ/AXA Franklin Small Cap | EQ/JPMorgan Value |
| Eos Energy Enterprises Inc | Value Core | Opportunities |
| EOS Inc | EQ/Balanced Strategy | EQ/Large Cap Core Managed |
| Eos International Inc | EQ/Cap Guardian Growth | Volatility |
| EP Energy Corp | EQ/Cap Guardian US Equity | EQ/Large Cap Growth Index |
| EP MEDSYSTEMS INC | EQ/Capital Group Research | EQ/Large Cap Growth |
| EPAM Systems Inc | EQ/ClearBridge Select Equity | Managed Volatility |
| EPHS Holdings Inc | Managed Volatility | EQ/Large Cap Value Index |
| Epic Energy Resources Inc | EQ/Common Stock Index | EQ/Large Cap Value |
| EPICEPT CORP | EQ/Conservative Allocation | Managed Volatility |
| Epicor Software Corp | EQ/Conservative Growth | EQ/Long Term Bond |
| EPIMMUNE INC | Strategy | EQ/Long-Term Bond |
| Epiphany Technology | EQ/Conservative Plus | EQ/Loomis Sayles Growth |
| Acquisition Corp | EQ/Conservative Strategy | EQ/Lord Abbett Mid Cap |
| EPIQ SYSTEMS INC | EQ/Core Bond Index | Value |
| EPIXTAR CORP | EQ/Core Plus Bond | EQ/MFS International |
| Epizyme Inc | EQ/Davis NY Venture | Growth |
| EPL Oil & Gas Inc | EQ/Equity 500 Index | EQ/MFS International |
| EPLUS INC | EQ/Equity Growth PLUS | Intrinsic Value |

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| EQ/MFS Technology | Equity Bancshares Inc | Essendant Inc |
| EQ/Mid Cap Index | Equity Commonwealth | Essent Group Ltd |
| EQ/Mid Cap Value Managed Volatility | Equity Distribution Acquisition Corp | Essential Properties Realty Trust Inc. |
| EQ/Moderate Allocation | EQUITY INNS INC | Essential Realty Inc |
| EQ/Moderate Growth Strategy | EQUITY OFFICE PROPERTIES TR | Essential Therapeutics Inc |
| EQ/Moderate Plus Allocation | Equity Oil Co | Essential Utilities Inc |
| EQ/Money Market | Equity One Inc. | ESSEX CORP |
| EQ/Morgan Stanley Small Cap Growth | Equity Residential | Essex Property Trust Inc. |
| EQ/PIMCO Ultra Short Bond | Erasca Inc | Essex Rental Corp |
| EQ/Quality Bond PLUS | ERBA Diagnostics Inc | Establishment Labs Holdings Inc |
| EQ/Short Duration Bond | eResearch Technology Inc | Estee Lauder Cos Inc (The) |
| EQ/Small Company Index | ERF WIRELESS INC | Esterline Technologies Corp. |
| EQ/T Rowe Growth Stock | ERHC ENERGY INC | Estre Ambiental Inc |
| EQ/Templeton Global Equity Managed Volatility | Erickson Inc | Etablissements Delhaize |
| EQ/Ultra Conservative Strategy | ERIE FAMILY LIFE INS CO | Freres Et Cie Le Lion - Groupe Delhaize |
| EQ/Value Equity | Erie Indemnity Co | Etelos Inc |
| EQ/Van Kampen Comstock | Ermenegildo Zegna N V | Eternal Technologies Group Inc |
| EQ/Van Kampen Real Estate | Ero Copper Corp. | ETF Managers Trust - ETFMG Prime Cyber Security ETF |
| EQ/Wells Fargo Omega Growth | Erytech Pharma SA | ETF Series Solutions - Aptus Defined Risk ETF |
| EQAT Allnc Technology | ESB FINANCIAL CORP | ETF Series Solutions - Distillate US Fundamental Stability & Val |
| EQAT Balanced | Escalade Inc | ETF Series Solutions Defiance |
| Eqonex Limited | Escalon Medical Corp. | 5G Next Gen Connectivity ETF |
| EQRx Inc | ESCHELON TELECOM INC | ETF Series Solutions Vident Core U.S. Bond Strategy Fund |
| EQT Corp | ESCO Technologies Inc. | ETF Series Solutions Vident Core U.S. Equity Fund |
| EQT GP Holdings LP | Esg Re Ltd | ETF Series Solutions Vident International Equity Fund |
| Equal Energy Ltd | ESGEN Acquisition Corporation | ETFis Series Trust I - InfraCap MLP ETF |
| Equant Nv | ESM Acquisition Corp | ETFis Series Trust I - Virtus InfraCap U.S. Preferred Stock Etf |
| Equifax Inc. | Esmark Inc | ETFMG Alternative Harvest ETF |
| Equillium Inc | Esperanza Resources Corp | |
| Equinix Inc | Esperion Therapeutics Inc | |
| Equinor ASA | Espey Manufacturing & Electronics Corp. | |
| Equinox Gold Corp | ESPIRITO SANTO FINANCIAL GRP | |
| Equinox IPM Systematic Macro | eSports Entertainment Group Inc | |
| Equinox MutualHedge | Esquire Financial Holdings Inc | |
| Futures Strategy | ESS TECH INC. | |
| Equitable Holdings Inc | ESS Technology Inc | |
| Equitrans Midstream Corp | ESSA Bancorp Inc | |
| | ESSA Pharma Inc | |

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| ETFMG Prime Junior Silver ETF | Evergreen CA Muni MM | Evergreen PA Muni MM |
| ETFMG Prime Mobile Payments ETF | Evergreen Capital Growth | Evergreen Prime Cash Mgmt |
| Ethan Allen Interiors Inc | Evergreen Core Bond | Evergreen Resources |
| ETHOS ENVIRONMENTAL INC | Evergreen Core Equity | Evergreen SC Muni |
| Eton Pharmaceuticals Inc | Evergreen Core Plus Bond | Evergreen Select High Yield |
| ETRIALS WORLDWIDE INC | Evergreen Disciplined Value | Evergreen Short Intrmd Bond |
| Etsy Inc | Evergreen Emerging Markets Growth | Evergreen Short Intrmd Muni |
| Eucrates Biomedical Acquisition Corp | Evergreen Energy Inc | Evergreen Small Cap Value |
| Euoko Group Inc | Evergreen Equity Income | Evergreen SNAP Money Market |
| Eupa International Corp | Evergreen Equity Index | Evergreen Solar Inc |
| Eurand NV | Evergreen FL Muni | Evergreen Special Equity |
| EURASIA ENERGY LTD | Evergreen FL Muni MM | Evergreen Special Values |
| Eureka Prime MM | Evergreen Foundation | Evergreen Strategic Value |
| EURO TECH HOLDINGS CO LTD | Evergreen Fund | Evergreen Treas MMP |
| EUROGAS INC | Evergreen Global Leaders | Evergreen Ultra Short Opportunities |
| Euronet Worldwide Inc | Evergreen Growth | Evergreen US Government MM |
| Europacific Growth Fund | Evergreen Healthcare | Evergreen US Govt Securities |
| European Wax Center Inc | Evergreen High Income Muni | Evergreen Utilities & High Income Fund |
| Euroseas Ltd | Evergreen Instl 100% Treas MM | Evergreen VA Muni |
| EuroSite Power Inc | Evergreen Instl Enhanced Income | Evergreen Var Intl Equity |
| EUROTRUST A/S -ADR | Evergreen Instl MM | EVERGREENBANCORP INC |
| EV ENERGY PARTNERS LP | Evergreen Instl Treasury MM | Everi Holdings Inc |
| ev3 Inc | Evergreen Instl US Govt MM | EVERLAST WORLDWIDE INC |
| Evans & Sutherland Computer Corp | Evergreen International Equity | Evermore Global Value |
| EVCI CAREER COLLEGES INC | Evergreen Intrinsic World Equity | EverQuote Inc |
| Eve Holding Inc | Evergreen Intrmd Muni Bond | Eversource Energy |
| EVe Mobility Acquisition Corp | Evergreen Large Company Growth | Everspin Technologies Inc |
| Evelo Biosciences Inc | Evergreen Limited Duration | EVERTEC Inc |
| Eventide Gilead | Evergreen Market Index | Evertrust Finl Group Inc |
| Eventide Healthcare & Life Sciences | Evergreen Market Index Gro | Everyday Health Inc |
| EverBank Financial Corp | Evergreen Market Index Val | EveryWare Global, Inc. |
| Evercore Inc | Evergreen Mid Cap Growth | EVgo Inc |
| Everest Re Group Ltd | Evergreen Money Market | Evi Industries Inc |
| Ever-Glory International Group Inc | Evergreen Muni Bond | EVO Payments Inc |
| Evergreen Blue Chip | Evergreen Muni Money Mkt | EVO Transportation & Energy Services Inc |
| Evergreen CA Muni Bond | Evergreen NC Muni | Evofem Biosciences Inc |
| | Evergreen NJ Muni Bond | Evogene Ltd |
| | Evergreen NJ Muni MM | Evolent Health Inc |
| | | Evolus Inc |

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| Evolution Petroleum Corp | ExOne Co (The) | FAB Universal Corp |
| Evolving Systems Inc | eXp World Holdings Inc | Fabrinet |
| Evotec SE | Expedia Group Inc | Facet Biotech Corp |
| Exa Corp | Expedition MMF | Factory 2-U Inc |
| EXABYTE CORP | Expeditors International of | FACTORY CARD OUTLET & |
| Exact Sciences Corporation | Washington Inc. | PTY CP |
| Exactech Inc | Expensify Inc | FactSet Research Systems |
| ExactTarget Inc | Exploration Drilling | Inc. |
| Exagen Inc | International Inc | Factual Data Corp |
| ExamWorks Group Inc | Exponent Inc | Fair Isaac Corporation |
| Exar Corp | Express Inc | Fairchild Semiconductor |
| Exceed Co Ltd | Express Scripts Holding Co | International Inc. |
| Excel Maritime Carriers Ltd | ExpressJet Holdings Inc. | Fairfax Financial Holdings Ltd |
| EXCEL TECHNOLOGY INC | EXPRO GROUP HOLDINGS | Fairholme Fund |
| Excel Trust Inc | N.V. | FAIRMONT HOTELS RESORTS |
| Excelerate Energy Inc | Exscientia plc | INC |
| ExcelFin Acquisition Corp | Extended Stay America Inc | Fairmount Santrol Holdings |
| EXCELLIGENCE LEARNING | EXTENDED SYSTEMS INC | Inc |
| CORP | EXTENDICARE INC -SVTG | FairPoint Communications |
| Excellon Resources Inc | Exterran Corp | Inc |
| Excelsior Govt MMF | Extorre Gold Mines Ltd | Fairway Group Holdings Corp |
| Excelsior Intrmd TxEx | Extra Space Storage Inc | FALCON NATURAL GAS CORP |
| Excelsior NY Tax Exempt | Extraction Oil & Gas Inc | FALCON PRODUCTS INC |
| MMF | Extreme Networks Inc | FALCONBRIDGE LTD |
| Excelsior Short Trm Govt Sec | Exult Inc | FalconStor Software Inc |
| Excelsior Short Trm TxEx | EXX INC | FAM Dividend Focus |
| Secu | Exxon Mobil Corp | FAM Ser Merc Fundamental |
| Excelsior Total Return Bond | Eyenovia Inc | Growth Strategy |
| Excelsior Treasury MMF | EyePoint Pharmaceuticals Inc | FAM Value |
| Excelsior TxEx MMF | EYETECH PHARMACEUTICALS | Family Dollar Stores Inc. |
| Exchange Traded Concepts | INC | FAMILYMEDS GROUP INC |
| Trust Robo Global Robotics | EZchip Semiconductor Ltd | Fangdd Network Group Ltd |
| and Automati | EZCORP Inc | Fanhua Inc |
| EXCO Resources Inc | E-Z-EM INC | FANTATECH INC |
| Exe Technologies Inc | EZENIA INC | Fao Inc |
| EXELA TECHNOLOGIES, INC. | F & M Bancorp/Md | FAR EAST ENERGY CORP |
| Exelis Inc | F & M Bank Corp | Far Peak Acquisition Corp |
| EXELIXIS INC | F N B CORP/VA | Far Point Acquisition Corp |
| Exelon Corp | F&C/Claymore Pfd Sec Inc Fd | Faraday Future Intelligent |
| EXFO Inc | F&G Annuities & Life Inc | Electric Inc. |
| Exicure Inc | F.N.B. Corp | Farfetch Ltd |
| Exide Technologies | F45 Training Holdings Inc | FARGO ELECTRONICS INC |
| ExlService Holdings Inc | F5 Inc | Farmer Brothers Co |
| Exobox Technologies Corp | Fab Industries Inc | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
 Share as of January 15, 2024

| | | |
|--|--|---|
| Farmers & Merchants Bancorp | Federated American Leaders II | Federated Hermes Institutional Prime Obligations |
| Farmers & Merchants Bancorp Inc | Federated Capital Appreciation | Federated Hermes Institutional Prime Value Obligations |
| Farmers Capital Bank Corp | Federated Clover Small Value | Federated Hermes Institutional Tax-Free Cash |
| Farmers National Banc Corp/OH | Federated Clover Value | Federated Hermes International Equity |
| Farmland Partners Inc | Federated Fund for US Govt | Federated Hermes International Growth |
| FARO Technologies Inc | Federated Fund for US Govt II | Federated Hermes International Leaders |
| Fast Acquisition Corp | Federated GNMA | Federated Hermes International Strategic Value Dividend |
| Fast Radius Inc | Federated Government Income Trust | Federated Hermes Kaufmann Large Cap |
| Fastenal Co | Federated Govt Inc Sec | Federated Hermes Kaufmann Small Cap |
| Fastly Inc | Federated Hermes CA Municipal Cash | Federated Hermes Managed Volatility II |
| Fat Brands Inc | Federated Hermes Capital Income | Federated Hermes MDT Large Cap Value |
| Fate Therapeutics Inc | Federated Hermes Capital Reserve | Federated Hermes MDT Mid Cap Growth |
| Fathom Digital Manufacturing Corporation | Federated Hermes Corporate Bond | Federated Hermes MDT Small Cap Core |
| Fathom Holdings Inc | Federated Hermes Equity Income | Federated Hermes MDT Small Cap Growth |
| Fauquier Bankshares Inc | Federated Hermes Floating Rate Strategic Income | Federated Hermes Municipal & Stock Advantage |
| FBL Financial Group Inc. | Federated Hermes Government Obligations | Federated Hermes Municipal High Yield Advantage |
| FBR & Co | Federated Hermes | Federated Hermes Municipal Obligations |
| FBR Fund For Govt Inv | Federated Hermes Government Obligations Tax-Managed | Federated Hermes Municipal Ultrashort |
| FBR Small Cap Financial | Federated Hermes Government Reserves | Federated Hermes Opportunistic High Yield Bond |
| FCB Financial Holdings Inc | Federated Hermes | |
| FCStone Group Inc | Federated Hermes Government Ultrashort | |
| FEATHERLITE INC | Federated Hermes High Income Bond | |
| FEC RESOURCES INC | Federated Hermes Inc | |
| FEDDERS CORP | Federated Hermes Institutional High Yield Bond | |
| Federal Home Loan Mortgage Corp | Federated Hermes | |
| Federal National Mortgage Association | Federated Hermes Institutional Money Market Management | |
| Federal Realty Investment Trust | | |
| FEDERAL SCREW WORKS | | |
| Federal Signal Corp. | | |
| Federal Street Acquisition Corp | | |
| FEDERAL TRUST CORP | | |
| Federal-Mogul Holdings Corp | | |
| Federated Adjustable Rate Sec | | |
| Federated American Leaders | | |

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| | | |
|---|---|--|
| Federated Hermes Prime Cash Obligations | Federated MDT Balanced | FFI Treasury |
| Federated Hermes Project & Trade Finance Tender | Federated Mid Cap Index | FFLC BANCORP INC |
| Federated Hermes Short-Intermediate Municipal | Federated Mortgage | FFW CORP |
| Federated Hermes Short-Intermediate Total Return Bond | Federated Municipal Secs | FG Merger Corp |
| Federated Hermes Short-Term Income | Federated Municipal Trust | FGT-Franklin Emerging Markets Debt Opportunities |
| Federated Hermes Strategic Income | Federated New York Municipal Cash Trust | FGX International Holdings Ltd |
| Federated Hermes Strategic Value Dividend | Federated NVIT High Inc Bond | Fibermark Inc |
| Federated Hermes Tax-Free Obligations | Federated PA Muni Income | FiberNet Telecom Group Inc |
| Federated Hermes Total Return Bond | Federated Prudent Bear | Fibria Celulose SA |
| Federated Hermes Treasury Obligations | Federated Prudent DollarBear | Fibrocell Science Inc |
| Federated Hermes Trust for US Treasury Obligations | Federated Quality Bond II | FibroGen Inc |
| Federated Hermes Ultrashort Bond | Federated Stock & Bond | Fid Spartan 500 Index |
| Federated Hermes US Treasury Cash Reserves | Federated Total Return Government Bond | Fid Spartan Government Income |
| Federated Hi Yield Bond II | Federated US Government 1-3 | Fid Spartan Investment Grade |
| Federated High Income Bond II | Federated US Government 2-5 | FIDELIS ENERGY INC |
| Federated Intercontinental | FedEx Corp. | Fidelity & Guaranty Life |
| Federated Intl Equity | FedFirst Financial Corp | Fidelity 500 Index |
| Federated Intl Small Mid Co | FedNat Holding Co | Fidelity Adv Asset Manager 70% |
| Federated Intrmd Corporate Bnd | FEI Co. | Fidelity Adv Balanced |
| Federated Intrmd Muni Trust | Feihe International Inc | Fidelity Adv Biotech |
| Federated Large Cap Growth | FelCor Lodging Trust Inc | Fidelity Adv Capital Development |
| Federated Limited | FELDMAN MALL PROPERTIES INC | Fidelity Adv Consumer Discretionary |
| Federated Ltd Term Muni | FELLOWS ENERGY LTD | Fidelity Adv Diversified International |
| Federated Market Opportunity | Femasys Inc | Fidelity Adv Diversified Stock |
| Federated Master Trust | Fenix Parts Inc | Fidelity Adv Dividend Growth |
| Federated Max-Cap Index | Fennec Pharmaceuticals Inc | Fidelity Adv Emerging Asia |
| Federated MDT All Cap Core | Ferguson PLC | Fidelity Adv Emerging Markets Income |
| | Fermavir Pharmaceuticals Inc | Fidelity Adv Energy |
| | Ferrari NV | Fidelity Adv Equity Growth |
| | Ferrellgas Partners LP | Fidelity Adv Equity Income |
| | Ferro Corp. | Fidelity Adv Financial Services |
| | Ferroglobe PLC | Fidelity Adv Floating Rate High Income |
| | Feutune Light Acquisition Corporation | |
| | FFBW Inc | |
| | FFI Government | |
| | FFI Institutional Tax Exempt | |

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| | | |
|--|---------------------------------|------------------------------|
| Fidelity Adv Focused Emerging Markets | Fidelity Adv Series Small Cap | Fidelity Covington Trust - |
| Fidelity Adv Freedom 2010 | Fidelity Adv Series Stock | Fidelity Dividend ETF for |
| Fidelity Adv Freedom 2015 | Selector Large Cap Value | Rising Rate |
| Fidelity Adv Freedom 2020 | Fidelity Adv Shrt Fxd Inc | Fidelity Covington Trust - |
| Fidelity Adv Freedom 2025 | Fidelity Adv Small Cap | Fidelity MSCI Information |
| Fidelity Adv Freedom 2030 | Fidelity Adv Stock Selector All | Technology |
| Fidelity Adv Freedom 2035 | Cap | Fidelity Covington Trust - |
| Fidelity Adv Freedom 2040 | Fidelity Adv Strategic Income | Fidelity MSCI Real Estate |
| Fidelity Adv Freedom 2045 | Fidelity Adv Technology | Index ETF |
| Fidelity Adv Freedom 2050 | Fidelity Adv Utilities | Fidelity CT Muni MM |
| Fidelity Adv Freedom 2055 | Fidelity AMT Tax Free Money | Fidelity CT Municipal Income |
| Fidelity Adv Govt Invest | Fidelity Asset Manager 20% | Fidelity D & D Bancorp Inc |
| Fidelity Adv Growth & Income | Fidelity Asset Manager 30% | Fidelity Disciplined Equity |
| Fidelity Adv Growth Opportunities | Fidelity Asset Manager 40% | Fidelity Diversified |
| Fidelity Adv Health Care | Fidelity Asset Manager 50% | International K6 |
| Fidelity Adv High Inc Advantge | Fidelity Asset Manager 60% | Fidelity Diversified Intl |
| Fidelity Adv High Income | Fidelity Asset Manager 70% | Fidelity Dividend Growth |
| Fidelity Adv Industrials | Fidelity Asset Manager 85% | Fidelity Dynamic Strategies |
| Fidelity Adv International | Fidelity AZ Muni MM | Fidelity Emerging Asia |
| Capital Appreciation | Fidelity Balanced | Fidelity Emerging Markets |
| Fidelity Adv Large Cap | Fidelity Balanced K6 | Fidelity Emerging Markets |
| Fidelity Adv Latin America | Fidelity Bancorp Inc | Index |
| Fidelity Adv Leveraged | FIDELITY BANKSHARES INC | Fidelity Equity Dividend |
| Company Stock | Fidelity Blue Chip Growth | Income |
| Fidelity Adv Mid Cap II | Fidelity Blue Chip Growth K6 | Fidelity Equity Income |
| Fidelity Adv Muni Income | Fidelity Blue Chip Value | Fidelity Europe Capital |
| Fidelity Adv New Insights | Fidelity CA Limited Term Tax | Apprec |
| Fidelity Adv Overseas | Free Bond | Fidelity Europe Fund |
| Fidelity Adv Real Estate | Fidelity CA Muni MM | Fidelity |
| Fidelity Adv Semiconductors | Fidelity CA Municipal Income | Export&Multinational |
| Fidelity Adv Series Equity | Fidelity Canada Fund | Fidelity Extended Market |
| Growth | Fidelity Capital & Income | Index |
| Fidelity Adv Series Equity | Fidelity Capital Appreciation | Fidelity Fifty |
| Value | Fidelity China Region | Fidelity FL Muni MM |
| Fidelity Adv Series Growth & Income | Fidelity Commodity Strategy | Fidelity FL Municipal Income |
| Fidelity Adv Series Growth Opportunities | Fidelity Conservative Income | Fidelity Flex 500 Index |
| Fidelity Adv Series Opportunistic Insights | Bond | Fidelity Flex International |
| | Fidelity Conservative Income | Index |
| | Municipal Bond | Fidelity Flex Municipal |
| | Fidelity Contrafund | Income |
| | Fidelity Contrafund K6 | Fidelity Flex US Bond Index |
| | Fidelity Convertible Security | Fidelity Focused High Income |
| | Fidelity Corporate Bond | Fidelity Focused Stock |
| | | Fidelity Freedom 2000 |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|-------------------------------|--|--|
| Fidelity Freedom 2005 | Fidelity Freedom K 2045 | Fidelity International |
| Fidelity Freedom 2010 | Fidelity Freedom K 2050 | Enhanced Index |
| Fidelity Freedom 2015 | Fidelity Freedom K 2055 | Fidelity International Growth |
| Fidelity Freedom 2020 | Fidelity Freedom K Income | Fidelity International Index |
| Fidelity Freedom 2025 | Fidelity Fund | Fidelity International Real Estate |
| Fidelity Freedom 2030 | Fidelity Global Balanced | Fidelity International Small Cap |
| Fidelity Freedom 2035 | Fidelity Global Commodity Stock | Fidelity International Small Cap Opportunities |
| Fidelity Freedom 2040 | Fidelity Global ex US Index | Fidelity International Value |
| Fidelity Freedom 2045 | Fidelity GNMA Portfolio | Fidelity Investment Grade Bond |
| Fidelity Freedom 2050 | Fidelity Government Cash Reserves | Fidelity Investments MM Government |
| Fidelity Freedom 2055 | Fidelity Government Income | Fidelity Investments MM Money Market |
| Fidelity Freedom 2060 | Fidelity Government Money Market | Fidelity Investments MM Prime |
| Fidelity Freedom 2065 | Fidelity Growth & Income I | Fidelity Investments MM Prime Reserves |
| Fidelity Freedom Blend 2020 | Fidelity Growth Company | Fidelity Investments MM Treasury |
| Fidelity Freedom Blend 2025 | Fidelity Growth Company K6 | Fidelity Investments MM Treasury Only |
| Fidelity Freedom Blend 2030 | Fidelity Growth Discovery | Fidelity Investments MM TxE Money Mkt |
| Fidelity Freedom Blend 2035 | Fidelity Growth Strategies | Fidelity Japan Fund |
| Fidelity Freedom Blend 2040 | Fidelity High Dividend ETF | Fidelity Japan Smaller Comp |
| Fidelity Freedom Blend 2045 | Fidelity High Income | Fidelity Large Cap Core Enhanced Index |
| Fidelity Freedom Blend 2050 | Fidelity IL Short Intrmd Govt I | Fidelity Large Cap Growth Enhanced Index |
| Fidelity Freedom Blend 2055 | Fidelity Independence | Fidelity Large Cap Growth Index |
| Fidelity Freedom Income | Fidelity Inflation Protected Bond | Fidelity Large Cap Stock |
| Fidelity Freedom Index 2010 | Fidelity Inflation-Protected Bond Index | Fidelity Large Cap Value Enhanced Index |
| Fidelity Freedom Index 2015 | Fidelity Intermediate Bond | Fidelity Large Cap Value Index |
| Fidelity Freedom Index 2020 | Fidelity Intermediate Govt Inc | Fidelity Latin America |
| Fidelity Freedom Index 2025 | Fidelity Intermediate Muni Income | Fidelity Leveraged Company Stock |
| Fidelity Freedom Index 2030 | Fidelity Intermediate Term Treasury Bond Index | Fidelity Limited Term Bond |
| Fidelity Freedom Index 2035 | Fidelity International Bond Index | |
| Fidelity Freedom Index 2040 | Fidelity International Cap Appreciation | |
| Fidelity Freedom Index 2045 | Fidelity International Capital Appreciation K6 | |
| Fidelity Freedom Index 2050 | Fidelity International Discovery | |
| Fidelity Freedom Index 2055 | | |
| Fidelity Freedom Index 2060 | | |
| Fidelity Freedom Index 2065 | | |
| Fidelity Freedom Index Income | | |
| Fidelity Freedom K 2000 | | |
| Fidelity Freedom K 2010 | | |
| Fidelity Freedom K 2015 | | |
| Fidelity Freedom K 2020 | | |
| Fidelity Freedom K 2025 | | |
| Fidelity Freedom K 2030 | | |
| Fidelity Freedom K 2035 | | |
| Fidelity Freedom K 2040 | | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|--------------------------------|---------------------------------|--------------------------------|
| Fidelity Limited Term Muni | Fidelity Muni MM | Fidelity SAI International Low |
| Fidelity Long Term Treasury | Fidelity Municipal Income | Volatility Index |
| Bond Index | Fidelity NASDAQ Composite | Fidelity SAI International |
| Fidelity Low Priced Stock | Idx | Momentum Index |
| Fidelity Low Priced Stock K6 | Fidelity Nasdaq Composite | Fidelity SAI International |
| Fidelity MA Muni MM | Index ETF | Quality Index |
| Fidelity MA Municipal | Fidelity National Financial Inc | Fidelity SAI International |
| Income | FNF Group | Small Cap Index |
| Fidelity Magellan Fund | FIDELITY NATIONAL FINL INC | Fidelity SAI International |
| Fidelity Mega Cap Stock | Fidelity National Information | Value Index |
| Fidelity MI Muni MM | Services Inc | Fidelity SAI Japan Stock Index |
| Fidelity MI Municipal Income | Fidelity New Markets Income | Fidelity SAI Long-Term |
| Fidelity Mid Cap Enhanced | Fidelity New Millennium | Treasury Bond Index |
| Index | Fidelity NJ Muni MM | Fidelity SAI Low Duration |
| Fidelity Mid Cap Growth | Fidelity NJ Municipal Income | Income |
| Fidelity Mid Cap Growth | Fidelity Nordic | Fidelity SAI Municipal Income |
| Index | Fidelity NY Muni MM | Fidelity SAI Real Estate Index |
| Fidelity Mid Cap Index | Fidelity NY Municipal Income | Fidelity SAI Short-Term Bond |
| Fidelity Mid Cap Stock | Fidelity OH Muni MM | Fidelity SAI Small-Mid Cap |
| Fidelity Mid Cap Stock K6 | Fidelity OH Municipal Income | 500 Index |
| Fidelity Mid Cap Value | Fidelity OTC K6 | Fidelity SAI Tax-Free Bond |
| Fidelity Mid Cap Value Index | Fidelity OTC Portfolio | Fidelity SAI Total Bond |
| Fidelity MN Municipal | Fidelity Overseas | Fidelity SAI US Large Cap |
| Income | Fidelity PA Muni MM | Index |
| Fidelity Money Market | Fidelity PA Municipal Income | Fidelity SAI US Low Volatility |
| Fidelity Mortgage Securities | Fidelity Pacific Basin | Index |
| Fidelity MSCI Communication | Fidelity Prime MM | Fidelity SAI US Momentum |
| Services Index ETF | Fidelity Puritan Fund | Index |
| Fidelity MSCI Consumer | Fidelity Puritan K6 | Fidelity SAI US Quality Index |
| Discretionary Index ETF | Fidelity Real Estate Hi Inc I | Fidelity SAI US Treasury Bond |
| Fidelity MSCI Consumer | Fidelity Real Estate Income | Index |
| Staples Index ETF | Fidelity Real Estate Index | Fidelity SAI US Value Index |
| Fidelity MSCI Energy Index | Fidelity Real Estate Inv | Fidelity Select Banking |
| ETF | Fidelity SAI Emerging | Fidelity Select Biotechnology |
| Fidelity MSCI Financials Index | Markets Index | Fidelity Select Brokrg/Inv |
| ETF | Fidelity SAI Emerging | Mgt |
| Fidelity MSCI Health Care | Markets Low Volatility Index | Fidelity Select Chemicals |
| Index ETF | Fidelity SAI Emerging | Fidelity Select |
| Fidelity MSCI Industrials | Markets Value Index | Communication Services |
| Index ETF | Fidelity SAI High Income | Fidelity Select |
| Fidelity MSCI Utilities Index | Fidelity SAI Inflation-Focused | Communications Equipment |
| ETF | Fidelity SAI International | Fidelity Select |
| Fidelity Multi-Asset Income | Index | Constr/Housing |
| Fidelity Multi-Asset Index | | |

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| Fidelity Select Consumer Discretionary | Fidelity Series 0-5 Year Inflation-Protected Bond Index | Fidelity Series Intrinsic Opportunities |
| Fidelity Select Consumer Staples | Fidelity Series 100 Index | Fidelity Series Investment Grade Bond |
| Fidelity Select Defense & Aero | Fidelity Series 5+ Year Inflation-Protected Bond Index | Fidelity Series Large Cap Growth Index |
| Fidelity Select Energy | Fidelity Series All Sector Equity | Fidelity Series Large Cap Stock |
| Fidelity Select Energy Service | Fidelity Series Blue Chip Growth | Fidelity Series Large Cap Value |
| Fidelity Select Environment & Alternative Energy | Fidelity Series Bond Index | Fidelity Series Large Cap Value Index |
| Fidelity Select Financial Srvc | Fidelity Series Canada | Fidelity Series Long-Term Treasury Bond Index |
| Fidelity Select Gold | Fidelity Series Commodity Strategy | Fidelity Series Opportunistic Insights |
| Fidelity Select Health Care Services | Fidelity Series Emerging Markets | Fidelity Series Overseas |
| Fidelity Select Healthcare | Fidelity Series Emerging Markets Debt | Fidelity Series Real Estate Equity |
| Fidelity Select Home Finance | Fidelity Series Emerging Markets Opportunity | Fidelity Series Real Estate Income |
| Fidelity Select Industrials | Fidelity Series Floating Rate High Income | Fidelity Series Short Term Credit |
| Fidelity Select Insurance | Fidelity Series Global ex US Index | Fidelity Series Small Cap Discovery |
| Fidelity Select IT Services | Fidelity Series Government Bond Index | Fidelity Series Small Cap Opportunities |
| Fidelity Select Leisure | Fidelity Series Government Money Market | Fidelity Series Stock Selector Large Cap Value |
| Fidelity Select Materials | Fidelity Series Growth Company | Fidelity Series Total Market Index |
| Fidelity Select Medical Technology and Devices | Fidelity Series High Income | Fidelity Series Treasury Bill Index |
| Fidelity Select Money Market | Fidelity Series Inflation Protected Bond Index | Fidelity Series Value Discovery |
| Fidelity Select Natural Gas | Fidelity Series International Developed Markets Bond Index | Fidelity Short Term Bond |
| Fidelity Select Natural Resources | Fidelity Series International Growth | Fidelity Short Term Treasury Bond Index |
| Fidelity Select Pharmaceuticals | Fidelity Series International Small Cap | Fidelity Short-Term Bond Index |
| Fidelity Select Retailing | Fidelity Series International Value | Fidelity Small Cap Discovery |
| Fidelity Select Semiconductors | | Fidelity Small Cap Enhanced Index |
| Fidelity Select Software and IT Services | | Fidelity Small Cap Growth |
| Fidelity Select Tech Hardware | | |
| Fidelity Select Technology | | |
| Fidelity Select Telecommunctns | | |
| Fidelity Select Transportation | | |
| Fidelity Select Utilities | | |
| Fidelity Select Wireless | | |

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|---------------------------------|---------------------------------|--------------------------------|
| Fidelity Small Cap Growth K6 | Fidelity VIP Asset Mgr Gro | Fidelity ZERO Extended |
| Fidelity Small Cap Index | Fidelity VIP Balanced | Market Index |
| Fidelity Small Cap Stock | Fidelity VIP Bond Index | Fidelity ZERO International |
| Fidelity Small Cap Value | Fidelity VIP Contrafund | Index |
| Fidelity Small Cap Value | Fidelity VIP Emerging | Fidelity ZERO Large Cap |
| Index | Markets | Index |
| Fidelity Southern Corp | Fidelity VIP Energy | Fidelity ZERO Total Market |
| Fidelity Stock Selector All Cap | Fidelity VIP Equity Income | Index |
| Fidelity Stock Selector Large | Fidelity VIP Freedom 2020 | Fiduciary/Claymore MLP |
| Cap Value | Fidelity VIP Freedom 2030 | Opportunity Fund |
| Fidelity Stock Selector Mid | Fidelity VIP FundsManager | Field Trip Health Ltd |
| Cap | 20% | FIELDPOINT PETROLEUM |
| Fidelity Stock Selector Small | Fidelity VIP FundsManager | CORP |
| Cap | 50% | FIELDSTONE INVESTMENT |
| Fidelity Strategic Div & Inc | Fidelity VIP FundsManager | CORP |
| Fidelity Strategic Income | 60% | Fiesta Restaurant Group Inc |
| Fidelity Strategic Real Return | Fidelity VIP FundsManager | Fifth Street Asset |
| Fidelity Tax Exempt Money | 70% | Management Inc |
| Market | Fidelity VIP FundsManager | Fifth Third All Cap Value |
| Fidelity Tax Free Bond | 85% | Fifth Third Balanced |
| Fidelity Telecom & Utilities | Fidelity VIP Government | Fifth Third Bancorp |
| Fidelity Total Bond | Money Market | Fifth Third Displ Lg Cap Value |
| Fidelity Total Bond ETF | Fidelity VIP Grow & Inc | Fifth Third Equity Index |
| Fidelity Total Bond K6 | Fidelity VIP Growth | Fifth Third Govt MMF |
| Fidelity Total Emerging | Fidelity VIP Growth Opport | Fifth Third Instl Govt MM |
| Markets | Fidelity VIP Health Care | Fifth Third Instl MM |
| Fidelity Total International | Fidelity VIP High Income | Fifth Third Intermediate |
| Index | Fidelity VIP Index 500 | Bond |
| Fidelity Total Market Index | Fidelity VIP International | Fifth Third International GDP |
| Fidelity Treasury Money | Capital Appreciation | Fifth Third Intl Equity |
| Market | Fidelity VIP Inv Grade | Fifth Third Intrmd Muni Bond |
| Fidelity Treasury Only Money | Fidelity VIP Investment | Fifth Third Large Cap Core |
| Market | Grade II | Fifth Third LifeModel Mod |
| Fidelity Trend | Fidelity VIP Mid Cap | Aggrsv |
| Fidelity Ultra Short Bond | Fidelity VIP Overseas | Fifth Third LifeModel |
| Fidelity US Bond Index | Fidelity VIP Real Estate | Moderate |
| Fidelity US Government | Fidelity VIP Stock Selector All | Fifth Third MI Muni MM |
| Reserv | Cap | Fifth Third Mid Cap Growth |
| Fidelity US Sustainability | Fidelity VIP Strategic Inc | Fifth Third Muni MM |
| Index | Fidelity VIP Technology | Fifth Third Prime MMF |
| Fidelity Value Discovery | Fidelity VIP Total Market | Fifth Third Qlty Growth |
| Fidelity Value Fund | Index | Fifth Third Short Term Bond |
| Fidelity Value Strategies | Fidelity VIP Value Strat | Fifth Third Small Cap Growth |
| Fidelity VIP Asset Mgr | Fidelity Worldwide | Fifth Third Total Return Bond |

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| | | |
|---|---|--------------------------------------|
| Fifth Third US Treasury MM Figs Inc | First Am Treasury Reserve | First Data Corp. |
| Figure Acquisition Corp I | First Am US Govt Mortgage | First Eagle Fund of America |
| FILENET CORP | First Am US Treasury MM | First Eagle Global |
| Financial Engines Inc | First American Financial Corp | First Eagle Global Income Builder |
| Financial Federal Corp. | First Asia Holdings Ltd | First Eagle Gold |
| Financial Industries Corp | FIRST AVE NETWORKS INC | First Eagle High Yield |
| Financial Institutions Inc | FIRST AVIATION SERVICES INC | First Eagle Overseas |
| Fingermotion Inc | First Bancorp of Indiana Inc | First Eagle US Value |
| Finisar Corp | First Bancshares Inc | First Eagle VA Overseas |
| Finish Line Inc (The) | First BancTrust Corp | First Essex Bancorp |
| FINLAY ENTERPRISES INC | First Bell Bancorp Inc | First Fds Core Equity |
| FINOVA GROUP INC | First Busey Corp | First Fds Intrmd Bond |
| Fintech Acquisition Corp III | First Business Financial Services Inc | FIRST FED BANCSHARES INC/DE |
| Fintech Acquisition Corp V | First Caliber Equity | First Fed Cap Corp |
| FinTech Acquisition Corp VI | First California Financial Group Inc | FIRST FED NOR MICH BANCORP |
| Fintech Ecosystem Development Corp | First Capital Bancorp Inc/VA | FIRST FEDERAL BANC SOUTHWEST |
| Finwise Bancorp | FIRST CAPITAL INC | First Federal Bancorp Inc/Oh |
| FIREARMS TRAINING SYS -CL A | First Carolina Investors | First Federal Bankshares Inc |
| FIRST ACCEPTANCE CORP | FIRST CENTURY BANKSHARES INC | First Financial Bancorp. |
| First Advantage Bancorp | FIRST CHARTER CORP | First Financial Bankshares Inc |
| First Advantage Corp | First Chester County Corp | First Financial Corp/IN |
| First Am Balanced | First China Pharmaceutical Group Inc | First Financial Fund Inc |
| First Am Bond IMMDEX | First Choice Bancorp | First Financial Holdings Inc |
| First Am Govt Obligation | First Citizens Bancshares Inc | First Financial Northwest Inc |
| First Am Growth & Income | First Clover Leaf Financial Corp | First Financial Service Corp |
| First Am Institutional Prime Obligations | First Colonial Group Inc | First Focus US Govt MM |
| First Am Intrmd Govt | First Commonwealth Financial Corp. | First Foundation Inc |
| First Am Large Cap Growth | First Community Bancshares Inc | FIRST FRANKLIN CORP |
| First Am Large Cap Select | First Community Bank Corp of America | First Funds Cash Reserve |
| First Am Mid Cap Growth | First Community Corp | First Georgia Community Corp |
| First Am MN Intrmd TxFr | First Community Financial Partners Inc | First Guaranty Bancshares Inc |
| First Am Relative Value | First Connecticut Bancorp Inc | First Hawaiian Inc |
| First Am Retail Prime Obligations | FIRST CONSULTING GROUP INC | First Health Group Corp |
| First Am Retail TxFr Obligation | | First Horizon Corp |
| First Am Small Cap Gro Opport | | FIRST INDIANA CORP |
| First Am Small Cap Growth | | First Industrial Realty Trust Inc |
| First Am Treas Obligation | | First Internet Bancorp |

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| First Interstate BancSystem Inc | First Reserve Sustainable Growth Corp | First Trust Exchange Traded AlphaDEX Fund First Trust Large Cap |
| First Investors Blue Chip | First Savings Financial Group Inc | First Trust Exchange Traded Fund First Trust Capital Strength ET |
| First Investors Financial Services Group Inc | First Security Group Inc | First Trust Exchange Traded Fund IV - First Trust Enhanced Short |
| First Investors Government | First Securityfed Finl Inc | First Trust Exchange Traded Fund VI First Trust NASDAQ Technolog |
| First Investors Inv Grade Bond | First Sentinel Bancorp Inc | First Trust Exchange Traded Fund VI Multi Asset Diversified Inco |
| First Investors Life Growth & Income | First Solar Inc | First Trust Exchange-Traded Fund - First Trust Value Line Divide |
| First Keystone Corp | First South Bancorp Inc | First Trust Exchange-Traded Fund II First Trust International Eq |
| First Keystone Financial Inc | First State Bancorporation Inc | First Trust Exchange-Traded Fund II First Trust NASDAQ Cybersecu |
| First Liberty Power Corp | First State Financial Corporation | First Trust Exchange-Traded Fund IV First Trust Tactical High Yi |
| First Litchfield Financial Corp | First Surgical Partners Inc | First Trust Exchange-Traded Fund IV Senior Loan Fund |
| First M & F Corp | First Tr Value Line Divid Fd | First Trust Exchange-Traded Fund VI - First Trust SMID Cap Risin |
| First Majestic Silver Corp | First Trust / Aberdeen Global Opportunity Income Fund | First Trust Exchange-Traded Fund VII - First Trust Global Tactic |
| First Marblehead Corp (The) | First Trust Cloud Computing ETF | First Trust Exchange-Traded Fund VIII - First Trust TCW Opportun |
| First Mariner Bancorp | First Trust Consumer Discretionary AlphaDEX Fund | First Trust Exchange-Traded Fund VIII - First Trust TCW Unconstr |
| First Merchants Corp | First Trust Consumer Staples AlphaDEX Fund | First Trust Exchange-Traded Trust IV First Trust Low Duration Op |
| First Mercury Financial Corp | First Trust Developed Markets ex-US AlphaDEX Fund | |
| First Mid Bancshares Inc | First Trust Dow Jones Dividend & Income Allocation | |
| First Midwest Bancorp Inc | First Trust Dow Jones Global Select Dividend Index Fund | |
| First Mining Gold Corp | First Trust Dow Jones Internet Index Fund | |
| FIRST MUTUAL BANCSHARES INC | First Trust Emerging Markets AlphaDEX Fund | |
| First National Bancshares Inc/SC | First Trust Energy AlphaDEX Fund | |
| FIRST NATIONAL BANCSHS FL IN | First Trust Energy Income and Growth Fund | |
| First National Corp/VA | First Trust Enhanced Equity Income Fund | |
| First Niagara Financial Group Inc | First Trust Europe AlphaDEX Fund | |
| First Northern Community Bancorp | | |
| First Northwest Bancorp | | |
| First of Long Island Corp (The) | | |
| First Place Financial Corp/DE | | |
| First Potomac Realty Trust | | |
| First Real Estate Investment Trust of New Jersey Inc | | |
| First Regional Bancorp | | |
| First Republic Cp -CI A | | |

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First Trust Exchange-Traded
 Trust VI Dorsey Wright
 Dynamic Focus
 First Trust Exchange-Traded
 Trust VI Dorsey Wright Focus
 5 ETF
 First Trust Exchange-Traded
 Trust VI Dorsey Wright
 International
 First Trust Financials
 AlphaDEX Fund
 First Trust Health Care
 AlphaDEX Fund
 First Trust High Income
 Long/Short Fund
 First Trust High Yield
 Opportunities 2027 Term
 Fund
 First Trust
 Industrials/Producer
 Durables AlphaDEX Fund
 First Trust Indxx NextG ETF
 First Trust Institutional
 Preferred Securities and
 Income ETF
 First Trust Intermediate
 Duration Preferred & Income
 Fund
 First Trust Large Cap Core
 AlphaDEX Fund
 First Trust Large Cap Value
 AlphaDEX Fund
 First Trust Long/Short Equity
 ETF
 First Trust Managed
 Municipal ETF
 First Trust Materials
 AlphaDEX Fund
 First Trust Merger Arbitrage
 First Trust Mid Cap Core
 AlphaDEX Fund
 First Trust MLP and Energy
 Income Fund

First Trust Morningstar
 Dividend Leaders
 First Trust Nasdaq Bank ETF
 First Trust NASDAQ Clean
 Edge Green Energy Index ETF
 First Trust NASDAQ Clean
 Edge Smart Grid
 Infrastructure Index Fu
 First Trust Nasdaq Food &
 Beverage ETF
 First Trust Nasdaq Oil & Gas
 ETF
 First Trust Nasdaq
 Transportation ETF
 First Trust NASDAQ-100
 Equal Weighted Index Fund
 First Trust NASDAQ-100
 Technology Sector Index
 Fund
 First Trust Natural Gas ETF
 First Trust North American
 Energy Infrastructure Fund
 First Trust NYSE Arca
 Biotechnology Index Fund
 First Trust Preferred
 Securities and Income ETF
 First Trust Rising Dividend
 Achievers ETF
 First Trust RiverFront
 Dynamic Developed
 International ETF
 First Trust Senior Floating
 Rate Income Fund II
 First Trust Small Cap Core
 AlphaDEX Fund
 First Trust Technology
 AlphaDEX Fund
 First Trust US Equity
 Opportunities ETF
 First Trust Utilities AlphaDEX
 Fund
 First Trust Value Line 100 Fd
 First Trust Water ETF
 First United Corp

FIRST WASH FINANCIAL
 CORP
 First Watch Restaurant
 Group Inc
 First West Virginia Bancorp
 Inc.
 First Western Financial Inc
 First Years Inc
 Firstbank Corp
 FirstBank Financial Services
 Inc
 FIRSTBANK NW CORP/DE
 FirstCash Holdings Inc
 FIRSTCITY FINANCIAL CORP
 FirstEnergy Corp.
 Firstfed Amer Bancorp Inc
 FirstFed Financial Corp.
 Firstgold Corp
 Firsthand Technology Value
 FirstMerit Corp
 FiscalNote Holdings Inc
 FISCHER IMAGING CORP
 Fiserv Inc.
 Fisher Communications Inc
 FISHER SCIENTIFIC INTL INC
 Fisker Inc.
 FIT American Freedom US
 Govt Money Market
 Five Below Inc
 Five Point Holdings LLC
 Five Star Bancorp
 Five9 Inc
 Fiverr International Ltd
 FL Muni Cash Trust
 FLAG FINANCIAL CORP
 FLAGSHIP GLOBAL HEALTH
 INC
 Flagstar Bancorp Inc
 Flagstone Reinsurance
 Holdings SA
 Flaherty & Crumrine
 Dynamic Preferred & Income
 Fund Inc

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
 Share as of January 15, 2024

| | | |
|--|--|--------------------------------------|
| Flaherty & Crumrine Preferred Securities Income Fund Inc | FlexShares Trust - FlexShares High Yield Value Scored Bond Index | FNBH Bancorp Inc |
| Flame Acquisition Corp | FlexShares Ultra Short Income Fund | FNCB Bancorp Inc |
| FLANDERS CORP | Flexsteel Industries Inc | FNX MINING CO INC |
| FleetBoston Financial Corp | FLIR Systems Inc | Foamix Pharmaceuticals Ltd |
| FleetCor Technologies Inc | Floor & Decor Holdings Inc | FOCUS Enhancements Inc |
| FleetMatics Group plc | Florida Banks Inc | Focus Financial Partners Inc |
| Fleetwood Enterprises Inc. | Florida Community Banks Inc | Focus Media Holding Ltd |
| Fletcher Challenge Forests | FLORIDA EAST COAST INDS | Focus Universal Inc |
| Flex Fuels Energy Inc | FLORIDA GAMING CORP | FOG CUTTER CAPITAL GROUP INC |
| Flex LNG Ltd | FLORIDA PUBLIC UTILITIES CO | Foghorn Therapeutics Inc |
| Flex Ltd | FLORIDA ROCK INDUSTRIES INC | FONAR CORP |
| Flex Pharma Inc | FLORIDAFIRST BANCORP INC | FONIX CORP |
| Flexible Solutions International Inc | Flotek Industries Inc | FOODARAMA SUPERMARKETS |
| Flexion Therapeutics Inc | Flow International Corp | Foot Locker Inc. |
| FLEXPOINT SENSOR SYSTEMS INC | Flowers Foods Inc. | FOOTHILL INDPT BANCORP |
| FlexShares Global Upstream Natural Resources Index Fund | Flowserve Corp. | Foothills Resources Inc |
| FlexShares iBoxx 3-Year Target Duration TIPS Index Fund | Fluence Energy Inc | Footstar Inc. |
| FlexShares iBoxx 5-Year Target Duration TIPS Index Fund | Fluent Inc | Forafric Agro Holdings Limited |
| FlexShares International Quality Dividend Index Fund | Fluor Corp | Forbes Energy Services Ltd |
| FlexShares Morningstar Developed Markets ex-US Factor Tilt Index | Flushing Financial Corp | FORBES MEDI-TECH INC |
| FlexShares Morningstar Emerging Markets Factor Tilt Index Fund | Flux Power Holdings Inc | Force Protection Inc |
| FlexShares Morningstar US Market Factors Tilt Index Fund | Fly Leasing Ltd | ForceField Energy Inc |
| FlexShares Quality Dividend Index Fund | FLYI INC | Ford Motor Co |
| FlexShares STOXX Global Broad Infrastructure Index Fund | FMC Corp. | FORDING CANADIAN COAL TRUST |
| | FMC Select | ForeScout Technologies Inc |
| | FMC Strategic Value | Foresight Autonomous Holdings Ltd |
| | FMC TECHNOLOGIES INC | Foresight Energy LP |
| | FMI Common Stock | Forest City Realty Trust Inc |
| | FMI International | Forest Laboratories Inc |
| | FMI Large Cap | Forestar Group Inc |
| | FMMT Retirement | Forge Global Holdings Inc |
| | Government Money Market FMMT Retirement | ForgeHouse Inc |
| | Government Money Market II | ForgeRock Inc |
| | FMS FINANCIAL CORP | Forma Therapeutics Holdings Inc |
| | FNB Bancorp | FormFactor Inc |
| | FNB FINANCIAL SERVICES CORP | Forrester Research Inc |
| | | Forster Drilling Corp |
| | | Fortegra Financial Corp |

Public Company Accounting Oversight Board
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| | | |
|------------------------------|-------------------------------|-------------------------------|
| Fortem Resources Inc | Fossil Group Inc | Franklin CA Insrdr TxFr |
| Forterra Inc | Foster Wheeler AG | Income |
| Fortified Holdings Corp | Foundation Building | Franklin CA Intmd TxFr |
| Fortinet Inc | Materials | Franklin CA TxEx Money |
| Fortis Govt MM | Foundation Medicine Inc | Market |
| Fortis Inc | FOUNDRY NETWORKS INC | Franklin CA TxFr Income |
| Fortis Instl Prime MM | FOUNTAIN POWERBOAT | Franklin Capital Growth |
| FORTISSIMO ACQUISITION | INDS INC | Franklin CO TxFr Income |
| CORP | Four Corners Property Trust | Franklin Conservative |
| Fortistar Sustainable | Inc | Allocation |
| Solutions Corp | FOUR OAKS FINCORP INC | Franklin Convertible Security |
| Fortive Corp | Four Rivers BioEnergy Inc | Franklin Corefolio Allocation |
| Fortress Biotech Inc | FOUR SEASONS HOTELS -LTD | Franklin Covey Co. |
| Fortress Capital Acquisition | VTG | Franklin Credit Management |
| Corp | Fox Chase Bancorp Inc | Corp |
| Fortress International Group | Fox Corp | Franklin CT TxFr Income |
| Inc | FOX ENTERTAINMENT | Franklin Double TxFr Income |
| Fortress Investment Group | GROUP INC | Franklin DynaTech Series |
| LLC | Fox Factory Holding Corp | Franklin Electric Co Inc |
| Fortress Value Acquisition | Fox Petroleum Inc | FRANKLIN ELECTRONIC |
| Corp III | FOXHOLLOW TECHNOLOGIES | PUBLISH |
| Fortress Value Acquisition | INC | Franklin Equity Income |
| Corp IV | FOXO Technologies Inc | Franklin Fed Intrmd TxFr |
| FORTUNA GAMING CORP | FPA Capital | Franklin Fed Ltd Trm TxFr Inc |
| Fortuna Silver Mines Inc | FPA Crescent | Franklin Federal TxFr Income |
| Fortune Brands Innovations | FPA Flexible Fixed Income | Franklin Financial Corp |
| Inc | FPA International Value | Franklin Financial Network |
| FORTUNE INDUSTRIES INC | FPA New Income | Inc |
| FORTUNET INC | FPA Paramount | Franklin Financial Services |
| Forty Seven Inc | FPA Perennial | Corp |
| Forum Energy Technologies | FPB BANCORP INC | Franklin Finl Corp Tenn |
| Inc | FPIC INSURANCE GROUP INC | Franklin FL TxFr Income |
| Forum National Investments | Francescas Holdings Corp | Franklin Flex Cap Growth |
| Ltd | Franchise Group Inc | Franklin Floating Rate Daily |
| Forward Air Corp | FRANKFORT FIRST BANCORP | Franklin Floating Rate Trust |
| Forward Credit Analysis | INC | Franklin GA TxFr Income |
| Long/Short | Franklin AL TxFr Income | Franklin Global Allocation |
| Forward Emerging Markets | Franklin AZ TxFr Income | Franklin Gold & Precious |
| FORWARD INDUSTRIES INC | Franklin Bancorp Inc | Metal |
| Forward Intl Small Company | Franklin Biotech Discovery | Franklin Growth |
| Forward Pharma A/S | Franklin Blue Chip | Franklin Growth Allocation |
| Forward Small Cap Equity | Franklin BSP Realty Trust Inc | Franklin Growth |
| Forward US Government | Franklin CA High Yield Muni | Opportunities |
| MM | | Franklin Hi Yield TxFr Income |

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 Issuers With No Outstanding Past-Due
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| | | |
|------------------------------|------------------------------|-----------------------------|
| Franklin High Income | Franklin NY Intrmd TxFr | Franklin US Large Cap |
| Franklin Income | Income | Multifactor Index ETF |
| Franklin Insrdr TxFr Income | Franklin NY TxFr Income | Franklin Utilities |
| Franklin International Core | Franklin OH TxFr Income | Franklin VA TxFr Income |
| Equity (IU) | Franklin OR TxFr Income | Franklin Wireless Corp |
| Franklin International Small | Franklin PA TxFr Income | Franklin/Templeton HardCur |
| Cap | Franklin Real Estate Sec | FREDERICK COUNTY |
| Franklin Intl Growth | Franklin Real Return | BANCORP INC |
| Franklin Investment Grade | FRANKLIN RESOURCES INC | Fredericks of Hollywood |
| Corporate ETF | Franklin Rising Dividends | Group Inc |
| Franklin LA TxFr Income | Franklin Small Cap Growth | Fred's Inc |
| Franklin Low Duration Total | Franklin Small-Mid Cap | FREEDOM ACQSTN HLDGS - |
| Return | Growth | REDH |
| Franklin Low Duration US | Franklin Stable Value | Freedom Holding Corp |
| Government Securities | Franklin Strategic Income | Freegold Ventures Ltd |
| Franklin Ltd Maturity US | Franklin Strategic Mortgage | Freeline Therapeutics |
| Govt | Franklin Street Properties | Holdings plc |
| Franklin MA TxFr Income | Corp | Freemarkets Inc |
| Franklin Managed Income | Franklin Templeton ETF Trust | Freeport-McMoRan Inc |
| Franklin MD TxFr Income | - Franklin FTSE Japan ETF | FREESCALE |
| Franklin MI TxFr Income | Franklin Templeton ETF Trust | SEMICONDUCTOR INC |
| Franklin MN TxFr Income | - Franklin FTSE United | FreeSeas Inc |
| Franklin MO TxFr Income | Kingdom ETF | FreightCar America Inc |
| Franklin Moderate Allocation | Franklin Templeton Limited | Fremont Global |
| Franklin Multi-Asset Dynamic | Duration Income Trust | Fremont Michigan |
| Multi-Strategy VIT | Franklin Templeton | InsuraCorp Inc |
| Franklin Multi-Asset Growth | Moderate Model | Fremont Money Market |
| Franklin Multi-Asset | Franklin Templeton | Frequency Electronics Inc. |
| Moderate Growth | Moderately Aggressive | Frequency Therapeutics Inc |
| Franklin Mutual Beacon | Model | Fresenius Medical Care AG |
| Franklin Mutual European | Franklin Templeton Money | &Co KGAA |
| Franklin Mutual Financial | Franklin Templeton Perspct | FRESH BRANDS INC |
| Services | Allocation | Fresh Market Inc (The) |
| Franklin Mutual Global | Franklin TN Muni Bond | Freshpet Inc |
| Discovery | Franklin Total Return | Freshworks Inc |
| Franklin Mutual Quest | Franklin Towers Enterprises | FREYR Battery |
| Franklin Mutual Recovery | Inc | Friedman Industries Inc |
| Franklin Mutual Shares | Franklin U.S. Core Bond ETF | Friedmans Inc |
| Franklin Natural Resources | Franklin US Core Equity (IU) | FriendFinder Networks Inc |
| Franklin NC TxFr Income | Franklin US Government | FRIENDLY ICE CREAM CORP |
| Franklin NJ TxFr Income | Money | Frisch's Restaurants Inc. |
| Franklin NY Insrdr TxFr | Franklin US Government | FRMO CORP |
| Income | Securities | Front Yard Residential Corp |
| | | Frontdoor Inc |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|------------------------------------|--|---------------------------------------|
| Fronteer Gold Inc | FTD INC | Fulton Financial Corp |
| Frontegra Columbus Core Plus | FTE Networks Inc | Fundamental Investors Inc |
| Frontegra New Star Intl Equity | FTI Consulting Inc. | Fundtech Ltd |
| Frontier Airlines Holdings Inc | FTS International Inc | FundX Aggressive Upgrader |
| Frontier Communications Parent Inc | FTV Frnkln Flex Cap Growth Securities | FundX Upgrader |
| Frontier MFG Core Infrastructure | FTV Frnkln Global Commun Sec | Funko Inc |
| Frontier MFG Global Equity | FTV Frnkln Global Real Estate Securities | Funtalk China Holdings Ltd |
| Frontier MFG Global Plus | FTV Frnkln Growth & Income | Fuqi International Inc |
| Frontier Oil Corp. | FTV Frnkln High Income Securities | Furiex Pharmaceuticals Inc |
| Frontline plc | FTV Frnkln Large Cap Gro Sec | Furmanite Corp |
| Frost Core Growth Equity | FTV Tmpltn Developing Mkts | Furniture Brands International Inc. |
| Frost International Equity | FTV Tmpltn Global Asset Alloc | Fury Gold Mines Ltd |
| Frost Total Return Bond | FTVIP Franklin Allocation VIP | Fushi Copperweld Inc |
| Frozen Food Express Industries Inc | FTVIP Franklin Income VIP | Fusion Fuel Green PLC |
| FRP Holdings Inc | FTVIP Franklin Mutual Global Discovery VIP | Fusion Pharmaceuticals Inc |
| FS Bancorp Inc | FTVIP Franklin Mutual Shares VIP | Fusion-io Inc |
| FS Chiron Capital Allocation | FTVIP Franklin Rising Dividends VIP | Futu Holdings Limited |
| FS Credit Opportunities Corp | FTVIP Franklin Small Cap Value VIP | Future Canada China Environment Inc |
| FS KKR Capital Corp | FTVIP Franklin Small-Mid Cap Growth VIP | Future FinTech Group Inc |
| FS KKR Capital Corp II | FTVIP Franklin Strategic Income VIP | Future Health ESG Corp |
| Fsf Financial Corp | FTVIP Franklin US Govt Securities VIP | Future Now Group Inc |
| FSI International Inc | FTVIP Templeton Foreign VIP | FutureFuel Corp |
| FST OAK BROOK BANCSHR - CL A | FTVIP Templeton Global Bond VIP | FUTUREMEDIA PLC |
| F-star Therapeutics Inc | FTVIP Templeton Growth VIP | FutureTech II Acquisition Corp |
| FT Cboe Vest Fund of Buffer ETFs | FuboTV Inc | Fuwei Films (Holdings) Co Ltd |
| FTAC Athena Acquisition Corp | Fuel Systems Solutions Inc | FVCBankcorp Inc |
| FTAC Emerald Acquisition Corp | Fuel Tech Inc | FVIT Franklin Large Cap Value |
| FTAC Hera Acquisition Corp | FuelCell Energy Inc | FVIT Franklin Micro Cap Value |
| FTAC Parnassus Acquisition Corp | Fulcrum Therapeutics Inc | FVIT Franklin Mutual US Mid Cap Value |
| FTAC Zeus Acquisition Corp | Fulgent Genetics Inc | FVIT Frnkln Small Cap Value |
| FTAI Aviation Ltd | Full House Resorts Inc | FX Energy Inc |
| FTAI Infrastructure Inc | | FX Real Estate & Entertainment Inc |
| FTC Solar Inc | | FXCM Inc |
| FTD Companies Inc | | G III Apparel Group Ltd |
| | | G Squared Ascend I Inc |
| | | G Willi-Food International Ltd |
| | | G&K Services Inc |

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 Issuers With No Outstanding Past-Due
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| | | |
|---------------------------|----------------------------|----------------------------|
| G1 Therapeutics Inc | Galera Therapeutics Inc | GATEHOUSE MEDIA INC |
| G3 VRM Acquisition Corp | Galiano Gold Inc | Gates Industrial Corp PLC |
| Ga Financial Inc | GALLAHER GROUP PLC | Gateway |
| GA Muni Cash Trust | Gallery Of History Inc | Gateway Financial Holdings |
| Gabelli ABC | Galmed Pharmaceuticals Ltd | Inc |
| Gabelli Asset | Galyans Trading Co Inc | GATEWAY INC |
| Gabelli Dividend & Income | GAMCO Global Gold Natural | GATEWAY INTL HOLDINGS |
| Trust | Resources & Income Trust | INC |
| Gabelli ENT Mergers & | GAMCO Global Telecomm | Gatos Silver Inc |
| Acquisitions | Gamco Investors Inc | GATX Corp. |
| Gabelli Equity Income | Game Trading Technologies | Gazit-Globe Ltd |
| Gabelli Equity Trust Inc. | Inc | GB HOLDINGS INC |
| Gabelli Focus Five | GameStop Corp. | GB&T Bancshares |
| Gabelli Gold | GAMETECH INTERNATIONAL | Gbc Bancorp/Ca |
| Gabelli Growth | INC | GC China Turbine Corp |
| Gabelli Small Cap Growth | Gamida Cell Ltd | GCI Liberty Inc |
| Gabelli US Treas MM | Gaming and Leisure | GCM Grosvenor Inc |
| Gabelli Utilities | Properties Inc | GCP Applied Technologies |
| Gabelli Utility Trust | Gaming Partners | Inc |
| Gabelli Value 25 | International Corp | GDL Fund (The) |
| Gabelli Westwood Equity | GAMMACAN | GDS Holdings Limited |
| GABLES RESIDENTIAL TRUST | INTERNATIONAL INC | GE Fixed Income |
| GABRIEL TECHNOLOGIES | GAN Ltd | GE Instl Income |
| CORP | GANDER MOUNTAIN CO | GE Instl Premier Growth |
| Gadzooks Inc | Gannett Co Inc | Equity |
| Gafisa SA | Gaotu Techedu Inc | GE Investments Money |
| Gaia Inc | Gap Inc | Market |
| Gainsco Inc | GARDEN FRESH RESTAURANT | GE Investments S&P 500 |
| Galapagos NV | CORP | Index |
| GALAXY ENERGY CORP | Garmin Ltd | GE Premier Growth Equity |
| Galaxy Gaming Inc | Garnero Group Acquisition | GE Strategic Investment |
| Galaxy Govt MMF | Co | GEAC COMPUTER CORP LTD |
| Galaxy Instl Govt MMF | Garrett Motion Inc | Geeknet Inc |
| Galaxy Instl Treasury MM | Gartmore Enhanced Income | GEHL CO |
| Galaxy Money Market | Gartmore GVIT Growth | GELSTAT CORP |
| GALAXY NUTRITIONAL | Gartmore Morley Cap Accum | Gemini Therapeutics Inc |
| FOODS INC | Gartmore NVIT Develop | Gemphire Therapeutics Inc |
| Galaxy Prime Reserves MM | Mrkts | GEMPLUS INTERNATIONAL |
| Galaxy Treasury MMF | Gartmore Short Dura Bond | SA |
| Galaxy TxEx MM | GARTNER INC | Gemstar TV Guide |
| Galectin Therapeutics Inc | Gas Natural Inc | International Inc |
| Galecto Inc | Gasco Energy Inc | Gen Digital Inc |
| Galen Holdings Plc | GasLog Ltd | Gen2Media Corp |
| Galena Biopharma Inc | Gastar Exploration Inc | GENAERA CORP |

Public Company Accounting Oversight Board
Issuers With No Outstanding Past-Due
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GENAISSANCE
PHARMACEUTICALS
Genasys Inc
Genco Shipping & Trading
Ltd
Gencor Industries Inc
GeneDx Holdings Corp
GENELABS TECHNOLOGIES
INC
GENENCOR INTL INC
GENENTECH INC
Gener8 Maritime Inc
GENER8XION
ENTERTAINMENT INC
Generac Holdings Inc
General American Investors
Co Inc
GENERAL BINDING CORP
General Cable Corp.
General Cannabis Corp
General Dynamics Corp
General Electric Co
GENERAL ENVIRONMENTAL
MGMT
General Finance Corp
General Mills Inc.
General Moly Inc
General Motors Co
General Steel Holdings Inc
Generation Asia I Acquisition
Ltd
Generation Bio Co
Generex Biotechnology Corp
Genesco Inc.
Genesee & Wyoming Inc.
Genesis Energy LP
GENESIS HEALTHCARE CORP
Genesis Healthcare, Inc.
Genesis Lease Ltd
GENESIS MICROCHIP INC
Genesis Unicorn Capital Corp
GENESYS SA
Genetron Holdings Ltd
Geneva Acquisition Corp

Geneva Resources Inc
Genie Energy Ltd
Genitope Corp
Genius Brands International
Inc
GENIUS PRODUCTS INC
GENLYTE GROUP INC
Genmab A/S
GenMark Diagnostics Inc
Genmed Holding Corp
GENOIL INC
Genomic Health Inc
GenOn Energy, Inc.
Genoptix Inc
GeNOsys Inc
Genpact Ltd
Genprex Inc
Gen-Probe Inc
Genta Inc
GENTEK INC
Gentex Corporation
Gentherm Inc
Gentium SPA
Gentiva Health Services Inc
Gentor Resources Inc
Genuine Parts Co
GENUS INC
GENVEC INC
Genworth Financial Inc
GENZYME CORP
GEO Group Inc (The)
GeoBio Energy Inc
GeoEye Inc
Geoglobal Resources Inc
GEOKINETICS INC
GEOMET INC
GeoPark Ltd
GEOPETRO RESOURCES CO
GEOPHARMA INC
GEORESOURCES INC
George Putnam Balanced
GEORGETOWN BANCORP
INC
GEORGIA BANCSHARES INC

GEORGIA CAROLINA
BANCSHARES
GEORGIA-PACIFIC CORP
Geospace Technologies Corp
Geovax Labs Inc
Geovic Mining Corp
GERBER SCIENTIFIC INC
Gerdau AmeriSteel Corp
GERDAU SA SIDERURG
German American Bancorp
Inc
GERON CORP
Getaround Inc
Getty Images Holdings Inc
Getty Images Inc
Getty Realty Corp.
GEVITY HR INC
Gevo Inc
GEXA CORP
GFI Group Inc
GFL Environmental Inc
GFSB BANCORP INC
GH Research PLC
GHL Acquisition Corp
GI Dynamics Inc
GIANT INDUSTRIES INC
Giant Interactive Group Inc
Gibraltar Industries Inc
GIGABEAM CORP
GigaCloud Technology Inc
GigaMedia Ltd
Gigamon Inc
GigInternational1 Inc
GigPeak Inc
Gilat Satellite Networks Ltd
Gildan Activewear Inc
Gilead Sciences Inc
GILLETTE CO
Ginkgo Bioworks Holdings Inc
GitLab Inc
Given Imaging Ltd
Glacier Bancorp Inc
GLACIER WATER SERVICES
GLADSTONE CAPITAL CORP

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| | | |
|---|--|--|
| GLADSTONE COMMERCIAL CORP | Global Business Travel Group Inc | GLOBAL SIGNAL INC |
| Gladstone Land Corporation | Global Cord Blood Corp | Global Sources Ltd |
| GLAMIS GOLD LTD | Global Cornerstone Holdings Ltd | Global Tech Industries Group Inc |
| Glass House Brands Inc | Global Crossing Ltd | Global Technology Acquisition Corp I |
| Glass Houses Acquisition Corp | Global Defense Technology & Systems Inc | Global Traffic Network Inc |
| Glaukos Corp | Global Eagle Entertainment Inc | Global Water Resources Inc |
| Gleacher & Company Inc | Global Ecology Corp | Global X Conscious Companies ETF |
| Glen Burnie Bancorp | Global Education & Technology Group Ltd | Global X Copper Miners ETF |
| GLENBOROUGH REALTY TRUST INC | GLOBAL ENTERTAINMENT CORP | Global X Cybersecurity ETF |
| Glenmede Core Fixed Income | Global Environmental Energy Corp | Global X FDS S&P 500 Covered Call ETF |
| Glenmede Govt Cash | GLOBAL EPOINT INC | Global X FinTech ETF |
| Glenmede International Equity | Global Geophysical Services Inc | Global X Funds - Global X Electric Vehicles & Autonomous Vechile |
| Glenmede Philadelphia International | GLOBAL GOLD CORP | Global X Funds - Global X Lithium & Battery Tech ETF |
| Glenmede Quantitative US Large Cap Core Equity | Global Green Inc | Global X Funds Global X U S Preferred ETF |
| Glenmede Quantitative US Large Cap Growth Equity | Global High Income Fund Inc | Global X MLP & Energy Infrastructure ETF |
| Glenmede Secured Options | GLOBAL IMAGING SYSTEMS | Global X MLP ETF |
| Glenmede Small Cap Equity | Global Indemnity Group LLC | Global X MSCI China Consumer Discretionary ETF |
| Glenmede TxEx Cash | Global Industrial Co | Global X NASDAQ-100 Covered Call ETF |
| GLG Life Tech Corp | Global Industries Ltd | Global X Russell 2000 Covered Call ETF |
| GLG Partners Inc | Global Investor Services Inc | Global X S&P 500 Catholic Values ETF |
| Glimcher Realty Trust | GLOBAL MED TECHNOLOGIES INC | Global X Silver Miners ETF |
| Glimpse Group Inc(The) | Global Net Lease Inc | Global X SuperDividend ETF |
| Global Aircraft Solutions Inc | Global Opportunities Bond | Global X SuperDividend U.S. ETF |
| Global Atlantic BlackRock Disciplined Core | Global Partner Acquisition Corp II | Global X Telemedicine & Digital Health ETF |
| GLOBAL ACCESS CORP | Global Partners LP | Global X Uranium ETF |
| GLOBAL BEV SOLUTIONS INC | GLOBAL PAYMENT TECHNOLOGIES | Global X US Infrastructure Development ETF |
| Global Blockchain Acquisition Corp | GLOBAL PAYMENTS INC | Global X Video Games & Esports ETF |
| Global Blood Therapeutics Inc | GLOBAL PHARMATECH INC | |
| Global Blue Group Holding AG | Global Realty Development | |
| Global Brands Acquisition Corp | GLOBAL RESOURCE CORP | |
| Global Brass and Copper Holdings Inc | Global Services Partners Acquisition Corp | |
| | Global Ship Lease Inc | |

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| | | |
|----------------------------|-----------------------------|------------------------------|
| Global-E Online Ltd | GMO Emerging Mrkts Quality | GMO US Growth |
| GLOBALFOUNDRIES Inc | GMO Flexible Equities | GMO US Treasury |
| GLOBALNET CORP | GMO Foreign | GMS Inc |
| GlobalOptions Group Inc | GMO Foreign Small Co | GMX Resources Inc |
| GLOBALSANTAFE CORP | GMO Global Asset Allocation | GNC Holdings Inc |
| GlobalSCAPE Inc | GMO Global Asset Allocation | GO Acquisition Corp |
| Globalstar Inc | Series | Gobi Acquisition Corp |
| Global-Tech Advanced | GMO Global Bond | GoDaddy Inc |
| Innovations Inc | GMO Global Developed | Gogo Inc |
| Globe Life Inc | Equity Allocation Fund | Gogoro Inc |
| Globe Specialty Metals Inc | GMO Global Equity | GoGreen Investments |
| GLOBECOMM SYSTEMS INC | Allocation | Corporation |
| GLOBESPANVIRATA INC | GMO Growth | GoHealth Inc |
| GLOBETEL | GMO Implementation | Gol Linhas Aereas |
| COMMUNICATIONS CORP | GMO Inflation Indexed Bond | Inteligentes SA |
| Globus Maritime Ltd | GMO Inflation Indexed Plus | Golar LNG Ltd |
| Glori Energy Inc | Bond | GOLD BANC CORP INC |
| GLORY STAR NEW MEDIA | GMO International | Gold Fields Ltd |
| GROUP HOLDINGS Ltd | Developed Equity Allocation | Gold Horse International Inc |
| Glowpoint Inc | GMO International Equity | GOLD KIST INC/DE |
| Glu Mobile Inc | GMO International Growth | GOLD RESERVE INC |
| GLYCOGENESYS INC | Equity | Gold Royalty Corp |
| GlycoMimetics Inc | GMO International | Gold Standard Ventures Corp |
| Glyeco Inc | Large/Mid Cap Equity | Goldcorp Inc. |
| GMH Communities Trust | GMO Intl Bond | Golden Arrow Merger Corp |
| GMO Alpha Only | GMO Intl Disciplined Eqty | Golden Autumn Holdings Inc |
| GMO Asset Allocation Bond | GMO Intl Equity Allocation | GOLDEN CYCLE GOLD CORP |
| GMO Benchmark Free | GMO Intl Growth | GOLDEN EAGLE INTL INC |
| GMO Benchmark Free | GMO Intl Small Company | Golden Enterprises Inc |
| Allocation | GMO Opportunistic Income | Golden Entertainment Inc |
| GMO Benchmark Free | GMO Quality | Golden Growers Cooperative |
| Allocation Series | GMO Real Estate | Golden Minerals Co |
| GMO Climate Change | GMO Resources | Golden Nugget Online |
| GMO Core Plus Bond | GMO Risk Premium | Gaming, Inc. |
| GMO Currency Hedgd Intl Bd | GMO SGM Major Markets | Golden Ocean Group Ltd |
| GMO Currency Hedgd Intl | GMO Special Opportunities | GOLDEN PHOENIX MINERALS |
| Equity | GMO Strategic Fixed Income | INC |
| GMO Developed World Stock | GMO Strategic Opportunities | Golden Pond Healthcare Inc |
| GMO Domestic Bond | Allocation | Golden Queen Mining Co Ltd |
| GMO Emerging Countries | GMO Tax Managed Intl | Golden River Resources Corp |
| GMO Emerging Country Debt | Equities | GOLDEN TELECOM INC |
| GMO Emerging Domestic | GMO Tobacco Free Core | GOLDEN WEST FINANCIAL |
| Opportunities | GMO U.S. Equity | CORP |
| GMO Emerging Markets | GMO US Core | Goldfield Corp (The) |

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Goldleaf Financial Solutions Inc
 Goldman Sachs Access Investment Grade Corporate Bond ETF
 Goldman Sachs AccessTreasury 0-1 Year ETF
 Goldman Sachs ActiveBeta Emerging Markets Equity ETF
 Goldman Sachs ActiveBeta International Equity ETF
 Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF
 Goldman Sachs ActiveBeta World Low Vol Plus Equity ETF
 Goldman Sachs BDC Inc
 Goldman Sachs Group Inc (The)
 Goldman Sachs MarketBeta U.S. 1000 Equity ETF
 Goldman Sachs MLP and Energy Renaissance Fund
 Goldman Sachs MLP Income Opportunities Fund
 Goldmining Inc
 Goldsands Development Co
 GOLF GALAXY INC
 Golfsmith International Holdings Inc.
 Golub Capital BDC Inc
 Good Guys Inc
 Good Harbor Tactical Core US
 GOOD TIMES RESTAURANTS INC
 Good Works II Acquisition Corp
 GoodHaven Fund
 GOODMAN GLOBAL INC
 Goodness Growth Holdings Inc
 Goodrich Corp
 Goodrich Petroleum Corp.

GoodRx Holdings Inc
 Goodyear Tire & Rubber Co
 GOODYS FAMILY CLOTHING INC
 Goosehead Insurance Inc
 Gopher Protocol Inc
 Gordmans Stores Inc
 GOREMOTE INTERNET COMM INC
 Gores Holdings IX Inc
 Gores Holdings V Inc
 Gores Holdings VII Inc
 GORES HOLDINGS VIII INC
 Gores Technology Partners II Inc
 Gores Technology Partners Inc
 Gorman-Rupp Co (The)
 Gossamer Bio Inc
 Gotham Absolute Return
 Gotham Enhanced Return
 Gotham Index Plus
 Gotham Neutral
 GOTTAPLAY INTERACTIVE INC
 Gottschalks Inc.
 GOUVERNEUR BANCORP INC
 Government Cash Series
 GOVERNMENT PROPERTIES TRUST
 GP Strategies Corp
 GPS INDUSTRIES INC
 GQG Partners Emerging Markets Equity
 GQG Partners Global Quality Equity
 GQG Partners US Select Quality Equity
 Grab Holdings Limited
 Grace (W R) & Co
 Gracell Biotechnologies Inc
 Graco Inc.
 Graftech International Ltd
 GRAHAM CORP

Graham Holdings Co
 Graham Packaging Co Inc
 Grainger (W.W.) Inc
 Gramercy Property Trust
 Gramercy Property Trust Inc
 Granahan McCourt Acquisition Corp
 Grand Canyon Education Inc
 GRAND TOYS INTL LTD -ADR
 Grandeur Peak Emerging Markets Opportunities
 Grandeur Peak Global Opportunities
 Grandeur Peak International Opportunities
 Grandeur Peak International Stalwarts
 GRANDSOUTH BANCORPORATION
 Granite Broadcasting
 Granite City Food & Brewery Ltd
 Granite Construction Inc.
 Granite Falls Energy LLC
 Granite Point Mortgage Trust Inc
 Granite Real Estate Investment Trust
 Granite Ridge Resources Inc
 GraniteShares Gold Trust ETF
 Grant Park Multi Alternative Strategies
 Grant Prideco Inc.
 Graphic Packaging Holding Co
 Graphic Packaging Intl Corp
 Graphite Bio Inc
 GRAVITY Co Ltd
 Gray Television Inc.
 Graybug Vision Inc
 GrayMark Healthcare Inc
 Grayscale Bitcoin Cash Trust (BCH)
 Grayscale Bitcoin Trust

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Grayscale Digital Large Cap Fund LLC
 Grayscale Ethereum Trust
 Grayscale Litecoin Trust (LTC)
 Great Ajax Corp
 Great American Bancorp Inc
 GREAT AMERN FINL RESOURCES
 Great Atlantic & Pacific Tea Co Inc. (The)
 Great China International Holdings Inc
 GREAT CHINA MINING INC
 Great East Bottles & Drinks (China) Holdings Inc
 Great Elm Capital Group Inc
 Great Hall Prime MM
 GREAT LAKES AVIATION LTD
 GREAT LAKES BANCORP INC
 GREAT LAKES CHEMICAL CORP
 Great Lakes Dredge & Dock Corp
 Great Lakes Reit Inc
 Great Northern Iron Ore Properties
 Great Panther Mining Limited
 GREAT PEE DEE BANCORP INC
 Great Plains Energy Inc
 Great Southern Bancorp Inc
 Great Western Bancorp Inc
 Great Wolf Resorts Inc
 GREATER BAY BANCORP
 Greater China Fund Inc
 GREATER COMMUNITY BANCORP
 Great-West American Century Growth
 Great-West Core Bond
 Great-West Global Bond
 Great-West International Growth

Great-West Lifetime 2015 II
 Great-West Lifetime 2025 II
 Great-West Lifetime 2035 II
 Great-West Lifetime 2045 II
 Great-West Putnam Equity Income
 Green Bancorp Inc
 Green Bankshares Inc
 Green Brick Partners, Inc.
 Green Century Equity
 Green Dot Corp
 Green Earth Technologies Inc
 Green Energy Live Inc
 Green Energy Management Services Holdings, Inc.
 GREEN MOUNTAIN POWER CORP
 Green Plains Inc
 Green Plains Partners LP
 Green Plains Renewable Energy Inc
 Green Thumb Industries Inc
 Greenbrier Cos Inc (The)
 Greenbrook TMS Inc
 Greenchek Technology Inc
 Greene County Bancorp Inc
 Greenfield Online Inc
 GREENHILL & CO INC
 GreenHunter Energy Inc
 Greenidge Generation Holdings Inc
 Greenkraft Inc
 Greenland Technologies Holding Corp
 GreenLight Biosciences Holdings
 Greenman Technologies Inc
 Greenpoint Financial Corp
 Greenpower Motor Co Inc
 Greenpro Capital Corp
 Greenrose Holding Co Inc.
 GreenSky Inc
 Greenspring Fund

Greenway Medical Technologies Inc
 Greenwich Lifesciences Inc
 GREER BANCSHARES INC
 Greif Inc.
 Greka Energy Corp
 GREY GLOBAL GROUP INC
 Grey Wolf Inc.
 Greystone Housing Impact Investors LP
 GRID DYNAMICS HOLDINGS, INC.
 Grid Petroleum Corp
 Gridsum Holding Inc
 Griffon Corp.
 Grifols S A
 GRILL CONCEPTS INC
 Grindr Inc
 Grindrod Shipping Holdings Ltd
 Gritstone Bio Inc
 Grocery Outlet Inc
 GROEN BROS AVIATION INC
 Group 1 Automotive Inc.
 Group 1 Software Inc
 Groupon Inc
 Grove Collaborative Holdings Inc
 Grove Inc
 Growers Direct Coffee Co Inc
 GrowGeneration Corp
 Growlife Inc
 Grubb & Ellis Co.
 Grubb & Ellis Realty Advisors Inc
 GrubHub Inc
 Gruma SAB De CV
 Grupo Aeroportuario del Centro Norte SAB de CV
 Grupo Aeroportuario del Sureste SAB de CV
 Grupo Casa SAbA SA De CV, Mexico
 GRUPO ELEKTRA SA DE CV

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|--|--|--|
| Grupo Financiero Galicia Sa, Buenos Aires | GS EnclSq CA TxEx | GS Multi Manager Alternatives |
| GRUPO IMSA SA DE CV | GS Global Core Fixed Income | GS Real Estate Securities |
| Grupo Iusacell Sa | GS Government Income | GS Research Select |
| Grupo Radio Centro SA De CV Rcentro | GS GQG Partners | GS Rising Dividend Growth |
| Grupo Simec SA De C.V. | International Opportunities | GS Satellite Strategies |
| Grupo Televisa SAB | GS Growth & Income | GS Short Duration Bond |
| Grupo Tmm S.A.B. | GS Growth & Income Strategy | GS Short Duration Government |
| GRYPHON GOLD CORP | GS Growth Strategy | GS Short Duration TxFr |
| Gryphon Resources Inc | GS High Quality Floating Rate | GS Short-Term Conservative Income |
| GS Absolute Return Tracker | GS High Yield | GS Small Cap Equity Insights |
| GS Balanced Strategy | GS High Yield Floating Rate | GS Small Cap Growth Insights |
| GS Bond | GS High Yield Muni | GS Small Cap Value |
| GS BRIC | GS ILA Federal | GS Small Cap Value Insights |
| GS Commodity Strategy | GS ILA Money Market | GS Small Cap Value Insights |
| GS Concentrated Growth | GS ILA NY TxEx | GS Small/Mid Cap Growth |
| GS Core Fixed Income | GS ILA Prime Obligation | GS Strategic Factor Allocation |
| GS Credit Strategies | GS ILA Treasury Instrument | GS Strategic Growth |
| GS Dynamic Allocation | GS ILA Treasury Obligation | GS Strategic Income |
| GS Dynamic Global Equity | GS ILA TxEx Diversified | GS Strategic Volatility Premium |
| GS Dynamic Municipal Income | GS Income Builder | GS Structured International Equity Flex |
| GS Emerging Markets Debt | GS Inflation Protected Securities | GS Structured Large Cap Value |
| GS Emerging Markets Equity | GS International Equity ESG | GS Tactical Tilt Implementation |
| GS Emerging Markets Equity Insights | GS International Equity Insights | GS Tax Advantaged Global Equity |
| GS Enhanced Dividend Global Equity | GS International Small Cap Insights | GS TCU Money Market |
| GS Enhanced Income | GS International Tax Managed Equity | GS Technology Opportunities |
| Gs Financial Corp | GS Intl Real Estate Securities | GS US Equity Dividend and Premium |
| GS Financial Square Federal Instruments | GS Investment Grade Credit | GS US Equity Insights |
| GS Financial Square Government | GS Investor Money Market | GS US Mortgage |
| GS Financial Square MM | GS Investor Tax-Exempt Money Market | GS US Tax Managed Equity |
| GS Financial Square Prime Oblig MM | GS Large Cap Core | GS VIT Core Fixed Income |
| GS Financial Square Treas Oblig MM | GS Large Cap Growth Insights | GS VIT Equity Index |
| GS Financial Square Treasury Instruments MM | GS Large Cap Value | GS VIT Government Money Market |
| GS Financial Square Treasury Solutions Money Market | GS Local Emerging Markets Debt | GS VIT Growth Opportunities |
| | GS Mid Cap Growth | GS VIT Large Cap Value |
| | GS Mid Cap Value | |
| | GS MLP Energy Infrastructure | |

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|------------------------------|----------------------------|-----------------------------|
| GS VIT Mid Cap Value | Guggenheim BulletShares | Guided Therapeutics Inc |
| GS VIT Strategic Growth | 2015 High Yield Corporate | GUIDELINE INC |
| GS VIT Strategic Intl Equity | Bond ETF | GuideMark Core Fixed Inc |
| GS VIT Structured US Equity | Guggenheim BulletShares | GuideMark Large Cap Core |
| GSC Acquisition Co | 2016 Corporate Bond ETF | GuidePath Growth Allocation |
| GSE Systems Inc | Guggenheim BulletShares | GuideStone Aggressive |
| GSI Commerce Inc | 2016 High Yield Corporate | Allocation |
| GSI Technology Inc | Bond ETF | GuideStone Balanced |
| GSK plc | Guggenheim BulletShares | Allocation |
| GSR II Meteora Acquisition | 2017 Corporate Bond ETF | GuideStone Balanced |
| Corp | Guggenheim BulletShares | Allocation I |
| GT Advanced Technologies | 2017 High Yield Corporate | GuideStone Conservative |
| Inc | Bond ETF | Allocation |
| GT Biopharma Inc | Guggenheim China Small Cap | GuideStone Defensive |
| GTC BIOTHERAPEUTICS INC | ETF | Market Strategies |
| GTECH HOLDINGS CORP | Guggenheim Core Bond | GuideStone Emerging |
| GTSI Corp. | Guggenheim Directional | Markets Equity |
| GTX Corp | Allocation | GuideStone Equity Index |
| GTx Inc. | Guggenheim Floating Rate | GuideStone Extended Dur |
| GUANGSHEN RAILWAY CO | Strategies | Bond |
| LTD | Guggenheim High Yield | GuideStone Global Bond |
| GuangZhou Global Telecom | Strategy | GuideStone Growth |
| Inc | Guggenheim Limited | Allocation |
| Guaranty Bancorp | Duration | GuideStone Growth Equity |
| Guaranty Bancshares Inc | Guggenheim Macro | GuideStone International |
| Guaranty Federal Bancshares | Opportunities | Equity |
| Inc | Guggenheim Managed | GuideStone International |
| Guaranty Financial Corp/Va | Futures Strategy | Equity Index |
| Guaranty Financial Group Inc | Guggenheim Mid Cap Value | GuideStone Low Duration |
| Guardant Health Inc | Guggenheim Mid Cap Value | Bond |
| Guardian Small Cap | Institutional | GuideStone Medium |
| Guardian Technologies | Guggenheim Multi-Asset | Duration Bond |
| International Inc | Income ETF | GuideStone Money Market |
| Gucci Group Nv | Guggenheim Risk Managed | GuideStone MyDestination |
| Guess ? Inc. | Real Estate | 2015 |
| Guggenheim Active | Guggenheim Spin-Off ETF | GuideStone MyDestination |
| Allocation Fund | Guggenheim Strategic | 2025 |
| Guggenheim Biotechnology | Opportunities Fund | GuideStone MyDestination |
| Guggenheim BRIC ETF | Guggenheim Total Return | 2035 |
| Guggenheim Build America | Bond | GuideStone MyDestination |
| Bonds Managed Duration | Guggenheim Ultra Short | 2045 |
| Trust | Duration | GuideStone Small Cap Equity |
| Guggenheim BulletShares | Guidance Software Inc | GuideStone Value Equity |
| 2015 Corporate Bond ETF | GUIDANT CORP | Guidewire Software Inc |

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| | | |
|------------------------------|------------------------------|-----------------------------|
| Guild Holdings Co | Haleon plc | Hanover Bancorp Inc |
| Guilford Mills Inc | Hall Kinion & Associates Inc | Hanover Capital Mortgage |
| GUILFORD | Hallador Energy Co | Holdings Inc. |
| PHARMACEUTICAL INC | HALLIBURTON CO | HANOVER DIRECT INC |
| Guinness Exploration Inc | Hallmark Financial Services | Hanover Insurance Group Inc |
| GUITAR CENTER INC | Inc | (The) |
| Gulf Coast Ultra Deep | Hallwood Group Inc (The) | Hansberger Emerging Mkts |
| Royalty Trust | Hallwood Realty Ptnrs -Lp | Hansberger Intl Growth |
| GULF ISLAND FABRICATION | Halozyme Therapeutics Inc | Hansberger Intl Value |
| INC | Hamilton Beach Brands | Hansen Medical Inc |
| Gulf United Energy Inc | Holding Co | HANSON PLC |
| GulfMark Offshore Inc | Hamilton Lane Alliance | Hanwha Q CELLS Co Ltd |
| Gulfport Energy Corp | Holdings I Inc | Happiness Biotech Grp Ltd |
| GulfSlope Energy Inc | Hamilton Lane Inc | Harbin Electric Inc |
| Gulfterra Energy Partners-Lp | Hamlin High Dividend Equity | HARBOR ACQUISITION CORP |
| Gundle/Slt Environmental Inc | Hammerhead Energy Inc | Harbor Capital Appreciation |
| Gushan Environmental | HAMMONS JOHN Q HOTELS | Harbor Core Plus |
| Energy Ltd | -CL A | Harbor Diversified Inc |
| GVI SECURITIES SOLUTIONS | Hampshire Group Ltd | Harbor Diversified |
| INC | HAMPTONS LUXURY HOMES | International All Cap |
| GVIT Enhanced Income | INC | HARBOR FLORIDA |
| GW Pharmaceuticals PLC | Hana Biosciences Inc | BANCSHARES |
| GWG Holdings Inc | Hancock (John) Bank and | HARBOR GLOBAL CO LTD |
| GX Acquisition Corp II | Thrift Opportunity Fund | Harbor High Yield |
| GXO Logistic Inc | Hancock (John) Financial | Harbor International |
| Gymboree Corp (The) | Opportunities Fund | Harbor International Growth |
| Gyrodyne Company of | Hancock (John) Premium | Harbor Large Cap Value |
| America Inc | Dividend Fund | Harbor Mid Cap Growth |
| H D F C Bank Ltd | Hancock Fabrics Inc. | Harbor Mid Cap Value |
| H World Group Limited | Hancock Horizon Burkenroad | Harbor Real Return |
| H&E EQUIPMENT SERVICES | Small Cap | Harbor Small Cap Growth |
| INC | Hancock Horizon Diversified | Harbor Small Cap Value |
| H.B. Fuller Co. | International | HarborOne Bancorp Inc |
| H.I.G. Acquisition Corp | Hancock Horizon | Hardinge Inc |
| Habersham Bancorp | Government MM | HARLAND (JOHN H.) CO |
| Habit Restaurants Inc (The) | Hancock John Finl Svcs Inc | Harley-Davidson Inc. |
| Hackett Group Inc (The) | Hancock Whitney Corp | Harleysville Group Inc |
| Hadera Paper Ltd | Handeni Gold Inc | Harleysville National Corp |
| HAEMONETICS CORP | HANDLEMAN CO | Harleysville Savings Bank |
| Hagerty Inc | Handspring Inc | Harman International |
| HAGGAR CORP | Handy & Harman Ltd | Industries Inc. |
| Hain Celestial Group Inc | Hanger Inc | Harmonic Inc |
| (The) | Hanlon Managed Income | Harmony Gold Mining Co Ltd |
| Halcyon Jets Holdings Inc | Hanmi Financial Corp | Harpoon Therapeutics Inc |

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| | | |
|--|--|---|
| Harrington West Financial Group Inc | Hartford HLS Disciplined Equity | Hartford Multi-Asset Income |
| Harris & Harris Group | Hartford HLS Dividend & Growth | Hartford Municipal Opportunities |
| Harris Assoc Focus Value | Hartford HLS Equity Income | Hartford Schrodgers Emerging Markets Equity |
| Harris Assoc Large Cap Value | Hartford HLS Global Adviser | Hartford Schrodgers International Multi Cap Value |
| Harris Insight Index | Hartford HLS Global Growth | Hartford Schrodgers International Stock |
| Harris Insight Intrmd TxEx | Hartford HLS Global Health | Hartford Schrodgers US Mid Cap Opportunities |
| HARRIS INTERACTIVE INC | Hartford HLS Growth | Hartford Short Duration |
| Harris Teeter Supermarkets Inc | Hartford HLS Growth Opportunities | Hartford Small Cap Growth |
| Harrow Health Inc | Hartford HLS High Yield | Hartford Small Company |
| Harsco Corp | Hartford HLS International Opportunities | Hartford Stock |
| HARTCOURT COMPANIES INC | Hartford HLS Intl Growth | Hartford Strategic Income |
| Harte Hanks Inc. | Hartford HLS Intl Sm Company | Hartford Total Return Bond |
| Hartford Balanced Income | Hartford HLS Mid Cap | Hartford TxFr National |
| Hartford Capital Appreciation | Hartford HLS Mid Cap Value | Hartford US Govt Securities |
| Hartford Checks and Balances | Hartford HLS Mortgage Sec | Hartford Value |
| Hartford Core Equity | Hartford HLS Small Cap Growth | Hartford Value Opport |
| Hartford Corporate Opportunities | Hartford HLS Small Company | Hartford World Bond |
| Hartford Dividend & Growth | Hartford HLS Stock | Harvard Bioscience Inc |
| Hartford Equity Growth Alloc | Hartford HLS Total Return Bond | HARVEST ENERGY TRUST |
| Hartford Equity Income | Hartford HLS Ultrashort Bond | Harvest Natural Resources Inc. |
| Hartford Financial Services Group Inc. (The) | Hartford HLS US Government Securities | Hasbro Inc. |
| Hartford Floating Rate | Hartford HLS Value | HashiCorp Inc |
| Hartford Floating Rate High Income | Hartford HLS Value Opport | Hastings Entertainment Inc |
| Hartford Global All Asset | Hartford Inflation Plus | Hatteras Alpha Hedged Strategies |
| Hartford Global Capital Appreciation | Hartford International Equity | Hatteras Financial Corp |
| Hartford Global Growth | Hartford International Growth | Hatteras Long Short Debt |
| Hartford Global Real Asset | Hartford International Opportunities | HAUPPAUGE DIGITAL INC |
| Hartford Great Health Corp | Hartford International Value | HAVAS |
| Hartford Growth | Hartford Intl Small Company | Haverty Furniture Cos Inc |
| Hartford Growth Allocation | Hartford Mid Cap | Hawaiian Electric Industries Inc. |
| Hartford Growth Opportunities | Hartford Mid Cap Value | Hawaiian Holdings Inc |
| Hartford Healthcare | Hartford Moderate Allocation | Hawaiian Telcom Holdco Inc |
| Hartford High Yield | Hartford Money Market | Hawaiian TxFr Trust |
| Hartford HLS Balanced | | HAWK CORP |
| Hartford HLS Cap Appreciation | | Hawkins Inc |
| | | Hawthorn Bancshares Inc |

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| | | |
|---|---|-------------------------------------|
| Hawthorne Financial Corp | HealthStream Inc | HemoBioTech Inc |
| Hayes Lemmerz International Inc | HealthTronics Inc | HEMOSENSE INC |
| Haymaker Acquisition Corp II | Healthtronics Surgical Svcs | HEMOSOL CORP |
| HAYNES INTERNATIONAL INC | Healthwell Acquisition Corp I | Hendrx Corp. |
| Hayward Holdings Inc | Hearst-Argyle Television Inc. | Hennessy Advisors Inc |
| HBT Financial Inc | Heartland Express Inc | Hennessy Capital Investment Corp VI |
| HC Innovations Inc | Heartland Financial USA Inc | Hennessy Cornerstone Growth |
| HCA Healthcare Inc | Heartland Media Acquisition Corp | Hennessy Cornerstone Growth II |
| Hcb Bancshares Inc | HEARTLAND OIL & GAS CORP | Hennessy Cornerstone Mid Cap 30 |
| HCC Insurance Holdings Inc. | Heartland Payment Systems Inc | Hennessy Focus |
| HCI Group Inc | Heartland Select Value | Hennessy Gas Utility |
| HCM Acquisition Corp | Heartland Value | Hennessy Japan |
| HCSB Financial Corp | Heartland Value Plus | Henry (Jack) & Associates Inc |
| HCW Biologics Inc | HeartWare International Inc | HENRY BROS ELECTRONICS INC |
| HD Partners Acquisition Corp | HEARUSA INC | HEPALIFE TECHNOLOGIES INC |
| HD Supply Holdings Inc | Heat Biologics Inc | Hepion Pharmaceuticals Inc |
| Head NV, Rotterdam | Hecla Mining Co | HERBALIFE LTD |
| HeadHunter Group PLC | HECTOR COMMUNICATIONS CORP | Herbalife Nutrition Ltd |
| Headwaters Inc | Heelys Inc | Herc Holdings Inc |
| HEALTH BENEFITS DIRECT CORP | HEI INC | Hercules Inc |
| Health Care Select Sector SPDR Fund (The) | HEICO Corp | Hercules Offshore Inc |
| Health Catalyst Inc | Heidrick & Struggles International Inc | Here Enterprises Inc |
| Health Enhancement Products Inc | Helen of Troy Ltd | Heritage Bankshares Inc |
| Health Fitness Corp | Helicos BioSciences Corp | Heritage Commerce Corp |
| Health Grades Inc | Heliogen Inc | Heritage Financial Corp |
| Health Management Associates Inc. | Helios Technologies Inc | Heritage Financial Group Inc |
| Health Net Inc. | Heliux Medical Technologies Inc | Heritage Global Inc |
| Healthaxis Inc | Helix BioPharma Corp | Heritage Growth Equity |
| Healthcare Realty Trust Inc | Helix Energy Solutions Group Inc | Heritage Insurance Holdings Inc |
| Healthcare Services Acquisition Corp | Helix TCS Inc | Heritage Oaks Bancorp |
| Healthcare Services Group Inc | HELIX TECHNOLOGY CORP | HERITAGE PPTY INVESTMENT TR |
| Healthcare Trust Inc | Helix Wind Corp | Heritage-Crystal Clean Inc |
| Healthetech Inc | Hellenic Telecommunications Organizations OTE | Herley Industries Inc |
| HealthLynked Corp | Hello Group Inc | Hersha Hospitality Trust |
| Healthpeak Properties Inc | Helmerich & Payne Inc. | Hershey Co (The) |
| HEALTHSPORT INC | Hemisphere Media Group Inc | |
| HealthSpring Inc | Hemlock Fed Finl Corp | |

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|---|---|---------------------------------------|
| HERTZ GLOBAL HOLDINGS, INC | Highland/iBoxx Senior Loan ETF | HI-SHEAR TECHNOLOGY CORP |
| Heska Corp | Highlands Acquisition Corp | Hispanic Broadcasting |
| HESS CORP | HIGHLANDS BANKSHARES INC | Histogenics Corp |
| Hess Midstream LP | HIGHLANDS BANKSHARES INC/VA | HITACHI LTD |
| Hestia Insight Inc | Highlands REIT Inc | Hitor Group Inc |
| Hewitt Associates Inc. | HighMark 100% US Treas MM | Hittite Microwave Corp |
| Hewlett Packard Enterprise Co | HighMark CA TxFr MM | Hive Blockchain Technologies Ltd |
| Hexcel Corp | HighMark Diversified MMF | HKN Inc |
| Hexo Corp | Highmark International Opportunities | HLF Emerging Markets |
| HF Financial Corp. | HighMark Large Cap Value | HLF Frontier Emerging Markets |
| HF Sinclair Corp | HighMark Small Cap Value | HLF Global Equity |
| HFF INC | HighMark US Govt MM | HLF Institutional Emerging Markets |
| hgregg Inc | HighMark Value Momentum | HLF International Equity |
| Hi Tech Pharmacal Co Inc | HighPoint Resources Corp | HLF International Small Companies |
| hi/fn Inc | Highwoods Properties Inc. | HLTH Corp |
| Hibbett Inc | HILAND HOLDINGS GP LP | HMN Financial Inc |
| Hibernia Cap Appreciation | HILAND PARTNERS LP | HMS Holdings Corp |
| HIBERNIA CORP -CL A | Hilb Rogal & Hobbs Co | HNI Corp |
| Hickory Tech Corp | Hill International Inc | Hodges Fund |
| Hicks Acquisition Co I Inc | Hillenbrand Inc | Hodges Small Cap |
| Hicks Acquisition Company II Inc | HilleVax Inc | Hoegh LNG Partners LP |
| Hi-Crush Inc | Hilliard Lyons Government | Hoku Corp |
| HIENERGY TECHNOLOGIES INC | Hillman Solutions Corp | Holley Inc |
| High Sierra Technologies Inc | Hill-Rom Holdings Inc | Hollinger Inc |
| High Speed Access Corp | Hills Bancorporation | Holloman Energy Corp |
| High Tide Inc | Hillshire Brands Co | HOLLY ENERGY PARTNERS LP |
| Highbury Financial Inc | Hilton Grand Vacations Inc | Hollywood Entmt Corp |
| Higher One Holdings Inc | Hilton Worldwide Holdings Inc | Hollywood Media Corp. |
| Highland Floating Rate Opportunities | Himax Technologies Inc | Hologic Inc |
| Highland Floating Rate Opportunities | HIMCO VIT Index | Home Bancorp Inc |
| Highland Global Allocation | HIMS & HERS HEALTH, INC. | Home Bancshares Inc |
| HIGHLAND HOSPITALITY CORP | HINES HORTICULTURE INC | Home Depot Inc. (The) |
| Highland Long/Short Equity | Hippo Holdings Inc | Home Diagnostics Inc |
| Highland Long/Short Healthcare | HireQuest Inc | Home Federal Bancorp Inc |
| Highland Money Market II | HireRight Holdings Corp | Home Federal Bancorp/LA |
| Highland US Equity | HireRight Inc | HOME LOAN FINL CORP |
| | Hirsch International Corp. | Home Loan Servicing Solutions Ltd |

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| Home Plate Acquisition Corporation | Horizon Therapeutics Public Ltd Co | HS3 TECHNOLOGIES INC |
| Home Point Capital Inc | Hormel Foods Corp | HSBC Holdings PLC |
| Home Products Intl Inc | Hornbeck Offshore Services Inc. | HSBC Inv Fixed Income |
| Home Properties Inc. | HORNE INTERNATIONAL | HSBC Inv Intl Equity |
| HomeAway Inc | INCHORNE | HSBC Inv NY TxFr MM |
| HOMEFED CORP | Horsehead Holding Corp | HSBC Inv Small Cap Equity |
| Homeinns Hotel Group | Hortonworks Inc | HSBC Prime Money Market |
| Homestead Small Co Stock | Hospira Inc | HSBC Total Return |
| HomeStreet Inc | Host Hotels & Resorts Inc | HSBC US Government Money Market |
| HomeTrust Bancshares Inc | Hostess Brands Inc | HSBC US Treasury Money Market |
| Homology Medicines Inc | Hot Topic Inc | HSN Inc |
| Honda Motor Co Ltd | Hotchkis & Wiley Diversified Value | HST Global Inc |
| Hondo Minerals Corp | Hotchkis & Wiley High Yield | HTG Molecular Diagnostics Inc |
| Honest Company Inc (The) | Hotchkis & Wiley Large Cap Val | Huaneng Power International Inc |
| Honeywell International Inc | Hotchkis & Wiley Mid Cap Val | Hub Group Inc |
| Hong Kong Television Network Ltd | Hotchkis & Wiley Small Cap Diversified Value | HUB INTERNATIONAL LTD |
| Hong Kong Winalite Group Inc | Hotchkis & Wiley Small Cap Value | Hubbell Inc |
| Hooker Furnishings Corporation | Hotchkis & Wiley Value Opportunities | HUDSON ACQUISITION I CORP |
| HOOKIPA Pharma Inc | Houghton Mifflin Harcourt Co | Hudson City Bancorp Inc |
| Hooper Holmes Inc. | Houlihan Lokey Inc | Hudson Executive Investment Corp II |
| Hope Bancorp Inc | HOUSE OF BRUSSELS CHOCOLATES | Hudson Executive Investment Corp III |
| HopFed Bancorp Inc | HOUSERAISING INC | HUDSON HOLDING CORP |
| Horace Mann Educators Corp | Houston American Energy Corp | Hudson Ltd |
| Horizon Acquisition Corp | HOUSTON EXPLORATION CO | Hudson Pacific Properties Inc |
| Horizon Active Asset Allocation | Houston Wire & Cable Co | Hudson River Bancorp Inc |
| Horizon Active Risk Assist | Hovnanian Enterprises Inc. | HUDSON UNITED BANCORP |
| Horizon Bancorp Inc | Howard Bancorp Inc | Hudson Valley Holding Corp |
| HORIZON BANCORPORATION INC | Howard Hughes Corp (The) | Huffy Corp |
| Horizon Financial Corp | Howmet Aerospace Inc. | Hughes Communications Inc |
| Horizon Global Corp | HP Inc | HUGHES SUPPLY INC |
| HORIZON HEALTH CORP | Hpsc Inc | Hughes Telematics Inc |
| Horizon Kinetics Inflation Beneficiaries ETF | HQ Sustainable Maritime Industries Inc | Hugoton Royalty Trust |
| Horizon Lines Inc | | Huiheng Medical Inc |
| Horizon Medical Products Inc | | Humacyte, Inc |
| HORIZON OFFSHORE INC | | HUMAN BIOSYSTEMS |
| Horizon Organic Holding | | HUMAN GENOME SCIENCES INC |

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| Humana Inc. | Hydrogen Hybrid | ICON Energy |
| Humboldt Bancorp | Technologies Inc | ICON Financial Services |
| HUMMINGBIRD LTD | HYDROGEN POWER INC | ICON Healthcare |
| Hunt Companies Acquisition | HYDROGENICS CORP | ICON Information |
| Corp I | Hyllion Holdings Corp. | Technology |
| Hunt Global Resources Inc | Hypercom Corp | ICON Long Short |
| Huntington Bancshares Inc | Hyperdynamics Corp | Icon PLC |
| Huntington Fixed Income Sec | Hyperfine Inc | ICONIX BRAND GROUP INC |
| Huntington Growth | Hyperion Brookfield Total | ICOP DIGITAL INC |
| Huntington Ingalls Industries | Return Fund Inc (The) | ICORIA INC |
| Inc | HYPERION SOLUTIONS CORP | ICOS CORP |
| Huntington Intl Equity | Hyperion Therapeutics Inc | ICOS VISION SYSTEM NV |
| Huntington MM | Hyster-Yale Materials | Icosavax Inc |
| Huntington OH Muni MM | Handling Inc | ICP Solar Technologies Inc |
| Huntington US Treas MM | Hy-Tech Technology Group | ICT Govt Cash & Agency |
| HuntMountain Resources Ltd | Inc | ICT GROUP INC |
| HUNTSMAN CORP | Hyzon Motors Inc | ICT TECHNOLOGIES INC |
| Hurco Cos Inc | I/O Magic Inc | ICTS INTERNATIONAL NV |
| Huron Consulting Group Inc | I2 Technologies Inc | ICU Medical Inc |
| Husky Energy Inc | I3 Verticals Inc | ICx Technologies Inc |
| Hussman Strategic Growth | I-80 Gold Corp | ID BIOMEDICAL CORP |
| Hussman Strategic Total | IA Global Inc. | IDACORP Inc. |
| Return | IAA Inc | Ideal Power Inc |
| Hutchinson Technology Inc | IAC Inc | Ideation Acquisition Corp |
| Hutchison | Iao Kun Group Holding Co Ltd | IDEAYA Biosciences Inc |
| Telecommunications | IB3 Networks Inc | Idenix Pharmaceuticals Inc |
| International Ltd | IBasis Inc | Identiv Inc |
| HUTCHMED (China) Limited | IBERIABANK Corp | IDENTIX INC |
| Huttig Building Products Inc | Ibex Ltd | IDERA PHARMACEUTICALS |
| HUYA Inc | iBio Inc | INC |
| Hyatt Hotels Corp | IBIS TECHNOLOGY CORP | IDEX Corp |
| HYBRID FUEL SYSTEMS INC | IBT BANCORP INC | IDEXX Laboratories Inc |
| Hycor Biomedical Inc | Icagen Inc. | IDM PHARMA INC |
| HYCROFT MINING HOLDING | Icahn Enterprises LP | IDO Security Inc |
| CORP | ICARBON CORP | iDreamSky Technology Ltd |
| Hyde Park Acquisition Corp II | IceCure Medical Ltd | IDT Corp |
| HYDRIL CO | ICF International Inc | IDX SYSTEMS CORP |
| Hydrocarb Energy Corp | Icg Communications | IEC Electronics Corp |
| Hydrofarm Holdings Group | Ichor Holdings Ltd | IEG Holdings Corp |
| Inc | Icici Bank Ltd | IES Holdings Inc |
| HYDROGEN CORP | ICI-IMPERIAL CHEM INDS PLC | IF Bancorp Inc |
| HYDROGEN ENGINE CENTER | iClick Interactive Asia Group | I-FLOW CORP |
| INC | Ltd | IFM Investments Ltd |
| | ICO Inc | Ifresh Inc |

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| | | |
|-----------------------------|------------------------------|------------------------------|
| IFT Money Market Portfolio | IMCOR PHARMACEUTICAL | IMPERIAL INDUSTRIES INC |
| IG Acquisition Corp | CO | Imperial Oil Ltd |
| IGATE Corp | iMedia Brands Inc | IMPERIAL PARKING CORP |
| Igen Inc | IMEDIA INTERNATIONAL INC | IMPERIAL SUGAR CO |
| IGM Biosciences Inc | iMGP Alternative Strategies | IMPERIAL TOBACCO GROUP |
| IGNIS PETROLEUM GROUP | Immersion Corp | PLC |
| INC | IMMTECH | Imperva Inc |
| Ignite Restaurant Group Inc | PHARMACEUTICALS INC | Impinj Inc |
| Ignyta Inc | IMMUCOR INC | IMPLANT SCIENCES CORP |
| iGo Inc | Immune Design Corp | Imprivata Inc |
| iHeartMedia Inc | IMMUNE RESPONSE | IMPSAT FIBER NETWORKS |
| IHS Holding LTD | CORP/DE | INC |
| IHS Inc | Immuneering Corp | IMS Health Holdings Inc |
| IHS Markit Ltd | IMMUNIC, INC. | IN8bio Inc |
| IHuman Inc | Immunicon Corp | INAMED CORP |
| iKang Healthcare Group Inc | ImmunityBio, Inc | Inari Medical Inc |
| Ikanos Communications Inc | ImmunoCellular Therapeutics | Inception Growth Acquisition |
| Ikena Oncology Inc | Ltd | Ltd |
| IKON Office Solutions Inc. | Immunocore Holdings plc | INCO LTD |
| Ilex Oncology Inc | IMMUNOGEN INC | Income Opportunity Realty |
| ILG Inc | Immunome Inc | Investors Inc. |
| Illinois Tool Works Inc. | Immunomedics Inc | inContact Inc |
| Illumina Inc | ImmunoPrecise Antibodies | INCYTE CORP |
| Ilog SA, Gentilly | Ltd | INDEPENDENCE CMNTY BK |
| ILX RESORTS INC | Immunovant Inc | CORP |
| IM Cannabis Corp | IMPAC MEDICAL SYSTEMS | Independence Contract |
| I-Mab | INC | Drilling Inc |
| IMAGE ENTERTAINMENT INC | Impac Mortgage Holdings | Independence Holding Co |
| Image Sensing Systems Inc | Inc. | Independence Holdings Corp |
| ImageWare Systems Inc. | IMPART MEDIA GROUP | Independence Realty Trust |
| Imaging Diagnostic Systems | Impax Core Bond | Inc |
| Inc | Impax Ellevate Global | Independent Bank Corp |
| IMAGING3 INC | Women's Leadership | Independent Bank Corp. |
| IMAGISTICS INTERNATIONAL | Impax Global Environmental | Independent Bank Group Inc |
| INC | Markets | INDEVUS PHARMACEUTICALS |
| Imago BioSciences Inc | Impax High Yield Bond | INC |
| Imanage Inc | Impax International | Index Oil & Gas Inc |
| I-many Inc | Sustainable Economy | India Fund Inc. (The) |
| Imara Inc | Impax Laboratories Inc | India Globalization Capital |
| ImaRx Therapeutics Inc | Impax Large Cap | Inc |
| Imation Corp | Impax Small Cap | Indiana Community Bancorp |
| Imax Corp | Impax Sustainable Allocation | Indie Semiconductor Inc |
| Imc Global Inc | Impel Pharmaceuticals Inc | Indigo-Energy Inc |
| IMCLONE SYSTEMS INC | Imperial Capital Bancorp Inc | INDUS INTERNATIONAL INC |

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| | | |
|--|---|---|
| INDUS Realty Trust Inc | Infosys Ltd | ING Financial Services |
| Industrial Distribution Group Inc. | INFRASOURCE SERVICES | ING Foreign |
| Industrial Logistics Properties Trust | Infrastructure and Energy Alternatives Inc | ING FTSE 100 Index |
| Industrial Select Sector SPDR Fund (The) | INFRASTRUCTURE DEVELOPMENTS CORP. | ING Get US Core |
| Industrial Services of America Inc | Infusion Brands International inc | ING GetD Fund |
| Industrial Tech Acquisitions II Inc | ING Alliance Mid Cap Growth | ING GetE Fund |
| Industrias Bachoco SAB De CV | ING Am Century Select | ING GetS Fund |
| INERGY HOLDINGS LP | ING American Funds Asset Allocation | ING GetV Fund |
| Inet Technologies Inc | ING American Funds Bond | ING Global Advantage & Premium Opportunity Fund |
| Infineon Technologies AG, Muenchen | ING American Funds Gro & Inc | ING GLOBAL ADVTG PREM OPP FD |
| Infinera Corp | ING American Funds Growth | ING Global Bond Portfolio |
| Infinity Augmented Reality Inc | ING American Funds Intl | ING Global Equity Dividend |
| INFINITY ENERGY RESOURCES | ING Artio Foreign | ING Global Value Choice |
| Infinity Pharmaceuticals Inc | ING Asia Pacific High Dividend Equity Income Fund | ING Goldman Sachs Commodities |
| Infinity Property & Cas Corp | ING Balanced | ING Groep NV |
| Infinity Property and Casualty Corp | ING BlackRock Large Cap Growth | ING Growth & Value |
| Infinity Q Diversified Alpha InflaRx NV | ING BlackRock Science & Tech Opportunity | ING High Yield Opportunity |
| Infoblox Inc | ING Brokerage Cash Reserves | ING Index + Small Cap Portfolio |
| INFOCROSSING INC | ING CapGuardian Small Mid Cap | ING Index Plus Large Cap |
| Infocus Corp (USA) | ING CapGuardian US Equities | ING Index Plus Mid Cap |
| infoGROUP Inc | ING Clarion Global Real Estate Port | ING Infrastructure Industrials and Materials Fund |
| InfoLogix Inc | ING Clarion RE Inc Fd | ING Institutional Prime Money Market |
| Infonet Services Corp | ING Classic Money Market | ING International Small Cap Multimgr |
| Informatica Corp | ING Columbia Small Cap Value | ING International Val Portfolio |
| Informatica Inc | ING Core Equity Research | ING International Value |
| INFORMATION HOLDINGS INC | ING Davis New York Venture | ING Janus Contrarian |
| Information Resources Inc | ING Disciplined Intl Sm Cap | ING Japan TOPIX Index |
| Information Services Group Inc | ING Diversified International | ING JPM Intl |
| INFORTE CORP | ING Emerging Countries | ING JPM Value Opportunities |
| INFOSMART GROUP INC | ING Emerging Mkts Fixed Income | ING Legg Mason P Aggressive Growth |
| INFOSONICS CORP | ING Euro STOXX 50 Index | ING Legg Mason P All Cap |
| | ING Fidelity VIP Contrafund | ING Legg Mason Value |
| | | ING Lifestyle Aggrsv Growth |
| | | ING Lifestyle Growth |
| | | ING Lifestyle Moderate |

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| | | |
|---|---|---------------------------------------|
| ING Lifestyle Moderate Growth | ING Wells Fargo Disciplined Value | Inogen Inc |
| ING Limited Maturity Bond | Ingersoll Rand Inc | Inotiv Inc |
| ING Lord Abbett Affiliated | Ingevity Corp | Inovalon Holdings Inc |
| ING Magna Cap | Ingles Markets Inc | Inovio Pharmaceuticals Inc |
| ING Marsico Growth | Ingram Micro Inc. | Inozyme Pharma Inc |
| ING MFS Total Return | Ingredion Inc | Inphi Corp |
| ING MFS Utilities | Inhibitex Inc | Inpixon |
| ING Mid Cap Gro | Inhibrx Inc | INSIGHT COMMUNICATIONS INC |
| ING Money Market | INKINE PHARMACEUTICAL CO INC | Insight Enterprises Inc |
| ING Morgan Stanley Global Franchise | Inksure Technologies Inc | InSight Health Services Holdings Corp |
| ING Neuberger Berman Partners | Inland Land Appreciation Fund II LP | Insightful Corp |
| ING Oppenheimer Main Street | Inland Real Estate Corp | INSIGNIA SOLUTIONS PLC - ADR |
| ING Pioneer Mid Cap Value | Inland Real Estate Income Trust Inc | INSIGNIA SYSTEMS INC |
| ING Principal Protect IV | InMode Ltd | InSite Vision Inc |
| ING Principal Protection I | INMune Bio Inc | Insmed Inc |
| ING Principal Protection II | INNCARDIO INC | Insperty Inc. |
| ING Principal Protection III | INNKEEPERS USA TRUST | Inspire Medical Systems Inc |
| ING Principal Protection V | Innocoll Holdings Plc | INSPIRE PHARMACEUTICALS INC |
| ING Principal Protection VI | Innodata Inc | INSPIRED ENTERTAINMENT, INC |
| ING Principal Protection VII | Innospec Inc | InspireMD Ltd |
| ING Risk Managed Natural Resources Fund | INNOTRAC CORP | Installed Building Products Inc |
| ING Russia | InnovAge Holding Corp | Insteel Industries Inc |
| ING T Rowe International Stock | Innovaro Inc | Instil Bio Inc |
| ING Thornburg Value | Innovation Pharmaceuticals Inc | INSTINET GROUP INC |
| ING Total Return Bond | Innovative Card Technologies Inc. | Institutional Financial Markets Inc |
| ING UBS US Large Cp Eqty | Innovative Industrial Properties Inc | Institutional Money Market |
| ING Value Choice | Innovative International Acquisition Corp | INSU Acquisition Corp III |
| ING Van Kampen Capital Growth | Innovative Software Tech Inc | Insulet Corporation |
| ING Van Kampen Large Cap Growth | Innovative Solutions and Support Inc | INSURANCE AUTO AUCTIONS INC |
| ING VP Index Plus International Equity | INNOVEX INC | Insurance Mgmt Solutions Grp |
| ING VP Strategic Alloc Growth | Innovid Corp | INSURE.COM INC |
| ING VP Strategic Alloc Moderate | Innoviva Inc | InsWeb Corp |
| | INNOVIVE PHARMACEUTICALS INC | INTAC INTERNATIONAL |
| | INNUITY INC | Intapp Inc |
| | | INTECH US Core |

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| | | |
|---|-------------------------------------|---|
| Integer Holdings Corp | Intelligroup Inc | Intermediate Bond Fund |
| Integra Bank Corp | IntelliPharmaCeutics | America |
| Integra LifeSciences Holdings Corp | International Inc | Internet Corp |
| Integra Resources Corp | INTELLISYNC CORP | InterMetro Communications Inc |
| Integral Ad Science Holding Corp | Intellon Corp | INTERMIX MEDIA INC |
| Integral Systems Inc | Intelsat SA | Intermolecular Inc |
| INTEGRAL TECHNOLOGIES INC | Inter & Co Inc | Intermountain Community Bancorp |
| INTEGRAL VISION INC | Inter Parfums Inc | INTERMUNE INC |
| IntegraMed America Inc | INTERACTIVE BRAND DEV INC | Internap Corp |
| INTEGRATED ALARM SVCS GROUP | Interactive Brokers Group Inc | International Absorbents Inc |
| INTEGRATED BIOPHARMA INC | Interactive Data Corp | INTERNATIONAL ALUMINUM CORP |
| INTEGRATED CIRCUIT SYSTEMS | Interactive Intelligence Group Inc | International Business Machines Corp |
| Integrated Data Corp | INTERACTIVE SYSTEMS WORLDWDE | International Coal Group Inc |
| Integrated Defense Tech Inc | Interactive Television Networks | INTERNATIONAL COMMERCIAL TV |
| Integrated Device Technology Inc. | InterAmerican Acquisition Group Inc | INTERNATIONAL ENERGY INC |
| Integrated Enviro Technologies Ltd | Inter-Atlantic Financial Inc | International Fight League Inc |
| Integrated Healthcare Holdings Inc | Intercept Inc | International Fuel Technology Inc |
| INTEGRATED SECURITY SYS INC | Intercept Pharmaceuticals Inc | International Game Technology |
| Integrated Silicon Solution Inc | INTERCHANGE FINL SVCS CP/NJ | International Game Technology PLC |
| INTEGRITY BANCSHARES INC | interCLICK Inc | International General Insurance Holdings Ltd. |
| INTEGRITY FINANCIAL CORP | Intercontinental Exchange Inc | International Hi-Tech Industries Inc |
| Integrys Energy Group Inc | Intercontinental Hotels Group PLC | INTERNATIONAL ISOTOPES INC |
| Intel Corp | Intercorp Financial Services Inc | International Monetary Systems |
| INTELIDATA TECHNOLOGIES CORP | InterDigital Inc | International Money Express, Inc. |
| Inteliquent Inc | Interface Inc | International Paper Co |
| Intellia Therapeutics Inc | INTERGRAPH CORP | International Power Group Ltd |
| Intelligencecheck Inc | INTERLEUKIN GENETICS INC | INTERNATIONAL POWER PLC |
| Intelligent Communication Enterprise Corp | Interline Brands Inc. | International Rectifier Corp |
| Intelligent Medicine Acquisition Corp | INTERLINK ELECTRONICS | |
| Intelligentias Inc | Interlott Technologies Inc | |
| | INTERMAGNETICS GENERAL CORP | |
| | Intermec Inc | |

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| | | |
|---------------------------------------|---|---|
| INTERNATIONAL ROYALTY CORP | Intl Multifoods Corp | Invesco BulletShares 2018 High Yield Corporate Bond ETF |
| International Seaways Inc | INTL SECURITIES EXCHNG HLDGS | Invesco BulletShares 2019 Corporate Bond ETF |
| International Shipholding Corp | Intra-Cellular Therapies Inc | Invesco BulletShares 2019 High Yield Corporate Bond ETF |
| International Speedway Corp | INTRADO INC | Invesco BulletShares 2020 Corporate Bond ETF |
| INTERNATIONAL STEEL GRP INC | INTRALASE CORP | Invesco BulletShares 2020 High Yield Corporate Bond ETF |
| International Stem Cell Corp | IntraLinks Holdings Inc | Invesco BulletShares 2021 Corporate Bond ETF |
| International Textile Group Inc | Intraware Inc | Invesco BulletShares 2021 High Yield Corporate Bond ETF |
| International Tower Hill Mines Ltd | INTRAWEST CORP | Invesco BulletShares 2022 Corporate Bond ETF |
| International Wire Group Inc | Intrawest Resorts Holdings Inc | Invesco BulletShares 2022 High Yield Corporate Bond ETF |
| Internet Brands Inc | Intrepid Potash Inc | Invesco BulletShares 2023 Corporate Bond ETF |
| Internet Gold-Golden Lines Ltd | Intrepid Small Cap | Invesco BulletShares 2024 Corporate Bond ETF |
| INTERNET INITIATIVE JAPAN INC | Intricon Corp | Invesco CA TxFr Inc |
| INTERNET SECURITY SYS INC | Intrusion Inc | Invesco California Municipal |
| INTERPHASE CORP | Intuit Inc. | Invesco California Value Municipal Income Trust |
| INTERPOOL INC | Intuitive Machines Inc | Invesco Capital Appreciation |
| Interpore International | Inuvo Inc | Invesco Capital Development |
| Interpublic Group of Cos Inc (The) | Invacare Corp | INVESCO Cash Reserves |
| Intersect ENT Inc | InvenSense Inc | Invesco Charter |
| Intersections Inc | INVENTIV HEALTH INC | Invesco Comstock |
| Intersil Corp | InvenTrust Properties Corp | Invesco Comstock Select |
| Interstate Bakeries Corp | Inveresk Research Group Inc | Invesco Conservative Income |
| Interstate Hotels & Resorts Inc. | Invesco Active Allocation | Invesco Constellation |
| Intertan Inc | Invesco Advantage Municipal Income Trust II | Invesco Convertible Securities |
| Intertape Polymer Group Inc. | INVESCO Advntg Gbl Hlth Sci | Invesco Core Bond |
| INTER-TEL INC -SER A | Invesco Aerospace & Defense ETF | Invesco Core Plus Bond |
| Intervest Bancshares Corp. | Invesco American Franchise | Invesco Corporate Bond |
| INTERVIDEO INC | Invesco American Value | |
| INTERVOICE INC | Invesco AMT-Free Municipal Income | |
| Interwave Commun Intl Ltd | INVESCO Balanced | |
| Interwoven Inc | Invesco Balanced Risk Allocation | |
| InterXion Holding NV | Invesco Balanced-Risk Commodity Strategy | |
| inTest Corp | Invesco Basic Balanced | |
| Intevac Inc | Invesco Basic Value | |
| INTIER AUTOMOTIVE INC | Invesco BulletShares 2018 Corporate Bond ETF | |
| INTL DISPLAYWORKS INC | | |

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| | | |
|---|--|--|
| Invesco CurrencyShares Australian Dollar Trust | Invesco Dynamic Semiconductors ETF | Invesco Exchange-Traded Fund Trust II - Invesco Solar ETF |
| Invesco CurrencyShares British Pound Sterling Trust | Invesco Dynamic Software ETF | Invesco Exchange-Traded Fund Trust II Invesco DWA SmallCap Momen |
| Invesco CurrencyShares Canadian Dollar Trust | Invesco Dynamics Innovators | Invesco Exchange-Traded Fund Trust II- Invesco S&P 500 High Beta |
| Invesco CurrencyShares Euro Trust | Invesco Emerging Markets Sovereign Debt | Invesco Exchange-Traded Fund Trust II Invesco S&P SmallCap Healt |
| Invesco CurrencyShares Japanese Yen Trust | Invesco Endeavor | Invesco Exchange-Traded Fund Trust Invesco BuyBack Achievers ETF |
| Invesco CurrencyShares Swiss Franc Trust | Invesco Energy | Invesco Exchange-Traded Fund Trust Invesco Dividend Achievers ET |
| Invesco DB Agriculture Fund | Invesco Equally Weighted S&P 500 | Invesco Exchange-Traded Fund Trust Invesco DWA Healthcare Moment |
| Invesco DB Base Metals Fund | Invesco Equity & Income | Invesco Exchange-Traded Fund Trust Invesco Nasdaq Internet ETF |
| Invesco DB Commodity Index Tracking Fund | Invesco EQV Asia Pacific Equity | Invesco Financial Preferred ETF |
| Invesco DB Energy Fund | Invesco EQV Emerging Markets All Cap | Invesco Floating Rate ESG |
| Invesco DB Gold Fund | Invesco EQV European Equity | Invesco FTSE RAFI Developed Markets ex-U.S. ETF |
| Invesco DB Oil Fund | Invesco EQV International Equity | Invesco FTSE RAFI Emerging Markets ETF |
| Invesco DB Precious Metals Fund | INVECO European | Invesco FTSE RAFI US 1500 Small-Mid ETF |
| Invesco DB USD Index Bullish Fund ETF | Invesco European Small Company | Invesco Fundamental Alternatives |
| Invesco Developing Markets | Invesco Exchange Traded Fund Trust Invesco FTSE RAFI US 1000 | Invesco Fundamental High Yield Corporate Bond |
| Invesco Discovery | Invesco Exchange-Traded Fund Trust II - China Technology ETF | Invesco Gbl Small & Mid Cap Growth |
| Invesco Discovery Mid Cap Growth | Invesco Exchange-Traded Fund Trust II - Invesco California AMT-F | Invesco Global |
| Invesco Diversified Dividend | Invesco Exchange-Traded Fund Trust II - Invesco CEF Income Compo | Invesco Global Allocation |
| Invesco Dividend Growth Securities | Invesco Exchange-Traded Fund Trust II - Invesco S&P 500 Low Vola | Invesco Global Core Equity |
| Invesco Dividend Income | Invesco Exchange-Traded Fund Trust II - Invesco S&P Internationa | Invesco Global Dividend Growth Securities |
| Invesco DWA Momentum ETF | | |
| Invesco Dynamic Credit Opportunities Fund | | |
| Invesco Dynamic Large Cap Growth ETF | | |
| Invesco Dynamic Large Cap Value ETF | | |
| Invesco Dynamic Leisure and Entertainment ETF | | |
| Invesco Dynamic Pharmaceuticals ETF | | |

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|------------------------------|------------------------------|-----------------------------|
| Invesco Global Focus | Invesco Limited Term | Invesco Oppenheimer |
| Invesco Global Growth | California Municipal | Rochester Short Duration |
| Invesco Global Opportunities | Invesco Limited Term Muni | High Yield Muni |
| Invesco Global Real Estate | Income | Invesco Oppenheimer V.I. |
| Invesco Global Real Estate | Invesco Liquid Assets | International Growth |
| Income | Invesco Ltd | Invesco Optimum Yield |
| Invesco Global Strategic | Invesco Main Street | Diversified Commodity |
| Income | Invesco Main Street All Cap | Strategy No K-1 ETF |
| Invesco Gold & Precious | Invesco Main Street Mid Cap | Invesco Pennsylvania |
| Metals | Invesco Main Street Small | Municipal |
| Invesco Gold & Special | Cap | Invesco Preferred |
| Minerals | Invesco Mid Cap Core Equity | Invesco Premier Portfolio |
| Invesco Government Money | Invesco Mid Cap Growth | Invesco Prime Income Trust |
| Market | Invesco Moderate Allocation | Invesco QQQ Trust Series 1 |
| Invesco Govt & Agency | Invesco Moderate Growth | Invesco Quality Income |
| INVESCO Growth | Allocation | Invesco Quality Municipal |
| Invesco Growth & Income | Invesco Mortgage Capital Inc | Income Trust |
| Invesco Health Care | Invesco Multi Sector | Invesco Real Estate |
| Invesco High Income Muni | Invesco Multi-Asset Income | Invesco Rising Dividends |
| INVESCO High Yield | Invesco Muni Bond | Invesco Rochester AMT Free |
| Invesco High Yield Equity | Invesco Municipal | NY Municipal |
| Dividend Achievers ETF | Invesco Municipal Income | Invesco Rochester Limited |
| Invesco High Yield Municipal | Invesco Municipal | Term New York Municipal |
| Invesco Income | Opportunity Trust | Invesco Rochester Municipal |
| Invesco Income Allocation | Invesco Municipal Trust | Opportunities |
| Invesco Intermediate | Invesco National AMT-Free | Invesco Rochester New York |
| Municipal Income | Municipal Bond ETF | Municipals |
| Invesco International Bond | Invesco Oppenheimer Capital | Invesco Russell Midcap Pure |
| Invesco International Core | Income | Growth Etf |
| Equity | Invesco Oppenheimer Equity | Invesco S&P 500 Equal |
| Invesco International | Income | Weight Consumer |
| Diversified | Invesco Oppenheimer | Discretionary ETF |
| Invesco International | Government Cash Reserves | Invesco S&P 500 Equal |
| Dividend Achievers ETF | Invesco Oppenheimer | Weight ETF |
| Invesco International Equity | International Growth | Invesco S&P 500 Equal |
| Invesco International Small | Invesco Oppenheimer | Weight Health Care ETF |
| Company | Limited-Term Bond | Invesco S&P 500 Equal |
| Invesco International Small- | Invesco Oppenheimer | Weight Materials ETF |
| Mid Company | Limited-Term Govt | Invesco S&P 500 Equal |
| Invesco KBW Bank ETF | Invesco Oppenheimer Mid | Weight Technology Etf |
| Invesco Large Cap Growth | Cap Value | Invesco S&P 500 GARP |
| Invesco Large Relative Value | Invesco Oppenheimer Real | Invesco S&P 500 High |
| Invesco Leisure | Estate | Dividend Low Volatility |
| | | Invesco S&P 500 Index |

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|---|---|--|
| Invesco S&P 500 Pure Growth Etf | Invesco SteelPath MLP Alpha | Invesco V.I. Global Strategic Income |
| Invesco S&P 500 Pure Value Etf | Invesco SteelPath MLP Income | Invesco V.I. Government Money Market |
| Invesco S&P 500 Quality Etf | Invesco SteelPath MLP Select 40 | Invesco V.I. Government Securities |
| Invesco S&P 500 Revenue ETF | Invesco STIC Prime | Invesco V.I. Growth & Income |
| INVESCO S&P 500 TOP 50 ETF | Invesco STIT TxFr Cash Reserve | Invesco V.I. Main Street |
| Invesco S&P Global Water Index ETF | Invesco Summit Fund | Invesco V.I. Main Street Small Cap |
| Invesco S&P Midcap 400 Pure Growth ETF | Invesco Tax Exempt Securities | Invesco V.I. Mid Cap Core Equity |
| Invesco S&P Midcap Low Volatility Etf | Invesco Taxable Municipal Bond | Invesco V.I. US Government Money |
| Invesco S&P SmallCap Low Volatility ETF | Invesco Technology | Invesco Value Municipal Income Trust |
| Invesco S&P Ultra Dividend Revenue ETF | INVESCO | Invesco Value Opportunity |
| Invesco Select Companies | Telecommunications | Invesco Van Kampen Capital Growth |
| INVESCO Select Income | Invesco Total Return Bond ETF | Invesco Van Kampen Emerging Markets |
| Invesco Select Risk: Conservative Investor | Invesco Treasury | Invesco Van Kampen Enterprise |
| Invesco Select Risk: Growth Investor | Invesco Treasury Obligations | Invesco Van Kampen Global Franchise |
| Invesco Select Risk: High Growth Investor | Invesco Trust for Investment Grade Municipals | Invesco Van Kampen Government Security |
| Invesco Select Risk: Moderate Investor | Invesco Ultra Short Duration Etf | Invesco Van Kampen Harbor |
| Invesco Senior Floating Rate | Invesco US Government Money | Invesco Van Kampen High Yield |
| Invesco Senior Income Trust | Invesco US Small Cap Value | Invesco Van Kampen Insured Tax Free Income |
| Invesco Senior Loan | Invesco V.I. American Franchise | Invesco Van Kampen International Growth |
| Invesco Short Duration High Yield Municipal | Invesco V.I. Balanced Risk Allocation | Invesco Van Kampen Pennsylvania Value |
| Invesco Short Duration Inflation Protected | Invesco V.I. Basic Value | Invesco Van Kampen Real Estate Security |
| Invesco Short Term Bond | Invesco V.I. Capital Appreciation | Invesco Van Kampen V.I. Government |
| Invesco Short Term Municipal | Invesco V.I. Comstock | Invesco Van Kampen V.I. Mid Cap Value |
| Invesco Small Cap Discovery | Invesco V.I. Core Equity | |
| Invesco Small Cap Equity | Invesco V.I. Discovery Mid Cap Growth | |
| Invesco Small Cap Growth | Invesco V.I. Diversified Dividend | |
| Invesco Small Cap Value | Invesco V.I. Equity & Income | |
| Invesco Special Value | Invesco V.I. EQV | |
| | International Equity | |
| | Invesco V.I. Global | |

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|--------------------------------------|--|--|
| Invesco Variable Rate Preferred Etf | iPCS Inc. | iShares 0-5 Year Investment Grade Corporate Bond ETF |
| Invesco Water Resources ETF | Ipec Holdings Inc | iShares 0-5 Year TIPS Bond ETF |
| Invesco Wilderhill Clean Energy Etf | IPG Photonics Corp | iShares 10-20 Year Treasury Bond ETF |
| Investar Holding Corp | IPIX CORP | iShares 1-3 Year Treasury Bond ETF |
| Investment Technology Group Inc. | iPower Inc | iShares 1-5 Year Investment Grade Corp Bond ETF |
| Investors Bancorp Inc | IPSCO INC | iShares 3-7 Year Treasury Bond ETF |
| Investors Capital Holdings Ltd | Ipsidy Inc | iShares 5-10 Year Investment Grade Corp Bond ETF |
| INVESTORS FINANCIAL SVCS CP | IQ 50 Percent Hedged FTSE International ETF | iShares 7-10 Year Treasury Bond ETF |
| Investors Title Co | iQIYI Inc | iShares Aaa - A Rated Corporate Bond ETF |
| Investview Inc | Iqvia Holdings Inc | iShares Agency Bond ETF |
| INVISA INC | IR BIOSCIENCES HOLDINGS INC | iShares Asia 50 ETF |
| Invision Technologies Inc | iRadimed Corp | iShares Biotechnology ETF |
| Invitae Corp | IRELAND INC | iShares Broad USD High Yield Corporate Bond ETF |
| Invitation Homes Inc | IRIDEX Corp | iShares Broad USD Investment Grade Corporate Bond |
| Invitel Holdings A/S | Iridium Communications Inc | iShares California Muni Bond ETF |
| Invivo Corp | Iris Energy Ltd | iShares China Large-Cap ETF |
| InVivo Therapeutics Holdings Corp | IRIS International Inc. | iShares CMBS ETF |
| Invivyd Inc | iRobot Corp | iShares Cohen & Steers REIT ETF |
| Invuity Inc | Iron Mountain Inc | iShares Convertible Bond ETF |
| INX Inc | Iron Strategic Income | iShares Core 1-5 Year USD Bond ETF |
| INYX INC | IronBridge Small Cap | iShares Core Aggressive Allocation Fund |
| IO Biotech Inc | IronBridge SMID Cap | iShares Core Conservative Allocation Fund |
| IOMAI CORP | Ironclad Encryption Corp | iShares Core Dividend Growth ETF |
| Iomega Corp | Ironclad Performance Wear Corp | iShares Core Growth Allocation Fund |
| ION Geophysical Corp | Ironwood Pharmaceuticals Inc | |
| ION MEDIA NETWORKS INC | IRSA Propiedades Comerciales SA | |
| IONA TECHNOLOGIES PLC | IRVINE SENSORS CORP | |
| IONICS INC | ISAACS I C & CO INC | |
| Ionis Pharmaceuticals Inc | Isabella Bank Corp | |
| IonQ Inc | Isco Inc | |
| IOTA Communications Inc | ISCO International Inc. | |
| Iovance Biotherapeutics Inc | Isecuretrac Corp | |
| Iowa Telecommunications Services Inc | ishare Inc - iShares MSCI Taiwan ETF | |
| iPass Inc | Ishares 0-3 Month Treasury Bond ETF | |
| IPAYMENT INC | iShares 0-5 Year High Yield Corporate Bond ETF | |
| IPC Healthcare Inc | | |
| IPC Holdings Ltd | | |

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|--|---|---|
| iShares Core High Dividend ETF | iShares Edge MSCI Intl Value Factor ETF | iShares Gold Trust |
| iShares Core International Aggregate Bond ETF | iShares Edge MSCI USA Size Factor ETF | iShares Gold Trust Micro |
| iShares Core Moderate Allocation Fund | iShares Emerging Markets Dividend ETF | iShares GSCI Commodity Dynamic Roll Strategy ETF |
| iShares Core MSCI EAFE ETF | iShares Emerging Markets Equity Factor ETF | iShares iBonds Dec 2019 Term Corporate ETF |
| iShares Core MSCI Emerging Markets ETF | iShares Emerging Markets High Yield Bond ETF | iShares iBonds Dec 2020 Term Corporate ETF |
| iShares Core MSCI Europe ETF | iShares ESG Advanced Total USD Bond Market ETF | iShares iBonds Dec 2021 Term Corporate ETF |
| iShares Core MSCI Pacific ETF | iShares ESG Aware 1-5 Year USD Corporate Bond | iShares iBonds Dec 2022 Term Corporate ETF |
| iShares Core MSCI Total International Stock ETF | iShares ESG Aware MSCI EAFE ETF | iShares iBonds Dec 2023 Term Corporate ETF |
| iShares Core S&P 500 ETF | iShares ESG Aware MSCI USA Small-Cap ETF | iShares iBonds Dec 2023 Term Treasury ETF |
| iShares Core S&P Mid-Cap ETF | iShares ESG MSCI EM Leaders ETF | iShares iBonds Dec 2024 Term Corporate ETF |
| iShares Core S&P Small-Cap ETF | iShares Europe ETF | iShares iBonds Dec 2025 Term Corporate ETF |
| iShares Core S&P Total U.S. Stock Market ETF | iShares Expanded Tech- Software Sector ETF | iShares iBonds Dec 2026 Term Corporate ETF |
| iShares Core S&P U.S. Growth ETF | iShares Exponential Technologies ETF | iShares iBonds Dec 2027 Term Corporate ETF |
| iShares Core S&P U.S. Value ETF | iShares Fallen Angels USD Bond ETF | iShares iBoxx High Yield Corporate Bond ETF |
| iShares Core Total USD Bond Market ETF | iShares Floating Rate Bond ETF | iShares iBoxx Investment Grade Corporate Bond ETF |
| iShares Core US Aggregate Bond ETF | iShares Global 100 ETF | iShares Inc - iShares ESG Aware MSCI EM ETF |
| iShares Core US REIT ETF | iShares Global Clean Energy ETF | iShares Inc iShares MSCI Brazil ETF |
| iShares Currency Hedged MSCI EAFE ETF | iShares Global Consumer Staples ETF | iShares Inc iShares MSCI Emerging Markets ETF |
| iShares Currency Hedged MSCI Germany ETF | iShares Global Energy ETF | iShares India 50 ETF |
| iShares Currency Hedged MSCI Japan ETF | iShares Global Financials ETF | iShares Interest Rate Hedged Corporate Bond ETF |
| iShares Developed Real Estate Index | iShares Global Infrastructure ETF | iShares Interest Rate Hedged Long-Term Corporate Bond ETF |
| iShares Dow Jones US ETF | iShares Global Materials ETF | iShares Intermediate Government/Credit Bond ETF |
| iShares Edge MSCI Intl Momentum Factor ETF | iShares Global REIT ETF | |
| | iShares Global Tech ETF | |
| | iShares Global Telecom ETF | |
| | ISHARES GOLD SACHS NTWKG FD | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| iShares International Developed Real Estate ETF | iShares MSCI Canada ETF | iShares MSCI Malaysia ETF |
| iShares International Equity- ETFs iShares MSCI Japan ETF | iShares MSCI Chile Capped Investable Market Index Fund | iShares MSCI Mexico ETF |
| iShares International Equity Factor ETF | iShares MSCI China A ETF | iShares MSCI Netherlands Fund |
| iShares International Select Dividend ETF | iShares MSCI China ETF | iShares MSCI Pacific ex Japan ETF |
| iShares International Treasury Bond ETF | iShares MSCI Developed World Index | iShares MSCI Russia ETF |
| iShares JP Morgan EM Local Currency Bond ETF | iShares MSCI EAFE ETF | iShares MSCI Saudi Arabia ETF |
| iShares JPMorgan USD Emerging Markets Bond Fund | iShares MSCI EAFE International Index | iShares MSCI Singapore ETF |
| iShares Latin America 40 ETF | iShares MSCI EAFE Min Vol Factor ETF | iShares MSCI South Africa ETF |
| iShares MBS ETF | iShares MSCI EAFE Small-Cap ETF | iShares MSCI South Korea ETF |
| iShares Micro-Cap ETF | iShares MSCI EAFE Value ETF | iShares MSCI Spain ETF |
| iShares Morningstar Growth ETF | iShares MSCI Emerging Markets Asia ETF | iShares MSCI Sweden ETF |
| iShares Morningstar Mid-Cap ETF | iShares MSCI Emerging Markets ex China ETF | iShares MSCI Switzerland ETF |
| iShares Morningstar Mid-Cap Growth ETF | iShares MSCI Emerging Markets Min Vol Factor ETF | iShares MSCI Thailand Capped ETF |
| iShares Morningstar Small- Cap Growth ETF | iShares MSCI Europe Financials ETF | iShares MSCI Total International Index |
| iShares Morningstar US Equity ETF | iShares MSCI Eurozone ETF | iShares MSCI Turkey ETF |
| iShares Morningstar Value ETF | iShares MSCI France ETF | iShares MSCI United Kingdom ETF |
| iShares Mortgage Real Estate ETF | iShares MSCI Frontier 100 Index Fund | iShares MSCI USA ESG Select ETF |
| iShares MSCI ACWI ETF | iShares MSCI Germany ETF | iShares MSCI USA Min Vol Factor ETF |
| iShares MSCI ACWI ex US ETF | iShares MSCI Global Gold Miners ETF | iShares MSCI USA Momentum Factor ETF |
| iShares MSCI ACWI Low Carbon Target ETF | iShares MSCI Global Metals & Mining Producers ETF | iShares MSCI USA Quality Factor ETF |
| iShares MSCI All Country Asia ex Japan ETF | iShares MSCI Global Min Vol Factor ETF | iShares MSCI USA Small-Cap Min Vol Factor ETF |
| iShares MSCI All Peru Capped Index Fund | iShares MSCI Hong Kong ETF | iShares MSCI USA Value Factor ETF |
| iShares MSCI Australia ETF | iShares MSCI Indonesia ETF | iShares MSCI World ETF |
| iShares MSCI Austria Fund | iShares MSCI Intl Quality Factor ETF | iShares National Muni Bond ETF |
| iShares MSCI Belgium Fund | iShares MSCI Italy ETF | iShares New York Muni Bond ETF |
| iShares MSCI BRIC ETF | iShares MSCI KLD 400 Social ETF | iShares North American Natural Resources ETF |
| | iShares MSCI KLD 400 Social Index Fund | |

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| iShares Paris-Aligned Climate MSCI USA ETF | iShares S&P Small-Cap 600 Growth ETF | iShares Trust iShares MSCI EAFE Growth ETF |
| iShares Preferred and Income Securities ETF | iShares S&P Small-Cap 600 Value ETF | iShares Trust iShares MSCI Global Sustainable Development Goals |
| iShares Residential Real Estate ETF | iShares S&P TOPIX 150 Index Fund | iShares Trust iShares U S Healthcare ETF |
| iShares Russell 1000 ETF | iShares Select Dividend ETF | iShares Trust NYSE 100 Index Fund |
| iShares Russell 1000 Growth ETF | iShares Semiconductor ETF | iShares U.S. Aerospace & Defense ETF |
| iShares Russell 1000 Large Cap Index | iShares Short Treasury Bond ETF | iShares U.S. Basic Materials ETF |
| iShares Russell 1000 Value ETF | iShares Short-Term National Muni Bond ETF | iShares U.S. Broker-Dealers & Securities Exchanges ETF |
| iShares Russell 2000 Growth ETF | iShares Silver Trust | iShares U.S. Healthcare Providers ETF |
| iShares Russell 2000 Small Cap Index | iShares TIPS Bond ETF | iShares U.S. Home Construction ETF |
| iShares Russell 2000 Value ETF | iShares Total US Stock Market Index | iShares U.S. Medical Devices ETF |
| iShares Russell 3000 ETF | iShares Treasury Floating Rate Bond ETF | iShares U.S. Oil & Gas Exploration & Production ETF |
| iShares Russell Mid-Cap ETF | iShares Trust - Cybersecurity and Tech ETF Fund | iShares U.S. Oil Equipment & Services ETF |
| iShares Russell Mid-Cap Growth ETF | iShares Trust - iShares ESG MSCI USA Leaders ETF | iShares U.S. Pharmaceuticals ETF |
| iShares Russell Mid-Cap Index | iShares Trust - iShares Global Healthcare ETF | iShares U.S. Regional Banks ETF |
| iShares Russell Mid-Cap Value ETF | iShares Trust - iShares Long-Term Corporate Bond ETF | iShares U.S. Small-Cap Equity Factor ETF |
| iShares Russell Top 200 ETF | iShares Trust - iShares MSCI India ETF | iShares U.S. Transportation ETF |
| iShares Russell Top 200 Growth ETF | iShares Trust - iShares Russell 2000 ETF | iShares U.S. Treasury Bond ETF |
| iShares Russell Top 200 Value ETF | iShares Trust Expanded Tech Sector ETF | iShares US Aggregate Bond Index |
| iShares S&P 100 ETF | iShares Trust iShares 20 Year Treasury Bond ETF | iShares US Consumer Discretionary ETF |
| iShares S&P 500 Growth ETF | iShares Trust iShares ESG Advanced MSCI USA ETF | iShares US Consumer Goods ETF |
| iShares S&P 500 Index | iShares Trust iShares ESG Aware MSCI USA ETF | iShares US Energy ETF |
| iShares S&P 500 Value ETF | iShares Trust iShares ESG Aware U S Aggregate Bond ETF | iShares US Equity Factor ETF |
| iShares S&P Global Utilities Sector Index Fund | iShares Trust iShares ESG Aware USD Corporate Bond ETF | |
| iShares S&P GSCI Commodity-Indexed Trust | | |
| iShares S&P Mid-Cap 400 Growth ETF | | |
| iShares S&P Mid-Cap 400 Value ETF | | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|---|---|---------------------------------------|
| iShares US Financial Services ETF | Itxc Corp | J Hancock Diversified Real Assets |
| iShares US Financials ETF | IVA International | J Hancock Emerging Markets Equity |
| iShares US Industrials ETF | IVA Worldwide | J Hancock Financial Indust |
| iShares US Infrastructure ETF | IVANHOE ENERGY INC | J Hancock Fundamental Large Cap Core |
| iShares US Intermediate Credit Bond Index | IVAX CORP | J Hancock Global Opportunities |
| iShares US Intermediate Government Bond Index | IVERIC bio Inc | J Hancock Govt Income |
| iShares US Long Credit Bond Index | IVILLAGE INC | J Hancock Greater China Opportunities |
| iShares US Long Government Bond Index | Ivivi Technologies Inc | J Hancock Growth Trends |
| iShares US Real Estate ETF | Ivy Advantus Real Estate Securities | J Hancock Health Sciences |
| iShares US Securitized Bond Index | Ivy Apollo Multi-Asset Income | J Hancock High Yield |
| iShares US Technology ETF | Ivy Capital Appreciation | J Hancock High Yield Muni |
| iShares US | Ivy Cundill Global Value | J Hancock Income |
| Telecommunications ETF | Ivy Dividend Opportunities | J Hancock Infrastructure |
| iShares US Utilities ETF | Ivy Energy | J Hancock Investment Grade Bond |
| ISI North American Govt | Ivy European Opportunities | J Hancock Mid Cap Growth |
| Isilon Systems Inc | Ivy Global Income Allocation | J Hancock Money Market |
| ISLAND PACIFIC INC | Ivy Money Market | J Hancock Municipal Opportunities |
| ISLE OF CAPRI CASINOS INC | Ivy Mortgage Securities | J Hancock Regional Bank |
| iSoftStone Holdings Ltd | Ivy Natural Resources | J Hancock Seaport Long/Short |
| ISONICS CORP | Ivy VIP Balanced | J Hancock Short Duration Bond |
| IsoPlexis Corp | Ivy VIP Dividend Opportunities | J Hancock Small Cap Core |
| ISORAY INC | Ivy VIP Government Money Market | J Hancock Small Cap Equity |
| ISRAMCO INC | Ivy VIP Intl Growth | J Hancock Small Cap Growth |
| Issuer Direct Corp | Ivy VIP Limited Term Bond | J Hancock Small Cap Intrinsic Value |
| ISTA Pharmaceuticals Inc | Ivy VIP Small Cap Growth | J Hancock Sovereign Invest |
| iStar Inc | Ivy VIP Value | J Hancock Technology |
| I-Stat Corp | IXI Mobile Inc | J Hancock US Glbl Leadrs Gro |
| ITC Deltacom Inc | Ixia | J Hancock Va Tr Equity Indx |
| ITC Holdings Corp | IXYS CORP | J Hancock Va Tr Lg Cap Gro |
| Item 9 Labs Corp | IZEA Worldwide Inc | J Hancock Va Tr Lg Cap Val |
| iTeos Therapeutics Inc | J & J Snack Foods Corp | J Hancock Va Tr Money Markt |
| Iteris Inc | J Crew Group Inc | J Hancock Va Tr Real Estate |
| Iterum Therapeutics plc | J Hancock Balanced | J Hancock Value Equity |
| itLinkz Group Inc | J Hancock Bond | |
| I-trax Inc. | J Hancock CA TxFr Income | |
| Itron Inc | J Hancock Classic Value | |
| ITT Educational Services Inc | J Hancock Core Equity | |
| ITT Inc | J Hancock Disciplined Value International | |
| | J Hancock Diversified Macro | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|--|--|--|
| J JILL GROUP INC | James River Coal Co | Janus Henderson Global Real Estate |
| J Jill Inc | James River Group Holdings Ltd | Janus Henderson Global Research |
| J. Alexander's Holdings Inc | James Small Cap | Janus Henderson Global Research Portfolio |
| J. W. Mays Inc | JAMESON INNS INC | Janus Henderson Global Select |
| J.B. Hunt Transport Services Inc. | Jamf Holding Corp | Janus Henderson Global Technology & Innovation |
| J.C. Penney Company Inc. | Janus Adv Long Short | Janus Henderson Global Technology & Innovation Portfolio |
| J.G. Wentworth Co (The) | Janus Balanced | Janus Henderson Growth & Income |
| J.P. Morgan Exchange Traded Fund Trust - JPMorgan BetaBuilders J | JANUS CAPITAL GROUP INC | Janus Henderson High Yield Bond |
| J.P. Morgan Exchange Traded Fund Trust-JPMorgan BetaBuilders Eur | Janus Contrarian Fund | Janus Henderson International Opportunities |
| J.P. Morgan Exchange-Traded Fund Trust-J P Morgan Betabuilders C | Janus Enterprise | Janus Henderson Mid Cap Value |
| Jabil Inc | Janus Flexible Bond | Janus Henderson MMF |
| Jacada Ltd | Janus Fund | Janus Henderson Mortgage-Backed Securities ETF |
| Jack in the Box Inc. | Janus Fund II | Janus Henderson Multi Sector Income |
| Jackson Acquisition Co | Janus Govt MMF | Janus Henderson Overseas Portfolio |
| Jackson Financial Inc | Janus Growth & Income | Janus Henderson Overseas Portfolio |
| Jackson Hewitt Tax Service Inc | Janus Henderson AAA CLO ETF | Janus Henderson Research Portfolio |
| Jackson Square Large Cap Growth | Janus Henderson Absolute Return Income Opportunities | Janus Henderson Research Portfolio |
| Jackson Square Partners | Janus Henderson Adaptive Risk Managed US Equity | Janus Henderson Short Duration Flexible Bond |
| SMID Cap Growth | Janus Henderson Balanced | Janus Henderson Short Duration Income ETF |
| Jacksonville Bancorp | Janus Henderson Balanced Portfolio | Janus Henderson Small Cap Value |
| Jacksonville Bancorp Inc/FL | Janus Henderson Contrarian | Janus Henderson Triton |
| JACKSONVILLE BANCORP INC/IL | Janus Henderson Developed World Bond | Janus Henderson US Low Volatility Portfolio |
| JACO ELECTRONICS INC | Janus Henderson Enterprise | Janus Henderson Venture |
| JACUZZI BRANDS INC | Janus Henderson Enterprise Portfolio | Janus High Yield Bond |
| Jade Art Group Inc | Janus Henderson European Focus | |
| Jag Media Holdings Inc | Janus Henderson Flexible Bond | |
| Jagged Peak Energy Inc | Janus Henderson Flexible Bond Portfolio | |
| Jaguar Health Inc | Janus Henderson Forty | |
| Jaguar Mining Inc | Janus Henderson Forty Portfolio | |
| JAKKS Pacific Inc | Janus Henderson Global Equity Income | |
| JAMDAT MOBILE INC | Janus Henderson Global Life Sciences | |
| James Hardie Industries Plc | | |
| JAMES MONROE BANCORP INC | | |

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 Issuers With No Outstanding Past-Due
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| | | |
|-------------------------------------|-------------------------------------|--|
| Janus Instl Cash Management | Jefferson Bancshares Inc | JHF II Equity Income |
| Janus Instl Cash Reserves | JEFFERSON-PILOT CORP | JHF II Floating Rate Income |
| Janus Instl Government Money Market | Jeffersonville Bancorp | JHF II Fundamental Global Franchise |
| Janus Instl Money Market | JELD-WEN Holding Inc | JHF II Fundamental Large Cap Value |
| Janus INTECH Risk Managed Growth | Jennifer Convertibles Inc. | JHF II Fundamental Value |
| Janus INTECH Risk Mngd Core | Jennison Conservative Growth | JHF II Global Bond |
| Janus Olympus | Jennison Global Growth | JHF II Global Equity |
| Janus Orion Fund | Jensen Quality Growth | JHF II Global Income |
| Janus Overseas | JER Investors Trust Inc | JHF II Global Real Estate |
| Janus Perkins Mid Cap Value | Jernigan Capital Inc | JHF II Health Sciences |
| Janus Perkins Small Cap Value | JETBLUE AIRWAYS CORP | JHF II High Income |
| Janus Research Core | JFrog Ltd | JHF II High Yield |
| Janus Special Situations | JHF II 2025 Preservation Blend | JHF II Income Preservation Blend |
| Janus Twenty | JHF II 2030 Lifetime Blend | JHF II Index 500 |
| Janus TxEx MMF | JHF II 2030 Preservation Blend | JHF II International Equity Index |
| Janus Worldwide | JHF II 2035 Lifetime Blend | JHF II International Growth Opportunities |
| Janux Therapeutics Inc | JHF II 2035 Preservation Blend | JHF II International Growth Stock |
| Jarden Corp | JHF II 2040 Preservation Blend | JHF II International Opportunities |
| Jason Industries Inc | JHF II 2045 Preservation Blend | JHF II International Small Cap |
| Jasper Therapeutics, Inc | JHF II 2050 Preservation Blend | JHF II International Small Company |
| Java Detour Inc | JHF II 500 Index | JHF II International Strategic Equity Allocation |
| JAVELIN Mortgage Investment Corp | JHF II Absolute Return Currency | JHF II International Value |
| Javelin Pharmaceuticals Inc | JHF II Active Bond | JHF II Intl Core |
| Jaws Hurricane Acquisition Corp | JHF II All Cap Core | JHF II Investment Quality Bond |
| Jaws Juggernaut Acquisition Corp | JHF II All Cap Value | JHF II Large Cap |
| Jaws Mustang Acquisition Corp | JHF II Alpha Opportunities | JHF II Large Cap Value |
| JayHawk Energy Inc | JHF II Alternative Asset Allocation | JHF II Lifecycle 2010 |
| Jazz Pharmaceuticals Plc | JHF II Blue Chip Growth | JHF II Lifestyle Blend |
| JAZZ TECHNOLOGIES INC | JHF II Capital Appreciation | JHF II Lifestyle Blend Growth |
| JBI Inc | JHF II Capital Appreciation Value | JHF II Mid Cap Core |
| JD.com Inc | JHF II Core Bond | JHF II Mid Cap Index |
| JDA Software Group Inc | JHF II Core Equity | JHF II Mid Cap Intersection |
| JED OIL INC | JHF II Emerging Markets | JHF II Mid Value |
| Jefferies Financial Group Inc | JHF II Emerging Markets Debt | |
| Jefferies Group LLC | | |

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 Issuers With No Outstanding Past-Due
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|--|---------------------------------------|--|
| JHF II Multi-Asset Absolute Return | JHF II Spectrum Income | JHT Capital Appreciation Value |
| JHF II Multi-Index 2020 Preservation | JHF II Strategic Bond Allocation | JHT Core Bond |
| JHF II MultiManager 2020 Lifetime | JHF II Strategic Equity Allocation | JHT Core Equity |
| JHF II MultiManager 2025 Lifetime | JHF II Strategic Income Opportunities | JHT Core Strategy |
| JHF II MultiManager 2030 Lifetime | JHF II Technical Opportunities | JHT Disciplined Diversification |
| JHF II MultiManager 2035 Lifetime | JHF II Total Bond Market | JHT Emerging Markets Value |
| JHF II MultiManager 2040 Lifetime | JHF II Total Return | JHT Emerging Small Co |
| JHF II MultiManager 2045 Lifetime | JHF II US Global Leaders Growth | JHT Equity Income |
| JHF II MultiManager 2050 Lifetime | JHF II US Growth | JHT Floating Rate Income |
| JHF II MultiManager Lifestyle Aggressive | JHF II US High Yield Bond | JHT Franklin Templeton Founding Allocation |
| JHF II MultiManager Lifestyle Balanced | JHF II US Sector Rotation | JHT Fundamental All Cap Core |
| JHF II MultiManager Lifestyle Conservative | JHF II Value & Restructuring | JHT Fundamental Holdings |
| JHF II MultiManager Lifestyle Growth | JHF III Core High Yield | JHT Fundamental Large Cap Value |
| JHF II MultiManager Lifestyle Moderate | JHF III Disciplined Value | JHT Fundamental Value |
| JHF II Mutual Shares | JHF III Disciplined Value Mid Cap | JHT Global Allocation |
| JHF II Natural Resources | JHF III Global Shareholder Yield | JHT Global Bond |
| JHF II New Opportunities | JHF III International Core | JHT Global Diversification |
| JHF II Optimized Value | JHF III International Growth | JHT Global Real Estate |
| JHF II Real Estate Equity | JHF III International Value Equity | JHT Global Trust |
| JHF II Real Estate Securities | JHF III Select Growth | JHT Growth & Income |
| JHF II Real Return Bond | JHF III US Growth | JHT Growth Equity |
| JHF II Redwood | JHT 500 Index | JHT High Income |
| JHF II Retirement Living through 2015 | JHT 500 Index Trust | JHT High Yield |
| JHF II Science & Technology | JHT Active Bond | JHT Income |
| JHF II Short Duration Credit Oppts | JHT All Cap Core | JHT Income & Value |
| JHF II Small Cap Growth | JHT All Cap Growth | JHT International Growth Stock |
| JHF II Small Cap Value | JHT All Cap Value | JHT International Index |
| JHF II Small Co Value | JHT Alpha Opportunities | JHT International Opportunities |
| | JHT Amer Bond | JHT International Small Cap |
| | JHT Amer Growth | JHT International Small Company |
| | JHT Amer Growth Income | JHT International Value |
| | JHT Amer International | JHT Intl Core |
| | JHT American Asset Allocation | JHT Intl Equity Index A |
| | JHT Blue Chip Growth | JHT Intl Equity Index Trust |
| | JHT Capital Appreciation | JHT Investment Quality Bd |
| | | JHT Large Cap |

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| JHT Large Cap Growth | JHT Total Bond Market Trust | JNL Moderate Growth |
| JHT Large Cap Value | JHT Total Bond Market Trust | Allocation |
| JHT Lifestyle Aggressive | A | JNL Multi-Manager |
| JHT Lifestyle Balanced | JHT Total Return | Alternative |
| JHT Lifestyle Growth | JHT Total Stock Market Index | JNL Multi-Manager Emerging |
| JHT Managed | JHT US Core | Markets Equity |
| JHT Managed Volatility | JHT US Equity Trust | JNL Multi-Manager |
| Balanced | JHT US Global Leaders Gro | International Small Cap |
| JHT Managed Volatility | JHT US Govt Securities | JNL Multi-Manager Mid Cap |
| Conservative | JHT US High Yield Bond | JNL Multi-Manager Small Cap |
| JHT Managed Volatility | JHT US Large Cap | Growth |
| Growth | JHT Utilities | JNL Multi-Manager Small Cap |
| JHT Managed Volatility | JHT Value | Value |
| Moderate | JHT Value & Restructuring | JNL Securities Lending |
| JHT Mid Cap Growth | Jiangbo Pharmaceuticals Inc | Collateral |
| JHT Mid Cap Index | Jianpu Technology Inc | JNL Small Cap Index |
| JHT Mid Cap Intersection | Jiayuan.com International | JNL/Alger Growth |
| JHT Mid Cap Value | Ltd | JNL/American Funds |
| JHT Mid Value | Jingwei International Ltd | Balanced |
| JHT Money Market | JinkoSolar Holding Co Ltd | JNL/American Funds Capital |
| JHT Money Market B | Jinpan International Ltd | World Bond |
| JHT Mutual Shares | JK ACQUISITION CORP | JNL/American Funds Global |
| JHT Natural Resources | JLG INDUSTRIES INC | Growth |
| JHT New Income | JMAR TECHNOLOGIES INC | JNL/American Funds Global |
| JHT Overseas Equity | JMG EXPLORATION INC | Small Capitalization |
| JHT Real Estate Equity | JMP Group LLC | JNL/American Funds Growth |
| JHT Real Estate Securities | JNAM Guidance-Mod Gro | JNL/American Funds Growth |
| JHT Real Return Bond | Jni Corp | & Income |
| JHT Science & Tech | JNL Aggressive Growth | JNL/American Funds Growth |
| JHT Select Bond Trust | Allocation | Allocation |
| JHT Short Term | JNL Alt 65 | JNL/American Funds |
| JHT Short Term Government | JNL Bond Index | International |
| Income | JNL Conservative Allocation | JNL/American Funds |
| JHT Small Cap Growth | JNL Emerging Markets Index | Moderate Growth Allocation |
| JHT Small Cap Index | JNL Government Money | JNL/American Funds New |
| JHT Small Cap Opportunity | Market | World |
| JHT Small Cap Value | JNL Growth Allocation | JNL/American Funds |
| JHT Small Co Value | JNL Institutional Alt 25 | Washington Mutual |
| JHT Strategic Bond | JNL Institutional Alt 35 | Investors |
| JHT Strategic Equity | JNL Institutional Alt 50 | JNL/AQR Large Cap Relaxed |
| Allocation | JNL International Index | Constraint Equity |
| JHT Strategic Income | JNL Mid Cap Index | JNL/AQR Managed Futures |
| Opportunity | JNL Moderate Allocation | Strategy |
| JHT Strategic Opportunity | | |

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| JNL/Baillie Gifford International Growth | JNL/Goldman Sachs Dividend Income & Growth | JNL/Lord Abbett Short Duration Income |
| JNL/BlackRock Global Allocation | JNL/Goldman Sachs Emerging Markets Debt | JNL/Mel Cap 25 |
| JNL/BlackRock Global Natural Resources | JNL/Goldman Sachs Intrinsic Value | JNL/Mel Cap S&P 24 |
| JNL/BlackRock Large Cap Select Growth | JNL/Goldman Sachs Managed Aggressive Growth | JNL/Mel Cap Value Line 30 |
| JNL/Boston Partners Global Long Short Equity | JNL/Goldman Sachs Managed Conservative | JNL/Mel Mgmt Dow Dividend |
| JNL/Capital Guardian Global Diversified Research | JNL/Goldman Sachs Managed Growth | JNL/Mel Mgmt Global 15 |
| JNL/Causeway International Value Select | JNL/Goldman Sachs Managed Moderate | JNL/Mel Mgmt JNL Optimized 5 |
| JNL/ClearBridge Large Cap Growth | JNL/Goldman Sachs Managed Moderate Growth | JNL/Mel Mgmt S&P 10 |
| JNL/DFA US Core Equity | JNL/Goldman Sachs Total Yield | JNL/Mel Mgmt Select Small Cap |
| JNL/DoubleLine Core Fixed Income | JNL/GQG Emerging Markets Equity | JNL/Mel Mgmt VIP |
| JNL/DoubleLine Emerging Markets Fixed Income | JNL/Harris Oakmark Global Equity | JNL/Mellon Bond Index |
| JNL/DoubleLine Shiller Enhanced CAPE | JNL/Invesco China India | JNL/Mellon Capital Management Global Alpha |
| JNL/DoubleLine Total Return | JNL/Invesco Diversified Dividend | JNL/Mellon Consumer Discretionary Sector |
| JNL/Fidelity Institutional Asset Management Total Bond | JNL/Invesco Global Growth | JNL/Mellon Dow Index |
| JNL/First Sentier Global Infrastructure | JNL/Invesco Large Cap Growth | JNL/Mellon Emerging Markets Index |
| JNL/FPA + DoubleLine Flexible Allocation | JNL/Invesco Mid Cap Value | JNL/Mellon Energy Sector |
| JNL/Franklin Templeton Global | JNL/Invesco Small Cap Growth | JNL/Mellon Financial Sector |
| JNL/Franklin Templeton Global Multisector Bond | JNL/JPMorgan Global Allocation | JNL/Mellon Healthcare Sector |
| JNL/Franklin Templeton Growth Allocation | JNL/JPMorgan Hedged Equity | JNL/Mellon Index 5 |
| JNL/Franklin Templeton Income | JNL/JPMorgan Mid Cap Growth | JNL/Mellon Information Technology Sector |
| JNL/Franklin Templeton International Small Cap | JNL/JPMorgan US Gov & Qual Bd | JNL/Mellon International Index |
| JNL/Goldman Sachs 4 | JNL/JPMorgan US Value | JNL/Mellon Nasdaq 100 Index |
| JNL/Goldman Sachs Competitive Advantage | JNL/Lazard International Strategic Equity | JNL/Mellon S&P 400 MidCap Index |
| | JNL/Loomis Sayles Global Growth | JNL/Mellon S&P 500 Index |
| | | JNL/Mellon Small Cap Index |
| | | JNL/Mellon US Stock Market Index |
| | | JNL/Mellon Utilities Sector |
| | | JNL/MFS Mid Cap Value |
| | | JNL/Morningstar Wide Moat Index |
| | | JNL/Neuberger Berman Strategic Income |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|------------------------------|-----------------------------|-----------------------------|
| JNL/Newton Equity Income | JNL/Vanguard Moderate | Joint Corp (The) |
| JNL/Oppenheimer Emerging | Growth ETF Allocation | Jones Energy Inc |
| Markets Innovator | JNL/WCM Focused Intl Equity | Jones Group Inc (The) |
| JNL/PIMCO Income | JNL/William Blair | Jones Lang LaSalle Inc |
| JNL/PIMCO Investment | International Leaders | Jones Soda Co |
| Grade Credit Bond | JNL/WCM Balanced | Jos. A. Bank Clothiers Inc |
| JNL/PIMCO Real Return | JNL/WCM Equity Income | JOUNCE THERAPEUTICS INC |
| JNL/PPM America Floating | JNL/WCM Global Real Estate | Journal Communications Inc. |
| Rate Income | JNL/WCM Government | Journal Media Group Inc |
| JNL/PPM America High Yield | Money Market | JOURNAL REGISTER CO |
| Bond | JNL/WCM Value | Jowell Global Ltd |
| JNL/PPM America Low | Jo-Ann Stores Inc. | Joy Global Inc |
| Duration Bond | Joby Aviation, Inc. | JPM Insurance Trust Core |
| JNL/PPM America Mid Cap | Joe's Jeans Inc | Bond |
| Value | JOHN B SANFILIPPO & SON | JPM Insurance Trust Mid Cap |
| JNL/PPM America Small Cap | INC | Value |
| Value | John Bean Technologies Corp | JPMorgan 100% US Treasury |
| JNL/PPM America Total | John Hancock Advisers LLC - | Securities MM |
| Return | John Hancock Multifactor | JPMorgan Access Balanced |
| JNL/Putnam Value Equity | Emerging Ma | JPMorgan Access Growth |
| JNL/RAFI Fundamental US | John Hancock Multifactor | JPMorgan Asia Equity |
| Small Cap | Large Cap ETF | JPMorgan BetaBuilders |
| JNL/RAFI Multi-Factor US | John Hancock Multifactor | Developed Asia Pacific ex- |
| Equity | Mid Cap ETF | Japan ETF |
| JNL/Red Rocks Listed Pvt Eq | John Hancock Preferred | JPMorgan Bond |
| JNL/Scout Unconstrained | Income Fund | JPMorgan Bond II |
| Bond | John Hancock Preferred | JPMorgan CA Municipal |
| JNL/T Rowe Price Capital | Income Fund II | Money Market |
| Appreciation | John Hancock Preferred | JPMorgan CA TxFr Bond |
| JNL/T. Rowe Price Balanced | Income Fund III | JPMorgan Capital Growth |
| JNL/T. Rowe Price US High | John Hancock Tax- | JPMorgan Chase & Co |
| Yield | Advantaged Dividend Income | JPMorgan Core Bond |
| JNL/T.Rowe Price Established | Fund | JPMorgan Core Plus Bond |
| Growth | John Marshall Bancorp Inc | JPMorgan Corporate Bond |
| JNL/T.Rowe Price Mid Cap | John Wiley & Sons Inc. | JPMorgan Diversified |
| Growth | JOHNSON & JOHNSON | JPMorgan Diversified Return |
| JNL/T.Rowe Price Short Term | Johnson Controls Inc. | International Equity ETF |
| Bond | Johnson Controls | JPMorgan Diversified Return |
| JNL/T.Rowe Price Value | International plc | U.S. Equity ETF |
| JNL/Vanguard Growth ETF | Johnson Equity Income | JPMorgan Divrsfd Mid Cap |
| Allocation | Johnson Fixed Income | Val |
| JNL/Vanguard Moderate ETF | Johnson Institutional Core | JPMorgan Emerging |
| Allocation | Bond | Economies |
| | Johnson Outdoors Inc | |

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| | | |
|--|---|--|
| JPMorgan Emerging Markets Debt | JPMorgan International Currency Income | JPMorgan MultiMgr Small Cap Growth |
| JPMorgan Emerging Markets Equity | JPMorgan International Equity | JPMorgan MultiMgr Small Cap Value |
| JPMorgan Emerging Markets Research Enhanced Equity | JPMorgan International Focus | JPMorgan Muni Income |
| JPMorgan Emerging Markets Strategic Debt | JPMorgan International Opportunities | JPMorgan Muni Money Market |
| JPMorgan Enhanced Income | JPMorgan International Research Enhanced Equity | JPMorgan National Municipal Income |
| JPMorgan Equity Income | JPMorgan International Value | JPMorgan NY Muni Money Market |
| JPMorgan Equity Income II | JPMorgan Intrepid America | JPMorgan NY TxFr Bond |
| JPMorgan Equity Index | JPMorgan Intrepid MidCap | JPMorgan OH Muni Bond |
| JPMorgan Equity Premium Income | JPMorgan Intrepid Value | JPMorgan Opportunistic Equity Long/Short |
| JPMorgan Europe Dynamic | JPMorgan Intrmd Bond | JPMorgan Prime Money Market |
| JPMorgan Federal MM | JPMorgan Investor Balanced | JPMorgan Realty Income |
| JPMorgan Floating Rate Income | JPMorgan Investor Conservative Growth | JPMorgan Research Market Neutral |
| JPMorgan Global Allocation | JPMorgan Investor Growth | JPMorgan Securities Lending Money Market |
| JPMorgan Global Bond Opportunities | JPMorgan Investor Growth & Income | JPMorgan Ser Tr II Mid Cp Val |
| JPMorgan Global Research Enhanced Index | JPMorgan Japan | JPMorgan Short Duration Bond |
| JPMorgan Govt Bond | JPMorgan Large Cap Growth | JPMorgan Short Duration Core Plus |
| JPMorgan Growth Advantage | JPMorgan Large Cap Value | JPMorgan Short Intermediate Muni Bond |
| JPMorgan Hedged Equity | JPMorgan Limited Duration Bond | JPMorgan Short Term Bond |
| JPMorgan Hedged Equity 2 | JPMorgan Liq Assets MM (old) | JPMorgan Short Term Bond II |
| JPMorgan Hedged Equity 3 | JPMorgan Liquid Assets Money Market | JPMorgan Small Cap Blend |
| JPMorgan High Yield Municipal | JPMorgan Managed Income | JPMorgan Small Cap Core |
| JPMorgan Highbridge Dynamic Commodities Strategy | JPMorgan Market Expansion Enhanced Index | JPMorgan Small Cap Equity |
| JPMorgan Highbridge Statistical Market Neutral | JPMorgan MI Muni Bond | JPMorgan Small Cap Growth |
| JPMorgan Income | JPMorgan Mid Cap Core | JPMorgan Small Cap Value |
| JPMorgan Income Builder | JPMorgan Mid Cap Equity | JPMorgan Smart Index |
| JPMorgan Inflation Managed Bond | JPMorgan Mid Cap Growth | JPMorgan SmartRetirement 2015 |
| JPMorgan Institutional Tax Free Money Market | JPMorgan Mid Cap Value | JPMorgan SmartRetirement 2020 |
| JPMorgan International Advantage | JPMorgan Mortgage Backed Sec | JPMorgan SmartRetirement 2025 |
| | JPMorgan Multi Cap Market Neutral | |

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|--|---|--|
| JPMorgan SmartRetirement 2030 | JPMorgan Tx Aware Core Equity | JUNO LIGHTING INC |
| JPMorgan SmartRetirement 2035 | JPMorgan Tx Aware Diversified Equity | Juno Therapeutics Inc |
| JPMorgan SmartRetirement 2040 | JPMorgan Tx Aware Enhanced | Jupai Holdings Ltd |
| JPMorgan SmartRetirement 2045 | JPMorgan Tx Aware Lg Cp Gro | Jupiter Acquisition Corporation |
| JPMorgan SmartRetirement 2050 | JPMorgan Tx Aware Sht Intrm | Jushi Holdings Inc |
| JPMorgan SmartRetirement 2055 | JPMorgan Tx Aware US Equity | Just Eat Takeaway.com N.V. |
| JPMorgan SmartRetirement Blend 2020 | JPMorgan TxFr Income | Just Energy Group Inc |
| JPMorgan SmartRetirement Blend 2025 | JPMorgan Ultra-Short Income ETF | K&F INDUSTRIES HOLDINGS INC |
| JPMorgan SmartRetirement Blend 2030 | JPMorgan Ultra-Short Municipal | K2 Alternative Strategies K2 INC |
| JPMorgan SmartRetirement Blend 2035 | JPMorgan Unconstrained Debt | K2M Group Holdings Inc |
| JPMorgan SmartRetirement Blend 2040 | JPMorgan US Equity | Kaanapali Land LLC |
| JPMorgan SmartRetirement Blend 2045 | JPMorgan US GARP Equity | Kadant Inc. |
| JPMorgan SmartRetirement Blend 2050 | JPMorgan US Government MM (old) | Kadmon Holdings Inc |
| JPMorgan SmartRetirement Blend 2055 | JPMorgan US Government Money Market | Kairous Acquisition Corp Ltd |
| JPMorgan SmartRetirement Blend Income | JPMorgan US Large Cap Core Plus | Kaiser Aluminum Corp |
| JPMorgan SmartRetirement Income | JPMorgan US Real Estate | KAISER GROUP HOLDINGS INC |
| JPMorgan Strategic Income Opportunities | JPMorgan US Research Enhanced Equity | Kaival Brands Innovations Group Inc |
| JPMorgan Tax Aware Equity | JPMorgan US Small Company | KAL ENERGY INC |
| JPMorgan Tax Aware Real Return | JPMorgan US Treasury Plus Money Market | Kala Pharmaceuticals Inc |
| JPMorgan TaxFree Bond | JPMorgan US Value | Kaleyra, Inc. |
| JPMorgan TaxFree Money Market | JPMorgan Value Advantage | Kalmar Growth w/Val Small Cap |
| JPMorgan Total Return | JPMorgan Value Opport | KalVista Pharmaceuticals, Inc. |
| JPMorgan Treas & Agency | Jps Industries Inc | Kaman Corp |
| JPMorgan Treas Plus MM | Juhl Wind Inc | KANBAY INTERNATIONAL INC |
| | Jumei International Holding Ltd | Kandi Technolgies Group Inc |
| | Jumia Technologies AG | KANEB PIPELINE PARTNRS - LP |
| | Juniata Valley Financial Corp | KANEB SERVICES LLC |
| | Juniper Networks Inc | Kansas City Life Insurance Co |
| | Juniper Pharmaceuticals Inc | Kansas City Southern |
| | | Kanzhun Limited |
| | | KapStone Paper & Packaging Corp |
| | | KAR Auction Services Inc |
| | | Karat Packaging Inc |
| | | Karooooo Ltd |
| | | Karuna Therapeutics Inc |

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| | | |
|-----------------------------|------------------------------|-----------------------------|
| Karyopharm Therapeutics Inc | Kenon Holdings Ltd | Kiewit Royalty Trust |
| Katapult Holdings, Inc | KENSEY NASH CORP | Killbuck Bancshares Inc |
| Kate Spade & Co | Kensington Dynamic Growth | Kilroy Realty Corp |
| KATY INDUSTRIES INC | Kensington International | Kimball Electronics Inc |
| KAYAK Software Corp | Real Estate | Kimball International Inc |
| Kaydon Corp | Kensington Managed Income | Kimbell Royalty Partners LP |
| Kayne Anderson Energy | Kensington Strategic Realty | Kimbell Tiger Acquisition |
| Development Co | Kentucky Bancshares Inc | Corp |
| Kayne Anderson Energy Dvlp | Kentucky First Federal | Kimber Resources Inc |
| Kayne Anderson Energy Total | Bancorp | Kimberly-Clark Corp |
| Return Fund Inc | KENTUCKY INVESTORS INC | Kimco Realty Corp |
| Kayne Anderson | Keros Therapeutics Inc | Kinder Morgan Canada Ltd |
| Midstream/Energy Fund Inc | KERR-MCGEE CORP | Kinder Morgan Energy |
| KB Financial Group Inc | Keryx Biopharmaceuticals Inc | Partners LP |
| KB Home | KERZNER INTERNATIONAL | Kinder Morgan Inc. |
| KBL Healthcare Acquisition | LTD | KINDER MORGAN |
| Corp III | Keurig Dr Pepper Inc. | MANAGEMENT LLC |
| KBL Merger Corp IV | Keurig Green Mountain Inc | Kindercare Learning Centers |
| KBR Inc | Kewaunee Scientific Corp | Kindred Biosciences Inc |
| KBS Fashion Group Ltd | KEY HOSPITALITY | Kindred Healthcare Inc |
| KBS Real Estate Investment | ACQUISITION | Kinetic Concepts Inc |
| Trust II Inc | Key Technology Inc | Kinetics Internet Fund Inc |
| KBW Inc | Key Tronic Corp | Kinetics Paradigm |
| KCG Holdings Inc | Keyarch Acquisition Corp | Kinetics Small Cap |
| KCS ENERGY INC | Keynote Systems Inc | Opportunities |
| Keane Inc. | KeyOn Communications | Kinetik Holdings Inc. |
| Kearny Financial Corp | Holdings Inc | King Digital Entertainment |
| Keeley Small Cap Value | Keysight Technologies Inc | plc |
| KEITH COMPANIES INC | KEYSPAN CORP | King Pharmaceuticals Inc. |
| Keithley Instruments Inc. | KEYSTONE AUTOMOTIVE | King Power Intl Group Ltd |
| Kellogg Co | INDS INC | Kingsoft Cloud Holdings |
| KELLWOOD CO | Keystone Consolidated | Limited |
| Kelly Services Inc. | Industries Inc. | Kingstone Cos Inc |
| Kelmoore Strategy Eagle | Keystone Property Trust Corp | Kingsway Financial Services |
| Kelso Technologies Inc | Keyuan Petrochemicals Inc | Inc. |
| Kemper Corp | KEYW Holding Corp (The) | Kingswood Acquisition Corp |
| KENDLE INTERNATIONAL INC | Kezar Life Sciences Inc | Kiniksa Pharmaceuticals Ltd |
| Kenexa Corp | Kforce Inc | Kinnate Biopharma Inc |
| Kennametal Inc. | Khosla Ventures Acquisition | Kinross Gold Corp |
| Kennedy-Wilson Holdings, | Co | Kinsale Capital Group Inc |
| Inc. | Khosla Ventures Acquisition | KINTERA INC |
| Kennedy-Wilson Inc | Co III | KIRBY CORP |
| Kenneth Cole Productions | Kid Brands Inc | Kirkland Lake Gold Ltd |
| Inc. | Kidoz Inc | Kirkland's Inc |

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| | | |
|---|---------------------------------|-----------------------------------|
| Kismet Acquisition One Corp | Kohlberg Capital | Krane Shares Trust - |
| Kismet Acquisition Three Corp | Kohlberg Capital Co | Quadratic Interest Rate |
| Kite Pharma Inc | Kohl's Corp | Volatility and Infl |
| Kite Realty Group Trust | KOMAG INC | KraneShares Boserá MSCI |
| KITTY HAWK INC | KONA GRILL INC | China A ETF |
| KKR & Co Inc | KONAMI CORP | KraneShares CSI China |
| KKR Credit Opportunities | KONINKLIJKE AHOLD NV | Internet ETF |
| KKR Financial Holdings LLC | KONINKLIJKE KPN NV | Kraneshares Global Carbon |
| KKR Real Estate Finance Trust Inc | Koninklijke Philips NV | ETF |
| KL Energy Corp | Kontoor Brands Inc | Kraton Corp |
| KLA Corp | KOOR INDUSTRIES LTD | Kratos Defense & Security |
| Klamath First Bancorp Inc | Kopernik Global All Cap | Solutions Inc |
| KLDiscovery Inc. | Kopernik International | KREISLER MANUFACTURING |
| Klm-Royal Dutch Airlines | Kopin Corp | CORP |
| Klondex Mines Ltd | Kopp Emerging Growth | Krispy Kreme Inc |
| Klondike Star Minerals Corp | Koppers Holdings Inc | Kroger Co. (The) |
| KLR Energy Acquisition Corp | KORE Group Holdings Inc | Kroll Inc |
| KLX Inc | Korea Electric Power Corp | Kronos Bio Inc |
| KMG AMERICA CORP | Korea Fund Inc. (The) | KRONOS INC |
| KMG Chemicals Inc | Korn Ferry | KRONOS WORLDWIDE INC |
| KNAPE & VOGT MFG CO | Kornit Digital Ltd | Krystal Biotech Inc |
| KNBT BANCORP INC | KORU Medical Systems Inc | K-Sea Transportation |
| Knight Capital Group Inc | KOS PHARMACEUTICALS INC | Partners LP |
| Knight Energy Corp | KOSAN BIOSCIENCES INC | KSW Inc |
| Knight Transportation Inc | Kosmos Energy Ltd | K-Swiss Inc |
| KNIGHT-RIDDER INC | Koss Corp | K-TRON INTERNATIONAL INC |
| Knightscope Inc | KP Fixed Income | Ku6 Media Co Ltd |
| KnightSwan Acquisition Corporation | KP International Equity | KUBOTA CORP |
| Knight-Swift Transportation Holdings Inc. | KP Large Cap Equity | Kulicke and Soffa Industries Inc |
| Knoll Inc | KP Retirement Path 2015 | Kura Oncology Inc |
| Knology Inc | KP Retirement Path 2020 | Kura Sushi USA Inc |
| KNOT Offshore Partners LP | KP Retirement Path 2025 | K-V Pharmaceutical Co |
| KNOVA SOFTWARE INC | KP Retirement Path 2030 | KVH Industries Inc |
| Know Labs Inc | KP Retirement Path 2035 | Kymera Therapeutics Inc |
| KnowBe4 Inc | KP Retirement Path 2040 | Kyndryl Holdings Inc |
| Knowles Corp | KP Retirement Path 2045 | Kyocera Corp |
| Kobex Minerals Inc | KP Retirement Path 2050 | KYPHON INC |
| Kodiak Oil & Gas Corp | KP Small Cap Equity | KYTHERA Biopharmaceuticals Inc |
| Kodiak Sciences Inc | Kraft Foods Group Inc | KYTO BIOPHARMA INC |
| Kofax Ltd | Kraig Biocraft Laboratories Inc | L Catterton Asia Acquisition Corp |
| | KRAMONT REALTY TRUST | L.B. Foster Co |

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| | | |
|---|---|---|
| L.S. Starrett Co (The) | Landos Biopharma Inc | LAUREATE EDUCATION INC |
| L-1 Identity Solutions Inc | Landry's Restaurants Inc. | LAUREL CAP GROUP INC |
| L3 Technologies Inc | Lands' End Inc | Lava Therapeutics NV |
| L3Harris Technologies Inc | Landsea Homes Corp | Lavoro Ltd |
| La Cortez Energy Inc | Landstar System Inc | LAW ENFORCEMENT ASSOCS CORP |
| LA QUINTA CORP | LANGER INC | LAWSON SOFTWARE INC |
| LaBarge Inc. | Lantern Pharma Inc | LAYNE CHRISTENSEN CO |
| LABONE INC | Lantheus Holdings Inc | Lazard Developing Markets Equity |
| Labopharm Inc | Lantronix Inc | Lazard Emerging Markets Equity |
| Laboratory Corp of America Holdings | Lanvin Group Holdings Ltd | Lazard Emerging Markets Equity Blend |
| LaBranche & Co Inc. | LAPOLLA INDUSTRIES INC | Lazard Global Listed Infrastructure |
| LaCrosse Footwear Inc | LaPorte Bancorp Inc | Lazard International Equity |
| Ladder Capital Corp | LARGE SCALE BIOLOGY CORP | Lazard International Strategic Equity |
| Ladenburg Thalmann Financial Services Inc. | LARGO VISTA GROUP LTD | Lazard Intl Small Cap |
| Ladish Co Inc | Larimar Therapeutics, Inc. | Lazard Ltd |
| LAFARGE NORTH AMERICA INC | LAS VEGAS FROM HOME.COM ENTM | Lazard Retirement Emerg Markets Equity |
| LAFARGE SA | Las Vegas Sands Corp | Lazard Retirement Intl Equity |
| LAILAW INTERNATIONAL INC | LASALLE HOTEL PROPERTIES | Lazard Small Cap |
| Lake Area Corn Processors LLC | LASERCARD CORP | Lazard U.S. Mid Cap Equity |
| LAKE SHORE BANCORP INC | LASERSCOPE | Lazard US Equity Concentrated |
| Lake Shore Gold Corp | Latch, Inc. | Lazare Kaplan International Inc. |
| Lake Sunapee Bank Group | Lateef Fund | LA-Z-BOY INC |
| Lakeland Bancorp Inc | Latham Group Inc | Lazydays Holdings Inc |
| Lakeland Financial Corp | Latin America Equity Fund Inc. (The) | LCA Vision Inc |
| Lakeland Industries Inc | Latitude Communications Inc | LCC International Inc |
| LAM RESEARCH CORP | Latitude Solutions Inc | LCI Industries |
| Lamar Advertising Co | Lattice Semiconductor Corporation | LCNB Corp |
| Lamb Weston Holdings Inc | Laudus Mondrian | LDK Solar Co Ltd. |
| LAMSON & SESSIONS CO | International Fixed Income | LDR Holding Corp |
| LANCASTER COLONY CORP | Laudus Rosenberg | Le Gaga Holdings Ltd |
| LANCER CORP/TX | International Discovery | Leader Capital Holdings Corp |
| Landacorp Inc | Laudus Rosenberg Intl Small Cap | Leader Money Market |
| LandAmerica Financial Group Inc. | Laudus Rosenberg Intl Small Cap | Leader Short Term Bond |
| Landauer Inc. | Laudus Rosenberg Lng/Sht Eq | Leading Brands Inc |
| Landcadia Holdings IV Inc | Laudus Rosenberg US | Leadis Technology Inc |
| Landmark Bancorp Inc | Discovery | |
| Landmark Infrastructure Partners LP | Laudus Rosenberg US Small Cap | |
| | Laudus Small Cap MarketMasters | |

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|-----------------------------|-----------------------------|-----------------------------|
| Leaf Group Ltd | Legg Mason ClearBridge | Lehman Bros Institutional |
| Leafly Holdings Inc | Small Cap Value | Liquidity Government |
| LEAP TECHNOLOGY INC | LEGG MASON INC | Reserves |
| Leap Wireless International | Legg Mason Invest Grade | Lehman Bros Institutional |
| Inc | Legg Mason LifeStyle | Liquidity Prime |
| Lear Corp | Allocation 50% | Lehman Bros Institutional |
| Learn CW Investment Corp | Legg Mason Ltd Duration | Liquidity Prime Reserve |
| LEARNING CARE GROUP INC | Bond | Lehman Bros National |
| Learning Tree International | Legg Mason P Capital | Municipal Money |
| Inc | Preservation | LEHMAN BROTHERS |
| LECG Corp | Legg Mason P Capital | HOLDINGS INC |
| LECROY CORP | Preservation II | Lehman Brothers |
| Lee Enterprises Inc | Legg Mason P Core Plus Bond | Institutional Liquidity |
| LEFT BEHIND GAMES INC | Legg Mason P Dividend & | Leidos Holdings Inc |
| Lefteris Acquisition Corp | Income | LEITCH TECHNOLOGY CORP |
| Legacy Bancorp Inc | Legg Mason P Global Equity | Leju Holdings Ltd |
| Legacy Housing Corp | Legg Mason P Growth & | LeMaitre Vascular Inc |
| Legacy Reserves Inc | Income | Lenco Mobile Inc |
| LegacyTexas Financial Group | Legg Mason P Intl All Cap | LendingClub Corp |
| Inc | Opportunity | Lendingtree Inc |
| LegalZoom.com Inc | Legg Mason P Large Cap | LENNAR CORP |
| Legato Systems Inc | Value | Lennox International Inc. |
| LEGEND BIOTECH | Legg Mason P Muni Bond | LENOX GROUP INC |
| CORPORATION | National | Lensar Inc |
| Legend International | Legg Mason P US | Lentuo International Inc |
| Holdings Inc | Government Securities | Leo Holdings Corp II |
| Legend Oil and Gas Ltd | Legg Mason TxEx MM | Leonardo DRS Inc |
| Legg Mason Amer Leading Co | Legg Mason US Govt MM | Lerer Hippeau Acquisition |
| Legg Mason Batterymarch | Legg Mason US Sml Cap | Corp |
| Emerging Mkts | Value | Lesaka Technologies Inc |
| Legg Mason Batterymarch | Legg Mason Western Asset | LESCO INC |
| Intl Equity | Core Bond | Leslie's Inc |
| Legg Mason Batterymarch | Legg Mason Western Asset | Leuthold Asset Allocation |
| S&P 500 Index | NJ Municipals | Leuthold Global |
| Legg Mason Capital | Legg Mason Western Asset | LEV PHARMACEUTICALS INC |
| Management All Cap | PA Municipals | Level 3 Parent, LLC |
| Legg Mason Cash Reserve | Legg Mason Western Asset | Level One Bancorp Inc |
| MM | Var Money Market | Levere Holdings Corp |
| Legg Mason ClearBridge | Leggett & Platt Inc | Levi Strauss & Co |
| Capital | Lehman Bros Cash | LEXAR MEDIA INC |
| Legg Mason ClearBridge | Management Prime | Lexaria Bioscience Corp |
| Dividend Strategy | Lehman Bros Institutional | Lexent Inc |
| Legg Mason ClearBridge | Liquidity Government | Lexicon Pharmaceuticals Inc |
| Equity | | LexinFintech Holdings Ltd |

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|------------------------------|-------------------------------|---------------------------------|
| Lexmark International Inc. | Life Storage Inc | Lincoln Electric Holdings Inc |
| LG Display Co Ltd | Life Technologies Corp | Lincoln National Corp |
| LGL Group Inc (The) | LIFE TIME FITNESS Inc | LINDBLAD EXPEDITIONS |
| LHC Group Inc | Life Time Group Holdings Inc | HOLDINGS, INC. |
| Li Auto Inc | LifeCell Corp | Linde Plc |
| LianBio | Lifecore Biomedical Inc | Lindsay Corp |
| Libbey Inc. | LIFELINE SYSTEMS INC | Lineage Cell Therapeutics Inc |
| LIBERATE TECHNOLOGIES | LifeLock Inc | LINEAR TECHNOLOGY CORP |
| Liberated Syndication Inc | LifeMD Inc | LINENS N THINGS INC |
| Liberator Medical Holdings | LifePath Retirement Portfolio | LINK ENERGY LLC |
| Inc | LifePoint Health Inc | LINKBANCORP Inc |
| Liberty Acquisition Holdings | Lifepoint Inc | LinkedIn Corp |
| Corp | LifeQuest World Corp | Linktone Ltd |
| Liberty All Star Equity Fund | LifeStance Health Group Inc | LinnCo LLC |
| LIBERTY BANCORP INC | LIFESTYLE INNOVATIONS INC | Lion Electric Co (The) |
| Liberty Broadband Corp | Lifetime Brands Inc | Lionbridge Technologies Inc |
| Liberty Coal Energy Corp | Lifevantage Corp | Lionheart III Corp |
| LIBERTY CORP | Lifeway Foods Inc | Lions Gate Entertainment |
| Liberty Energy Inc | Ligand Pharmaceuticals Inc | Corp |
| Liberty Expedia Holdings Inc | Light & Wonder Inc | LIPID SCIENCES INC |
| Liberty Global plc | Lightbridge Corp | LIPIDVIRO TECH INC |
| Liberty Gold Corp | LIGHTBRIDGE INC | LIPMAN ELECTRONIC ENGR |
| Liberty Intrmd TxEx Bond | Lighting Science Group Corp | LTD |
| Liberty Latin America Ltd | LightInTheBox Holding Co Ltd | Lipocine Inc |
| Liberty Media Acquisition | Lightning eMotors, Inc. | LipoScience Inc |
| Corp | Lightscape Technologies Inc | LiqTech International Inc |
| Liberty Media Corp | Lightspan Inc | Liquid Audio Inc |
| Liberty Media Entertainment | Lightspeed Commerce Inc | Liquid Cash Trust |
| Group | Lightwave Logic Inc | Liquid Holdings Group Inc |
| Liberty Media Interactive | Lightyear Network Solutions | Liquidia Corp |
| Group | Inc | Liquidity Services Inc |
| Liberty Property Trust | LIHIR GOLD LTD | Liquidmetal Technologies Inc |
| LIBERTY SAT & TECH -CL A | Lilis Energy Inc | LIR Premier Money Mkt |
| LIBERTY STAR GOLD CORP | LILIUM N V | Lithia Motors Inc. |
| Liberty TripAdvisor Holdings | Limbach Holdings Inc | LITHIUM TECHNOLOGY CORP |
| Inc | Limco-Piedmont Inc | Littelfuse Inc |
| Liberty US Govt Money | LIME ENERGY CO | LITTLE SQUAW GOLD |
| Market | Liminal BioSciences Inc | MINING CO |
| Liberty Var Asset Allocation | Limited Term TxEx Bond | LIV Capital Acquisition Corp II |
| Liberty Var Money Market | Fund of America | LivaNova PLC |
| Li-Cycle Holdings Corp | Limoneira Co | Live Current Media |
| Life Medical Sciences Inc | LIN Media LLC | Live Nation Entertainment |
| Life Partners Holdings Inc | Lincare Holdings Inc. | Inc |
| Life Sciences Research Inc | Lincoln Bancorp | Live Oak Bancshares Inc |

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|------------------------------|-------------------------------|-------------------------------|
| Live Ventures Inc | Lodgian Inc. | Loomis Sayles Value |
| Livent Corp | Loehmanns Holdings Inc | Loop Industries Inc |
| LiveOne Inc | LOEWS CORP | LoopNet Inc |
| Liveramp Holdings Inc | LogicBio Therapeutics Inc | Loral Space & |
| LiveVox Holdings, Inc | LOGICVISION INC | Communications Inc |
| LiveWire Group Inc | LOGILITY INC | Lord Abbett Affiliated |
| LiveWire Mobile Inc | Logitech International SA, | Lord Abbett Alpha Strategy |
| Livongo Health Inc | Apples | Lord Abbett Bond Debenture |
| Lizhan Environmental Corp | LogMeIn Inc | Lord Abbett Calibrated Mid |
| LJ International Inc | LoJack Corp | Cap Value |
| LKCM Equity | Loma Negra Compania | Lord Abbett Classic Stock |
| LKCM Small Cap Equity | Industrial Argentina Sociedad | Lord Abbett Convertible |
| LKQ Corporation | Anonima | Lord Abbett Core Fixed |
| LL Flooring Holdings Inc | Lombard Medical Tech Plc | Income |
| LL&E Royalty Trust | Loncor Resources Inc | Lord Abbett Core Plus Bond |
| LMI Aerospace Inc | Lone Pine Resources Inc | Lord Abbett Developing |
| LML Payment Systems Inc | Lone Star Gold Inc | Growth |
| LMP Automotive Holdings Inc | LONE STAR STEAKHOUSE | Lord Abbett Dividend Growth |
| LMP Capital and Income | SALOON | Lord Abbett Emerging |
| Fund Inc | LONE STAR TECHNOLOGIES | Markets Currency |
| LMP Var Capital | Lonestar Resources US Inc | Lord Abbett Floating Rate |
| LMP Var Equity Index | LONG ISLAND FINANCIAL | Lord Abbett Focused Large |
| LMP Var I All Cap Fund | CORP | Cap Value |
| LMP Var III Aggressive | Longboard Managed Futures | Lord Abbett Fundamental |
| Growth | Strategy | Equity |
| LMP Var III High Income | Longboard Pharmaceuticals | Lord Abbett Growth Leaders |
| LMP Var III Large Cap Growth | Inc | Lord Abbett Growth Opport |
| LMP Var III Large Cap Value | Longleaf Partners | Lord Abbett High Income |
| LMP Var Investors | Longleaf Partners Intl | Municipal Bond |
| LMS MEDICAL SYSTEMS INC | Longleaf Partners Small Cap | Lord Abbett High Yield |
| LNB Bancorp Inc | Longs Drug Stores Corp | Lord Abbett Income |
| Lnr Property Corp | Longtop Financial | Lord Abbett Inflation |
| loanDepot Inc | Technologies Ltd | Focused |
| Local Bounti Corp | Longview Acquisition Corp II | Lord Abbett Ins Ser Bond |
| Local Financial Corp | LONGVIEW FIBRE CO | Lord Abbett Ins Ser Gro & Inc |
| Local.com Corp | Looksmart Ltd | Lord Abbett Ins Ser Mid Cp |
| LOCATEPLUS HOLDINGS | Loomis Sayles Fixed Income | Val |
| CORP | Loomis Sayles Institutional | Lord Abbett Ins Ser Total |
| Lockheed Martin Corp | High Income | Return |
| LoCorr Long/Short | Loomis Sayles InvTr | Lord Abbett Intermediate |
| Commodity Strategies | Investment Grade Fixed | TaxFree |
| LoCorr Macro Strategies | Income | Lord Abbett International |
| LoCorr Market Trend | Loomis Sayles Small Cap | Equity |
| LodgeNet Interactive Corp | Value | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|--|-----------------------------------|---|
| Lord Abbett International Value | Loyalty Ventures Inc | LVIP American Balanced Allocation |
| Lord Abbett Intl Opportunities | LPL Financial Holdings Inc | LVIP American Century Select Mid Cap Managed Volatility |
| Lord Abbett Large Cap Gro | LRR Energy LP | LVIP American Global Balanced Allocation |
| Lord Abbett Mid Cap Stock | LSB Corp | Managed Risk |
| Lord Abbett Multi-Asset Balanced Opportunity | LSB Financial Corp. | LVIP American Global Growth Allocation Managed Risk |
| Lord Abbett Multi-Asset Growth | LSB Industries Inc | LVIP American Growth |
| Lord Abbett Multi-Asset Income | LSI Corp | LVIP American Growth Allocation |
| Lord Abbett Short Duration High Income Municipal Bond | LSI Industries Inc | LVIP American Growth Income |
| Lord Abbett Short Duration Income | LSV Value Equity | LVIP American Preservation |
| Lord Abbett Short Duration Tax Free | LTC Properties Inc. | LVIP Baron Growth Opportunities |
| Lord Abbett Small Cap Blend | Lubrizol Corp (The) | LVIP BlackRock Dividend Value Managed Volatility |
| Lord Abbett Sml Cap Value | Luby's Inc. | LVIP BlackRock Global Allocation |
| Lord Abbett Total Return | LUCENT TECHNOLOGIES INC | LVIP BlackRock Global Allocation Managed Risk |
| Lord Abbett TxFr CA Income | Lucid Diagnostics Inc | LVIP BlackRock Global Growth ETF Allocation Managed Risk |
| Lord Abbett TxFr Natl Income | Lucid Group, Inc. | LVIP BlackRock Global Real Estate |
| Lord Abbett TxFr NY Income | LUFAX HOLDING LTD | LVIP BlackRock Inflation Protected Bond |
| Lord Abbett Ultra Short Bond | LUFKIN INDUSTRIES INC | LVIP BlackRock US Growth ETF Allocation Managed Risk |
| Lord Abbett US Government Sponsored Enterprises Money Market | lululemon athletica inc | LVIP Blended Large Cap Growth Managed Volatility |
| Lord Abbett Value Opportunities | Lulus Fashion Lounge Holdings Inc | LVIP Blended Mid Cap Managed Volatility |
| Lordstown Motors Corp. | Lumen Technologies Inc | LVIP ClearBridge Franklin Select Large Cap Managed Volatility |
| Lorillard Inc | LUMENIS LTD | LVIP Delaware Bond |
| Lotsoff Capital Mgmt Micro Cap | Lument Finance Trust Inc | LVIP Delaware Diversified Floating Rate |
| Lotus Pharmaceuticals Inc | Lumentum Holdings Inc | |
| LOUD TECHNOLOGIES INC | Lumera Corp | |
| LOUDEYE CORP | Luminar Technologies, Inc. | |
| Louisiana Bancorp Inc | Luminent Mortgage Capital Inc. | |
| Louisiana-Pacific Corp | Luminex Corp | |
| Lovesac Co (The) | Lumiradx Ltd | |
| Lowe's Cos Inc | Lumos Networks Corp | |
| LOWRANCE ELECTRONICS INC | Lumos Pharma Inc | |
| Loxo Oncology Inc | Luna Innovations Inc | |
| | Lundin Mining Corp | |
| | Luther Burbank Corp | |
| | Lutheran Bro Money Market | |
| | Lutheran Bro Muni Bond | |
| | Lutheran Brotherhood | |
| | Lutheran VIP Opport Growth | |
| | Lux Health Tech Acquisition Corp | |
| | Luxfer Holdings PLC | |
| | Luxottica Group SPA, Milano | |

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| | | |
|--|---|--|
| LVIP Delaware Diversified Income | LVIP MFS International Equity Managed Volatility | LVIP Vanguard International Equity ETF |
| LVIP Delaware Foundation Aggressive Allocation | LVIP MFS International Growth | LVIP Wellington Capital Growth |
| LVIP Delaware Foundation Conservative Allocation | LVIP MFS Value | LVIP Western Asset Core Bond |
| LVIP Delaware Limited Term Diversified Income | LVIP Mondrian International Bond | LXP Industrial Trust Lydall Inc. |
| LVIP Delaware Mid Cap Value | LVIP SSgA Bond Index | Lyell Immunopharma Inc |
| LVIP Delaware Smid Cap Core | LVIP SSgA Developed International 150 | Lyft Inc |
| LVIP Delaware Social Aware | LVIP SSgA Emerging Markets 100 | LYNCH INTERACTIVE CORP |
| LVIP Delaware Value | LVIP SSgA Emerging Markets Equity Index | Lynden Energy Corp |
| LVIP Dimensional International Core Equity | LVIP SSgA Global Tactical Allocation Managed Volatility | Lynx Therapeutics Inc |
| LVIP Dimensional International Equity | LVIP SSgA International Index | LYONDELL CHEMICAL CO |
| LVIP Dimensional Managed Volatility | LVIP SSgA Large Cap 100 | Lyra Therapeutics Inc |
| LVIP Dimensional US Core Equity 1 | LVIP SSgA Large Cap Managed Volatility | Lyris Inc |
| LVIP Dimensional US Core Equity 2 | LVIP SSgA Mid Cap Index | M & F Worldwide Corp. |
| LVIP Dimensional US Equity Managed Volatility | LVIP SSgA Moderate Index Allocation | M B T Financial Corp |
| LVIP Fidelity Institutional AM Select Core Equity Managed Volati | LVIP SSgA Moderate Structured Allocation | M Fund M International Equity |
| LVIP Franklin Templeton Global Equity Managed Volatility | LVIP SSgA Moderately Aggressive Index Allocation | M Stanley IL Active Intl |
| LVIP Global Conservative Allocation Managed Risk | LVIP SSgA Moderately Aggressive Structured Allocation | M Stanley IL Adv Portfolio |
| LVIP Global Growth Allocation Managed Risk | LVIP SSgA S&P 500 Index | M Stanley IL Advantage |
| LVIP Global Income | LVIP SSgA Small Cap Index | M Stanley IL Asia Opportunity |
| LVIP Global Moderate Allocation Managed Risk | LVIP SSgA SMID Cap Managed Volatility | M Stanley IL Balanced |
| LVIP Government Money Market | LVIP TRP Growth Stock | M Stanley IL Core Fxd Inc |
| LVIP Invesco Select Equity Income Managed Volatility | LVIP TRP Structured Mid Cap Growth | M Stanley IL Core Plus Fixed Income |
| LVIP JP Morgan High Yield | LVIP US Growth Allocation Managed Risk | M Stanley IL Discovery |
| LVIP JPM Select Mid Cap Value Managed Volatility | LVIP Vanguard Bond Allocation | M Stanley IL Emerging Markets |
| | LVIP Vanguard Domestic Equity ETF | M Stanley IL Frontier Markets |
| | | M Stanley IL Global Franchise |
| | | M Stanley IL Global Opportunity |
| | | M Stanley IL Global Real Estate |
| | | M Stanley IL Growth |
| | | M Stanley IL High Yield |
| | | M Stanley IL Inception |
| | | M Stanley IL International Advantage |

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| | | |
|-------------------------------|-----------------------------|----------------------------|
| M Stanley IL Intl Equity | M Stanley Variable Ins Fund | Magellan Midstream |
| M Stanley IL Intl Opportunity | US Real Estate | Holdings LP |
| M Stanley IL Intl Real Estate | M&T Bank Corp | Magellan Midstream |
| M Stanley IL Intl Small Cap | M.D.C. Holdings Inc. | Partners LP |
| M Stanley IL Intrmd Duratn | M/I Homes Inc | Magenta Therapeutics Inc |
| Fxd | M3- Brigade Acquisition III | Magic Software Enterprises |
| M Stanley IL Inv Grade Fxd II | Corp | Ltd |
| M Stanley IL Liquidity ESG | MA Muni Cash Trust | MAGICJACK VOCALTEC LTD |
| Money Market | Macatawa Bank Corp | MAGMA DESIGN |
| M Stanley IL Liquidity | MacDermid Inc | AUTOMATION INC |
| Government | Mace Security International | Magna Entertainment Corp |
| M Stanley IL Liquidity | Inc | MagnaChip Semiconductor |
| Government Securities | Macerich Co (The) | Corp |
| M Stanley IL Liquidity Prime | Mac-Gray Corp | Magnetek Inc. |
| MM | MacKinac Financial Corp | Magnite Inc |
| M Stanley IL Liquidity Tax | MACOM Technology | Magnolia Lane Income Fund |
| Exempt | Solutions Holdings Inc | Magnolia Oil & Gas Corp |
| M Stanley IL Liquidity | Macondray Capital | Magnum Hunter Resources |
| Treasury | Acquisition Corp I | Corp |
| M Stanley IL Liquidity | Macquarie Global | MAGNUM HUNTER |
| Treasury Securities | Infrastructure Total Return | RESOURCES INC |
| M Stanley IL Money Market | Fund Inc | Magyar Bancorp Inc |
| M Stanley IL Multi Asset | Macquarie Infrastructure | Magyar Telecom |
| M Stanley IL Muni MM | Holdings LLC | Mahanagar Telephone |
| M Stanley IL Opportunity | MACREPORT NET INC | Nigam Ltd |
| M Stanley IL Short Duration | MACROCHEM CORP/DE | Maiden Holdings Ltd |
| Income | Macrocare Ltd | Maidenform Brands Inc |
| M Stanley IL Specl Purpose | MacroGenics Inc | MAIN STREET BANKS INC |
| Fxd | MACROMEDIA INC | Main Street Capital Corp |
| M Stanley IL Ultra Short | Macy's Inc | MAIN STREET RESTAURANT |
| Income | Mad Catz Interactive Inc. | GROUP |
| M Stanley IL US Mid Cap | Madeco SA | MAIN STREET TRUST INC |
| Value | Madison Bancshares Inc/FI | MAINE & MARITIMES CORP |
| M Stanley IL US Real Estate | Madison Mid Cap | MainGate MLP |
| M Stanley IL Value | Madison Square Garden | MainSource Financial Group |
| M Stanley Univ Core+ Fixed | Sports Corp | Inc |
| Inc | Madison/Claymore Covered | MainStay Balanced |
| M Stanley Univ Emerg Mkt | Call & Equity Strategy Fund | MainStay Blue Chip Growth |
| Eqty | MADRIGAL | MainStay Capital |
| M Stanley Univ Global Real | PHARMACEUTICALS, INC. | Appreciation |
| Estate | MAF BANCORP INC | MainStay Cash Reserves |
| M Stanley Univ Mid Cap Gro | MAG Silver Corp | MainStay CBRE Global |
| M Stanley Variable Ins Fund | Magellan Health Inc | Infrastructure |
| Growth | | |

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| | | |
|--|---|--|
| MainStay CBRE Global Infrastructure Megatrends Fund | MainStay MacKay International Opportunities | MainStay VP Income Builder |
| MainStay Conservative Allocation | MainStay MacKay NY Tax Free Opportunities | MainStay VP Indexed Bond |
| MainStay Cushing MLP Premier | MainStay MacKay Short Duration High Yield | MainStay VP Janus Henderson Balanced |
| MainStay Epoch Global Equity Yield | MainStay MacKay Short Term Municipal | MainStay VP MacKay Convertible |
| MainStay Epoch International Choice | MainStay MacKay Strategic Bond | MainStay VP MacKay High Yield Corporate Bond |
| MainStay Epoch International Small Cap | MainStay MacKay Tax Free Bond | MainStay VP MacKay International Equity |
| MainStay Epoch US All Cap | MainStay MacKay Total Return Bond | MainStay VP MacKay Strategic Bond |
| MainStay Epoch US Equity Yield | MainStay MacKay U.S. Equity Opportunities | Mainstay VP Mid Cap Growth |
| MainStay Epoch US Small Cap | MainStay MacKay US Infrastructure Bond | MainStay VP Mid Cap Value |
| MainStay Equity Index | MainStay Mid Cap Value | MainStay VP Moderate Allocation |
| MainStay Floating Rate | MainStay Moderate Allocation | MainStay VP PIMCO Real Return |
| MainStay Global High Income | MainStay Money Market | MainStay VP S&P 500 Index |
| MainStay Growth Allocation | MainStay S&P 500 Index | MainStay VP Small Cap Growth |
| MainStay Growth Equity | Mainstay Small Cap Growth | MainStay VP T. Rowe Price Equity Income |
| MainStay High Yield Opportunities | MainStay Value | MainStay VP US Government Money Market |
| MainStay ICAP Equity | MainStay VP Absolute Return | MainStay VP Value |
| MainStay ICAP Select Equity | Multi-Strategy | MainStay VP Van Eck Global Hard Assets |
| MainStay Income Builder | MainStay VP Bond | MainStay VP Wellington Growth |
| MainStay Income Manager | MainStay VP Conservative Allocation | MainStay VP Wellington Mid Cap |
| MainStay Indexed Bond | MainStay VP Emerging Markets Equity | MainStay VP Wellington Small Cap |
| MainStay Institutional Bond | MainStay VP Epoch U.S. Small Cap | MainStay VP Wellington US Equity |
| MainStay International Equity | MainStay VP Epoch US Equity Yield | MainStay VP Winslow Large Cap Growth |
| MainStay MacKay CA Tax Free Opportunities | MainStay VP Equity Allocation | MainStay Winslow Large Cap Growth |
| MainStay MacKay Convertible | MainStay VP Fidelity Institutional AM Utilities | MainStay WMC Enduring Capital |
| MainStay MacKay DefinedTerm Municipal Opportunities Fund | MainStay VP Floating Rate | MainStay WMC Growth |
| MainStay MacKay High Yield Corporate Bond | MainStay VP Government | MainStay WMC Value |
| MainStay MacKay High Yield Muni Bond | MainStay VP Growth Allocation | |

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| | | |
|--|---|---|
| MainStreet Bancshares Inc | MANHATTAN SCIENTIFICS INC | Marcus & Millichap Inc |
| Mainstreet Bankshares Inc | Manitex International Inc | Marcus Corp (The) |
| MAIR HOLDINGS INC | Manitowoc Co Inc (The) | MariaDB Plc |
| Mairs & Power Balanced | Mannatech Inc | Marimba Inc |
| Mairs & Power Growth | Manning & Napier | MariMed Inc |
| Majesco | Diversified TxEx | Marin Software Inc |
| MakeMusic Inc | Manning & Napier Equity | Marina Biotech Inc |
| MakeMyTrip Ltd | Manning & Napier | Marine Harvest ASA |
| MAKITA CORP | International | MARINE PETROLEUM TRUST |
| Mako Surgical Corp | Manning & Napier Life Sciences | Marine Products Corp |
| Malibu Boats Inc | Manning & Napier Overseas | MarineMax Inc |
| Mallinckrodt plc | Manning & Napier Pro-Blend Conservative Term | Mariner Energy Inc |
| Malvern Bancorp Inc | Manning & Napier Pro-Blend Extended Term | Mariner Health Care Inc |
| MAM Software Group Inc | Manning & Napier Pro-Blend Maximum Term | Marinus Pharmaceuticals Inc |
| MamaMancini's Holdings Inc | Manning & Napier Pro-Blend Moderate Term | MARITRANS INC |
| Mammoth Energy Services Inc | Manning & Napier Rainier International Discovery | MARKEL CORP |
| Managed High Yield Plus Fund Inc. | Manning & Napier Unconstrained Bond | Marker Therapeutics Inc |
| Managed Portfolio Series | Manning & Napier World Opportunities | Market Leader Inc |
| Tortoise North American Pipeline Fund | MannKind Corp | Market Vectors Brazil Small- Cap ETF |
| Management Network Group Inc (The) | MANOR CARE INC | Market Vectors Coal ETF |
| Managers Cadence Capital Appreciation | ManpowerGroup Inc | Market Vectors Global Alternative Energy ETF Trust |
| Managers Cadence Mid Cap | ManTech International Corp | MARKETAXESS HOLDINGS INC |
| Managers Fremont Instl Micro Cap | Manu Forti Group Inc | Marketfield |
| Managers Fremont Micro Cap | MANUFACTURERS SVCS LTD | Marketo Inc |
| Managers International Equity | MANUGISTICS GROUP INC | Marketwatch.Com Inc |
| Managers Short Govt | Manulife Financial Corp | MARKETWISE INC. |
| Managers Special Equity | MAP Pharmaceuticals Inc | Markforged Holding Corp |
| ManagersAMG FQ Global Alternatives | MAPICS INC | MARKWEST ENERGY |
| Manas Petroleum Corp | MAPINFO CORP | PARTNERS LP |
| Manatron Inc | Marathon Oil Corp | MARKWEST HYDROCARBON INC |
| MANCHESTER TECHNOLOGIES INC | Marathon Petroleum Corp | Marlin Business Services Corp |
| Manchester United Plc | Maravai LifeSciences Holdings Inc | Marlin Technology Corp |
| MANDALAY RESORT GROUP | Marblegate Acquisition Corp | Marpai Inc |
| Mandiant Inc | Marchex Inc | Marqeta Inc |
| | | Marriott International Inc |
| | | Marriott Vacations Worldwide Corp |
| | | Marsh & McLennan Companies Inc |

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| | | |
|---|--|--|
| MARSH SUPERMARKETS -CL B | MassMutual Equity Opportunities | MassMutual Select Large Cap Value |
| MARSHALL & ILSLEY CORP Marshall Govt Income Fund | MassMutual Fundamental Value | MassMutual Select Small Co Value |
| Marshall International Stock | MassMutual Growth Opportunities | MassMutual Select T Rowe Price Bond Asset |
| Marshall Large Cap Value | MassMutual High Yield | MassMutual Select T Rowe Price International Equity |
| Marshall Mid Cap Growth | MassMutual Mid Cap Growth | MassMutual Select T Rowe Price Large Cap Blend |
| Marshall Mid Cap Value | MassMutual Overseas | MassMutual Select T Rowe Price Retirement 2020 |
| Marshall Short Intermediate Bond | MassMutual Premr Balanced | MassMutual Select T Rowe Price Retirement 2025 |
| Marsico 21st Century | MassMutual Premr Capital Appreciation | MassMutual Select T Rowe Price Retirement 2030 |
| Marsico Flexible Capital | MassMutual Premr Diversfd Bond | MassMutual Select T Rowe Price Retirement 2035 |
| Marsico Focus | MassMutual Premr Enh Idx Gro | MassMutual Select T Rowe Price Retirement 2040 |
| Marsico Growth | MassMutual Premr Enhanced Index Value | MassMutual Select T Rowe Price Retirement 2045 |
| Marsico Intl Opportunities | MassMutual Premr Global | MassMutual Select T Rowe Price Retirement 2050 |
| MARTEK BIOSCIENCES CORP | MassMutual Premr Inflation Protected & Income | MassMutual Select T Rowe Price Small and Mid Cap Blend |
| Marten Transport Ltd | MassMutual Premr Intl Equity | MassMutual Short Duration Bond |
| Martha Stewart Living | MassMutual Premr Main Street | MassMutual Small Cap Growth Equity |
| Omnimedia Inc. | MassMutual Premr Money Market | MassMutual Strategic Bond |
| Martin Currie Emerging Markets | MassMutual Premr Sml Co Opp | MassMutual Total Return Bond |
| Martin Marietta Materials Inc. | MassMutual Premr Strategic Income | MasTec Inc. |
| Martin Midstream Partners LP | MassMutual Premr Value | Mastech Digital Inc |
| Marvel Entertainment Inc | MassMutual RetireSMART Moderate | MasterBrand Inc |
| Marvell Technology Inc | MassMutual Sel DestinRet 2040 | Mastercard Inc |
| Masco Corporation | MassMutual Sel DestinRet Inc | MasterCraft Boat Holdings Inc |
| Masimo Corp | MassMutual Select | Masters Select Equity |
| MASISA S.A. | BlackRock Global Allocation | Masters Select Smaller Co |
| Masisa Sa | MassMutual Select Diversified Value | Masters Select Value |
| Mason Industrial Technology Inc | MassMutual Select Growth Equity | Matador Resources Co |

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| | | |
|---|------------------------------------|--------------------------------------|
| Match Group Inc | Maxcom Telecomunicaciones SA de CV | McEwen Mining Inc |
| MATERIAL SCIENCES CORP | MAXCOR FINANCIAL GROUP INC | MCG Capital Corp |
| Materials Select Sector SPDR Fund (The) | MaxCyte Inc | McGrath RentCorp |
| MathStar Inc | Maxeon Solar Technologies Ltd | MCI INC |
| Matinas BioPharma Holdings Inc | Maxim Ariel Mid Cap Value | McKee Intl Equity |
| Mativ Holdings Inc | Maxim Ariel Small Cap Value | McKesson Corp |
| MATRIA HEALTHCARE INC | Maxim Integrated Products Inc. | McLaren Technology Acquisition Corp |
| MATRITECH INC | Maxim Invesco ADR | MCLEODUSA INC -CL A |
| Matrix Advisors Value | Maxim Moderate Aggrsv Prof II | McMoRan Exploration Co |
| Matrix Service Co | Maxim Moderate Profile | MCRAE INDUSTRIES -CL A |
| MATRIXONE INC | MAXIM PHARMACEUTICALS INC | MDH Acquisition Corp |
| MATRIXX INITIATIVES INC | Maxim Stock Index | MDI INC |
| Matson Inc | MAXIMUS Inc. | MDSI MOBILE DATA SOLUTIONS |
| Mattel Inc. | MaxLinear Inc | MDU Communications International Inc |
| Matterport Inc | MaxPoint Interactive Inc | MDU Resources Group Inc. |
| Mattersight Corp | MAXTOR CORP | MDWERKS INC |
| Matthews Asia Dividend | Maxwell Shoe Co Inc -Cl A | Mead Johnson Nutrition Co |
| Matthews Asia Growth | Maxwell Technologies Inc | MEADE INSTRUMENTS CORP |
| Matthews Asia Innovators | MAXXAM Inc. | MEADOW VALLEY CORP |
| Matthews Asia Small Companies | MXYGEN INC | Meadowbrook Insurance Group Inc |
| Matthews Asian Growth & Income | MAY DEPARTMENT STORES CO | MeadWestvaco Corp |
| Matthews China | MAYTAG CORP | Measurement Specialties Inc. |
| Matthews India | Mayville Engineering Co Inc | Mechel PAO |
| Matthews International Corp | Mazor Robotics Ltd | Mecox Lane Ltd |
| Matthews Japan | MB Financial Inc | Medallia Inc |
| Matthews Korea | MBF Healthcare Acquisition Corp | Medallion Financial Corp. |
| Matthews Pacific Tiger | MBIA Inc. | MEDAREX INC |
| Mattress Firm Holding Corp | Mbna Corp | MedAssets Inc |
| Mattson Technology Inc | MC SHIPPING | MedAvail Holdings Inc |
| Maui Land & Pineapple Co Inc. | MCAFEE INC | Medbox Inc |
| Mavenir Systems Inc | McClatchy Co (The) | MedCath Corp |
| MAVERICK OIL & GAS INC | McCormick & Co Inc | MedClean Technologies Inc |
| MAVERICK TUBE CORP | McCormick & Schmicks | Medco Health Solutions Inc. |
| Maverix Metals Inc | Seafood Restaurants Inc | MEDCOM USA INC |
| MAX & ERMAS RESTAURANTS | MCDATA CORP -CL A | MED-DESIGN CORP |
| Max Sound Corp | MCDONALD'S CORP | MEDecision Inc |
| Maxar Technologies Inc | | Medequities Realty Trust Inc |
| MAXCO INC | | Media & Entertainment Holdings Inc |
| | | Media Arts Group Inc |

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|--|--|-------------------------------------|
| Media General Inc | Medpace Holdings Inc | Mercer Global Low Volatility Equity |
| Media Sciences International Inc. | MedPro Safety Products Inc | Mercer Gold Corp |
| MediaAlpha Inc | MEDQUIST INC | Mercer Insurance Group Inc. |
| Mediabay Inc | Medsource Technologies Inc | Mercer International Inc |
| Mediacom Communications Corp | MEDTOX Scientific Inc. | Mercer Non US Core Equity |
| MEDIALINK WORLDWIDE INC | Medtronic PLC | Mercer Opportunistic Fixed Income |
| MediaMind Technologies Inc | MEDWAVE INC | Mercer US Large Cap Equity |
| Medical Action Industries Inc | Medytox Solutions Inc | Mercer US Large Cap Value Equity |
| Medical Care Technologies Inc | Meeder Institutional Prime Money Market | Mercer US Small/Mid Cap Equity |
| Medical Connections Holdings Inc | Meeder Muirfield | MERCHANTS & MFRS BANCORP INC |
| MEDICAL NUTRITION USA INC | Meet Group Inc (The) | Merchants Bancorp |
| Medical Properties Trust Inc | MEI Pharma Inc | Merchants Bancshares Inc |
| Medical Staffing Network Holdings Inc. | Meihua International | MERCHANTS GROUP INC |
| MEDICALCV INC | Medical Technologies Co Ltd | Merck & Co Inc |
| Medicenna Therapeutics Corp | Meiragtx Holdings plc | MERCK SERONO SA |
| Medicine Man Technologies Inc | Melco Resorts & Entertainment Ltd | Mercury Air Group Inc |
| Medicines Company (The) | MELI Kaszek Pioneer Corp | Mercury General Corp |
| Medicinova Inc | Melinta Therapeutics Inc | MERCURY INTERACTIVE CORP |
| Medicis Pharmaceutical Corp | MellanoX Technologies Ltd | Mercury Intl Value V.I. |
| Medidata Solutions Inc | MELLON FINANCIAL CORP | Mercury Systems Inc |
| Medifast Inc. | Memorial Production Partners LP | Mercury US Large Cap |
| Medi-Hut Co Inc | Memorial Resource Development Corp | MEREDITH CORP |
| MEDIMMUNE INC | Memory Pharmaceuticals Corp | Mereo BioPharma Group Plc |
| MEDIS TECHNOLOGIES LTD | MEMRY CORP | Merge Healthcare Inc |
| MEDISCIENCE TECHNOLOGY CORP | MEMSIC Inc | Merger Fund |
| MEDISTEM LABORATORIES INC | Menlo Therapeutics Inc | MergeWorthRx Corp |
| Medivation Inc | MENTOR CORP | Meridian Bancorp Inc |
| MEDIWARE Information Systems Inc | Mentor Graphics Corp | Meridian Bioscience Inc |
| MediWound Ltd | MercadoLibre Inc | MERIDIAN CO LTD |
| Medixall Group Inc | Mercantile Bancorp Inc/IL | Meridian Contrarian |
| Medizone International Inc | Mercantile Bank Corp | Meridian Corp |
| Medley Capital Corp | MERCANTILE BANKSHARES CORP | MERIDIAN GOLD INC |
| Medley Management Inc | Mercato Partners Acquisition Corporation | Meridian Growth |
| | Mercator Software Inc | Meridian Resource Corp (The) |
| | Mercer Core Fixed Income | Meridian Small Cap Growth |
| | Mercer Emerging Markets Equity | MeridianLink Inc |
| | | Merion Inc |

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|-------------------------------|------------------------------|------------------------------|
| MERISEL INC | MESABI TRUST | MetInvstrs ST Legg Mason |
| MERISTAR HOSPITALITY | MESTEK INC | Value Equity |
| CORP | Meta Data Limited | MetInvstrs ST Lord Abbett |
| Merit Medical Systems Inc | META GROUP INC | Bond Debenture |
| Meritage Homes Corp | Meta Materials Inc. | MetInvstrs ST Met Pioneer |
| MERITAGE HOSPITALITY | Meta Platforms Inc | Strategic Income |
| GROUP | METABASIS THERAPEUTICS | MetInvstrs ST MetLife |
| Meritor Inc | INC | Balanced Strategy |
| Merix Corp | Metabolix Inc | MetInvstrs ST MetLife |
| Merk Hard Currency | Metacrine Inc | Defensive Strategy |
| Merrill Lynch & Co Inc | METAL MANAGEMENT INC | MetInvstrs ST MetLife |
| Merrill Lynch Basic PrinProt | Metaldyne Performance | Growth Strategy |
| Merrill Lynch Core Princ Prot | Group Inc | MetInvstrs ST MetLife |
| Merrill Lynch Corp Intrmd | Metalico Inc | Moderate Strategy |
| Merrill Lynch Fdmtl PrinProt | Metalink Ltd | MetInvstrs ST Oppenhmr Cap |
| Merrill Lynch Retire | Metalla Royalty and | App |
| Reserves2 | Streaming Ltd | MetInvstrs ST Pioneer Fund |
| Merrill Lynch S&P 500 Pro | Metallica Resources Inc. | MetInvstrs ST RCM Tech |
| Merrill Lynch Short US Govt | Metals Acquisition Corp | MetInvstrs ST Schrodgers |
| Merrill Lynch US | Metals USA Holdings Corp | Global Multi-Asset II |
| Government | METALS USA INC | MetInvstrs ST Templeton |
| Merrill Lynch US Govt Reserv | METASOLV INC | Growth |
| Merrill Lynch US Hi Yld | MetaStat Inc | MetInvstrs ST Tr American |
| Merrill Merchants | Metavante Technologies Inc | International |
| Bancshares Inc | Methanex Corp | MetInvstrs ST Turner MdCp |
| Merrimac Cash Series | Methode Electronics Inc | Gr |
| Merrimac Industries Inc. | MetInvstrs ST BatteryMarch | MetLife CapGuard US Eqty |
| Merrimac Muni Series | Growth & Income | MetLife FI Mid Cap |
| Merrimac Prime Series | MetInvstrs ST ClearBridge | Opportunity |
| Merrimac Treas Plus Ser | Aggressive Growth II | MetLife FI Value Leaders |
| Merrimac Treasury Series | MetInvstrs ST Franklin | Metlife Inc. |
| Merrimac US Govt Series | Income | Metlife Inv Diversified Bond |
| Merrimack Pharmaceuticals | MetInvstrs ST Franklin | Metlife Inv Intl Stock |
| Inc | Mutual Shares | Metlife Inv Large Comp Stock |
| Merriman Curhan Ford | MetInvstrs ST Franklin | Metlife Inv Small Comp Stock |
| Group Inc | Templeton Founding | MetLife Loomis Small Cap |
| Mersana Therapeutics Inc | Strategy | Core |
| Meru Networks Inc | Metinvstrs ST Jennison Large | MetLife MFS Value Portfolio |
| Merus Labs International Inc | Cap Equity | II |
| Merus NV | MetInvstrs ST Lazard Mid | MetLife Neuberger Berman |
| MESA AIR GROUP INC | Cap | Mid Cap Value |
| Mesa Energy Inc | MetInvstrs ST Legg Mason P | MetLife Zenith Equity |
| Mesa Laboratories Inc | Managed Assets | Met-Pro Corp |
| MESA ROYALTY TRUST | | Metris Companies Inc |

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| | | |
|------------------------------|-----------------------------|----------------------------|
| Metro Bancorp Inc | MFS Charter Income Trust | MFS Intrmd Invest Grade |
| METRO GOLDWYN MAYER | MFS Commodity Strategy | Bond |
| INC | MFS Compass Capital Apprec | MFS Large Cap Growth |
| METRO ONE TELECOMM INC | VA | MFS Lifetime 2030 |
| Metrocall Inc | MFS Conservative Alloc | MFS Lifetime 2040 |
| Metrocity Bankshares Inc | MFS Core Equity | MFS Lifetime Income |
| MetroCorp Bancshares Inc | MFS Core Growth | MFS Limited Maturity |
| METROLOGIC INSTRUMENTS | MFS Corporate Bond | MFS Low Volatility Equity |
| INC | MFS Diversified Income | MFS Managed Sectors |
| METROMEDIA | MFS Emerging Markets Debt | MFS Mid Cap Growth |
| INTERNATIONAL GRP | MFS Emerging Markets | MFS Mid Cap Value |
| METRON TECHNOLOGY NV | Equity | MFS Moderate Allocation |
| Metropolitan Bank Holding | MFS Equity Opportunities | MFS Money Market |
| Corp | MFS Global Alternative | MFS Multimarket Income |
| Metropolitan BlackRock | Strategy | Trust |
| Large Cap | MFS Global Equity | MFS Muni Bond |
| Metropolitan Health | MFS Global Growth | MFS Muni CA |
| Networks Inc | MFS Global High Yield | MFS Muni High Income |
| METSO OYJ | MFS Global Opportunistic | MFS Muni Income |
| Mettler-Toledo International | Bond | MFS Muni Ltd Maturity |
| Inc | MFS Global Real Estate | MFS Muni MA |
| MetWest High Yield Bond | MFS Global Total Return | MFS Muni NC |
| MetWest Intrmd Bond | MFS Government Markets | MFS Muni VA |
| MetWest Low Duration Bond | Income Trust | MFS Municipal Income Trust |
| MetWest Strategic Income | MFS Govt Ltd Maturity | MFS New Discovery |
| MetWest Total Return Bond | MFS Govt Mortgage | MFS New Discovery Value |
| MetWest Ultra Short Bond | MFS Govt Securities | MFS New Endeavor |
| MetWest Unconstrained | MFS Growth | MFS Research |
| Bond | MFS Growth Allocation | MFS Research International |
| Metzler/Payden Europe | MFS Growth Opportunities | MFS Strategic Growth |
| Emrg Mkt | MFS High Income | MFS Strategic Value |
| MEXCO ENERGY CORP | MFS Income | MFS Technology |
| MEXICAN RESTAURANTS INC | MFS Inflation Adjusted Bond | MFS Total Return |
| Mexico Fund Inc. (The) | MFS Instl Emerging Equities | MFS Total Return Bond |
| MEXORO MINERALS LTD | MFS Intermediate Income | MFS Utilities |
| MF Global Holdings Ltd | Trust | MFS Value |
| MFA Financial Inc | MFS International Equity | MFS VIT Growth |
| MFB Corp. | MFS International Intrinsic | MFS VIT High Income |
| MFS Aggressive Growth | Value | MFS VIT II Blend Research |
| Allocation | MFS International Large Cap | Core Equity |
| MFS Blended Research Core | Value | MFS VIT II Capital |
| Equity | MFS Intl Diversification | Appreciation |
| MFS Capital Opportunities | MFS Intl Growth | MFS VIT II Emerging Growth |
| MFS Cash Reserve | MFS Intl New Discovery | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|---|---------------------------------------|--------------------------------------|
| MFS VIT II Global Tactical Allocation | Michael Baker Corp | Midland Company (The) |
| MFS VIT II Government Securities | Michaels Cos Inc (The) | Midland States Bancorp Inc |
| MFS VIT II High Yield | Micrel Inc. | MidSouth Bancorp Inc. |
| MFS VIT II International Intrinsic Value | MICRO COMPONENT TECH | MID-STATE BANCSHARES |
| MFS VIT II Massachusetts Investors Growth | Micro Focus International PLC | Midway Games Inc. |
| MFS VIT II Money Market | MICRO LINEAR CORP | Midway Gold Corp |
| MFS VIT II New Discovery | MICRO THERAPEUTICS INC | MIDWEST AIR GROUP INC |
| MFS VIT II Research International | Microbot Medical Inc | Midwest Banc Holdings Inc |
| MFS VIT II Ser Tr Research | MicroFinancial Inc | Midwest Energy Emissions Corp |
| MFS VIT II Total Return | MICROMEM TECHNOLOGIES INC | Midwest Holding Inc |
| MFS VIT II Utilities | Micromet Inc | MidWestOne Financial Group Inc |
| MFS VIT II Value | MICROMUSE INC | Mid-Wisconsin Financial Services Inc |
| MFS VIT III Conservative Allocation | Micron Technology Inc. | Miix Group Inc |
| MFS VIT III Growth Allocation | MICRONETICS INC | MIKRON INFRARED INC |
| MFS VIT III Inflation Adjusted Bond | MICROS SYSTEMS INC | Milacron Holdings Corp |
| MFS VIT III Limited Maturity | MICROSEMI CORP | Milacron Inc. |
| MFS VIT III Mid Cap Value | MICROSOFT CORP | MILE MARKER INTL INC |
| MFS VIT III Moderate Allocation | MicroStrategy Inc | Milestone Treas Oblig Portf |
| MFS VIT Investors Gro Stk | MICROTEK MEDICAL HLDGS INC | MILLEA HOLDINGS INC |
| MFS VIT Investors Trust | Microtune Inc | Millendo Therapeutics Inc |
| MFS VIT Mid Cap Growth | Microvision Inc WA | Millennial Media Inc |
| MFS VIT New Discovery | MICRUS ENDOVASCULAR CORP | MILLENNIUM BANKSHARES CORP |
| MFS VIT Research | Mid Atlantic Medical Svcs | Millennium Chemicals Inc |
| MFS VIT Total Return | Mid Penn Bancorp Inc | MILLENNIUM INDIA ACQSTN CO |
| MFS VIT Total Return Bond | Mid-America Apartment Communities Inc | Millennium Pharmaceuticals Inc. |
| MFS VIT Utilities | Midas Inc. | Miller Convertible Bond |
| MFS VIT Value | Mid-Atlantic Realty Trust | Miller Energy Resources Inc |
| MFS/SunLife Capital Opport | MidCap Financial Investment Corp | MILLER INDUSTRIES INC/TN |
| MGE Energy Inc | MIDCAROLINA FINANCIAL CORP | MillerKnoll Inc |
| MGI PHARMA INC | Midcoast Energy Partners LP | Millicom International Cellular SA |
| MGIC Investment Corp | Mid-Con Energy Partners LP | Millipore Corp |
| MGM Resorts International | MiddleBrook Pharmaceuticals Inc | MILLS CORP |
| MGP Ingredients Inc | Middleburg Financial Corp | Mimecast Ltd |
| MGT CAPITAL INVESTMENTS INC | Middleby Corp (The) | MiMedx Group Inc |
| MI Muni Cash Trust | Middlefield Banc Corp | Minatura Gold |
| | Middlesex Water Co | Minco Gold Corp |
| | | Mind Cti Ltd |

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| | | |
|------------------------------|-----------------------------|----------------------------|
| Mind Medicine Mindmed Inc | Mitcham Industries Inc | MML Series Inv Growth & |
| MINDBODY Inc | MITCHELLS & BUTLER PLC | Income |
| Mindray Medical | Mitel Networks Corp | MML Series Inv Large Cap |
| International Ltd | Mitsubishi UFJ Financial | Value |
| Mindspeed Technologies Inc. | Group | MMO2 PLC |
| Minefinders Corp Ltd | MITSUI & CO LTD | MModal Inc |
| Minera Andes Inc | MITY ENTERPRISES INC | MMRGlobal Inc |
| Minerals Technologies Inc. | MIV Therapeutics I | Mmtec Inc |
| Minerva Neurosciences Inc | MIVA INC | MN Muni Cash Trust |
| Minerva Surgical Inc | MiX Telematics Ltd | Mobile Data Corp |
| Mines Management Inc | Mizuho Financial Group Inc | Mobile Integrated Systems |
| MingZhu Logistics Holdings | MJ Holdings Inc | Inc |
| Ltd | MK RESOURCES CO | Mobile Mini Inc |
| Minim Inc | MKS Instruments Inc | MobileIron Inc |
| MINISO Group Holding Ltd | ML Macadamia Orchards LP | MOBILEPRO CORP |
| Minorplanet Systems Usa Inc | MM RetireSMART 2020 | MobileSmith Inc |
| Minrad International Inc | MM RetireSMART by | Mobileye NV |
| Minuteman International Inc | JPMorgan 2030 | Mobiquity Technologies Inc |
| MIPS TECHNOLOGIES INC | MM S&P 500 Index | MOBIUS MGMT SYSTEMS |
| Mirae Asset Emerging | MM Select Equity Asset | INC |
| Markets Great Consumer | MMA Capital Holdings Inc | Mobivity Holdings Corp |
| MIRAMAR MINING CORP | MMC Energy Inc | MOCON Inc |
| MIRANT CORP | MMDT Commonwealth of | MOD PAC CORP. |
| Mirati Therapeutics Inc | MA | Model N Inc |
| MIRAVANT MEDICAL | MML American Funds Core | Modem Media Inc |
| TECHNOLGIES | Allocation | Moderna Inc |
| Mirion Technologies, Inc | MML Balanced Allocation | MODINE MANUFACTURING |
| Miromatrix Medical Inc | MML Blue Chip Growth | CO |
| Mirova Global Sustainable | MML Conservative Allocation | Modiv Inc |
| Equity | MML Equity Income | ModivCare Inc |
| Mirum Pharmaceuticals Inc | MML Equity Index | MODTECH HOLDINGS INC |
| MISCOR Group Ltd | MML Foreign | Modular Med Inc |
| MISONIX Inc | MML Growth Allocation | Moelis & Co |
| Mission Advancement Corp | MML II Blend | Mogo Inc |
| Mission Produce Inc | MML II Equity | Mohawk Industries Inc. |
| MISSION RESOURCES CORP | MML II Managed Bond | Moldflow Corp |
| Mission West Properties Inc. | MML Inflation Protect & | MOLECULAR DEVICES CORP |
| Mister Car Wash Inc | Income | Molecular Insight |
| MIT AIM Aggressive Growth | MML Mid Cap Growth | Pharmaceuticals Inc |
| MIT CpGrd Diversified Bond | MML Mid Cap Value | Molecular Templates, Inc. |
| MIT Fid Overseas | MML Moderate Allocation | Moleculin Biotech Inc |
| MIT Holding Inc | MML Series Inv Asset | Molekule Group Inc |
| MIT MFS Strategic Growth | Allocation | Molex Inc. |
| MIT Quantitative Equity | | Molina Healthcare Inc. |

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| | | |
|-----------------------------|------------------------------|------------------------------|
| Moller International Inc | Monster Beverage Corp | Morgan Stanley Emerging |
| Molycorp Inc | Monster Worldwide Inc. | Markets Domestic Debt Fund |
| MOMENTA | Montage Resources Corp | Inc |
| PHARMACEUTICALS INC | Montage Technology Group | Morgan Stanley Emerging |
| Momentive Global Inc | Ltd | Markets Fund Inc. |
| Momentum Biofuels Inc | Montauk Renewables Inc | Morgan Stanley European |
| Momentum Inc | Monte Rosa Therapeutics Inc | Equity |
| Monaco Coach Corp | Monterey Capital Acquisition | Morgan Stanley Finl Svc Tr |
| Monarch Casino & Resort Inc | Corporation | Morgan Stanley Focus |
| MONARCH CEMENT CO | Monterey Gourmet Foods | Growth |
| Monarch Community | Inc | Morgan Stanley Gbl Utilities |
| Bancorp Inc | Montes Archimedes | Morgan Stanley Global |
| Monarch Daily Assets Cash | Acquisition Corp | Advantage |
| Monarch Daily Assets Govt | Montgomery Government | Morgan Stanley Global Fixed |
| Monarch Financial Holdings | MM | Income Opportunities |
| Inc | Montpelier Re Holdings Ltd | Morgan Stanley Global |
| Mondavi Robert Corp -CI A | Montrose Environmental | Infrastructure |
| Monday.com Ltd | Group Inc | Morgan Stanley Global |
| Mondee Holdings Inc | Monument Circle Acquisition | Strategist |
| Mondelez International Inc | Corp | Morgan Stanley Growth |
| Mondrian International | MONEY Series Money Market | Morgan Stanley Gvt Income |
| Value Equity | Monza Ventures Ltd | Tr |
| Money Market ProFund | Moody's Corp. | Morgan Stanley High Yield |
| Money Market Trust | Moog Inc. | Sec |
| Money4Gold Holdings Inc | Moolec Science Ltd | Morgan Stanley Hlth Sci |
| MoneyGram International | MoonLake | Trust |
| Inc | Immunotherapeutics | Morgan Stanley India |
| MONEYLION Inc | Moore Medical Corp | Investment Fund Inc. |
| Moneylogix Group Inc | Moore Wallace Inc | Morgan Stanley Insight |
| MongoDB Inc | MORGAN BEAUMONT INC | Morgan Stanley Insured |
| MONMOUTH CAPITAL CORP | Morgan Stanley | Muni Tr |
| Monmouth Real Estate | Morgan Stanley Aggrsv | Morgan Stanley International |
| Investment Corp | Equity | Morgan Stanley Intl Value |
| Monogram Biosciences Inc | Morgan Stanley All Star | Eqty |
| Monogram Residential Trust | Growth | Morgan Stanley Limited |
| Inc | Morgan Stanley Asia-Pacific | Duratn |
| Monolithic Power Systems | Fund Inc | Morgan Stanley Limited US |
| Inc | Morgan Stanley Balanced | Government Trust |
| Monopar Therapeutics Inc | Morgan Stanley CA TxFr Daily | Morgan Stanley Liquid Asset |
| Monotype Imaging Holdings | Morgan Stanley China A | Morgan Stanley Mid Cap |
| Inc | Share Fund | Growth |
| Monro Inc | Morgan Stanley Emerging | Morgan Stanley Mid-Cap |
| Monroe Bancorp | Markets Debt Fund Inc. | Value |
| MONSANTO CO | | |

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| | | |
|--|--------------------------------------|------------------------------------|
| Morgan Stanley Mkt Leader Tr | Morgan Stanley VAR Gbl Div | Mountain Crest Acquisition Corp IV |
| Morgan Stanley Mortgage Sec Tr | Morgan Stanley VAR Inc Plus | Mountain Crest Acquisition Corp V |
| Morgan Stanley Natural Rsrce | Morgan Stanley VAR Money | Mountain National Bancshares Inc |
| Morgan Stanley NY Muni MM | Morgan Stanley VAR S&P 500 Idx | Mountain Province Diamonds Inc |
| Morgan Stanley Pathway Core Fixed Inc | Morgan Stanley VAR Strategist | Mountainbank Financial Corp |
| Morgan Stanley Pathway Emerging Market | Morgan Stanley VAR Utilities | Mountain-Valley Bancshares Inc |
| Morgan Stanley Pathway Intl Equity | Morgan's Foods Inc. | Movado Group Inc |
| Morgan Stanley Pathway Large Cap Equity | Morgans Hotel Group Co | Move Inc |
| Morgan Stanley Pathway Small-Mid Cap Equity | Morningstar Inc | Movella Holdings Inc |
| Morgan Stanley Pathway Ultra-Short Term Fixed Income | Morningstar International Equity | MOVIE GALLERY INC |
| Morgan Stanley Prime Income Trust | Morningstar Total Return Bond | Movie Star Inc |
| Morgan Stanley Sel Amer Opport | Morningstar US Equity | MP Materials Corp |
| Morgan Stanley Sel Div Growth | Morphic Holding Inc | MPG Office Trust Inc |
| Morgan Stanley Special Growth | Morphosys AG, Martinsried | MPHASE TECHNOLOGIES INC |
| Morgan Stanley Tax Free Daily Income | MORTGAGEBROKERS.COM HOLDINGS | MPLX LP |
| Morgan Stanley Technology | MORTGAGEIT HOLDINGS INC | MPOWER HOLDING CORP |
| Morgan Stanley Tot Mkt Idx | MORTON INDUSTRIAL GRP INC | MPS Group Inc. |
| Morgan Stanley Tot Return Tr | MORTONS RESTAURANT GROUP INC | MPW INDL SVCS GROUP INC |
| Morgan Stanley US Government Money Market | Mosaic Company (The) | Mr. Cooper Group Inc. |
| Morgan Stanley US Government Securities Trust | MOSCOW CABLECOM CORP | MRC Global Inc |
| Morgan Stanley Value | MOSSIMO INC | MRO SOFTWARE INC |
| Morgan Stanley VAR Capital Opportunities | MoSys Inc | MRV Communications Inc |
| Morgan Stanley VAR Euro Growth | Motive Inc | MSA Safety Inc |
| | Motley Fool Global Opportunities ETF | MSB Financial Corp |
| | Motorcar Parts of America Inc | MSC Industrial Direct Co Inc. |
| | Motorola Mobility Holdings Inc | MSC.Software Corp |
| | Motorola Solutions Inc | MSCI Inc |
| | Motorsport Games Inc | MSD Acquisition Corp |
| | Motricity Inc | MSF FI Large Cap |
| | Motus GI Holdings Inc | MSG Networks Inc |
| | Mountain & Co I Acquisition Corp | MSP Recovery Inc |
| | MOUNTAIN BANK HOLDING CO | MSTI Holdings Inc |
| | | M-SYSTEMS FLASH DISK PIONEER |
| | | MTB Intermediate Bond |
| | | MTB Large Cap Stock |
| | | MTB Prime MM |
| | | MTC TECHNOLOGIES INC |
| | | MTGE Investment Corp |

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| | | |
|-------------------------------|-----------------------------|-----------------------------|
| MTI TECHNOLOGY CORP | Munder TxFr MM | Mutual of America IC Small |
| MTM TECHNOLOGIES INC | Muni Fund Inv Accum | Cap Value |
| MTR Gaming Group Inc | Program | MutualFirst Financial Inc |
| MTS Medication | Municipal Cash Series | Muzinich Low Duration |
| Technologies Inc | Municipal Cash Series II | MV Oil Trust |
| MTS Systems Corp | Murphy Canyon Acquisition | MVB Financial Corp |
| Mueller (Paul) Co | Corp | MVC CAPITAL INC |
| Mueller Industries Inc. | Murphy Oil Corp | MWI Veterinary Supply Inc |
| Mueller Water Products Inc | Murphy USA Inc | My Size Inc |
| Muhlenkamp Fund | MusclePharm Corp | MyECheck Inc |
| Mulesoft Inc | Mustang Bio Inc | Myers Industries Inc. |
| Multi Packaging Solutions | Mutual of Amer VAR Aggrsv | Mykrolis Corp |
| International Ltd | Eqty | MYMETICS CORP |
| MULTIBAND CORP | Mutual of Amer VAR All | MYOGEN INC |
| MULTICELL TECHNOLOGIES | America | MyoKardia Inc |
| INC | Mutual of Amer VAR | Myovant Sciences Ltd |
| Multi-Color Corp | Composite | Myrexis Inc |
| Multi-Fineline Electronix Inc | Mutual of Amer VAR Money | Mystic Financial Inc |
| Multilink Technology Corp | Mkt | MYT Netherlands Parent Bv |
| Multimedia Games Holding | Mutual of America IC 2020 | N-able Inc |
| Co Inc | Retirement | Nabors Industries Ltd |
| MultiMgr Aggressive Equity | Mutual of America IC 2025 | Nabriva Therapeutics Plc |
| MultiMgr Core Bond | Retirement | NACCO Industries Inc. |
| MultiMgr Health Care | Mutual of America IC 2030 | NACM US Convertble |
| MultiMgr Intl Equity | Retirement | Nalco Holding Co |
| MultiMgr Large Cap Core | Mutual of America IC 2035 | Nami Corp |
| Equity | Retirement | Nano Dimension Ltd |
| MultiMgr Large Cap Growth | Mutual of America IC 2040 | NANO SUPERLATTICE TECH |
| MultiMgr Large Cap Value | Retirement | INC |
| MultiMgr Mid Cap Growth | Mutual of America IC 2045 | Nano X Imaging Ltd |
| MultiMgr Mid Cap Value | Retirement | NANOBAC |
| MultiMgr Small Cap Growth | Mutual of America IC 2050 | PHARMACEUTICAL |
| MultiMgr Technology | Retirement | Nanobiotix SA |
| MultiPlan Corp | Mutual of America IC Bond | Nanophase Technologies |
| Munder Cash Investment | Mutual of America IC Equity | Corp |
| Munder Future Technology | Index | Nanopierce Technologies Inc |
| Munder Growth | Mutual of America IC | NANOSCIENCE |
| Opportunities | International | TECHNOLOGIES INC |
| Munder Healthcare | Mutual of America IC Mid | Nanosphere Inc |
| Munder Index 500 | Cap Equity Index | NanoString Technologies Inc |
| Munder Intrmd Bond | Mutual of America IC Mid | NanoViricides Inc |
| Munder Micro Cap Equity | Term Bond | NantHealth Inc |
| Munder Multi-Season | Mutual of America IC Small | NAPCO Security Technologies |
| Growth | Cap Growth | Inc |

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| | | |
|--------------------------------|--------------------------------|----------------------------|
| NAPSTER INC | NATIONAL HOME HEALTH | Nationstar Mortgage |
| NARROWSTEP INC | CARE | Holdings Inc |
| NASB Financial Inc | National Instruments | Nationwide BNY Mellon Core |
| Nascent Wine Co Inc | Corporation | Plus Bond ESG |
| Nasdaq Inc | NATIONAL INTERSTATE CORP | Nationwide BNY Mellon |
| Nash Finch Co | National Medical Health Card | Dynamic US Core |
| Nashua Corp | Systems Inc | Nationwide BNY Mellon |
| NASPERS LTD | National Patent | Dynamic US Equity Income |
| NASSDA CORP | Development Corp | Nationwide Bond |
| NATCO Group Inc | National Penn Bancshares Inc | Nationwide Bond Index |
| Natera Inc | National Presto Industries | Nationwide Financial |
| Nathan's Famous Inc | Inc. | Services Inc. |
| National American University | National Processing Inc | Nationwide Fund |
| Holdings Inc | NATIONAL R V HOLDINGS | Nationwide Geneva Mid Cap |
| National Atlantic Holdings | INC | Growth |
| Corp | National Research Corp | Nationwide Geneva Small |
| National Australia Bank Ltd | National Retail Properties Inc | Cap Growth |
| NATIONAL BANCSHARES | National Security Group Inc | Nationwide Government |
| CORP/OH | NATIONAL SEMICONDUCTOR | Money Market |
| National Bank Holdings Corp | CORP | Nationwide GVIT Small Cap |
| National Bank of Greece | National Storage Affiliates | Index |
| National Bankshares Inc | Trust | Nationwide Health |
| National Beverage Corp | National Technical Systems | Properties Inc. |
| NATIONAL CINEMEDIA INC | Inc | Nationwide International |
| National City Corp | National Vision Holdings Inc | Index |
| National Coal Corp | National Western Life Group | Nationwide International |
| National Commerce Corp | Inc | Small Cap |
| National Commerce Financial | National Westminster Bank | Nationwide Investor |
| NATIONAL DENTEX CORP | PLC | Destinations Aggressive |
| NATIONAL ENERGY GROUP | Nations Asset Allocation | Nationwide Investor |
| National Energy Services | Nations Blue Chip | Destinations Conservative |
| Reunited Corp | Nations CA Muni Bond | Nationwide Investor |
| National Financial Partners | Nations Capital Growth | Destinations Moderate |
| Corp. | Nations Classic Value | Nationwide Investor |
| National Fuel Gas Co | Nations FL Intrmd Muni | Destinations Moderately |
| National General Holdings | Nations Govt Sec | Aggressive |
| Corp | Nations Intrmd Muni | Nationwide Investor |
| National Health Investors Inc. | Nations MMF Govt | Destinations Moderately |
| NATIONAL HEALTH | Nations MMF Prime | Conservative |
| PARTNERS INC | Nations MMF Treasury | Nationwide Loomis Core |
| NATIONAL HEALTH REALTY | Nations SC Intrmd Muni | Bond |
| INC | Nations TX Intrmd Muni | Nationwide Mid Cap Market |
| National Healthcare Corp | NATIONSHALTH INC | Index |

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| | | |
|--|---|--------------------------------------|
| Nationwide NB NVIT Socially Responsible | Nautilus Biotechnology Inc | NB Intrinsic Value |
| Nationwide NVIT Cardinal Moderately Aggressive | Navidea Biopharmaceuticals Inc | NB Large Cap Disciplined Growth |
| Nationwide NVIT ID Aggrsv | NAVIENT CORP | NB Large Cap Growth |
| Nationwide NVIT Mid Cap Gro | Navigant Consulting Inc. | NB Large Cap Value |
| Nationwide NVIT Money Market II | NAVIGANT INTERNATIONAL INC | NB Long Short |
| Nationwide NVIT Real Estate | Navigator Holdings Ltd | NB Ltd Maturity Bond |
| Nationwide NYSE Arca Tech 100 Index | Navigators Group Inc (The) | NB Mid Cap Growth |
| Nationwide Portfolio Completion | Navios Maritime Acquisition Corporation | NB Multi Cap Opportunities |
| Nationwide S&P 500 Index | Navios Maritime Holdings Inc | NB Municipal Money |
| Nationwide Small Cap Index | Navios Maritime Midstream Partners LP | NB NY Muni Money |
| Nationwide Ziegler Eqty Income | Navios Maritime Partners LP | NB Real Estate |
| Natixis Cash Management Trust | NAVISITE INC | NB Strategic Income |
| Natixis Oakmark International | Navitas Semiconductor Corp | NB Sustainable Equity |
| Natixis US Equity Opportunities | NAVTEQ Corp | NB Tax Free Money |
| NATROL INC | Nayax Ltd | NB Treasury |
| Natural Alternatives International Inc | NB Absolute Return Multi-Manager | NB US Equity Index PutWrite Strategy |
| Natural Blue Resources Inc | NB AMT International Port | NB&T Financial Group Inc |
| Natural Gas Services Group Inc. | NB AMT Lehman Short Duration Bond | NBT Bancorp Inc |
| Natural Grocers by Vitamin Cottage Inc | NB AMT Mid Cap Growth Port | NBTY Inc |
| Natural Health Farm Holdings Inc | NB AMT Partners Port | NC CMT Government |
| Natural Health Trends Corp | NB AMT Regency Port | NC CMT Term Portfolio |
| Natural Resource Partners LP | NB AMT Sustainable Equity Pt | NC Muni Cash Trust |
| Natural Resources USA Corp | NB Cash Reserves | NCI Inc |
| NATURALNANO INC | NB Core Bond | nCino Inc |
| Natuzzi SPA | NB Emerging Markets Equity | NCO GROUP INC |
| NatWest Group plc | NB Equity Income | Nco Portfolio Management Inc |
| Naugatuck Valley Financial Corporation | NB Fasciano | nCoat Inc |
| Nautica Enterprises Inc | NB Floating Rate Income | NCR Corp |
| Nauticus Robotics Inc | NB Focus | NCRIC GROUP INC |
| | NB Genesis | NCS Multistage Holdings Inc |
| | NB Govt Money | Nct Group Inc |
| | NB High Income Bond | NDCHEALTH CORPORATION |
| | NB Institutional Cash | NDS GROUP PLC |
| | NB Institutional Cash Trust | Neah Power Systems Inc |
| | NB International | Near Intelligence Inc |
| | NB International Equity | NEC CORP |
| | | Needham Growth |
| | | Neenah Inc |
| | | Neff Corp |
| | | Neffs Bancorp Inc/PA |
| | | NEIGHBORCARE INC |

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| | | |
|-----------------------------------|---|---|
| NEIMAN-MARCUS GROUP INC | Netguru.Com Inc | NeurogesX Inc |
| Nektar Therapeutics | NETIQ CORP | Neuro-Hitech Inc |
| Nelnet Inc. | Netlist Inc | Neurologix Inc |
| NELSON (THOMAS) INC | NetLogic Microsystems Inc | NEUROMetrix Inc |
| Nemaura Medical Inc | NetManage Inc | Neuronetics Inc |
| NEOFORMA INC | NETOPIA INC | Neuropace Inc |
| Neogen Corp | NETRATINGS INC | NeuStar Inc |
| Neogenomics Inc | Netro Corp | Neutralstem Inc |
| Neoleukin Therapeutics Inc | NetScout Systems Inc | NEVADA CHEMICALS INC |
| NEOMAGIC CORP | Netscreen Technologies Inc | NEVADA GEOTHERMAL POWER INC |
| NEOMEDIA TECHNOLOGIES INC | NETSMART TECHNOLOGIES INC | Nevada Gold & Casinos Inc. |
| NEON COMMUNICATIONS GROUP INC. | NETSOL TECHNOLOGIES INC | Nevro Corp |
| NEON SYSTEMS INC | Netsolve Inc | NEVSUN RESOURCES LTD |
| Neon Therapeutics Inc | NetSpend Holdings Inc | New Alternatives Fund Inc |
| NEOPHARM INC | NETSTREIT Corp | NEW BRUNSWICK SCIENTIFIC INC |
| NeoPhotonics Corp | NetSuite Inc | NEW CENTURY ENERGY CORP |
| Neos Therapeutics Inc | NETWORK CN INC | New Covenant Balanced Growth |
| NEOSE TECHNOLOGIES INC | Network Engines Inc | New Covenant Growth |
| Neothetics Inc | Network Equipment Technologies Inc. | New Covenant Income |
| Neovasc Inc | Network-1 Technologies Inc | NEW DRAGON ASIA CORP |
| NeoVolta Inc | NeuBase Therapeutics Inc | New Energy Systems Group |
| NEOWARE INC | Neuberger Berman Closed- End Real Estate Securities Income Fund I | NEW ENGLAND BANCSHARES INC |
| Nephros Inc. | Neuberger Berman Inc | New England Business Svc Inc |
| Neptune Wellness Solution Inc | Neuberger Berman Income Opportunity Fund Inc | New England Realty Associates LP |
| NerdWallet Inc | Neuberger Berman Intermediate Municipal Fund Inc | New Focus Inc |
| Nerdy Inc. | Neuberger Berman MLP Income Fund Inc | New Fortress Energy Inc |
| NES RENTALS HOLDINGS INC | NEUBERGER BERMAN PRIME MONEY FUND | New Found Gold Corp |
| Ness Technologies Inc | Neuberger Berman Realty Income Fund | New Frontier Health Corp |
| NESTOR INC | Neurobiological Technologies Inc | New Frontier Media Inc |
| Net Element Inc | NEUROCRINE BIOSCIENCES INC | New Generation Biofuels Holdings Inc |
| Net Element, Inc. | NeuroDerm Ltd | New Germany Fund Inc. (The) |
| NET SERVICOS COMUNICACAO SA | NEUROGEN CORP | New Gold Inc |
| Net2phone Inc | | New Home Co Inc (The) |
| NetApp Inc | | NEW HORIZONS WORLDWIDE INC |
| Netease Inc | | |
| Netegrity Inc | | |
| Netezza Corp | | |
| Netflix Inc | | |
| NETGEAR Inc | | |

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| | | |
|--|---|--|
| NEW JERSEY RESOURCES CORP | NewLead Holdings Ltd | NEXTPLAY TECHNOLOGIES INC |
| New Media Lottery Services | Newmark Group Inc | NEXTWAVE WIRELESS INC |
| New Media Lottery Services Inc | NewMarket Corp | NF Energy Saving Corp |
| New Motion Inc | NEWMARKET TECHNOLOGY INC | NGAS RESOURCES INC |
| New Mountain Finance Corp | NEWMIL BANCORP INC | NGL Energy Partners LP |
| New Oriental Education & Technology Group Inc | Newmont Corporation | NGM Biopharmaceuticals Inc |
| New Oriental Energy & Chemical Corp | Newpark Resources Inc. | NGP Capital Resources |
| New Perspective Fund Inc | Newport Bancorp Inc | NGP Capital Resources Co |
| New Plan Excel Realty Trust Inc. | Newport Corp | NI Holdings Inc |
| New Relic Inc | News Corp | NIC Inc |
| NEW RIVER PHARMA INC | NewStar Financial Inc | NICE Ltd |
| New Senior Investment Group | NewtekOne Inc | Nicholas Equity Income |
| New Source Energy Partners LP | Nexa Resources SA | Nicholas Financial Inc |
| NEW VALLEY CORP | NEXCEN BRANDS INC | Nicholas Fund |
| New World Fund Inc | Nexen Inc. | Nicholas II |
| New York Community Bancorp Inc. | Nexeo Solutions Inc | Nicholas Limited Edition |
| NEW YORK HEALTH CARE INC | NexGen Energy Ltd | Nicholas-Applegate International & Premium Strategy Fund |
| New York Mortgage Trust Inc | Nexgen Petroleum Corp | Nicolet Bankshares Inc |
| New York REIT Liquidating LLC | Nexien BioPharma Inc | Nicor Inc. |
| NewAge Inc | Neximmune Inc | Nidec Corp |
| NewAlliance Bancshares Inc | Nexity Financial Corp | Nielsen Holdings plc |
| NewAmsterdam Pharma Co NV | Nexplore Corp | NightHawk Radiology Holdings Inc |
| Newater Technology Inc | NexPoint Diversified Real Estate Trust | Nightstar Therapeutics Limited |
| NewBridge Bancorp | NexPoint Merger Arbitrage | NII Holdings Inc |
| NewCardio Inc | NexPoint Real Estate Finance Inc | NIKE INC |
| Newcourt Acquisition Corp | NexPoint Residential Trust Inc | Nikola Corp |
| Newell Brands Inc | Nexstar Media Group Inc. | NIKU CORP |
| NEWFIELD EXPLORATION CO | Next Meats Holdings Inc | Nile Therapeutics Inc |
| NEWGEN TECHNOLOGIES INC | NextCure Inc | Nimble Storage Inc |
| Newhall Land &Farm -Lp | NextDecade Corp. | NiMin Energy Corp |
| NewHold Investment Corp II | Nextdoor Holdings Inc | Nine Energy Service Inc |
| NEWKIRK REALTY TRUST INC | NXTEL COMMUNICATIONS | Ninetowns Internet Technology Group Co Ltd |
| NewLake Capital Partners Inc | NXTEL PARTNERS INC | NIO Inc |
| | NextEra Energy Inc | Niocorp Developments Ltd |
| | NextEra Energy Partners LP | Nippon Telegraph & Telephone Corp Ntt |
| | Nexters Inc | Niska Gas Storage Partners LLC |
| | NEXTEST SYSTEMS CORP | |
| | NextGen Healthcare Inc | |
| | NexTier Oilfield Solutions Inc | |
| | NextNav Inc | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|-----------------------------|-------------------------------|-------------------------------|
| NiSource Inc | Norsat International Inc | Northern Active M |
| NiSun International | NORSK HYDRO ASA | International Equity |
| Enterprise Development | Norstan Inc | Northern Active M US Equity |
| Group Co Ltd | Nortek Inc | Northern Bond Index |
| NITCHES INC | NORTEL INVERSORA SA | Northern Ca Bancorp Inc |
| Nitro Petroleum Inc | Nortel Networks Corp | Northern CA Intrmd TxEx |
| NitroMed Inc | North American Construction | Northern CA Muni MM |
| NJ Muni Cash Trust | Group Ltd | Northern Dynasty Minerals |
| Nkarta Inc | North American Gavlanizing | Ltd |
| NL Industries Inc. | & Coatings Inc. | Northern Emerging Markets |
| NMI Holdings Inc | North American Palladium | Equity Index |
| NMT Medical Inc | Ltd. | NORTHERN EMPIRE |
| NN Inc | North American Scientific Inc | BANCSHARE |
| Noah Education Holdings Ltd | North American | Northern Fixed Income |
| Nobel Learning Communities | Technologies Group Inc | Northern Global Real Estate |
| Inc | North Asia Investment Corp | Index |
| Nobility Homes Inc | North Atlantic Drilling Ltd | Northern Global |
| Noble Corporation Plc | NORTH BAY BANCORP/CA | Sustainability Index |
| Noble Energy Inc | NORTH CENTRAL | Northern Growth Equity |
| Noble Innovations Inc | BANCSHARES INC | Northern High Yield Fixed Inc |
| Noble Midstream Partners LP | North Coast Energy Inc | Northern High Yield Muni |
| Noble Romans Inc. | North European Oil Royalty | Northern Income Equity |
| NOCOPI TECHNOLOGIES INC | Trust | Northern Institutional |
| NOFIRE TECHNOLOGIES INC | NORTH FORK | Government Assets |
| Nogin Inc | BANCORPORATION | Northern Institutional MM |
| Nokia OYJ | North Mountain Merger | Liquid Assets |
| NOLAND CO | Corp | Northern Institutional |
| Nomad Foods Ltd | NORTH PITTSBURGH | Municipal |
| Nomad Royalty Company Ltd | SYSTEMS | Northern Institutional Prime |
| Nomura Holdings Inc | North Pointe Holdings Corp | Obligations |
| Non Invasive Monitor | North Shore Acquisition Corp | Northern Institutional |
| Noodles & Co | NORTH STATE BANCORP/NC | Treasury |
| Noranda Aluminum Holding | North Valley Bancorp | Northern Institutional US |
| Corp | NORTHCORE TECHNOLOGIES | Government |
| Norbord Inc | INC | Northern Institutional US |
| Norcraft Companies Inc | Northeast Bancorp | Government Select |
| Nord Anglia Education Inc | Northeast Community | Northern Instl Bond |
| Nord Resources Corp | Bancorp Inc | Northern Instl Equity Index |
| Nordic American Offshore | NORTHEAST INDIANA | Northern Instl MM TxEx |
| Ltd | BANCORP | Northern International |
| Nordic American Tankers Ltd | Northeast Investors Trust | Equity |
| Nordion Inc | Northeast Pa Finl Corp | Northern International |
| Nordson Corp | Northern Active M Emerging | Equity Index |
| Norfolk Southern Corp | Markets Equity | Northern Intrmd TxEx |

Public Company Accounting Oversight Board
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| | | |
|---|---------------------------------------|--------------------------------|
| Northern Large Cap Value | Northern US Govt MM | Novan Inc |
| Northern Limited Term Tax Exempt | Northern US Govt Select MM | Novanta Inc |
| Northern Mid Cap Growth | Northfield Bancorp Inc | Novartis AG |
| Northern Mid Cap Index | NORTHFIELD LABORATORIES INC | Novastar Financial Inc. |
| Northern Money Market | Northgate Minerals Corp | NOVATEL INC |
| Northern Multi Manager Global Listed Infrastructure | NORTHLAND CRANBERRIES - CL A | Novavax Inc |
| Northern Multi Manager Global Real Estate | Northrim BanCorp Inc | Novel Denim Holdings Ltd |
| Northern Multi Manager High Yield Opportunity | Northrop Grumman Corp | Novelion Therapeutics Inc |
| Northern Multi Manager Large Cap | NorthStar Healthcare Income Inc | NOVELIS INC |
| Northern Multi Manager Mid Cap | NORTHSTAR NEUROSCIENCE INC | Novell Inc. |
| Northern Multi Manager Small Cap | NorthStar Realty Finance Corp | Novellus Systems Inc. |
| Northern Muni Money Market | NORTHWAY FINANCIAL INC | NOVELOS THERAPEUTICS INC |
| Northern Oil and Gas Inc | Northwest Airlines Corp | NOVEN PHARMACEUTICALS INC |
| NORTHERN ORION RESOURCES INC | NORTHWEST BANCORPORATION INC | Novint Technologies Inc |
| Northern Select Equity | Northwest Bancshares Inc | Novo Integrated Sciences Inc |
| Northern Short Bond | Northwest Natural Holding Co | Novo Nordisk A/S |
| Northern Short Intrm US Govt | Northwest Pipe Co | NovoCure Ltd |
| Northern Small Cap Core | NorthWestern Corp | Novogen Ltd |
| Northern Small Cap Index | Northwestern Mut Asset Alloc | Novoste Corp |
| Northern Small Cap Value | Northwestern Mut Government Money Mkt | NOW Inc |
| Northern States Financial Corp | Nortia Capital Partners Inc | NPS PHARMACEUTICALS INC |
| Northern Stock Index | Norwegian Cruise Line Holdings Ltd | NRG Energy Inc |
| Northern Tax Advantaged Ultra Short Fixed Income | Norwood Financial Corp. | NRX Pharmaceuticals Inc |
| Northern Technologies International Corp | NOV Inc | NS GROUP INC |
| Northern Technology | Nova Chemicals Corp | NS8 CORP |
| Northern Tier Energy LP | Nova Ltd | Nsd Bancorp Inc |
| Northern Trust Corp | NovaBay Pharmaceuticals Inc | NSTAR |
| Northern TxEx | NOVACEA INC | NSTOR TECHNOLOGIES INC |
| Northern Ultra Short Fixed Income | Novadaq Technologies Inc | NTR Acquisition Co |
| Northern US Govt | NOVADEL PHARMA INC | NTS Realty Holdings LP |
| | Novadigm Inc | NTT DOCOMO INC |
| | NovaGold Resources Inc | Nu Holdings Ltd |
| | NovaMed Inc. | Nu Horizons Electronics Corp. |
| | NOVAMERICAN STEEL INC | Nu Skin Enterprises Inc. |
| | | NUANCE COMMUNICATIONS INC |
| | | Nuance Concentrated Value |
| | | Nuance Mid Cap Value |
| | | Nubia Brand International Corp |
| | | Nuburu Inc |
| | | NuCana Plc |

Public Company Accounting Oversight Board
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| | | |
|--|---|---|
| Nucentrix Broadband Networks | Nuveen California Dividend Advantage Municipal Fund 3 | Nuveen ESG Large-Cap Value ETF |
| NUCLEAR SOLUTIONS INC | Nuveen California Quality Income Municipal Fund Inc. | Nuveen ESG Small-Cap ETF |
| NuCO2 Inc | Nuveen California Quality Municipal Income Fund | Nuveen FI Inv Qual Muni |
| Nucor Corp | Nuveen California Select Quality Municipal Fund Inc. | Nuveen FL Preference Muni Bd |
| NUCRYST Pharmaceuticals Corp | Nuveen CO Muni Bd | Nuveen Flexible Income |
| Nuevo Energy Co | Nuveen Core Equity Alpha Fund | Nuveen Floating Rate Income |
| Nui Corp | Nuveen Core Plus Bond | Nuveen Floating Rate Income Fund |
| Numerex Corp. | Nuveen Core Plus Impact Fund | Nuveen Floating Rate Income Opportunity Fund |
| NuPathe Inc | Nuveen Credit Strategies Income Fund | Nuveen Global High Income Fund |
| Nurix Therapeutics Inc | Nuveen CT Municipal Bond | Nuveen Global Infrastructure |
| NuRx Pharmaceuticals Inc | Nuveen Diversified Currency Opportunities Fund | Nuveen Global Value Opportunities Fund |
| NuScale Power Corp | Nuveen Diversified Dividend and Income Fund | Nuveen Growth Opportunities ETF |
| NuStar Energy LP | Nuveen Dividend Advantage Municipal Fund 2 | Nuveen High Income Bond |
| NuStar GP Holdings LLC | Nuveen Dividend Growth | Nuveen High Income November 2021 Target Term Fund |
| Nutanix Inc | Nuveen Dividend Value | Nuveen High Yield Income |
| Nutex Health Inc | Nuveen Dow 30SM Dynamic Overwrite Fund | Nuveen High Yield Municipal Bond |
| NUTRA PHARMA CORP | Nuveen Dynamic Municipal Opportunities Fund | Nuveen Inflation Protected Sec |
| Nutraceutical International Corp | Nuveen Energy MLP Total Return Fund | Nuveen Intermediate Bond |
| Nutrastar International Inc | Nuveen Enhanced Municipal Value Fund | Nuveen Intermediate Duration Municipal Term Fund |
| Nutrien Ltd | Nuveen Equity Index | Nuveen International |
| NutriSystem Inc | Nuveen Equity Market Neutral | Nuveen International Growth |
| NUTRITION 21 INC | Nuveen Equity Premium Advantage Fund | Nuveen International Select |
| Nuvalent Inc | Nuveen Equity Premium Opportunity Fund | Nuveen Intrmd Duration Muni Bd |
| NuVasive Inc | Nuveen Equity Premium & Growth Fund | Nuveen Intrmd TxFr |
| Nuvation Bio Inc | Nuveen ESG Large-Cap Growth ETF | Nuveen Investment Quality Municipal Fund Inc. |
| Nuvectis Pharma Inc | | NUVEEN INVESTMENTS -CL A |
| Nuveen All American Municipal Bond | | Nuveen KY Muni Bd |
| Nuveen AMT-Free Municipal Credit Income Fund | | Nuveen Large Cap Core |
| Nuveen AMT-Free Quality Municipal Income Fund | | |
| Nuveen CA High Yield Municipal Bond | | |
| Nuveen CA Insured Muni Bond | | |
| Nuveen CA Muni Bond | | |
| Nuveen California AMT-Free Quality Municipal Income Fund | | |

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| Nuveen Large Cap Gro Opport | Nuveen New York Quality Income Municipal Fund Inc. | Nuveen Quality Municipal Fund Inc |
| Nuveen Large Cap Value | Nuveen New York Select Quality Municipal Fund Inc. | Nuveen Quality Municipal Income Fund |
| Nuveen Ltd Muni Bd | Nuveen NJ Municipal Bond | Nuveen Quality Preferred Income Fund |
| Nuveen MA Muni Bond | Nuveen NWQ Large Cap Value | Nuveen Quality Preferred Income Fund 3 |
| Nuveen MI Muni Bd | Nuveen NWQ Multi Cap Value | Nuveen Real Asset Income |
| Nuveen Mid Cap Growth Opport | Nuveen NWQ Small-Cap Value | Nuveen Real Estate Income Fund |
| Nuveen Mid Cap Index | Nuveen NY Insured Muni Bond | Nuveen Real Estate Sec |
| Nuveen Mid Cap Value | Nuveen Ny Inv Qual Muni | Nuveen Rittenhouse Growth |
| Nuveen MN Muni Bond | Nuveen NY Municipal Bond | Nuveen S&P 500 Buy-Write Income Fund |
| Nuveen MO Muni Bd | Nuveen OH Muni Bd | Nuveen Select Quality Municipal Fund Inc. |
| Nuveen Mortgage Opportunity Term Fund | Nuveen PA Muni Bd | Nuveen Senior Income Fd |
| Nuveen Multi Manager Large Cap Value | Nuveen Pennsylvania Quality Municipal Income Fund | Nuveen Short Duration High Yield Municipal Bond |
| Nuveen Muni Bond | Nuveen Performance Plus Municipal Fund Inc. | Nuveen Short Municipal Bond |
| Nuveen Municipal Advantage Fund Inc. | Nuveen Preferred and Income Term Fund | Nuveen Short Term Bond |
| Nuveen Municipal Credit Income Fund | Nuveen Preferred Income Opportunities Fund | Nuveen Small Cap Select |
| Nuveen Municipal Credit Opportunities Fund | Nuveen Preferred Securities and Income | Nuveen Small Cap Value |
| Nuveen Municipal High Income Opportunity Fund | Nuveen Preferred Securities Income Fund | Nuveen Strategic Income |
| Nuveen Municipal Market Opportunity Fund Inc. | Nuveen Premier Insured Municipal Income Fund Inc. | Nuveen Strategic Municipal Opportunities |
| Nuveen Municipal Opportunity Fund Inc | Nuveen Premier Municipal Income Fund Inc. | Nuveen Strategy Balanced Alloc |
| Nuveen Municipal Total Return Managed Accounts | Nuveen Premium Income Municipal Fund 2 Inc. | Nuveen Taxable Municipal Income Fund |
| Nuveen Municipal Value Fund Inc | Nuveen Premium Income Municipal Fund 4 Inc. | Nuveen Tax-Advantaged Dividend Growth Fund |
| Nuveen Nasdaq 100 Dynamic Overwrite Fund | Nuveen Premium Income Municipal Fund Inc. | Nuveen Tax-Advantaged Total Return Strategy Fund |
| Nuveen NC Muni Bd | Nuveen Premium Income Municipal Opportunity Fund | Nuveen TN Muni Bd |
| Nuveen New Jersey Investment Quality Municipal Fund Inc. | Nuveen Quality Income Municipal Fund Inc. | Nuveen Tradewinds Global All Cap |
| Nuveen New Jersey Quality Municipal Income Fund | | Nuveen Tradewinds Intl Value |
| Nuveen New York AMT-Free Quality Municipal Income Fund | | Nuveen Tradewinds Value Opportunities |
| | | Nuveen TxFr |

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|---|--|----------------------------------|
| Nuveen VA Muni Bd | NVIT BNY Mellon Core Plus Bond | NVIT Multi Manager Small Cap Val |
| Nuveen Various Rate Preferred & Income Fund | NVIT BNY Mellon Dynamic US Core | NVIT Multi Manager Small Company |
| Nuveen Winslow Large Cap Growth ESG | NVIT BNY Mellon Dynamic US Equity Income | NVIT S&P 500 Index |
| Nuvei Corp | NVIT Bond Index | NVR Inc. |
| Nuvelo Inc | NVIT Core Bond | NWH INC |
| Nuvera Communications Inc | NVIT Emerging Mkt | NXP Semiconductors NV |
| Nuverra Environmental Solutions Inc | NVIT Government Money Market | NxStage Medical Inc |
| Nuvve Holding Corp | NVIT Govt Bond | NXT Energy Solutions Inc |
| Nuzee Inc | NVIT International Index | Nxt-ID Inc |
| NV Energy Inc | NVIT Investor Destinations Balanced | NyFIX Inc |
| NV5 Global Inc | NVIT Investor Destinations Capital Appreciation | NYLIM Instl Prime Cash |
| NVE Corp | NVIT Investor Destinations Consvr | NYMAGIC INC. |
| nVent Electric plc | NVIT Investor Destinations Managed Growth | NYMEX HOLDINGS INC |
| NVIDIA Corporation | NVIT Investor Destinations Managed Growth & Income | Nymox Pharmaceutical Corp |
| NVIT AllianzGI International Growth | NVIT Investor Destinations Mod Aggrsv | NYSE Euronext |
| NVIT Allspring Discovery | NVIT Investor Destinations Moderate | O I Glass Inc |
| NVIT Amer Funds Asset Allocation | NVIT Jacobs Levy Large Cap Growth | O. I. Corp |
| NVIT Amer Funds Bond | NVIT Loomis Short Term Bond | O2Diesel Corp |
| NVIT Amer Funds Global Growth | NVIT Managed American Funds Asset Allocation | O2Micro International Ltd |
| NVIT Amer Funds Growth | NVIT Managed American Funds Growth Income | Oak Financial Corp |
| NVIT Amer Funds Growth Income | NVIT Mid Cap Index | OAK HILL FINANCIAL INC |
| NVIT AQR Large Cap Defensive Style | NVIT Multi Manager International Value | Oak Ridge Small Cap Growth |
| NVIT BlackRock Equity Dividend | NVIT Multi Manager Large Cap Value | Oak Street Health Inc |
| NVIT Blueprint Balanced | NVIT Multi Manager Mid Cap Value | Oak Technology Inc |
| NVIT Blueprint Capital Appreciation | | Oak Valley Bancorp |
| NVIT Blueprint Conservative | | Oak Value |
| NVIT Blueprint Managed Growth | | OAKLEY INC |
| NVIT Blueprint Managed Growth & Income | | Oakmark Equity & Income |
| NVIT Blueprint Moderate | | Oakmark Fund |
| NVIT Blueprint Moderately Conservative | | Oakmark Global |
| | | Oakmark Global Select |
| | | Oakmark International |
| | | Oakmark International Sml Cap |
| | | Oakmark Select |
| | | Oakmark Small Cap |
| | | OAKRIDGE ENERGY INC |
| | | Oaktree Capital Group LLC |
| | | Oaktree Specialty Lending Corp |
| | | OAQ TATNEFT |

Public Company Accounting Oversight Board
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|---|---|---|
| <p> OAO TECHNOLOGY SOLUTIONS INC Oasis Midstream Partners LP OASYS MOBILE INC OBA Financial Services Inc Obagi Medical Products Inc Obalon Therapeutics Inc Oberweis China Opportunities Oberweis International Opportunities Oberweis International Opportunities Institutional Oblong Inc ObsEva SA Obsidian Energy Ltd OCA INC Ocata Therapeutics Inc Occam Networks Inc Occidental Petroleum Corp Ocean Bio-Chem Inc Ocean Power Technologies Inc Ocean Rig UDW Inc Ocean Shore Holding Co Oceanaut Inc Oceaneering International Inc. OceanFirst Financial Corp. OceanFreight Inc Ocera Therapeutics, Inc. O'Charley's Inc OCI Partners LP Oclaro Inc Ocone Federal Financial Corp Ocugen Inc Ocular Sciences Inc Ocular Therapeutix Inc Oculis Holding AG Oculus Innovative Sciences Inc OCUPHIRE PHARMA INC Ocwen Financial Corp </p> | <p> Odd Job Stores Inc ODIMO INC Odonate Therapeutics Inc Odyssey Healthcare Inc Odyssey Marine Exploration Inc. ODYSSEY OIL & GAS INC Odyssey Re Holdings Corp. Offerpad Solutions Inc. Office Properties Income Trust Officemax Inc Official Payments Holdings Inc OFG Bancorp OGE ENERGY CORP OGLEBAY NORTON CO OH Muni Cash Trust OHIO CASUALTY CORP Ohio Legacy Corp Ohio Natl Balanced Ohio Natl Equity Portfolio Ohio Natl Growth Model Ohio Natl Money Market Ohio Natl Strategic Value Ohio Valley Banc Corp. Oi SA Oil States International Inc Oil-Dri Corp of America Oiltanking Partners LP OKTA INC Olaplex Holdings Inc Old Dominion Freight Line Inc Old Line Bancshares Inc Old Mutual/Claymore Long- Short Fund Old National Bancorp Old Point Financial Corp Old Republic International Corp Old Second Bancorp Inc Old Westbury All Cap Core Old Westbury Credit Income </p> | <p> Old Westbury Fixed Income Old Westbury Large Cap Strategies Old Westbury Mid Cap Equity Old Westbury Multi-Asset Opportunities Old Westbury Municipal Bond Old Westbury NY Municipal Bond Old Westbury Real Return Old Westbury Small & Mid Cap Strategies Olema Pharmaceuticals Inc Olin Corp Ollie's Bargain Outlet Holdings Inc Olo Inc Olstein All Cap Value Olympic Steel Inc OM Analytic OM Group Inc OM Growth OM Mid Cap OM TS&W Mid Cap Value Omagine Inc Omega Alpha Spac Omega Financial Corp Omega Flex Inc Omega Healthcare Investors Inc. OMEGA PROTEIN CORP Omega Therapeutics Inc Omeros Corp OMI CORP Ominto Inc Omni Bio Pharmaceutical Inc OMNI Energy Services Corp. Omni Financial Services Inc Omni Ventures Inc OmniAb Inc Omniamerican Bancorp Inc Omnicare Inc. Omnicell Inc </p> |
|---|---|---|

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|--|--|--|
| Omnicom Group Inc | One Bio Corp | OPEN SOLUTIONS INC |
| Omnicom Systems Inc | One Equity Partners Open | OPEN TEXT CORP |
| OmniLit Acquisition Corp | Water I Corp | Opendoor Technologies Inc |
| Omniture Inc | ONE Gas Inc | OpenTable Inc |
| OMNIVISION TECHNOLOGIES INC | ONE Group Hospitality Inc (The) | OpenTV Corp |
| OMNOVA Solutions Inc. | ONE Grp Balanced | Opera Ltd |
| Omphalos Corp | ONE Grp Diversified Equity | OPEXA THERAPEUTICS INC |
| Omrix Biopharmaceuticals Inc | ONE Grp Diversified Intl | OpGen Inc |
| OMTOOL LTD | ONE Grp Instl Prime MM | OphthaliX Inc |
| ON Balanced Model Portfolio | ONE Grp Intrmd TxFr Bond | Ophthalmic Imaging Systems Inc |
| ON BlackRock Advantage International Equity | ONE Grp Treas Only MM | Opiant Pharmaceuticals Inc |
| ON BlackRock Balanced Allocation Portfolio | One Grp US Govt Sec MM | OPINION RESEARCH CORP |
| On Command Corp | One Liberty Properties Inc. | Opko Health Inc |
| On Deck Capital Inc | One Stop Systems Inc | OPLINK COMMUNICATIONS INC |
| ON Federated Core Plus Bond Portfolio | OneAmerica Asset Director | OPNET Technologies Inc |
| ON Moderate Growth Model Portfolio | OneAmerica Value | Opnext Inc |
| ON Risk Managed Balanced Portfolio | OneBeacon Insurance Group Ltd | Oportun Financial Corp |
| ON S&P 500 Index Portfolio | Oneida Financial Corp. | Opower Inc |
| ON Semiconductor Corp | ONEIDA LTD | Oppenheimer Balanced |
| On Technology Corp | OneMain Holdings Inc | Oppenheimer Balanced/Va |
| On Track Innovations Ltd | ONEOK Inc | Oppenheimer Cap Preservation |
| ON US Low Volatility Portfolio | ONEOK Partners LP | Oppenheimer Champion Income |
| On2 Technologies Inc. | OneScreen Inc | Oppenheimer Commodity Strategy Total Return |
| ON24 Inc | ONESOURCE INFORMATION SVCS | Oppenheimer Convertible Sec |
| OncoCyte Corp | OneSpan Inc | Oppenheimer Core Bond/Va |
| OncoGenex Pharmaceuticals Inc | OneWater Marine Inc | Oppenheimer Equity |
| Oncolytics Biotech Inc | Online Resources Corp | Oppenheimer Growth |
| OncoMed Pharmaceuticals Inc | Online Vacation Center Holdings Inc | Oppenheimer High Income/Va |
| Onconova Therapeutics Inc | ONSTREAM MEDIA CORP | Oppenheimer High Yield |
| Oncorus Inc | Ontrak Inc | Oppenheimer Holdings Inc |
| OncoSec Medical Inc | Onvia Inc | Oppenheimer Institutional Government Money Market |
| OncoVista Innovative Therapies Inc | Onyx Acceptance Corp | Oppenheimer Mid Cap |
| Oncternal Therapeutics Inc | ONYX PHARMACEUTICALS INC | Oppenheimer PrinProt Main St |
| | ONYX SOFTWARE CORP | Oppenheimer PrinProt MainSt2 |
| | Ooma Inc | |
| | OP Bancorp | |
| | OpCap Small Cap | |
| | OPEN ENERGY CORP | |
| | Open Lending Corp | |

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|---------------------------------------|--|--|
| Oppenheimer Rochester NJ Municipal | Orbital ATK Inc | Ormat Technologies Inc |
| Oppenheimer Select Value | Orbital Sciences Corp | Oro East Mining Inc |
| Oppenheimer Ultra Short Duration | Orbitz Worldwide Inc | Oromin Explorations Ltd |
| OPSWARE INC | Orbotech Ltd | ORPHAN MEDICAL INC |
| OPTELECOM-NKF INC | Orchard Enterprises Inc | Orrstown Financial Services Inc |
| Optex Systems Holdings Inc | Orchard Supply Hardware Stores Corp | Orsus Xelent Technologies Inc |
| Opthea Ltd | Orchard Therapeutics plc | Ortho Clinical Diagnostics Holdings plc |
| OPTi Inc | Orchestra BioMed Holdings Inc | Orthofix Medical Inc |
| OPTIBASE LTD | Orchid Cellmark Inc | ORTHOVITA INC |
| OPTICAL CABLE CORP | Orchid Island Capital Inc | Osage Bancshares Inc |
| OPTICAL COMMUNICATION PRODS | Orchids Paper Products Co | OSCIENT PHARMACEUTICALS CORP |
| OPTIGENEX INC | Orckit Communications Ltd | OSG America LP |
| Optimal Group Inc | Ore Pharmaceuticals Inc | OSHKOSH B'GOSH INC -CL A |
| Optimer Pharmaceuticals Inc | OREGON PACIFIC BANCORP | Oshkosh Corp |
| OptimizeRX Corp | OREGON STEEL MILLS INC | OSI PHARMACEUTICALS INC |
| Optimum Fixed Income | Oregon Trail Financial Corp | OSI RESTAURANT PARTNERS INC |
| Optimum International | O'Reilly Automotive Inc | OSI Systems Inc |
| Optimum Large Cap Growth | Orexigen Therapeutics Inc | Osiris Therapeutics Inc |
| Optimum Large Cap Value | Orezone Resources Inc | Osisko Development Corp |
| Optimum Small Mid Cap Growth | Organic To Go Food Corp | Osisko Gold Royalties Ltd |
| Optimum Small Mid Cap Value | OrganiGram Holdings Inc | OSTEOLOGIX INC |
| OPTIMUMBANK HOLDINGS INC | Organon & Co | Osteotech Inc |
| Optinose Inc | Organovo Holdings Inc | Osterweis Fund |
| OPTIO SOFTWARE INC | ORIC Pharmaceuticals Inc | Otelco Inc |
| Option Care Health, Inc | Orient Paper Inc | Otis Worldwide Corp |
| OPTION CARE INC | Oriental Culture Holding LTD | Otonomo Technologies Ltd |
| optionsXpress Holdings Inc | ORIGEN FINANCIAL INC | Otonomy Inc |
| OPTIUM CORP | Origin Agritech Ltd | OTR Acquisition Corp |
| ORACLE CORP | Origin Bancorp Inc | Otter Tail Corp |
| Oracle Healthcare Acquisition Corp | Origin Materials, Inc. | Ouster Inc |
| ORAGENICS INC | OriginOil Inc | Outbrain Inc |
| ORANGE | Orion Acquisition Corp | Outdoor Channel Holdings Inc |
| ORANGE 21 INC | Orion Biotech Opportunities Corp | Outerwall Inc |
| Orange County Bancorp Inc | Orion Energy Systems Inc | Outfront Media Inc |
| OraSure Technologies Inc | Orion Engineered Carbons SA | OUTLOOK GROUP CORP |
| ORBCOMM Inc | Orion Group Holdings Inc | Outlook Therapeutics Inc |
| Orbit International Corp | Orion Office REIT Inc | Outset Medical Inc |
| | Oritani Financial Corp | Overhill Farms Inc |
| | ORIX CORP | |
| | Orla Mining Ltd | |
| | Orleans Homebuilders Inc. | |

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| | | |
|------------------------------|------------------------------|--------------------------------|
| OVERLAND STORAGE INC | Pace Mortgage-Backed | Pacific Funds Core Income |
| OVERNITE CORP | Securities Fixed Income | Pacific Funds Floating Rate |
| Overseas Shipholding Group | Pace Muni Fixed Income | Income |
| Inc. | Pace Sm/Med Co Gro Eqty | Pacific Funds Optimization |
| OVERSTOCK COM INC | Pace Sm/Med Co Val Eqty | Growth |
| Overture Acquisition Corp | PACE Strategic Fixed Income | Pacific Funds Optimization |
| Overture Services Inc | Investments | Moderate |
| Ovid Therapeutics Inc | Pacer Funds Trust - Pacer | Pacific Funds Short Duration |
| Ovintiv Inc | Benchmark Data & | Income |
| Owens & Minor Inc. | Infrastructure Real e | Pacific Funds Strategic |
| Owens Corning | Pacer Global Cash Cows | Income |
| Owl Rock Capital Corp | Dividend ETF | Pacific Green Technologies |
| OWLET, INC. | Pacer International Inc | Inc |
| Oxford Health Plans Inc | Pacer Lunt Large Cap | PACIFIC INTERNET LTD |
| Oxford Immunotec Global | Alternator ETF | Pacific Mercantile Bancorp |
| PLC | Pacer Trendpilot 100 ETF | Pacific Northwest Bancorp |
| Oxford Lane Capital Corp | Pacer Trendpilot US Bond | Pacific Oak Strategic |
| Oxford Resource Partners LP | ETF | Opportunity REIT Inc |
| OXIGENE INC | Pacer Trendpilot US Large | Pacific Premier Bancorp Inc |
| Oyster Point Pharma Inc | Cap ETF | Pacific Rim Mining Corp. |
| Ozon Holdings PLC | Pacer Trendpilot US Mid Cap | Pacific Sel American Asset |
| Ozop Energy Solutions Inc | ETF | Allocation |
| P & F Industries Inc | Pacer US Cash Cows 100 ETF | Pacific Sel American Growth |
| P.A.M. Transportation | Pacer US Small Cap Cash | Pacific Sel American Growth- |
| Services Inc | Cows 100 ETF | Income |
| P.F. Changs China Bistro Inc | Pacific Biosciences of | Pacific Sel CapG Sml Cap Eqty |
| P10 Inc | California Inc | Pacific Sel Cash Management |
| PA Muni Cash Trust | Pacific Booker Minerals Inc | Pacific Sel Equity |
| PAA Natural Gas Storage LP | Pacific Cap Cash Assets | Pacific Sel Inflation Strategy |
| PAB Bankshares Inc. | Pacific Cap Growth Stock | Pacific Sel MFS Capital |
| PACCAR INC | Pacific Cap Hi Grade Core | Opport |
| Pace Alternative Strategies | Fixed | Pacific Sel Multi Strategy |
| Investments | Pacific Cap Small Cap | Pacific Sel Precious Metals |
| Pace Global Fixed Income | Pacific Cap TxFr Csh Asst Tr | Pacific Sel Tactical |
| Pace Holdings Corp | Pacific Cap TxFr Sec | International |
| PACE International Equity | Pacific Cap US Gv Csh Asst | Pacific Sel Tactical Strategy |
| Investments | Pacific Capital Bancorp | Pacific Select Absolute |
| Pace Intl Emerg Mkts Eq | PACIFIC CMA INC | Return |
| Pace Intrmd Fixed Income | Pacific Continental Corp | Pacific Select Core Income |
| PACE Large Co Growth Equity | Pacific Crest Capital Inc | Pacific Select Currency |
| Investments | Pacific Drilling SA | Strategies |
| PACE Large Co Value Equity | PACIFIC ENERGY PARTNERS - | Pacific Select Diversified |
| Investments | LP | Bond |
| | Pacific Financial Corp | |

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| | | |
|--|---|--------------------------------------|
| Pacific Select Dividend Growth | Pacific Select Managed Bond | Pacificare Health Sys |
| Pacific Select Dynamix Conservative Growth | Pacific Select Mid Cap Equity | Pacira BioSciences Inc |
| Pacific Select Dynamix Growth | Pacific Select Mid Cap Growth | Packaging Corp Of America |
| Pacific Select Dynamix Moderate Growth | Pacific Select Mid Cap Value | PACKAGING DYNAMICS CORP |
| Pacific Select Emerging Markets | Pacific Select PD Aggregate Bond Index | PACKETEER INC |
| Pacific Select Emerging Markets Debt | Pacific Select PD High Yield Bond Market | Pactera Technology International Ltd |
| Pacific Select Equity Index | Pacific Select PD | Pactiv Corp |
| Pacific Select Equity Long/Short | International Large Cap Index | Pactiv Evergreen Inc |
| Pacific Select Floating Rate Income | Pacific Select PD Large Cap Growth Index | PacWest Bancorp |
| Pacific Select Floating Rate Loan | Pacific Select PD Large Cap Value Index | PAC-WEST TELECOMM INC |
| Pacific Select Focused Growth | Pacific Select PD Mid-Cap Index | PAE Inc |
| Pacific Select Global Absolute Return | Pacific Select Portfolio Optimization Aggressive Growth | PAETEC Holding Corp |
| Pacific Select Growth | Pacific Select Portfolio Optimization Conservative | Pagaya Technologies Ltd |
| Pacific Select High Yield Bond | Pacific Select Portfolio Optimization Growth | PagerDuty Inc |
| Pacific Select Inflation Managed | Pacific Select Portfolio Optimization Moderate | PAID INC |
| Pacific Select Intermediate Bond | Pacific Select Portfolio Optimization Moderate Conservative | PAINCARE HOLDINGS INC |
| Pacific Select International Growth | Pacific Select Real Estate | Palantir Technologies Inc |
| Pacific Select International Large Cap | Pacific Select Short Duration Bond | Palatin Technologies Inc. |
| Pacific Select International Small Cap | Pacific Select Small Cap Equity | PALL CORP |
| Pacific Select International Value | Pacific Select Small Cap Growth | PALM INC |
| Pacific Select Large Cap Core | Pacific Select Small Cap Index | Palmer Square Income Plus |
| Pacific Select Large Cap Growth | Pacific Select Small Cap Value | Palmer Square SSI |
| Pacific Select Large Cap Value | Pacific Select Value Advantage | Alternative Income |
| Pacific Select Long/Short Large Cap | Pacific State Bancorp/CA | Palmetto Bancshares Inc |
| | Pacific Sunwear of California Inc | PALMSOURCE INC |
| | | Palo Alto Networks Inc |
| | | Palomar Holdings Inc |
| | | Palomar Medical Technologies Inc |
| | | Pamrapo Bancorp Inc |
| | | Pan American Silver Corp |
| | | PAN PAC RETAIL PPTYS INC |
| | | PANACOS |
| | | PHARMACEUTICALS INC |
| | | Panamsat Corp |
| | | PANAMSAT HOLDING CORP |
| | | Panasonic Corp |
| | | PANAVISION INC |
| | | Panda Ethanol Inc |
| | | Pandion Therapeutics Inc |
| | | Pandora Media Inc |
| | | PANERA BREAD CO |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| PANGAEA LOGISTICS SOLUTIONS, LTD. | Paratek Pharmaceuticals, Inc. | Patriot Coal Corp |
| Panglobal Brands Inc | Pardes Biosciences Inc | Patriot National Bancorp Inc |
| Panorama Va Total Return | PAREXEL INTERNATIONAL CORP | Patriot National Inc |
| Pansoft Co Ltd | Park Aerospace Corp | PATRIOT SCIENTIFIC CORP |
| Pantheon China Acquisition Corp | PARK BANCORP INC | Patriot Transportation Holding Inc |
| Pantry Inc (The) | PARK CITY GROUP INC | Pattern Energy Group Inc |
| Papa John's International Inc | Park Hotels & Resorts Inc | Patterson Cos Inc |
| Papa Murphy's Holdings Inc | Park National Corp | PATTERSON-UTI ENERGY INC |
| PAPERFREE MED SOLUTIONS INC | Park Ohio Holdings Corp. | Paulson Capital Corp. |
| Par Pacific Holdings Inc | Park Sterling Corp | PAVILION BANCORP INC |
| Par Pharmaceutical Companies Inc | Parke Bancorp Inc | PAVmed Inc |
| PAR Technology Corp | Parker Drilling Co | Pax World MM |
| Parabel Inc. | Parker-Hannifin Corp | PAXAR CORP |
| PARADYNE NETWORKS INC | ParkerVision Inc | Paya Holdings Inc |
| Paragon 28 Inc | PARKVALE FINANCIAL CORP | Paychex Inc. |
| Paragon Commercial Corp | Parkway Inc | Paycom Software Inc |
| Paragon Offshore Plc | Parkway Properties Inc. | Paycor HCM Inc |
| Paragon Shipping Inc | PARLEX CORP | Payden Absolute Return Bond |
| PARAGON TECHNOLOGIES INC | Parlux Fragrances Inc | Payden Cash Reserves Money Market |
| PARALLEL PETROLEUM CORP | Parnassus Small Cap | Payden Core Bond |
| Parametric Commodity Strategy | Parsley Energy Inc | Payden Emerging Markets Bd |
| Parametric Emerging Markets | PartnerRe Ltd | Payden Equity Income |
| Parametric International Equity | Partners Bancorp | Payden Global Fixed Income |
| Parametric TABS 5-to-15 Year Laddered Municipal Bond | PARTNERS TRUST FINL GRP INC | Payden GNMA |
| Parametric TABS Intermediate-Term Municipal Bond | PARTY CITY CORP | Payden High Income |
| Parametric Tax Managed Emerging Markets | Party City Holdco Inc | Payden Limited Maturity |
| Parametric Volatility Risk Premium Defensive | Passage Bio Inc | Payden Low Duration |
| Paramount Gold Nevada Corp | PATAPSCO BANCORP INC | Paylocity Holding Corp |
| Paramount Group Inc | PATCH INTERNATIONAL INC | Paymentus Holdings Inc |
| | PATH 1 NETWORK TECHNOLOG INC | Payoneer Global Inc |
| | Patheon NV | PayPal Holdings Inc |
| | Pathfinder Bancorp Inc | Paysign Inc |
| | PATHMARK STORES INC | PB Bancorp Inc |
| | Pathward Financial Inc | PBF Energy Inc |
| | Patient Safety Technologies Inc | PBF Logistics LP |
| | Patina Oil & Gas Corp | PBHG Emerging Growth |
| | Patni Computer Systems Ltd | PBHG Ins Ser Small Cap |
| | Patria Investments Ltd | PBHG Ins Ser Tech & Comm |
| | Patrick Industries Inc | PBHG Large Cap |
| | Patriot Bank Corp | PBHG Large Cap 20 |
| | | PBHG Select Growth |

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|---|---|---|
| PBHG Tech & Communication | PEMSTAR INC | PEOPLES BANCORP AUBURN IN |
| PC Connection Inc | Pendrell Corp | Peoples Bancorp Inc |
| PCB Bancorp | Penford Corp | Peoples Bancorp Inc/MD |
| PCCW LTD | Pengrowth Energy Corp | Peoples Bancorp of North Carolina Inc. |
| PCM Inc | Pengrowth EnergyTrust'A' | Peoples Bancorporation Inc |
| PCS EDVENTURES.COM INC | PENN ENGR & MFG CORP | PEOPLES BANCTRUST INC |
| PCSB Financial Corp | Penn Millers Holding Corp | Peoples Community Bancorp Inc |
| PCTEL Inc | Penn Series Flexibly Managed | PEOPLES EDUCATIONAL HOLDINGS |
| PDC Energy Inc | Penn Series Index 500 | PEOPLES ENERGY CORP |
| PDD Holdings Inc | Penn Series International Eq | Peoples Federal Bancshares Inc |
| PDF Solutions Inc | Penn Series Quality Bond | PEOPLES FINANCIAL CORP/MS |
| PDG ENVIRONMENTAL INC | PENN TRAFFIC CO | Peoples Financial Services Corp |
| PDI Inc | Penn Treaty American Corp | PEOPLES OHIO FINANCIAL CORP |
| PDL BioPharma Inc | Penn Virginia GP Holdings LP | People's United Financial Inc |
| PDS Biotechnology Corp | Penn-America Group Inc | PEOPLESUPPORT INC |
| Peabody Energy Corp | Pennant Group Inc (The) | Pep Boys-Manny Moe & Jack (The) |
| Peace Arch Entertainment Group Inc | PennantPark Floating Rate Capital Ltd | Pepco Holdings Inc. |
| PEAK INTERNATIONAL LTD | PennantPark Investment Corp | PepGen Inc |
| Peak Resorts Inc | PENNFED FINANCIAL SVCS INC | PepperLime Health Acquisition Corporation |
| Peapack-Gladstone Financial Corp | Pennichuck Corp | Pepsi Bottling Group Inc. |
| Pear Tree Polaris Foreign Value | PENNRock FINANCIAL SVCS | PepsiAmericas Inc. |
| Pear Tree Polaris Foreign Value Small Cap | Penns Woods Bancorp Inc | PepsiCo Inc |
| Pebblebrook Hotel Trust | Pennsylvania Real Estate Investment Trust | Perception Capital Corp II |
| PEC SOLUTIONS INC | PennTex Midstream Partners LP | Perceptron Inc |
| PECO II INC | PennyMac Financial Services Inc | Perdoceo Education Corp |
| PEDIATRIC SVCS AMERICA INC | PennyMac Mortgage Investment Trust | Peregrine Semiconductor Corp |
| Pediatric Medical Group Inc | Penseco Financial Services Corp | PEREGRINE SYSTEMS INC |
| PEERLESS SYSTEMS CORP | Penske Automotive Group Inc | Perella Weinberg Partners |
| Peet's Coffee & Tea Inc | Penson Worldwide Inc | Perfect Corp |
| Pegasi Energy Resources Corp | Pentair plc | Perfect World Co Ltd |
| PEGASUS COMMUNICATIONS CP | Penton Media Inc | PerfectEnergy International Ltd |
| PEGASUS SOLUTIONS INC | Penumbra Inc | Perficient Inc |
| Pegasystems Inc | Penwest Pharmaceuticals Co. | |
| PELICAN FINANCIAL INC | | |
| Peloton Interactive Inc | | |
| Pembina Pipeline Corp | | |

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| PERFORMANCE FOOD GROUP COMPANY | PETCO ANIMAL SUPPLIES INC | PGIM Balanced |
| Performance MM | Petco Health & Wellness Co Inc | PGIM Core Bond |
| Performance Sports Group Ltd | PetIQ Inc | PGIM Global Real Estate |
| Performance Technologies Inc | PetMed Express Inc | PGIM Global Total Return |
| Performance Trust Municipal Bond | PETRIE STORES LIQUIDATION TR | PGIM Government Income |
| Performance Trust Strategic Bond | Petro River Oil Corp. | PGIM Government Money Market |
| Performant Financial Corp | Petro-Canada | PGIM High Yield |
| Perfumania Holdings Inc | Petrochina Co Ltd | PGIM Jennison 20/20 Focus |
| Pericom Semiconductor Corp | Petrocorp Inc | PGIM Jennison Blend |
| Peridot Acquisition Corp | PETROFUND ENERGY TRUST | PGIM Jennison Emerging Markets Equity |
| Peridot Acquisition Corp II | PETROHAWK ENERGY CORP | Opportunities |
| Perimeter Small Cap Growth | PETROKAZAKHSTAN INC | PGIM Jennison Focused Growth |
| Perimeter Solutions SA | PETROL OIL & GAS INC | PGIM Jennison Global Equity Income |
| Perion Network Ltd | Petroleo Brasileiro S.A.-Petrobras | PGIM Jennison Global Opportunities |
| PerkinElmer Inc. | PetroLogistics LP | PGIM Jennison Growth |
| Perma Fix Environmental Services Inc | Petroquest Energy Inc | PGIM Jennison Health Sciences |
| Permian Basin Royalty Trust | PETROSEARCH ENERGY CORP | PGIM Jennison International Opportunities |
| Permian Resources Corp | PetroSouth Energy Corp | PGIM Jennison Mid Cap Growth |
| Permission Marketing Soltns | PetSmart Inc | PGIM Jennison MLP |
| PermRock Royalty Trust | PF Managed Bond | PGIM Jennison Natural Resources |
| Pernix Group Inc | PF Multi-Asset | PGIM Jennison Small Company |
| Pernix Therapeutics Holdings Inc | PF Optimization Moderate Conservative | PGIM Jennison Utility |
| PEROT SYSTEMS CORP | PFEIFFER VACUUM TECHNOLOGY | PGIM Muni High Income |
| Perpetua Resources Corp | Pfenex Inc | PGIM National Muni |
| Perpetual Energy Inc | PFF Bancorp Inc. | PGIM QMA Long/Short Equity |
| Perrigo Co Plc | PFG American Funds Growth Strategy | PGIM QMA Mid Cap Value |
| Perritt MicroCap Opportunities | PFIZER INC | PGIM Quant Solutions Large-Cap Core |
| Perry Ellis International Inc | PFM Government Select | PGIM Quant Solutions Small-Cap Value |
| PER-SE TECHNOLOGIES INC | PFM Multi-Manager Domestic Equity | PGIM Quant Solutions Stock Index |
| Pershing Gold Corp | PFM Multi-Manager Fixed-Income | |
| Pershing Square Tontine Holdings Ltd | PFM Multi-Manager International Equity | |
| Personalis Inc | PFS BANCORP INC | |
| PERU COPPER INC | PFSweb Inc | |
| Pervasive Software Inc | PG&E Corp | |
| Pet DRx Corp | PGIM Absolute Return Bond | |

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|---|----------------------------------|--|
| PGIM Short Duration High Yield Income | Phillips Edison & Company Inc | PIKE ELECTRIC CORP |
| PGIM Short Duration Multi Sector Bond | Phoenix Cos Inc. (The) | Pilgrim's Pride Corp |
| PGIM Short Term Corporate Bond | Phoenix Footwear Group Inc. | Pillowtex Corp |
| PGIM Total Return Bond | Phoenix Growth & Income | PIMCO 0-5 Year High Yield Corporate Bond Index ETF |
| PGS-PETROLEUM GEO-SERVICES | Phoenix Insight International | PIMCO 15 Year U.S. TIPS Index ETF |
| PGT Innovations Inc | Phoenix Insight Sml Cap Opp | PIMCO 1-5 Year US TIPS Index ETF |
| PHARMACEUTICAL FORMULATIONS | Phoenix Insight Value Equity | PIMCO Access Income Fund |
| Pharmaceutical Products Development Inc | Phoenix New Media Ltd | PIMCO Active Bond ETF |
| Pharmacopeia Inc | Phoenix Small Cap Growth | PIMCO All Asset |
| PHARMACYCLICS INC | Phoenix Small Cap Value | PIMCO All Asset All Authority |
| PharmaCyte Biotech Inc | Phoenix Strategic Alloc | PIMCO CA Short Duration Municipal Income |
| Pharmagreen Biotech Inc | PHOENIX TECHNOLOGIES LTD | PIMCO California Municipal Income Fund |
| PharmaNet Development Group Inc | PHONE 1GLOBALWIDE INC | PIMCO California Municipal Income Fund II |
| Pharmanetics Inc | Phosphate Holdings Inc | PIMCO California Municipal Income Fund III |
| Pharmasset Inc | Phosphate Res Partners -Lp | PIMCO CommoditiesPLUS Strategy |
| PharmAthene Inc | PHOTOMEDEX INC | PIMCO |
| Pharmaxis Ltd | PHOTON DYNAMICS INC | CommodityRealReturn Strategy |
| PharMerica Corp | PHOTONIC PRODUCTS GROUP INC | PIMCO Convertible Bond |
| PHARMION CORP | Photronics Inc | PIMCO Corporate & Income Opportunity Fund |
| PHARMOS CORP | Phreesia Inc | PIMCO Corporate & Income Strategy Fund |
| Pharsight Corp | Phsb Financial Corp | PIMCO Credit Absolute Return |
| Pharvaris BV | Phunware Inc | PIMCO Diversified Income |
| Phase Forward Inc | PHX Minerals Inc | PIMCO Dividend and Income Builder |
| Phathom Pharmaceuticals Inc | Physicians Formula Holdings Inc | PIMCO Dynamic Bond |
| PHAZAR CORP | Physicians Realty Trust | PIMCO Dynamic Credit and Mortgage Income Fund |
| PHC Inc | Phytomedical Technologies Inc | PIMCO Dynamic Income Fund |
| PHELPS DODGE CORP | PIC Small Cap Growth | Pimco Dynamic Income Opportunities Fund |
| PhenomeX Inc | Piccadilly Cafeterias Inc | |
| PHH Corp | Piedmont Lithium Inc | |
| Phibro Animal Health Corp | Piedmont Natural Gas Co Inc. | |
| Philadelphia Consolidated Holding Corp | Piedmont Office Realty Trust Inc | |
| Philip Morris International Inc | Pier 1 Imports Inc. | |
| Phillips 66 | Pieris Pharmaceuticals Inc | |
| Phillips 66 Partners LP | PIF Ptnr Mid Cap Growth I | |
| | PIF Ptnr Small Cap Value | |
| | PIF Short Term Bond | |
| | PIF Ultra Short Bond | |

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| | | |
|--|---|--|
| PIMCO Emerging Local Currency & Bond | PIMCO High Income Fund | PIMCO Municipal Income Fund III |
| PIMCO Emerging Markets Bond | PIMCO High Yield | Pimco Ny Muni Income Fd Iii |
| PIMCO Emerging Markets Corporate Bond | PIMCO High Yield Municipal Bond | PIMCO NY Municipal Bond |
| PIMCO Emerging Markets Currency and Short-Term Investments | PIMCO High Yield Spectrum | PIMCO PEA Innovation |
| PIMCO Energy and Tactical Credit Opportunities Fund | PIMCO Income | PIMCO Preferred & Capital Securities |
| PIMCO Enhanced Low Duration Active Exchange- Traded Fund | PIMCO Income Opportunity Fund | PIMCO RAE Emerging Markets |
| PIMCO Enhanced Short Maturity Active Exchange- Traded Fund | PIMCO Income Strategy Fund II | PIMCO RAE Fundamental Advantage PLUS |
| PIMCO Eq\$ Dividend | PIMCO Inflation Response Multi-Asset | PIMCO RAE International |
| PIMCO Eq\$ Emerging Markets | PIMCO Intermediate Municipal Bond Active Exchange-Traded Fund | PIMCO RAE Low Volatility PLUS |
| PIMCO Eq\$ Long/Short | PIMCO International Bond (Unhedged) | PIMCO RAE Low Volatility PLUS EMG |
| PIMCO Eq\$ Pathfinder | PIMCO International Bond (US Dollar Hedged) | PIMCO RAE Low Volatility PLUS Intl |
| PIMCO Extended Duration | PIMCO Investment Grade Corporate Bond Index ETF | PIMCO RAE PLUS |
| PIMCO Flexible Credit Income | PIMCO Invstment Grade Credit Bond | PIMCO RAE PLUS EMG |
| PIMCO Flexible Municipal Income | PIMCO Long Duration Total Return | PIMCO RAE PLUS International |
| PIMCO Floating Rate Income Fund | PIMCO Long Term Credit Bond | PIMCO RAE PLUS Small |
| PIMCO Fundamental IndexPLUS | PIMCO Long US Government | PIMCO RAE US |
| PIMCO Gbl StocksPLUS & Inc Fd | PIMCO Long-Term Real Return | PIMCO RAE Worldwide Fundamental Adv PLUS |
| PIMCO Global Advantage Strategy Bond | PIMCO Low Duration | PIMCO RAE Worldwide Long/Short PLUS |
| PIMCO Global Bond Opportunities (US Dollar Hedged) | PIMCO Low Duration II | PIMCO Real Estate Real Return Strategy |
| PIMCO Global Bond UnHedged | PIMCO Low Duration Income | PIMCO Real Return |
| PIMCO Global Multi Asset | PIMCO Moderate Duration | PIMCO Senior Floating Rate |
| PIMCO GNMA & Government Securities | PIMCO Money Market | PIMCO Short Asset Investment |
| PIMCO Government MMF | PIMCO Mortgage Backed Securities | PIMCO Short Duration Municipal Income |
| | PIMCO Mortgage Opportunities & Bond | PIMCO Short Term |
| | PIMCO Municipal Bond | PIMCO Short Term Municipal Bond Active Exchange- Traded Fund |
| | PIMCO Municipal Income Fund | PIMCO StocksPLUS |
| | PIMCO Municipal Income Fund II | PIMCO StocksPLUS Absolute Return |

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| PIMCO StocksPLUS International (Unhedged) | Pinnacle Financial Partners Inc | Pioneer Independence |
| PIMCO StocksPLUS International (US Dollar-Hedged) | Pinnacle Foods Inc | Pioneer Instl Money Market |
| PIMCO StocksPLUS Long Duration | Pinnacle Gas Resources Inc | Pioneer International Core Equity |
| PIMCO StocksPLUS Short | PINNACLE SYSTEMS INC | Pioneer International Equity |
| PIMCO StocksPLUS Small | Pinnacle West Capital Corp | Pioneer International Value |
| PIMCO Strategic Global Government Fund Inc | Pinnacor Inc | Pioneer Merger Corp |
| PIMCO Total Return | Pinterest Inc | Pioneer Mid Cap Growth |
| PIMCO Total Return ESG | Pioneer America Income Tr | Pioneer Mid Cap Value |
| PIMCO Total Return II | Pioneer AMT Free Muni | Pioneer Multi-Asset Income |
| PIMCO Total Return IV | Pioneer Bancorp Inc | Pioneer Municipal and Equity Income Trust |
| PIMCO TRENDS Managed Futures Strategy | PIONEER BANKSHARES INC | Pioneer Municipal High Income Advantage Trust |
| PIMCO VIT All Asset | Pioneer Bond | Pioneer Municipal High Income Trust |
| PIMCO VIT Commodity Real Return Strategy | Pioneer Cash Reserves | Pioneer Natural Resources Co |
| PIMCO VIT Emerging Mkts | Pioneer Classic Balanced | Pioneer Oak Ridge Lrg Cp Gro |
| PIMCO VIT Global Bond (UnHedged) | Pioneer Core Equity | Pioneer Select Mid Cap Growth |
| PIMCO VIT Global Diversified Allocation | PIONEER CORP | Pioneer Short Term Income |
| PIMCO VIT Global Managed Asset Allocation | PIONEER COS INC -CL A | Pioneer Small Cap Value |
| PIMCO VIT High Yield | Pioneer Disciplined Growth | Pioneer Southwest Energy Partners LP |
| PIMCO VIT International Bond | Pioneer Disciplined Value | Pioneer Strategic Income |
| PIMCO VIT Long US Government | Pioneer Dynamic Credit | Pioneer Tax Adv Balanced Tr |
| PIMCO VIT Low Duration | Pioneer Emerging Markets | Pioneer Treasury Reserves MM |
| PIMCO VIT Money Market | Pioneer Energy Services Corp | Pioneer TxFr Income |
| PIMCO VIT Real Return | Pioneer Equity Income | Pioneer Value |
| PIMCO VIT Short Term | Pioneer Europe Select Equity | Pioneer VCT Eqty Income |
| PIMCO VIT Stocks Plus | Pioneer Exploration Inc | Pioneer VCT Fund |
| PIMCO VIT Total Return | Pioneer Flexible Opportunities | Pioneer VCT Growth Opport |
| PINE VALLEY MINING CORP | Pioneer Floating Rate | Pioneer VCT Mid Cap Value |
| Pingtan Marine Enterprise Ltd | Pioneer Floating Rate Trust | Pipeline Data Inc. |
| Pinnacle Airlines Corp | Pioneer Fund | Piper Sandler Cos |
| Pinnacle Bankshares Corp | Pioneer Fundamental Value | Pitney Bowes Inc. |
| Pinnacle Entertainment Inc. | Pioneer Global High Yield | Pitooey Inc |
| | Pioneer Growth Opport | Pivotal Corp |
| | Pioneer Growth Shares | Pivotal Software Inc |
| | Pioneer High Income Municipal | PIXAR |
| | Pioneer High Income Trust | PIXELPLUS CO LTD -ADR |
| | Pioneer High Yield | Pixelworks Inc |
| | Pioneer Ibbotson Growth Allocation | |
| | Pioneer Ibbotson Moderate Allocation | |
| | Pioneer ILS Interval | |

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| PJT Partners Inc | PLX Technology Inc | Polar Wireless Corp |
| PLACER DOME INC | Ply Gem Holdings Inc | Polaris Global Value |
| PLACER SIERRA BANCSHARES | Plymouth Industrial REIT Inc | Polaris Inc |
| Plains All American Pipeline LP | PMA Capital Corp | PolarityTE Inc |
| Plains Exploration & Production Co | Pmc Cap Inc | Polen Global Growth |
| Plains GP Holdings LP | PMC Diversified Equity | Polen Growth |
| Plains Resources Inc | PMC-Sierra Inc. | Polestar Automotive Holding UK PLC |
| Plan Vista Corp | PMFG Inc | Polished.com Inc |
| Planar Systems Inc | PMI Group Inc. | POLYAIR INTER PACK INC |
| Planet 13 Holdings Inc | PMV Consumer Acquisition Corp | Polycom Inc |
| Planet Fitness Inc | PMV Pharmaceuticals Inc | POLYDEX |
| Planet Labs PBC | PNC Advantage Instl MM | PHARMACEUTICALS LTD |
| Planet Payment Inc | PNC Government Money Market | POLYMEDICA CORP |
| PlanetOut Inc | PNC Govt MMF | PolyMedix Inc |
| Plastec Technologies Ltd | PNC Growth & Income | Polymer Group Inc |
| Platinum Energy Resources Inc | PNC International Eqty | PolyMet Mining Corp |
| Platinum Group Metals Ltd | PNC Intrmd Bond | PolyPid Ltd |
| Platinum Research Organization Inc | PNC Limited Maturity Bond | Polypore International Inc |
| Platinum Underwriters Holdings Ltd | PNC Money Mkt | Pomeroy IT Solutions Inc |
| PLATO Learning Inc | PNC OH Muni MM | Ponce Financial Group Inc |
| Playa Hotels & Resorts N.V. | PNC Prime MMF | Poniard Pharmaceuticals Inc |
| PlayAGS Inc | PNC Small Cap | Pono Capital Two Inc -REDH Pool Corp |
| Playboy Enterprises Inc | PNC TaxExempt Money Market | Pope Resources LP |
| PLAYLOGIC ENTERTAINMENT INC | PNC Treasury Money Market | Popeyes Louisiana Kitchen Inc |
| PLAYSTUDIOS, Inc. | PNC Treasury Plus Money Market | Poplar Forest Partners |
| PLAYTEX PRODUCTS INC | PNC TxEx MMF | Popular Inc |
| Playtika Holding Corp | PNC Ultra Short Bond | PORCH GROUP, INC. |
| PLBY Group Inc | PNG VENTURES INC | Port Financial Corp |
| PLC SYSTEMS INC | PNI Digital Media Inc | Portage Biotech Inc |
| Plexus Corp | PNM Resources Inc. | Portage Fintech Acquisition Corp |
| Pliant Therapeutics Inc | POCAHONTAS BANCORP INC | PORTAL SOFTWARE INC |
| PLM EQUIP GROWTH FD V - LP | POGO PRODUCING CO | PORTALPLAYER INC |
| Plug Power Inc | Point Biopharma Global Inc. | PORTEC RAIL PRODUCTS INC |
| Plum Creek Timber Co Inc. | POINT THERAPEUTICS INC | Portfolio Ptnrs MFS Emerg Gro |
| PLUMTREE SOFTWARE INC | POINT.360 | Portfolio Ptnrs MFS Research |
| Pluristem Therapeutics Inc | POINTE FINANCIAL CORP | Portfolio Ptnrs MFS Val Eqty |
| PLx Pharma Inc | Pointer Telocation Ltd | Portfolio Ptnrs Scudder Intl |
| | Points.com Inc | Portfolio Ptnrs T Rowe Gro Eq |
| | PokerTek Inc | |
| | Polar Power Inc | |

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| Portfolio Series American Funds Managed Risk Growth Portfolio Series American Funds Managed Risk Growth and Income | Power-One Inc. POWERSAVE ENERGY COMPANY | PRAECIS PHARMACEUTICALS INC |
| Portillos Inc | PowerSchool Holdings Inc | Prana Biotechnology Ltd |
| Portland General Electric Co | PowerSecure International Inc | Praxis Core Stock |
| PORTSMOUTH SQUARE INC | PowerShares DB G10 | Praxis Impact Bond |
| Portugal Telecom Sgps SA, Lisboa | Currency Harvest Fund | Praxis Precision Medicines Inc |
| POSCO Holdings Inc | PowerShares DB Silver Fund | PRB ENERGY INC |
| Poseida Therapeutics Inc | PowerShares DB US Dollar Index Bearish Fund | Precigen Inc |
| Poshmark Inc | PowerShares DWA | PRECISION AUTO CARE INC |
| POSITRON CORP | Developed Markets Momentum Portfolio | Precision BioSciences Inc |
| POSSIS MEDICAL INC | PowerShares ETF Trust | Precision Castparts Corp. |
| Post Holdings Inc | Dynamic Market Portfolio | Precision Drilling Corp |
| Post Holdings Partnering Corp | PowerShares ETF Trust II - PowerShares Russell 1000 Equal Weight | Predictive Technology Group Inc |
| Post Properties Inc | PowerShares Global Listed Private Equity Portfolio | Preferred Apartment Communities Inc |
| Postal Realty Trust Inc | PowerShares Global Water Portfolio | Preferred Fixed Income |
| PostRock Energy Corp | PowerShares Golden Dragon | Preferred International Value |
| PotlatchDeltic Corp | Halter USX China Portfolio | Preferred Large Cap Growth |
| POTOMAC BANCSHARES INC | PowerShares India Portfolio ETF | Preferred Large Cap Value |
| Potomac Dynamic HY Bond | PowerShares S&P 500 | Preformed Line Products Co |
| POW ENTERTAINMENT INC | Downside Hedged Portfolio | Prelude Therapeutics Inc |
| Powder River Petroleum International Inc | PowerShares S&P SmallCap Information Technology Portfolio | Prelude Ventures Inc |
| POWELL INDUSTRIES INC | PowerShares VRDO Tax-Free Weekly Portfolio | Premcor Inc |
| POWER 3 MEDICAL PRODUCTS INC | POWERWAVE | PREMD INC |
| POWER AIR CORP | TECHNOLOGIES INC | Premier Bancorp Inc/Pa |
| Power Dividend Index | Powin energy corporation | PREMIER CMNTY BANKSHARES INC |
| Power Integrations Inc | PPD Inc | Premier Exhibitions Inc |
| Power Medical Interventions Inc | PPG Industries Inc. | Premier Farnell Plc |
| Power of the Dream Ventures Inc | PPL Corp | Premier Financial Bancorp Inc |
| Power Oil & Gas Inc | PPOL INC | Premier Financial Corp |
| Power Solutions International Inc | PRA Health Sciences Inc | Premier Inc |
| POWERCOLD CORP | PRA INTERNATIONAL | Premier Power Renewable Energy Inc |
| POWERDSINE LTD | Practiceworks Inc | Premier TxEx Port |
| Powered Brands | | Premier US Govt Money |
| PowerFleet Inc | | Premier VIT OpCap Managed |
| | | Premiere Global Services Inc |
| | | PremierWest Bancorp |
| | | PREMIUM STANDARD FARMS INC |

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| Prenetics Group Limited | Princeton National Bancorp | Principal High Income |
| PRENTISS PROPERTIES TRUST | Inc | Principal High Yield |
| Pre-Paid Legal Services Inc. | Princeton Review Inc (The) | Principal Inflation Protection |
| Presbia PLC | Principal Active Global | Principal International |
| Presidential Life Corp | Dividend Income ETF | Emerging Mkts |
| Presidential Realty Corp | Principal Blue Chip | Principal International Equity |
| Presidio Inc | Principal Bond Market Index | Index |
| Press Ganey Holdings Inc | Principal CA Muni | Principal International |
| Presstek Inc | Principal Capital Appreciation | Growth |
| Prestige Consumer | Principal Capital Securities | Principal International I |
| Healthcare Inc | Principal Capital Value | Principal International Small |
| Pretium Resources Inc | Principal Cash Management | Company |
| Prevail Therapeutics Inc | Principal Core Fixed Income | Principal Intl |
| PRGX Global Inc | Principal Core Plus Bond | Principal Large Cap Blend I |
| Price Africa & Middle East | Principal Core Plus Bond I | Principal Large Cap Blend II |
| PRICE COMMUNICATIONS | Principal Disciplined Lg Cp | Principal Large Cap Growth |
| CORP | Blend | Principal Large Cap Growth II |
| Price Instl Foreign Equity | Principal Diversified Income | Principal Large Cap Value |
| Price Legacy Corp | Principal Diversified | Principal Large Cap Value I |
| PRICESMART INC | International | Principal LargeCap Growth I |
| Pride International Inc. | Principal Diversified Real | Principal LargeCap S&P500 |
| Prima Energy Corp | Asset | Index |
| Prime Cash Series | Principal EDGE MidCap | Principal LargeCap Value III |
| PRIME GROUP REALTY TRUST | Principal Equity Income | Principal LifeTime 2010 |
| Prime Hospitality Corp | Principal Exchange-Traded | Principal LifeTime 2015 |
| Prime Impact Acquisition I | Funds Principal US Mega-Cap | Principal LifeTime 2020 |
| Prime Medicine Inc | ETF | Principal LifeTime 2025 |
| Prime Meridian Holding Co | Principal Financial Group Inc | Principal LifeTime 2030 |
| Prime Money Market | Principal Finisterre Emerging | Principal LifeTime 2035 |
| PRIMECAP Odyssey Aggrsv | Markets Total Return Bond | Principal LifeTime 2040 |
| Gro | Principal Global Multi | Principal LifeTime 2045 |
| PRIMECAP Odyssey Growth | Strategy | Principal LifeTime 2050 |
| PRIMECAP Odyssey Stock | Principal Global | Principal LifeTime 2055 |
| PRIMEDIA INC | Opportunities | Principal LifeTime 2060 |
| Primeenergy Resources Corp | Principal Global Real Estate | Principal LifeTime Hybrid |
| Primerica Inc | Securities | 2025 |
| Primero Mining Corp | Principal Government Money | Principal LifeTime Hybrid |
| Primis Financial Corp | Market | 2030 |
| Primo Water Corp | Principal Govt & High Quality | Principal LifeTime Strategy |
| Primoris Services Corp | Bd | Principal Mid Cap |
| Primus Guaranty Ltd | Principal Govt & High Quality | Principal Mid Cap Growth II |
| Primus Knowledge Solutions | Bond | Principal Mid Cap Stock |
| Princeton Bancorp Inc | Principal Govt Sec Inc | Principal Mid Cap Value II |
| | Principal Growth | Principal Mid Cap Value III |

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|--------------------------------|------------------------------|------------------------------|
| Principal MidCap | Priveterra Acquisition Corp | ProShares Credit Suisse |
| Principal MidCap Growth III | Privia Health Group Inc | 130/30 |
| Principal MidCap S&P400 | Pro Dex Inc | ProShares Investment Grade- |
| Index | PROASSURANCE CORP | Interest Rate Hedged ETF |
| Principal MidCap Value I | Procaps Group SA | ProShares Russell 2000 |
| Principal Money Market | PROCENTURY CORP | Dividend Growers ETF |
| Principal Origin Emerging | PROCEPT BioRobotics Corp | ProShares Short 20 Year |
| Markets | Procera Networks Inc | Treasury |
| Principal Overseas | Processa Pharmaceuticals Inc | ProShares Short Dow30 |
| Principal Real Estate | Procure Technologies Inc | ProShares Short MSCI |
| Securities | Procter & Gamble Co (The) | Emerging Markets |
| Principal SAM Balanced | ProElite Inc | ProShares Short QQQ |
| Principal SAM Conservative | Professional Holding Corp | ProShares Short Russell 2000 |
| Balanced | Profire Energy Inc | ProShares Short S&P500 |
| Principal SAM Conservative | ProFrac Holding Corp | Proshares Trust - ProShares |
| Growth | ProFund VP Bull | S&P MidCap 400 Dividend |
| Principal SAM Flexible | Progen Pharmaceuticals Ltd | Aristocrats |
| Income | Progenics Pharmaceuticals | ProShares Trust II |
| Principal SAM Strategic | Inc | ProShares Trust ProShares |
| Growth | Progress Energy Inc. | Online Retail ETF |
| Principal Short Term Income | Progress Finl Corp | ProShares Trust ProShares |
| Principal Small Cap Growth | Progress Software Corp | S&P 500 Dividend Aristocrats |
| Principal Small Cap Growth II | Progressive Corp (The) | ETF |
| Principal Small Cap Growth III | Progyny Inc | ProShares Ultra 7-10 Year |
| Principal Small Cap Value | ProKidney Corp | Treasury |
| Principal Small Cap Value I | PROLIANCE INTERNATIONAL | ProShares Ultra Basic |
| Principal SmallCap | INC | Materials |
| Principal SmallCap Growth I | Prolink Holdings Corp. | ProShares Ultra Dow30 |
| Principal SmallCap S&P600 | ProLogis | ProShares Ultra Financials |
| Index | Prologis, Inc. | ProShares Ultra Nasdaq |
| Principal SmallCap Value II | Prometheus Biosciences Inc | Biotechnology |
| Principal Small-Mid Cap | Promotora De Informaciones | ProShares Ultra Oil & Gas |
| Dividend Income | SA | ProShares Ultra QQQ |
| Principal Spectrum Preferred | Proofpoint Inc | ProShares Ultra Real Estate |
| and Capital Securities Income | Propel Media Inc | ProShares Ultra Russell2000 |
| Principal TxEx Bond | PROPERTYGURU GROUP LTD | ProShares Ultra S&P500 |
| Principal U.S. Small-Cap | ProPetro Holding Corp | ProShares Ultra Technology |
| Multi-Factor ETF | ProPhase Labs Inc | ProShares UltraPro Dow30 |
| Principia Biopharma Inc | ProQR Therapeutics NV | ProShares UltraPro QQQ |
| PRINTRONIX INC | PROS Holdings Inc | ProShares UltraPro S&P500 |
| PRIORITY HLTHCARE CP -CL | Prosensa Holding NV | ProShares UltraPro Short |
| B | ProShares Bitcoin Strategy | Dow30 |
| PRIVATE MEDIA GROUP INC | ETF | ProShares UltraPro Short |
| PrivateBancorp Inc | | QQQ |

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| ProShares UltraPro Short S&P 500 | Proterra Inc | PSB BANCORP INC |
| ProShares UltraShort 20 Plus Year Treasury | Prothena Corp Plc | PSB GROUP INC |
| ProShares UltraShort 7-10 Year Treasury | Proto Labs Inc | PSB HOLDINGS INC/WI |
| ProShares UltraShort Financials | PROTOCOLL TECHNOLOGIES INC | PSF Global |
| ProShares UltraShort FTSE China 25 | PROTOKINETIX INC | PSF Natural Resources |
| ProShares UltraShort MSCI Emerging Markets | Provectus | PSF PGIM 50/50 Balanced |
| ProShares UltraShort Oil & Gas | Biopharmaceuticals Inc | PSF PGIM Flexible Managed |
| ProShares UltraShort QQQ | Provention Bio Inc | PSF PGIM Government Money Market |
| ProShares UltraShort Real Estate | PROVIDE COMMERCE INC | PSF PGIM High Yield Bond |
| ProShares UltraShort Russell2000 | Providence and Worcester Railroad Co | PSF PGIM Jennison Blend |
| ProSight Global Inc | Provident Bancorp Inc | PSF PGIM Jennison Growth |
| Prospect Capital Corp | Provident Bankshares Corp | PSF PGIM Jennison Value |
| Prospect Global Resources Inc | Provident Community Bancshares Inc | PSF PGIM Total Return Bond |
| Prospect Medical Holdings Inc | Provident Energy Ltd | PSF Small-Cap Stock Index |
| Prospector Capital Corp | Provident Financial Grp Inc | PSF Stock Index |
| Prosperity Bancshares Inc | Provident Financial Holdings Inc | PSS WORLD MEDICAL INC |
| Protagonist Therapeutics Inc | Provident Financial Services Inc. | Psychemedics Corp |
| Protalex Inc | PROVIDIAN FINANCIAL CORP | Psychiatric Solutions Inc |
| Protalix Biotherapeutics Inc | PROVINCE HEALTHCARE CO | PT Telekomunikasi Indonesia (Persero) TBK |
| Protara Therapeutics Inc | Proxim Corp | PTC Inc |
| Pro-Tech Industries Inc | Proxim Wireless Corp | PTC Therapeutics Inc |
| PROTECTION ONE INC | PROXYMED INC | Public Media Works Inc |
| Protective Insurance Corp | Prudential Bancorp Inc | Public Service Enterprise Group Inc |
| Protective Life Corp | PRUDENTIAL FINANCIAL INC | Public Storage |
| Protective Life Dynamic Allocation Series Moderate | Prudential GovtSec-US Treas MM | PUBLICIS GROUPE SA |
| Protectus Medical Devices Inc | Prudential Instl Liq Port MM | Publix Super Markets Inc |
| PROTEIN POLYMER TECHNOLOGIES | Prudential Intl Equity | PubMatic Inc |
| PROTEO INC | Prudential Jennison Equity Opportunity | Puda Coal Inc |
| Proteostasis Therapeutics Inc | Prudential Jennison Value | Puget Energy Inc. |
| | Prudential Money Mkt CA | PULASKI FINANCIAL CORP |
| | Prudential Muni CA Income | PULITZER INC |
| | Prudential PLC | Pulmo BioTech Inc |
| | Prudential Series 20/20 Focus | Pulmonx Corp |
| | Prudential Series Govt Income | Pulse Biosciences Inc |
| | PS Business Parks Inc. | Pulse Electronics Corp |
| | | PulteGroup Inc |
| | | Puma Biotechnology Inc |
| | | PURADYN FILTER TECHNOLOGIES |
| | | Pure Biofuels Corp |
| | | Pure Cycle Corp |

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| | | |
|---|--|--|
| Pure Earth Inc | Putnam Global Technology | Putnam Sustainable Leaders |
| Pure Nickel Inc | Putnam Global Utilities | Putnam Tax Smart Equity |
| Pure Storage Inc | Putnam Growth & Income | Putnam TxEx Income |
| Pure Transit Technologies Inc | Putnam High Yield | Putnam TxFr Hi Yield |
| PureBase Corp | Putnam High Yield Trust | Putnam Ultra Short Duration Income |
| PureCycle Technologies Inc | Putnam Income | Putnam Vista |
| PureSafe Water Systems Inc | Putnam Intl Capital Opport | Putnam Voyager |
| Purissima Total Return | Putnam Intl Equity | Putnam VT American Govt |
| Purple Beverage Co Inc | Putnam Intl Growth | Putnam VT Diversified Inc |
| Purple Communications Inc | Putnam Intl Value | Putnam VT George Putnam |
| Purple Innovation, Inc. | Putnam Inv Grade Muni Tr | Putnam VT Global Asst Alloc |
| Putnam Absolute Return 300 | Putnam Investors | Putnam VT Global Equity |
| Putnam Absolute Return 500 | Putnam Large Cap Growth | Putnam VT Growth & Income |
| Putnam American Govt Income | Putnam Large Cap Value | Putnam VT Health Sciences |
| Putnam AMT Free Muni | Putnam Ltd Dura Govt Income | Putnam VT High Yield |
| Putnam CA TxEx Income | Putnam MA TxEx Income | Putnam VT Income |
| Putnam Cap Appreciation | Putnam Managed Municipal Income Trust | Putnam VT Intl Equity |
| Putnam Cap Opportunities | Putnam Master Income Tr | Putnam VT Intl Gro & Inc |
| Putnam Capital Spectrum | Putnam Master Intermediate Income Trust | Putnam VT Intl New Oppor |
| Putnam Classic Equity | Putnam Money Market | Putnam VT Investors |
| Putnam Convertible Securities | Putnam Mortgage Securities | Putnam VT Large Cap Growth |
| Putnam Core Equity | Putnam Multi-Asset Absolute Return | Putnam VT Large Cap Value |
| Putnam Discovery Growth | Putnam Municipal Income | Putnam VT Money Market |
| Putnam Diversified Income | Putnam Municipal Opportunities Trust | Putnam VT New Value |
| Putnam Dynamic Asset Allocation Balanced | Putnam New Value | Putnam VT Research |
| Putnam Dynamic Asset Allocation Conservative | Putnam NJ TxEx Income | Putnam VT Small Cap Value |
| Putnam Dynamic Asset Allocation Growth | Putnam NY TxEx Income | Putnam VT Sustainable Leaders |
| Putnam Emerging Markets Equity | Putnam OTC Emerging Growth | Putnam VT Util Gro & Inc |
| Putnam Equity Spectrum | Putnam PA TxEx Income | Putnam VT Vista |
| Putnam Europe Equity | Putnam Premier Income Trust | Putnam VT Voyager |
| Putnam FL TxEx Income | Putnam Prime Money Market | PVC Bond & Mortgage Securities |
| Putnam Floating Rate Income | Putnam Research | PVC Bond Market Index |
| Putnam Focused Equity | Putnam Short Duration Bond | PVC Capital Value |
| Putnam Focused International Equity | Putnam Small Cap Growth | PVC Diversified Balanced |
| Putnam Global Health Care | Putnam Small Cap Value | PVC Diversified Growth |
| Putnam Global Natural Resource | Putnam Sustainable Future | PVC Diversified Growth Volatility Control |
| | | PVC Diversified International |
| | | PVC Equity Income |
| | | PVC Govt & High Quality Bond |

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|---|-----------------------------------|--|
| PVC Large Cap Growth | QRS CORP | Quest Diagnostics Inc |
| PVC Large Cap Growth I | QS US Large Cap Equity | Quest Energy Partners LP |
| PVC Large Cap S&P500 Index | QS US Small Cap Equity | Quest Rare Minerals Ltd |
| PVC Large Cap Value III | QSGI INC | Quest Resource Holding Corp |
| PVC Mid Cap | QSound Labs Inc | Quest Software Inc |
| PVC Money Market | QTS Realty Trust Inc | Questar Corp |
| PVC Real Estate Sec | Quad/Graphics Inc | QUICK-MED TECHNOLOGIES INC |
| PVC SAM Balanced | QUADRAMED CORP | Quicksilver Resources Inc |
| PVC SAM Consvr Growth | Quadro Acquisition One Corp | Quiksilver Inc. |
| PVF Capital Corp. | Quaker City Bancorp Inc | Quilmes Industrial SA |
| PVH Corp | QUAKER FABRIC CORP | Quince Therapeutics Inc |
| PVR Partners, LP | Quaker Houghton | QUINENCO SA |
| PW EAGLE INC | Quaker Strategic Growth | QuinStreet Inc |
| PXRE GROUP LTD | QUALCOMM Inc. | Quintana Energy Services Inc |
| PYR ENERGY CORP | Quality Care Properties Inc | Quintana Maritime Ltd |
| PYRAMID BREWERIES INC | QUALITY DINING INC | Quintiles Transnational Corp |
| PyroGenesis Canada Inc | Quality Distribution Inc | QUIXOTE CORP |
| Pyxis Oncology Inc | Qualstar Corp | Qumu Corp |
| Pyxus International, Inc | QualTek Services Inc | Qunar Cayman Islands Ltd |
| Pzena Investment Management Inc | Qualtrics International Inc | Quorum Health Corp |
| Q Comm Intl Inc | Qualys Inc | Quotient Ltd |
| Q.E.P. Co. Inc | Quantel Inc | QUOVADX INC |
| Q2 Holdings Inc | Quanex Building Products Corp | Qurate Retail, Inc. |
| QAD Inc | QUANEX CORP | Qwest Communications International Inc. |
| QC Holdings Inc | Quant Emerging Markets | R G GLOBAL LIFESTYLES INC |
| QCR Holdings Inc | Quanta Capital Holdings Ltd | R&G Financial Corp. |
| QEP Midstream Partners LP | Quanta Services Inc. | R.R. Donnelley & Sons Co |
| QEP Resources Inc | Quantenna Communications Inc | R1 RCM INC |
| Qiagen NV | Quanterix Corp | Ra Medical Systems Inc |
| Qihoo 360 Technology Co Ltd | Quantitative Alpha Trading Inc | Rackspace Hosting Inc |
| Qilian International Holding Group Ltd | QuantRx Biomedical Corp | Rackspace Technology Inc |
| Qimonda AG | Quantum Computing Inc | Radcom Ltd |
| QIWI plc | Quantum Corp | Radian Group Inc |
| QKL Stores Inc | Quantum Solar Power Corp | Radiant Logistics Inc |
| Qlik Technologies Inc | QuantumScape Corp | Radiant Oil & Gas Inc |
| QLOGIC CORP | Quantum-Si Inc. | Radiant Systems Inc |
| QMED INC | Quarterhill Inc | RADIATION THERAPY SVCS INC |
| QNB CORP | Quaterra Resources Inc | RADICA GAMES LTD |
| Qnective Inc | Qudian Inc | RADIOLOGIX INC |
| Qorvo Inc | QUEENSTAKE RES LTD | RadiSys Corp |
| QPC LASERS INC | YUKON | |
| QR Energy LP | | |

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| | | |
|-------------------------------------|--|---|
| Radius Global Infrastructure Inc | Ranpak Holdings Corp | Reading International Inc. |
| RADIUS GOLD INC | Rapid Micro Biosystems Inc | Ready Assets Government Liquidity |
| Radius Health Inc | Rapid Therapeutic Science Laboratories Inc | Ready Assets US Treasury Money |
| RadNet Inc | Rapid7 Inc | Ready Capital Corp |
| Radvision Ltd | RAPT Therapeutics Inc | READY MIX INC |
| Radware Ltd | Raptor Networks Technology Inc | Real Brokerage Inc (The) |
| RADYNE CORP | Raptor Pharmaceutical Corp | Real Estate Select Sector SPDR Fd (The) |
| RAE Systems Inc. | RARE HOSPITALITY INTL INC | Real Goods Solar Inc |
| Rafael Holdings Inc | Rattler Midstream Partners LP | RealD Inc |
| Rahaxi Inc | Rave Restaurant Group Inc | RealPage Inc |
| RailAmerica Inc. | Raven Industries Inc | RealReal Inc (The) |
| Rain Oncology Inc | Raymond James Financial Inc. | Realty Income Corp. |
| Rainbow Rentals Inc | Rayonier Advanced Materials Inc | Reata Pharmaceuticals Inc |
| Rainbow Technologies Inc | Rayonier Inc. | Reaves Utility Income Fund |
| RAINDANCE | RAYTECH CORP/DE | Receptos Inc |
| COMMUNICATIONS INC | Raytheon Co. | Recharge Acquisition Corp |
| Rainier Large Cap Equity | Raytheon Technologies Corp | RECKSON ASSOCS RLTY CORP |
| Rainier Mid Cap Equity | RBB Bancorp | Red Cat Holdings Inc |
| Rainier Pacific Financial Group Inc | RBB Free Market Fixed Income | Red Hat Inc |
| Rainier Small/Mid Cap Equity | RBB Free Market International Equity | RED MILE ENTERTAINMENT INC |
| Rainmaker Systems Inc | RBB Free Market US Equity | Red Mountain Resources Inc |
| RAIT Financial Trust | RBB Money Market | Red Oak Technology Select |
| Ralcorp Holdings Inc. | RBC BEARINGS INC | Red River Bancshares Inc |
| Rally Software Development Corp | RBC BlueBay Absolute Return Equity | Red Robin Gourmet Burgers Inc |
| Rallybio Corp | RBC Emerging Markets Equity | Red Rock Resorts Inc |
| Ralph Lauren Corp | RBC Global Opportunities | Red Violet Inc |
| RAM HOLDINGS LTD | RC2 CORP | REDBACK NETWORKS INC |
| Ramaco Resources Inc | RCF Acquisition Corp | Reddy Ice Holdings Inc |
| Rambus Inc | RCG COMPANIES | Redfin Corp |
| RAMP CORP | RCI Hospitality Holdings Inc | Redhill Biopharma Ltd |
| Ramtron International Corp | RCM Technologies Inc | Rediff.com India Ltd |
| Rancher Energy Corp | RCN Corp | Redmont Resolute |
| Rand Logistics Inc | RDA Microelectronics Inc | Redpoint Bio Corp |
| Randgold & Exploration Company Ltd | RE/MAX Holdings Inc | Redwire Corp |
| Randgold Resources Ltd | ReachLocal Inc | REDWOOD EMPIRE BANCORP |
| Randolph Bancorp Inc | READERS DIGEST ASSN | Redwood Trust Inc. |
| Randolph Bancorp Inc | | REE Automotive Ltd |
| Range Resources Corp. | | Reebok International Ltd |
| Rangeford Resources Inc | | |
| Rani Therapeutics Holdings Inc | | |

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| | | |
|--------------------------------------|----------------------------------|---|
| Reeds Inc | Remy International Inc | Reserve Liquid Performance |
| REFAC OPTICAL GROUP | Renaissance Acquisition Corp | Money Market |
| REFLECT SCIENTIFIC INC | Renaissance IPO ETF | RESERVE PETROLEUM CO |
| REGAL ENTERTAINMENT GROUP | Renaissance Learning Inc | Reserve US Government |
| Regal Rexnord Corp | RenaissanceRe Holdings Ltd | Reservoir Media Inc |
| REGALITO COPPER CORP | RENAL CARE GROUP INC | Resideo Technologies Inc |
| REGEN BIOLOGICS INC | Renalytix Plc | RESIN SYSTEMS INC |
| Regencell Bioscience Holding Ltd | Renasant Corp | RESMED INC |
| Regency Centers Corp. | Renegy Holdings Inc | Resolute Energy Corp |
| Regency Energy Partners LP | Reneo Pharmaceuticals Inc | Resolute Forest Products Inc |
| Regeneron Pharmaceuticals Inc | ReNew Energy Global plc | Resonant Inc |
| RegeneRx | Renewable Energy Group Inc | Resortquest Intl Inc |
| Biopharmaceuticals Inc | RenovaCare Inc | Resource America Inc |
| REGENXBIO Inc | RENOVACOR INC | Resource Bankshares Corp |
| REGI U S INC | RENOVIS INC | Resources Connection Inc |
| Regional Management Corp | RENT SHIELD CORP | RESPIRONICS INC |
| Regions Financial Corp | Rent the Runway Inc | RESPONSE BIOMEDICAL CORP |
| Regis Corp | RENT WAY INC | Response Genetics Inc |
| REGISTER.COM INC | Rentech Inc. | Responsys Inc |
| Rego Payment Architectes Inc | Rentech Nitrogen Partners LP | Restaurant Brands International Inc |
| Regulus Therapeutics Inc | Rentokil Initial PLC | Restaurant Brands International LP |
| Rehabcare Group Inc. | Rentrak Corp | Restore Medical Inc |
| REINHOLD INDUSTRIES -CL A | ReoStar Energy Corp | Retail Opportunity Investments Corp |
| Reinsurance Group of America Inc. | Repare Therapeutics Inc | Retail Properties of America Inc |
| Reis Inc | Repay Holdings Corp | Retail Value Inc |
| Relay Therapeutics Inc | Replay Acquisition Corp | RetailMeNot Inc |
| Reliance Global Group Inc | REPLIGEN CORP | Retalix Ltd |
| Reliance Steel & Aluminum Co | Replimune Group Inc | RETEK INC |
| Reliant Bancorp Inc | REPROS THERAPEUTICS INC | Retirement Reserves Money |
| RELIV INTERNATIONAL INC | REPSOL YPF SA | Retractable Technologies Inc |
| RELM WIRELESS CORP | REPTRON ELECTRONICS INC | REUTERS GROUP PLC |
| RELX PLC | Republic Airways Holdings Inc | REV Group |
| Relypsa Inc | REPUBLIC BANCORP INC | Revelation Biosciences Inc |
| REMEC INC | Republic Bancshares Inc | Revelstone Capital Acquisition Corp |
| REMEDENT INC | REPUBLIC COMPANIES GROUP | Revett Minerals Inc |
| REMEDYTEMP INC -CL A | Republic First Bancorp Inc | Revolution Lighting Technologies Inc |
| REMINGTON OIL&GAS CP - CL B | REPUBLIC PROPERTY TRUST | Revolution Medicines Inc |
| | Republic Services Inc. | |
| | RES-CARE INC | |
| | Research Alliance Corp II | |
| | Research Frontiers Inc | |

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|-------------------------------|------------------------------|-----------------------------|
| Revolve Group Inc | RidgeWorth Large Cap | Rithm Capital Corp |
| ReWalk Robotics Ltd | Growth Stock | RIVAL TECHNOLOGIES INC |
| REWARDS NETWORKS INC | RidgeWorth Prime Qual MM | River Canyon Total Return |
| Rex American Resources | RidgeWorth Seix High Yld | Bond |
| Corp | RidgeWorth Shrt Trm Bond | River Financial Corp |
| Rex Energy Corp | RidgeWorth Small Cap Gro | River Valley Bancorp. |
| Rexford Industrial Realty Inc | Stk | Riverbed Technology Inc |
| REYNOLDS & REYNOLDS -CL | RidgeWorth TxEx MM | RiverNorth Core Opportunity |
| A | RidgeWorth US Govt Sec | RiverNorth/DoubleLine |
| REYNOLDS AMERICAN INC | RidgeWorth US Govt Sec MM | Strategic Income |
| Reynolds Consumer Products | RidgeWorth US Treasury MM | RiverPark Long/Short |
| Inc | RidgeWorth VA TxFr MM | Opportunity |
| Rezolute Inc | RIGEL PHARMACEUTICALS | RiverPark Short Term High |
| RF Acquisition Corp | INC | Yield |
| RF MONOLITHICS INC | Rigetti Computing Inc | RiverPark Strategic Income |
| RGC RESOURCES INC | RIGGS NATL CORP WASH D C | RiverPark Wedgewood |
| RH | Riggs Prime MMF | RIVERSTONE NETWORKS INC |
| RHI Entertainment Inc | Right Management | Riverview Bancorp Inc |
| Rhinebeck Bancorp Inc | Consultants | Riverview Financial Corp |
| Rhino Resource Partners LP | RightNow Technologies Inc | Rivian Automotive Inc |
| RHODIA | Rightside Group Ltd | Riviana Foods Inc |
| Rhythm Pharmaceuticals Inc | RigNet Inc | RIVIERA HOLDINGS CORP |
| Ribapharm Inc | Rimini Street, Inc. | Riviera Resources Inc |
| Ribbit Leap Ltd | Ring Energy Inc | RLI Corp |
| Ribbon Communications Inc | RingCentral Inc | RLJ Entertainment Inc |
| Rice Energy Inc | RINKER GROUP LTD | RLJ Lodging Trust |
| Rice Midstream Partners LP | RINO International Corp | RLX Technology Inc |
| RiceBran Technologies | Rio Alto Mining Ltd | RMG Networks Holding Corp |
| Richardson Electronics Ltd | RIO NARCEA GOLD MINES | Rmh Teleservices Inc |
| Richmond Mutual | LTD | RMK Advantage Income Fund |
| Bancorporation Inc | Rio Tinto Group | Inc |
| Richmont Mines Inc. | RIO VISTA ENERGY | RMK High Income Fund Inc. |
| RidgeWorth Core Bond | PARTNERS LP | RMK Multi-Sector High |
| RidgeWorth IL Cash Mgt MM | Riot Platforms Inc | Income Fund Inc |
| RidgeWorth IL US Govt Sec | Riptide Worldwide Inc | RMK Sel Fixed Income |
| MM | Rising Rate Opportunity | RMK Sel Growth |
| RidgeWorth IL US Treas Sec | ProFund | RMK Sel High Income |
| MM | RISK(GEORGE) INDUSTRIES | RMK Sel Intermediate Bond |
| RidgeWorth Intl Equity | INC | RMK Sel Treasury MM |
| RidgeWorth Intl Equity Index | Riskmetrics Group Inc | RMK Strategic Income Fund |
| RidgeWorth Intrmd Bond | RIT TECHNOLOGIES LTD | Inc |
| RidgeWorth Large Cap Core | RITA MEDICAL SYSTEMS INC | RMR Group Inc (The) |
| Growth Stock | Ritchie Bros Auctioneers Inc | Roadway Corp |
| | Rite Aid Corp. | ROAMING MESSENGER INC |

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| | | |
|---|---|-----------------------------------|
| Roan Resources Inc | Romeo Power Inc | Royalty Pharma plc |
| ROANOKE ELECTRIC STEEL CORP | Root Inc | Royce 100 |
| Robbins & Myers Inc. | root9B Holdings Inc | Royce Capital Micro Cap |
| Robert Half International Inc. | Roper Technologies Inc | Royce Capital Small Cap |
| Roberts Realty Investors Inc. | Rose Rock Midstream LP | Royce Dividend Value |
| Robinhood Markets Inc | Rosetta Genomics Ltd | Royce International Premier |
| Roblox Corp | Rosetta Resources Inc | Royce Low Priced Stock |
| Robotic Vision Systems Inc | Rosetta Stone Inc | Royce Micro Cap |
| ROC Energy Acquisition Corp | Roslyn Bancorp Inc | Royce Micro Cap Trust Inc |
| Rochester Medical Corp | Ross Stores Inc | Royce Pennsylvania Mutual |
| Rock Creek Pharmaceuticals Inc | Ross Systems Inc | Royce Premier Shares |
| ROCK OF AGES CORP -CL A | Rostelecom, Moscow | Royce Small-Cap Opportunity |
| Rocket Cos Inc | ROTECH HEALTHCARE INC | Royce Small-Cap Special Equity |
| Rocket Fuel Inc | Roth CH Acquisition IV Co | Royce Small-Cap Total Return |
| Rocket Lab USA, Inc. | Roth CH Acquisition V Co | Royce Small-Cap Value |
| Rocket Pharmaceuticals, Inc. | ROTONICS MANUFACTURING INC | Royce Smaller-Companies Growth |
| RocketFuel Blockchain Inc | Roundy's Inc | Royce Value Trust Inc. |
| ROCKFORD CORP | Rouse Properties Inc | RPC Inc. |
| Rockwell Automation Inc. | Rowan Companies plc | RPM International Inc |
| Rockwell Collins Inc. | ROWE COMPANIES | RPT Realty |
| Rockwell Diamonds Inc | ROYAL & SUN ALLIANCE INS GP | RPX Corp |
| Rockwell Medical Inc | Royal Bancshares of Pennsylvania Inc | RS International Growth |
| Rockwood Holdings Inc | Royal Bank of Canada | RS Intl Growth VIP Ser |
| Rocky Brands Inc | Royal Caribbean Group | RS Money Market |
| Rocky Mountain Chocolate Factory Inc | ROYAL DUTCH PETROLEUM NV | RS Money Market VIP Ser |
| Rodman & Renshaw Capital Group Inc | Royal Energy Resources Inc | RS S&P 500 Index VIP Ser |
| Rodobo International Inc | ROYAL FINANCIAL INC | RS Smaller Company Growth |
| Rofin Sinar Technologies Inc | Royal Gold Inc | RS Tax Exempt |
| Rogers Communications Inc | ROYAL GROUP | RSA SECURITY INC |
| Rogers Corp. | TECHNOLOGIES LTD | RSC Holdings Inc |
| Rogers Wireless Commu | Royal Invest International Corp | RSP Permian Inc |
| Rogue Wave Software Inc | Royal Mines & Minerals Corp | RTI International Metals Inc |
| ROHM AND HAAS CO | Royal Olympic Cruise Lines Inc | RTW INC |
| ROI Acquisition Corp II | ROYAL STANDARD MINERALS INC | RUBICON FINANCIAL INC |
| Roivant Sciences Ltd | ROYALE ENERGY INC | Rubicon Medical Corp |
| Roka Bioscience Inc | ROYALITE PETROLEUM CO INC | Rubicon Minerals Corp |
| Roku Inc | | Rubicon Technology Inc |
| ROLLINS INC | | RUBIO'S RESTAURANTS INC |
| ROMA FINANCIAL CORP | | RUBIUS THERAPEUTICS INC |
| Rome Bancorp Inc | | RUBY MINING CO |
| | | RUBY TUESDAY INC |
| | | Ruckus Wireless Inc |

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| | | |
|-------------------------------|--------------------------------|-----------------------------|
| Rudolph Technologies Inc. | Russell Multistrategy Bond | RVS Partners Aggressive |
| rue21 Inc | Russell Multi-Strategy | Growth |
| RumbleON Inc | Income | RVS Partners Fundamental |
| Runway Growth Finance | Russell Opportunistic Credit | Value |
| Corp | Russell Quant Equity | RVS Partners International |
| Rural Cellular Corp | Russell RIF Global Real Estate | Select Growth |
| RURAL/METRO CORP | Russell RIF Strategic Bond | RVS Partners Select Value |
| Rush Enterprises Inc | Russell RIF US Strategic | RVS Partners Small Cap |
| Rush Street Interactive, Inc | Equity | Equity |
| Russell Commodity | Russell Short Duration Bond | RVS Real Estate |
| Strategies | Russell Special Growth | RVS S&P 500 Index |
| RUSSELL CORP | Russell Strategic Bond | RVS Selective |
| Russell Diversified Bond | Russell Sustainable Equity | RVS Short Duration US Govt |
| Russell Diversified Equity | Russell Tax Exempt Bond | RVS Small Cap Advantage |
| Russell Emerging Markets | Russell Tax Exempt High | RVS Small Company Index |
| Russell Equity Income | Yield Bond | RVS Stock |
| Russell Global Equity | Russell Tax Managed | RVS Strategic Income |
| Russell Global Infrastructure | International Equity | Allocation |
| Russell Global Real Estate | Russell Tax Managed US | RVS Strategy Aggressive |
| Securities | Large Cap | RVS TxEx High Income |
| Russell Ins Non US | Russell Tax Managed US Mid | RVS Value |
| Russell International | Small Cap | RVS VP New Dimensions |
| Developed Markets | Russell Tax-Managed Real | RVS VP Strategic Income |
| Russell International Sect | Assets | RVS VP Strategy Aggressive |
| Russell Investment Grade | Russell Unconstrained Total | Growth |
| Bond | Return | Rwd Technologies Inc |
| Russell LifePoints Balanced | Russell US Dynamic Equity | RXO Inc |
| Strategy | Russell US Small Cap Equity | RXR Acquisition Corp |
| Russell LifePoints | Russell US Strategic Equity | RxSight Inc |
| Conservative Strategy | Russell US Value | Ryan Specialty Holdings Inc |
| Russell LifePoints Equity | Ruth's Hospitality Group Inc | RYANAIR HOLDINGS PLC |
| Growth Strategy | RVL Pharmaceuticals plc | RYAN'S RESTAURANT GROUP |
| Russell LifePoints Growth | RVS Absolute Return | INC |
| Strategy | Currency & Income | RYB Education Inc |
| Russell LifePoints Moderate | RVS Balanced | Ryder System Inc |
| Strategy | RVS Core Bond | Rydex Absolute Return |
| Russell Money Market | RVS Disciplined International | Strategies |
| Russell Multi Strategy | Equity | Rydex Cap Partners SPHinx |
| Alternative | RVS Growth | Rydex Dynamic S&P 500 2x |
| Russell Multi-Asset Growth | RVS Income Builder | Strategy |
| Strategy | Enhanced Income | Rydex Inverse Govt Long |
| Russell Multifactor | RVS Insured TxEx | Bond Strategy |
| International Equity | RVS Large Cap Equity | Rydex Inverse OTC 2x |
| Russell Multifactor US Equity | RVS New Dimensions | Strategy |

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| | | |
|--|---|---|
| Rydex Inverse S&P 500 2x Strategy | SA Fixed Income Intermediate Index | SA US Value |
| Rydex Inverse S&P 500 Strategy | SA Franklin BW US Large Cap Value | SA VCP Dynamic Allocation |
| Rydex NASDAQ 100 | SA Global Fixed Income | SA VCP Dynamic Strategy |
| Rydex NASDAQ 100 2x Strategy | SA Index Allocation 90/10 | SA Wellington Capital Appreciation |
| Rydex Nova | SA International Index | SA Wellington Government and Quality Bond |
| Rydex Sector Rotation | SA International Value | Saba Capital Income & Opportunities Fund |
| Rydex US Government Money Market | SA Invesco Main Street Large Cap | SABA SOFTWARE INC |
| Rydex Var Amerigo | SA Invesco VCP Equity-Income | SABINE OIL & GAS CORP |
| Rydex Var US Govt Money Mkt | SA Janus Focused Growth | Sabine Royalty Trust |
| Ryerson Holding Corp | SA JPMorgan Equity-Income | Sabra Health Care REIT Inc |
| Ryland Group Inc | SA JPMorgan Global Equities | Sabre Corp |
| Ryman Hospitality Properties Inc | SA JPMorgan MFS Core Bond | SABRE HOLDINGS CORP -CL A |
| Ryvyl Inc | SA JPMorgan Mid-Cap Growth | SADia SA, Brazil |
| S & K FAMOUS BRANDS INC | SA Large Cap Index | SAExploration Holdings, Inc. |
| S R TELECOM INC | SA MFS Blue Chip Growth | Safe Bulkers Inc |
| S&P 500 Covered Call Fund Inc | SA MFS Mass Investors Trust | SAFECO Core Equity |
| S&P 500 Protected Equity Fd | SA MFS Total Return | Safeco Corp |
| S&P Global Inc | SA Morgan Stanley International Equities | SAFECO Money Market |
| S&T Bancorp Inc | SA Multi-Managed Diversified Fixed Income | SAFECO Resource Ser Core Eqty |
| S1 Corp | SA Multi-Managed International Equity | Safeguard Scientifics Inc. |
| SA AB Growth | SA Multi-Managed Large Cap Growth | Safehold Inc |
| SA AB Small & Mid Cap Value | SA Multi-Managed Large Cap Value | SAFENET INC |
| SA Allocation Moderate Growth | SA PIMCO RAE International Value | Safestitch Medical Inc |
| SA American Century Inflation Protection | SA PIMCO VCP Tactical Balanced | Safety Insurance Group Inc |
| SA American Funds Asset Allocation | SA Schroders VCP Global Allocation | Safeway Inc |
| SA American Funds Growth | SA T. Rowe Price Asset Allocation Growth | SAFLINK CORP |
| SA American Funds VCP Managed Allocation | SA T. Rowe Price VCP Balanced | Saga Communications Inc. |
| SA BlackRock VCP Global Multi Asset | SA US Core Market | Sage Therapeutics Inc |
| SA DFA Ultra Short Bond | SA US Fixed Income | Sagent Pharmaceuticals Inc |
| SA Federated Hermes Corporate Bond | | Sagent Technology Inc |
| SA Fixed Income Index | | Sahara Media Holdings Inc |
| | | Saia Inc |
| | | SAIFUN SEMICONDUCTORS LTD |
| | | SailPoint Technologies Holdings Inc |
| | | Saks Inc |
| | | SALARY.COM INC |
| | | Salem Media Group Inc |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
 Share as of January 15, 2024

| | | |
|------------------------------|-----------------------------|--------------------------------|
| SALESFORCE INC | Sanford C. Bernstein | Saxon Capital Inc |
| Salient Select Income | Intermediate Duration | SB Financial Group Inc |
| Salient Tactical Growth | Sanford C. Bernstein New | SB One Bancorp |
| Salisbury Bancorp Inc | York Municipal | SB Prem Select Large Cap |
| Salix Pharmaceuticals Ltd | Sangamo Therapeutics Inc | SB Select Balanced |
| Sally Beauty Holdings Inc | Sangoma Technologies | SB Small Cap Core |
| Salomon Bros Fund | Corporation | SB Small Cap Growth |
| SALTON INC | SANGSTAT MEDICAL CORP | SBA Communications Corp |
| SAMEX MINING CORP | Sanmina Corp | SBL Equity |
| Samsara Inc | Sanofi | SBL Equity Income |
| Samson Oil & Gas Ltd | SANTA FE ENERGY TRUST | SBL Global Equity |
| SAMSONITE CORP | Santa Fe Gold Corp | SBL Large Cap Value |
| SAN JOAQUIN BANCORP | Santa Lucia Bancorp | SBL Mid Cap Growth |
| San Juan Basin Royalty Trust | Santa Monica Media Corp | SBL Mid Cap Value |
| SAN PAOLO-IMI SPA | Santander Bancorp | SBS TECHNOLOGIES INC |
| Sana Biotechnology Inc | Santander Consumer USA | SC Health Corp |
| Sanaby Health Acquisition | Holdings Inc | Scailex Corp Ltd |
| Corp I | Santander Holdings USA Inc | SCANA Corp |
| Sanara Medtech Inc | Santarus Inc | ScanSource Inc |
| Sanchez Computer Assocs Inc | SANUWAVE Health Inc | Scb Computer Technology |
| Sanchez Energy Corp | SAP SE | Inc |
| Sanchez Midstream Partners | Sapiens International Corp | SCBF Short Duration Plus |
| LP | NV | SCBF Short Duratn Divers |
| Sanderson Farms Inc | Sapphire Industrials Corp | Muni |
| SanDisk Corp | Sappi Ltd | SCBF Short Duratn NY Muni |
| SandRidge Energy Inc | Saratoga Resources Inc | SCHERING-PLOUGH |
| SandRidge Mississippian | Sarcos Technology & | SCHICK TECHNOLOGIES INC |
| Trust I | Robotics Corp | Schiff Nutrition International |
| SandRidge Mississippian | Sarepta Therapeutics Inc | Inc |
| Trust II | Sarissa Capital Acquisition | Schlotzskys Inc |
| SandRidge Permian Trust | Corp | Schlumberger Ltd |
| Sands Capital Global Growth | Sasol Ltd | Schneider National Inc |
| SANDS REGENT | Satsuma Pharmaceuticals Inc | Schneider Value |
| Sandstorm Gold Ltd | SATYAM COMPUTER | Schnitzer Steel Industries Inc |
| Sandy Spring Bancorp Inc | SERVICES LTD | Scholar Rock Holding Corp |
| Sanford C. Bernstein | SAUCONY INC -CL B | SCHOLASTIC CORP |
| California Municipal | Sauer-Danfoss Inc | School Specialty Inc. |
| Sanford C. Bernstein | Saul Centers Inc | Schroder Muni Bond |
| Diversified Municipal | Savannah Bancorp Inc (The) | Schroder North American |
| Sanford C. Bernstein | Savara Inc | Eqty |
| Emerging Markets | Save The World Air Inc | Schroder US Opportunities |
| Sanford C. Bernstein II | SAVIA SA DE CV | Schrodinger Inc |
| Intermediate Duration | Savient Pharmaceuticals Inc | SCHULMAN (A.) INC |
| Institutional | SAVVIS Inc | |

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| | | |
|---|---|--|
| Schultze Special Purpose Acquisition Corp | Schwab Fundamental US Small Co Index ETF | Schwab S&P 500 Index |
| Schultze Special Purpose Acquisition Corp II | Schwab Fundamental US Small Company Index | Schwab S&P 500 Index VA |
| Schwab 1000 Index | Schwab Global Real Estate | Schwab Select Large Cap Growth |
| Schwab 1-5 Year Corporate Bond ETF | Schwab GNMA | Schwab Short Bond Market |
| Schwab Adv Cash Rsrv | Schwab Government Cash Rsrvs | Schwab Short Term Bond Index |
| Schwab AMT Tax-Free Money | Schwab Government Money | Schwab Short-Term US Treasury ETF |
| Schwab Balanced | Schwab Health Care | Schwab Small Cap Equity |
| Schwab CA AMT Tax Free Money | Schwab Hedged Equity | Schwab Small Cap Index |
| Schwab CA Muni Money Market | Schwab Instl Sel S&P 500 | Schwab Strategic Trust - 1000 Index ETF |
| Schwab CA TxFr Bond | Schwab Intermediate-Term US Treasury ETF | Schwab Target 2020 |
| Schwab CA TxFr YieldPlus | Schwab International Equity ETF | Schwab Target 2025 |
| Schwab Cash Reserves | Schwab International Index | Schwab Target 2030 |
| Schwab Core Equity | Schwab International Opportunities | Schwab Target 2030 Index |
| Schwab Dividend Equity | Schwab International Small-Cap Equity ETF | Schwab Target 2035 |
| Schwab Emerging Markets Equity ETF | Schwab Intl Core Equity | Schwab Target 2040 |
| Schwab Fundamental Emerging Markets Large Co Index ETF | Schwab Investor Money | Schwab Target 2040 Index |
| Schwab Fundamental Emerging Markets Large Company Index | Schwab Large Cap Growth | Schwab Tax-Free Bond |
| Schwab Fundamental International Large Co Index ETF | Schwab MA AMT Tax Free Money | Schwab Total Bond Market |
| Schwab Fundamental International Large Company Index | Schwab MktTrack All Equity | Schwab Total Stock Market Index |
| Schwab Fundamental International Small Co Index ETF | Schwab MktTrack Balanced Conservative | Schwab Treasury Inflation Protected Securities Index |
| Schwab Fundamental International Small Company Index | Schwab MktTrack Growth | Schwab Treasury Obligations Money |
| Schwab Fundamental US Large Co Index ETF | Schwab Money Market | Schwab TxFr YieldPlus |
| Schwab Fundamental US Large Company Index | Schwab Muni Money | Schwab U.S. Mid-Cap ETF |
| | Schwab New Jersey Municipal Money | Schwab U.S. REIT ETF |
| | Schwab New York Municipal Money | Schwab US Aggregate Bond ETF |
| | Schwab PA Muni Money | Schwab US Aggregate Bond Index |
| | Schwab Premier Equity | Schwab US Broad Market ETF |
| | Schwab Premier Income | Schwab US Dividend Equity ETF |
| | Schwab Retirement Advtg Money | Schwab US Large Cap Growth Index |
| | Schwab Retirement Government Money | Schwab US Large Cap Value Index |
| | | Schwab US Large-Cap ETF |

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| | | |
|--|----------------------------------|--|
| Schwab US Large-Cap Growth ETF | Scripps Networks Interactive Inc | Seafarer Overseas Growth and Income |
| Schwab US Large-Cap Value ETF | Scudder Balanced | Seagate Technology Holdings plc |
| Schwab US Mid Cap Index | Scudder Cash Reserve | Seagen Inc |
| Schwab US Small-Cap ETF | Scudder Development | Sealed Air Corp |
| Schwab US TIPS ETF | Scudder Dynamic Growth | Sealy Corp |
| Schwab US Treasury Money | Scudder Growth | Seanergy Maritime Holdings Corp |
| Schwab Value Advantage Money | Scudder Intl Equity Instl Value | Seaport Calibre Materials Acquisition Corp |
| Schwab Variable Share Price Money | Scudder MM Premium | Seaport Global Acquisition II Corp |
| Schwab Yield Plus | Scudder Muni Bond | SearchCore Inc |
| SciClone Pharmaceuticals Inc | Scudder NY TxFr Money | SEARCHLIGHT MINERALS CORP |
| SCIELE PHARMA INC | Scudder TaxFree Money Mkt | Sears Hometown and Outlet Stores Inc |
| Science 37 Holdings, Inc. | Scudder US Treas Money | SEARS ROEBUCK & CO |
| Science Applications International Corp | Scudder VS II Growth | Seasons Ser Allocation Moderate |
| Science Strategic Acquisition Corp Alpha | Scudder VS II Index 500 | Seasons Ser Strategy Bal Gro |
| Scientific Energy Inc | Scudder VS II Tech Growth | Seasons Ser Strategy Conserv Gr |
| Scientific Learning Corp | Scudder Yield Wise Money | Seasons Ser Strategy Growth |
| SCIENTIFIC TECHNOLOGIES INC | Scudder Yield Wise Muni | Seasons Ser Strategy Mod Gro |
| SCIENTIFIC-ATLANTA INC | Scully Royalty Ltd | SeaSpine Holdings Corp |
| Scilex Holding Co | Sculptor Acquisition Corp I | SeaWorld Entertainment Inc |
| SciQuest Inc | Sculptor Capital Management Inc | Second Bancorp Inc |
| SCO GROUP INC | SCVX Corp | Second Sight Medical Products Inc |
| SCOLR Pharma Inc. | SCYNEXIS Inc | Secoo Holding Ltd |
| Scope Industries Inc | SDCL EDGE Acquisition Corp | SECURAC CORP |
| Scopus BioPharma Inc | Sea Containers Ltd. | SECURE COMPUTING CORP |
| Scopus Video Networks Ltd | Sea Limited | SECURED DIGITAL APPLICATIONS |
| SCOR SA | Seaboard Corp | SECURELOGIC CORP |
| Score Media and Gaming Inc | SEABRIDGE GOLD INC | SecureWorks Corp |
| Scorpio Tankers Inc | SeaBright Holdings Inc | SECURITY BANK CORP |
| SCOTTISH POWER PLC | SEABULK INTERNATIONAL INC | Security Cap Preservation |
| SCOTTISH RE GROUP LTD | Seacoast Banking Corp of Florida | Security Capital Assurance Ltd |
| Scotts Miracle-Gro Co (The) | Seacoast Finl Svcs Corp | SECURITY CAPITAL/DE -CL A |
| Scout Money Market Federal | SEACOR Holdings Inc | |
| Scout Money Market Prime | Seacor Marine Holdings Inc | |
| Scout Small Cap | SeaCube Container Leasing Ltd | |
| scPharmaceuticals Inc | Seadrill Ltd | |
| Scpie Holdings Inc | Seadrill Partners LLC | |
| Scripps (E.W.) Co (The) | | |

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| | | |
|--|---|--|
| Security Equity | SEI SIIT Large Cap | SEI SIMT Multi Asset Inflation Managed |
| Security Federal Corp | SEI SIIT Large Cap Disciplined Equity | SEI SIMT Multi Strategy Alternative |
| Security National Financial Corp | SEI SIIT Large Cap Diversified Alpha | SEI SIMT S&P 500 Index |
| SEDONA CORP | SEI SIIT Large Cap Index | SEI SIMT Small Cap |
| SEEBEYOND TECHNOLOGY CORP | SEI SIIT Limited Duration Bond | SEI SIMT Small Cap Growth |
| Seelos Therapeutics Inc | SEI SIIT Long Duration | SEI SIMT Small Cap Value |
| Seer Inc | SEI SIIT Long Duration Credit | SEI SIMT Tax Managed Large Cap |
| SEGUE SOFTWARE INC | SEI SIIT Multi-Asset Real Return | SEI SIMT Tax Managed Managed Volatility |
| SEI Investments Co | SEI SIIT Opportunistic Income | SEI SIMT Tax Managed Small/Mid Cap |
| SEI SAAT Aggrsv Strategy | SEI SIIT Real Return Plus | SEI SIMT US Fixed Income |
| SEI SAAT Dvrs Gbl Growth | SEI SIIT S&P 500 Index | SEI SIMT US Managed Volatility |
| SEI SAAT Dvrs Moderate Gro | SEI SIIT Small Cap | SEI SIT Emerging Markets Debt |
| SEI SAAT Mkt Gro Strategy | SEI SIIT Small Mid Cap Eqty | SEI SIT Emerging Markets Equity |
| SEI SAAT Moderate Strategy | SEI SIIT Ultra Short Duration Bond | SEI SIT International Equity |
| SEI SDIT GNMA | SEI SIIT US Equity Factor Allocation | SEI SIT International Fixed Income |
| SEI SDIT Government | SEI SIIT US Managed Volatility | SEI SLAT Prime Obligation MM |
| SEI SDIT Government II | SEI SIIT World Equity EX US | SEI STET Instl TxFr MM |
| SEI SDIT MM | SEI SIIT World Select Equity | SEI STET Intrmd Muni |
| SEI SDIT Prime Obligation MM | SEI SIMT Core Fixed Income | SEI STET Short Muni |
| SEI SDIT Short-Duration Government | SEI SIMT Dynamic Asset Allocation | SEI STET Tax Advantaged Income |
| SEI SDIT Treasury MM | SEI SIMT Enhanced Income | SEI STET TxFr MM |
| SEI SDIT Treasury MM II | SEI SIMT Global Managed Volatility | SEITEL INC |
| SEI SDIT Ultra Short Duration Bond | SEI SIMT High Yield Bond | Seix High Yield |
| SEI SIIT Core Fixed Income | SEI SIMT Large Cap | Select Bancorp Inc |
| SEI SIIT Dynamic Asset Allocation | SEI SIMT Large Cap Diversified Alpha | Select Energy Services Inc |
| SEI SIIT Emerging Markets Debt | SEI SIMT Large Cap Growth | Select Income REIT |
| SEI SIIT Emerging Markets Equity | SEI SIMT Large Cap Index | Select Interior Concepts Inc |
| SEI SIIT Extended Duration | SEI SIMT Large Cap Value | Select Medical Corp |
| SEI SIIT Extended Market Index | SEI SIMT Multi Asset Accumulation | Select Medical Holdings Corp |
| SEI SIIT Global Managed Volatility | SEI SIMT Multi Asset Capital Stability | Selecta Biosciences Inc |
| SEI SIIT High Yield Bond | SEI SIMT Multi Asset Income | Selected American Shares |
| SEI SIIT Intermediate Duration Credit | | SELECTICA INC |
| SEI SIIT International Equity | | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
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| | | |
|-----------------------------------|---------------------------------|---|
| Selective Insurance Group Inc | SentinelOne Inc | Shanda Interactive Entertainment Ltd |
| Seligman Capital | Sentry Petroleum Ltd | ShangPharma Corp |
| Seligman Cash Mgmt - Prime | SEP Acquisition Corp | Shapeways Holdings, Inc. |
| Seligman Common Stock | Sepracor Inc. | Sharecare Inc |
| Seligman Growth | SEQUA CORP -CL A | Sharing Economy International Inc |
| Seligman High Yield | Sequans Communications SA | Sharps Compliance Corp |
| Seligman National Municipal | Sequenom Inc | SharpSpring Inc |
| Seligman Var Smaller Cap Value | Sequential Brands Group Inc | Shattuck Labs Inc |
| SELLAS Life Sciences Group Inc | Sequoia | Shaw Communications Inc. |
| Semantix Inc | Sera Prognostics Inc | Shaw Group Inc. (The) |
| SEMCO ENERGY INC | SeraCare Life Sciences Inc | SHEFFIELD |
| SemGroup Corp | SERENA SOFTWARE INC | PHARMACEUTICALS |
| SemiLEDs Corp | Seres Therapeutics Inc | Shelbourne Pptys I Inc |
| Seminis Inc | Seritage Growth Properties | Shelbourne Pptys Ii Inc |
| SEMITOOL INC | SEROLOGICALS CORP | Shelbourne Pptys Iii Inc |
| Semler Scientific Inc | SERVICE 1ST BANCORP | Shell Midstream Partners LP |
| SEMPRA ENERGY | SERVICE BANCORP INC | Shell Plc |
| SEMrush Holdings Inc | Service Corp International | SHELL TRANSPORT AND TRADING |
| Semtech Corp | Service Properties Trust | Shelter Acquisition Corp I |
| Sen Yu International Holdings Inc | SERVICEMASTER CO | Shelton NASDAQ 100 Index |
| Sendas Distribuidora S.A. | ServiceNow Inc | Shenandoah |
| SendGrid Inc | ServiceSource International Inc | Telecommunications Co |
| Seneca Foods Corp. | ServisFirst Bancshares Inc | Shengkai Innovations Inc |
| SENECO TECHNOLOGIES INC | Servotronics Inc. | Shengtai Pharmaceutical Inc |
| SenesTech Inc | SES AI Corporation | Shenkman Capital Short Duration High Income |
| Senetek Plc -Adr | SES Solar Inc | Shep Technologies Inc |
| SENOMYX INC | Seven Arts Pictures PLC | Sherwin-Williams Co (The) |
| SenoRx Inc | Seven Hills Realty Trust | SHIFT TECHNOLOGIES, INC |
| Sensata Technologies Holding Plc | Severn Bancorp Inc | Shift4 Payments Inc |
| Sensei Biotherapeutics Inc | SFL Corp Ltd | Shiner International Inc |
| Senseonics Holdings Inc | SFN Group Inc | Shinhan Financial Group Co Ltd |
| Sensient Technologies Corp | SFSB INC | Shire PLC |
| Sensus Healthcare Inc | SFT Balanced Stabilization | ShockWave Medical Inc |
| Sensytech Inc | SFT Core Bond | Shoe Carnival Inc |
| Senti Biosciences Inc | SFT Delaware Ivy Growth | SHOE PAVILION INC |
| SENTIGEN HOLDING CORP | SFT Index 500 | Shopify Inc |
| Sentinel Government Sec | SFX Entertainment Inc | SHOPKO STORES INC |
| Sentinel Low Duration Bond | SGI U.S. Large Cap Equity | SHOPPING.COM LTD |
| Sentinel Total Return Bond | SGL CARBON GROUP | Shore Bancshares Inc |
| | SGX PHARMACEUTICALS INC | |
| | Shake Shack Inc | |
| | Shamir Optical Industry Ltd | |
| | Shanda Games Ltd | |

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| | | |
|---|--|---|
| SHORE FINANCIAL CORP | Silent Witness Enterprises | Simplicity Bancorp Inc |
| ShoreTel Inc | SILGAN HOLDINGS INC | Simply Good Foods Co (The) |
| Short Term Bond Fund of America | Silicom Ltd | Simpson Manufacturing Co. Inc. |
| Short Term Income MM | Silicon Graphics International Corp | Sims Metal Management Ltd |
| Short Term Income US Govt | Silicon Image Inc | Simtek Corp |
| Short Term TxEx Bond | Silicon Laboratories Inc | Simula Inc |
| SHUAA Partners Acquisition Corp I | Silicon Motion Technology Corp | SIMULATIONS PLUS INC |
| SHUMATE INDUSTRIES INC | Silicon Storage Technology Inc | Sina Corp |
| SHURGARD STORAGE CTRS - CL A | Siliconix Inc | Sinclair Broadcast Group Inc |
| Shutterfly Inc | Siliconware Precision Industries Co Ltd | Singing Machine Co Inc |
| Shutterstock Inc | Silk Road Medical Inc | Singular Genomics Systems Inc |
| Shuttle Pharmaceuticals Holdings Inc | Sillenger Exploration Corp | Singularity Future Technology Ltd |
| SI Financial Group Inc | Silver Bay Realty Trust Corp | Sino Agro Food Inc |
| SI International Inc | Silver Bull Resources, Inc | Sino Assurance Inc |
| Sibanye-Stillwater Limited | SILVER DRAGON RESOURCES INC | Sino Clean Energy Inc |
| SI-BONE Inc | Silver Falcon Mining Inc | Sino Gas International Holdings Inc |
| Sicor Inc | Silver Spike Acquisition Corp II | Sino Shipping Holdings Inc |
| SIEBEL SYSTEMS INC | Silver Spring Networks Inc | Sino United Worldwide Consolidated Ltd |
| Siebert Financial Corp. | SILVER STAR ENERGY INC | SINO-American Development Corp |
| Siemens AG | Silver State Bancorp | SinoCoking Coal and Coke Chemical Industries Inc |
| Sienna Biopharmaceuticals Inc | SILVERADO GOLD MINES LTD | Sinoenergy Corporation |
| Sientra Inc | SilverBow Resources Inc | SINOFRESH HEALTHCARE INC |
| SIERRA HEALTH SERVICES | Silvercrest Asset Management Group Inc | SinoHub Inc |
| Sierra Lake Acquisition Corp | SilverCrest Metals Inc | Sinopec Shanghai Petrochemical Co Ltd |
| Sierra Oncology Inc | Silergate Capital Corporation | SinoTech Energy Ltd |
| Sierra Wireless Inc | Silverleaf Resorts Inc | Sinovac Biotech Ltd |
| SIFCO Industries Inc. | SILVERspac Inc | Sio Gene Therapies Inc |
| Sify Technologies Ltd | Silverstar Holdings Ltd | SIONIX CORP |
| SIGA TECHNOLOGIES INC | Simcere Pharmaceutical Group | SIPEX CORP |
| Sigilon Therapeutics Inc | Simclar Inc | SIRENZA MICRODEVICES INC |
| Sigma Designs Inc | Similarweb Ltd | SiRF Technology Holdings Inc |
| Sigma Lithium Corp | Simmons First National Corp | SIRICOMM INC |
| Sigma-Aldrich Corp | Simon Property Group Acquisition Holdings Inc | Sirius International Insurance Group Ltd |
| SigmaTel Inc | Simon Property Group Inc. | Sirius XM Holdings Inc |
| SIGMATRON | | SIRNA THERAPEUTICS INC |
| INTERNATIONAL INC | | |
| Signa Sports United GmbH | | |
| SIGNALIFE INC | | |
| Signet Jewelers Ltd | | |

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|--------------------------------|------------------------------|-----------------------------|
| Sirona Dental Systems Inc | Skibo Financial Corp | SmartFinancial Inc |
| Sirtris Pharmaceuticals Inc | Skillsoft Corp | SmartPros Ltd |
| SIRVA Inc. | SkillSoft Plc | SmartRent, Inc. |
| SIT Dividend Growth | Skillz Inc | SMARTVIDEO |
| SIT Large Cap Growth | Skins Inc | TECHNOLOGIES INC |
| SIT MN TxFr Income | Skullcandy Inc | Smead Value |
| SIT TxFr Income | SKY FINANCIAL GROUP INC | SMF ENERGY CORP |
| SIT US Government Sec | Sky Harbour Group | SmileDirectClub Inc |
| Site Centers Corp | Corporation | Smith & Nephew PLC |
| SITEL CORP | SKYEPHARMA PLC | Smith & Wesson Brands Inc |
| SiteOne Landscape Supply | Skyline Champion Corp | SMITH & WOLLENSKY |
| Inc | Sky-mobi Ltd | RSTRNT GRP |
| SiTime Corp | Skystar Bio-Pharmaceutical | Smith Barney Money - |
| SITO Mobile Ltd | Co | Retirmnt |
| Six Circles Credit | Skyterra Communications Inc | Smith International Inc. |
| Opportunities | SkyWater Technology Inc | Smith Micro Software Inc |
| Six Circles Global Bond | SKYWEST INC | Smithfield Foods Inc. |
| Six Circles International | Skyworks Solutions Inc | Smithtown Bancorp Inc |
| Unconstrained Equity | SKYX Platforms Corp | SMITHWAY MTR XPRESS -CL |
| Six Circles Managed Equity | SL Green Realty Corp | A |
| Portfolio International | SL Industries Inc. | Smurfit-Stone Container |
| Unconstrained | Slack Technologies Inc | Corp |
| Six Circles Managed Equity | SLADE'S FERRY BANCORP | Snap Inc |
| Portfolio US Unconstrained | Sleep Number Corp | Snap One Holdings Corp |
| Six Circles Tax Aware Bond | Slippery Rock Financial Corp | Snap-On Inc |
| Six Circles Tax Aware Ultra | SLM Corp | SNB BANCSHARES INC/TX |
| Short Duration | SLR Investment Corp | Snow Capital Opportunity |
| Six Circles Ultra Short | SM Energy Co | Snow Lake Resources Ltd |
| Duration | SM&A | Snowflake Inc |
| Six Circles US Unconstrained | Small Cap Premium & | Snyder's-Lance Inc |
| Equity | Dividend Income Fund Inc | Soapstone Networks Inc |
| Six Flags Entertainment Corp | SMALL CAP World Fund Inc | SOAR Technology Acquisition |
| Sixth Street Specialty Lending | SMALL WORLD KIDS INC | Corp |
| Inc | SMART & FINAL INC | Sobr Safe Inc |
| SIZELER PROPERTY | Smart & Final Stores Inc | SOC Telemed Inc |
| INVESTORS | SMART ENERGY SOLUTIONS | Social Capital Hedosophia |
| Sizmek Inc | INC | Holdings Corp IV |
| SJW Group | SMART Global Holdings Inc | Social Capital Hedosophia |
| SK Growth Opportunities | SMART Modular | Holdings Corp VI |
| Corp | Technologies (WWH) Inc | Social Capital Suvretta |
| SK Telecom Co Ltd | SMART MOVE INC | Holdings Corp II |
| Skechers U S A Inc | Smart Sand Inc | Social Capital Suvretta |
| Skeena Resources Ltd | Smart Share Global Limited | Holdings Corp IV |
| SKF AB | SMART Technologies Inc | |

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| | | |
|--------------------------------------|------------------------------------|-------------------------------------|
| Social Leverage Acquisition Corp I | Soluna Holdings Inc | SoundBite Communications Inc |
| Socialwise Inc | Solutia Inc. | SoundHound AI Inc |
| Societal CDMO Inc | SomaLogic Inc | SoundThinking Inc |
| SOCKET COMMUNICATIONS INC | Somanetics Corp | Soundview Technology Grp Inc |
| SodaStream International Ltd | Somaxon Pharmaceuticals Inc | Source Capital Inc. |
| SODEXHO ALLIANCE SA | SOMERA COMMUNICATIONS INC | SOURCECORP INC |
| SoFi Technologies, Inc. | Somerset Hills Bancorp | Sourcefire Inc |
| Softbrands Inc | SONA MOBILE HOLDINGS CORP | South Dakota Soybean Processors LLC |
| SOFTNET TECHNOLOGY CORP | Sonendo Inc | South Financial Group Inc (The) |
| Software Acquisition Group Inc II | Sonesta International Hotels Corp | South Jersey Industries Inc |
| Sogou Inc | Sonic Automotive Inc. | South Plains Financial Inc |
| Soho House & Co Inc | Sonic Corp | SOUTH STREET FINANCIAL CORP |
| Sohu com Ltd | Sonic Foundry Inc | South Texas Oil Co |
| Sol Gel Technologies Ltd | Sonic Innovations Inc | Southcoast Financial Corp |
| SOLA INTERNATIONAL INC | SONIC SOLUTIONS | Southcrest Fianacial Group Inc |
| Solar Enertech Corp | SonicWALL Inc | Southeastern Bank Financial Corp |
| Solar Integrated Roofing Corp | Sonida Senior Living Inc | SOUTHEASTERN BANKING CORP |
| SOLAR THIN FILMS INC | Sonnen Corp | Southern Co (The) |
| SolarCity Corp | Sono Group NV | Southern Co Gas |
| SolarEdge Technologies Inc | Sonoco Products Co | Southern Community Bancshares Inc |
| Solaris Oilfield Infrastructure Inc | SONOMA VALLEY BANCORP | Southern Community Financial Corp |
| SolarWindow Technologies Inc | Sonoma West Holdings Inc | Southern Copper Corp |
| Solarwinds Corp | Sonos Inc | Southern Financial Bancorp |
| SOLECTRON CORP | SonoSite Inc | Southern First Bankshares Inc |
| Soleno Therapeutics Inc | SONO-TEK CORP | Southern Michigan Bancorp Inc |
| Solera Holdings Inc | Sonterra Resources Inc | Southern Missouri Bancorp Inc |
| SOLEXA INC | SONUS PHARMACEUTICALS INC | Southern Star Energy Inc |
| Solid Biosciences Inc | Sony Group Corporation | Southern States Bancshares Inc |
| Solid Power Inc | Sorrento Therapeutics Inc | Southern Union Co |
| Soligenix Inc | Sotera Health Co | Southside Bancshares Inc |
| Solitario Exploration & Royalty Corp | SOTHEBY'S | |
| Soliton Inc | Sotherly Hotels Inc | |
| SOLO BRANDS INC | SOUND FEDERAL BANCORP | |
| SOLOMON TECHNOLOGIES INC | Sound Financial Bancorp Inc | |
| Solta Medical Inc | Sound Mind Investing | |
| | Sound Point Acquisition Corp I Ltd | |
| | Sound Shore | |

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| | | |
|-----------------------------|-------------------------------|------------------------------|
| SouthState Corporation | SPDR Blackstone/ GSO Senior | SPDR Index Shares Funds |
| Southtrust Corp | Loan ETF | S&P China ETF |
| SouthTrust US Treasury MM | SPDR Bloomberg Barclays 1- | SPDR MidCap 400 ETF Trust |
| SouthTrust Value | 10 Year TIPS ETF | SPDR MSCI ACWI ex-US ETF |
| Southwall Technologies Inc | SPDR Bloomberg Barclays | SPDR MSCI ACWI IMI ETF |
| Southwest Airlines Co. | Convertible Securities ETF | SPDR MSCI EAFE |
| Southwest Bancorp Inc | SPDR Bloomberg Barclays | StrategicFactors ETF |
| SOUTHWEST | Emerging Markets Local | SPDR MSCI USA |
| BANCORPORATION/TX | Bond ETF | StrategicFactors ETF |
| SOUTHWEST CMNTY | SPDR Bloomberg Barclays | SPDR Nuveen Bloomberg |
| BANCORP | High Yield Bond ETF | Barclays Municipal Bond ETF |
| Southwest Gas Holdings Inc | SPDR Bloomberg Barclays | SPDR Nuveen Bloomberg |
| Southwest Georgia Financial | Intermediate Term Treasury | Barclays Short Term |
| Corp | ETF | Municipal Bond ETF |
| Southwest Water Co | SPDR Bloomberg Barclays | SPDR Nuveen S&P High Yield |
| Southwestern Energy Co | International Treasury Bond | Municipal Bond ETF |
| Sovos Brands Inc | ETF | SPDR NYSE Technology ETF |
| SP Acquisition Holdings Inc | SPDR Bloomberg Barclays | SPDR Portfolio Aggregate |
| SP Balance Asset Alloc | Investment Grade Floating | Bond ETF |
| SP Consvr Asset Alloc | Rate ETF | SPDR Portfolio Developed |
| SP Davis Value Port | SPDR Bloomberg Barclays | World ex-US ETF |
| SP Growth Asset Alloc | Mortgage Backed Bond ETF | SPDR Portfolio Emerging |
| SP Intl Growth | SPDR Bloomberg Barclays | Markets ETF |
| SP Intl Value | Short Term High Yield Bond | SPDR Portfolio Intermediate |
| SP Large Cap Value | ETF | Term Corporate Bond ETF |
| SP Pimco High Yield | SPDR Bloomberg Barclays | SPDR Portfolio Long Term |
| SP Pimco Total Return | TIPS ETF | Corporate Bond ETF |
| SP Plus Corp | SPDR Citi International | SPDR Portfolio Long Term |
| SP Small Cap Value | Government Inflation- | Treasury ETF |
| SPACEDEV INC | Protected Bond ETF | SPDR Portfolio S&P 1500 |
| Span-America Medical | SPDR Dow Jones Global Real | Composite Stock Market ETF |
| Systems Inc | Estate ETF | SPDR Portfolio S&P 400 Mid |
| Spanish Broadcasting System | SPDR Dow Jones Industrial | Cap ETF |
| Inc | Average ETF Trust | SPDR Portfolio S&P 500 ETF |
| Spansion Inc | SPDR Dow Jones | SPDR Portfolio S&P 500 |
| SPAR GROUP INC | International Real Estate ETF | Growth ETF |
| SPARE BACKUP INC | SPDR Dow Jones REIT ETF | SPDR Portfolio S&P 500 High |
| Spark Networks SE | SPDR EURO STOXX 50 ETF | Dividend ETF |
| Spark Therapeutics Inc | SPDR Gold Trust | SPDR Portfolio S&P 600 Small |
| Sparks Networks Inc | SPDR ICE BofAML Broad High | Cap ETF |
| SpartanNash Co | Yield Bond ETF | SPDR Portfolio Short Term |
| Spartech Corp | SPDR Index Shares Funds - | Treasury ETF |
| SPARTON CORP | SPDR S&P Emerging Asia | SPDR Russell 1000 Low |
| SPATIALIGHT INC | Pacific ETF | Volatility Focus ETF |

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| SPDR Russell 1000 | SPDR S&P Software & Services ETF | SPECTRASCIENCE Inc |
| Momentum Focus ETF | SPDR S&P Transportation ETF | SPECTRASITE INC |
| SPDR Russell 1000 Yield Focus ETF | SPDR Series Trust - SPDR Kensho New Economies Composite ETF | SPECTRE GAMING INC |
| SPDR S&P 400 Mid Cap Growth ETF | SPDR Series Trust - SPDR Portfolio S&P 500 Value ETF | Spectrum Brands Holdings Inc |
| SPDR S&P 400 Mid Cap Value ETF | SPDR Series Trust - SPDR Portfolio Short Term Corporate Bond ETF | Spectrum Control Inc |
| Spdr S&P 500 Esg ETF | SPDR Series Trust S&P Oil & Gas Equipment & Services ETF | Spectrum Group International Inc |
| SPDR S&P 500 ETF Trust | SPDR Series Trust S&P Pharmaceuticals ETF | SPECTRUM ORGANIC PRODUCTS |
| SPDR S&P 500 Fossil Fuel Reserves Free ETF | SPDR Series Trust S&P Retail ETF | SPECTRUM SIGNAL PROCESSING |
| SPDR S&P 600 Small Cap ETF | SPDR Series Trust S&P Semiconductor ETF | Speechworks Intl Inc |
| SPDR S&P 600 Small Cap Growth ETF | SPDR Series Trust SPDR Bloomberg Barclays 1 3 Month T Bill ETF | Speed Commerce Inc |
| SPDR S&P 600 Small Cap Value ETF | SPDR SSGA US Large Cap Low Volatility Index ETF | SPEEDUS CORP |
| SPDR S&P Aerospace & Defense ETF | SPDR Wells Fargo Preferred Stock ETF | Speedway Motorsports Inc |
| SPDR S&P Bank ETF | SPDRSeries Trust S&P Oil & Gas Exploration & Production ETF | SPERO THERAPEUTICS INC |
| SPDR S&P Biotech ETF | SPEAKING ROSES INTL INC | Sphere Entertainment Co |
| SPDR S&P BRIC 40 ETF | Special Opportunities Fund Inc | SPHERIX INC |
| SPDR S&P Dividend ETF | Specialized Health Products International | Spiegel Inc |
| SPDR S&P Emerging Markets Small Cap ETF | SPECIALTY LABORATORIES INC | SPINDLETOP OIL & GAS CO |
| SPDR S&P Global Infrastructure ETF | Specialty Underwriters Alliance Inc | Spine Pain Management Inc |
| SPDR S&P Global Natural Resources ETF | Spectra Energy Corp | SPINNAKER EXPLORATION CO |
| SPDR S&P Health Care Equipment ETF | Spectra Energy Partners LP | SPIRE CORP |
| SPDR S&P Homebuilders ETF | Spectral Capital Corp | Spire Inc |
| SPDR S&P Insurance ETF | SPECTRALINK CORP | Spirit Aerosystems Holdings Inc |
| SPDR S&P International Dividend ETF | Spectranetics Corp(The) | Spirit Airlines Inc |
| SPDR S&P International Small Cap ETF | | Spirit MTA REIT |
| SPDR S&P Metals & Mining ETF | | Spirit of America Real Estate Inc & Gro |
| SPDR S&P North American Natural Resources ETF | | Spirit of Texas Bancshares Inc |
| SPDR S&P Regional Banking ETF | | Spirit Realty Capital Inc |
| | | Splash Beverage Group Inc |
| | | Splunk Inc |
| | | Spok Holdings Inc |
| | | Sport Chalet Inc |
| | | Sport Supply Group Inc |
| | | Sportradar Group AG |
| | | SPORTS AUTHORITY INC |
| | | SPORTS CLUB CO INC |
| | | Sports Properties Acquisition Corp |

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| SPORTS RESORTS INTL INC | SSgA Active ETF Trust - SPDR | Standard Mobile Inc |
| Sportsline.Com Inc | DoubleLine Total Return | Standard Motor Products Inc. |
| SPORTSMANS GUIDE INC | Tactical ET | Standard Register Co (The) |
| Sportsmans Warehouse | SSgA Bond Market | Standex International Corp |
| Holdings Inc | SSgA Core Opportunity | Standish Mellon Intl Fxd Inc |
| Sprague Resources LP | SSgA Disciplined Equity | Stanley Black & Decker Inc |
| Spreadtrum Communications | SSgA Intl Stock Selection | Stanley Furniture Co Inc |
| Inc | SSgA Money Market | STANLEY INC |
| Spring Valley Acquisition | SSgA Prime Money Market | Stantec Inc |
| Corp II | SSgA Small Cap | Staples Inc. |
| SpringBig Holdings Inc | SSgA TxFr Money Market | STAR BUFFET INC |
| SpringWorks Therapeutics | SSgA US Government Money | Star Bulk Carriers Corp |
| Inc | Market | Star Energy Corp |
| Sprinklr Inc | SSgA US Treasury Money | Star Gold Corp |
| Sprint Corp | Market | Star Group LP |
| Sprint Pcs Group | SSgA Yield Plus | Star Resorts Development |
| Sprott Inc | Ssp Solutions Inc | Inc |
| Sprott Physical Gold & Silver | SSR Mining Inc | Starbox Group Holdings Ltd |
| Trust | St Francis Cap Corp | Starbucks Corp |
| Sprott Physical Gold Trust | ST JOSEPH CAPITAL CORP | Starco Brands Inc |
| Sprott Physical Platinum and | St Paul Cos | STARCRAFT CORP |
| Palladium Trust | St. Joe Co (The) | Starent Networks Corp |
| Sprott Physical Silver Trust | St. Jude Medical Inc. | Starfield Resources Inc |
| Sprott Resource Lending | STAAR Surgical Co | Stargold Mines Inc |
| Corp | Stabilis Energy Inc | Starlims Technologies Ltd |
| Sprout Social Inc | Stadion Managed | Stars Group Inc |
| Sprouts Farmers Market Inc | STAG Industrial Inc | STARTECH ENVIRONMENTAL |
| Spruce Biosciences Inc | Stage Stores Inc | CORP |
| SPS Commerce Inc | Stagwell Inc | Starwood Hotels & Resorts |
| Sps Technologies Inc | Stallion Group (The) | Worldwide Inc. |
| SPSS Inc | Stamford Industrial Group | Starwood Property Trust Inc |
| SPX Technologies Inc | Inc | Starz |
| Spyglass Growth | Stamps.com Inc | State Auto Financial Corp |
| Square 1 Financial Inc | Stancorp Financial Group Inc. | State Bancorp Inc. |
| SquareSpace Inc | Standard AVB Financial Corp | State Bank Financial Corp |
| SQZ Biotechnologies Co | Standard BioTools Inc | State Farm Balanced |
| SRA International Inc. | STANDARD COMMERCIAL | State Farm Bond |
| Src energy inc | CORP | State Farm Equity |
| SRH Total Return Fund Inc | Standard Diversified Inc | State Farm Growth |
| SRI/SURGICAL EXPRESS INC | Standard Lithium Ltd | State Farm Interim |
| SRS Labs Inc | STANDARD MANAGEMENT | State Farm Intl Index |
| SS&C Technologies Holdings | CORP | State Farm LifePath 2010 |
| Inc | STANDARD MICROSYSTEMS | State Farm LifePath 2020 |
| SSA GLOBAL TECHNOLOGIES | CORP | State Farm LifePath 2030 |

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|-------------------------------|-------------------------------|-----------------------------|
| State Farm LifePath 2040 | State Street Institutional | STEALTH MEDIALABS INC |
| State Farm LifePath | Small Cap Equity | StealthGas Inc |
| Retirement | State Street Institutional | STEC Inc |
| State Farm Money Market | Strategic Investment | Steel Connect Inc |
| State Farm S&P 500 Index | State Street Institutional | Steel Dynamics Inc |
| State Farm Small Cap Index | Treasury MMF | Steel Excel Inc |
| State Farm Tax Advntg Bond | State Street Institutional | Steel Partners Holdings LP |
| State Farm VPT Intl Equity | Treasury Plus MMF | STEEL TECHNOLOGIES INC |
| Idx | State Street Institutional US | Steelcase Inc. |
| State Farm VPT Lg Cap Eqty | Equity | STEELCLOUD INC |
| Idx | State Street Institutional US | Stein Mart Inc |
| State Farm VPT Sml Cap Eq | Government MMF | STEINER LEISURE LTD |
| Idx | State Street Instl Tax Free | Steinway Musical |
| STATE FINL SVCS CORP -CL A | Money Market | Instruments Inc. |
| STATE NATIONAL | State Street S&P 500 Index | Stellantis NV |
| BANCSHARS INC | State Street Target | Stellar Acquisition III Inc |
| State National Companies Inc | Retirement | Stellar Bancorp, Inc |
| State St Rsrch Govt Income | State Street Target | Stellar Biotechnologies Inc |
| State St Rsrch High Income | Retirement 2020 | STELLAR PHARMACEUTICALS |
| State St Rsrch Invstmnt Tr | State Street Target | INC |
| State St Rsrch Mid Cap | Retirement 2025 | StellarOne Corp |
| Growth | State Street Target | STELLENT INC |
| State St Rsrch Money Mkt | Retirement 2030 | Stelmar Shipping Ltd |
| State Street Aggregate Bond | State Street Target | STEM, INC. |
| Index | Retirement 2035 | Stepan Co |
| State Street Corporation | State Street Target | Stereotaxis Inc |
| State Street Disciplined | Retirement 2040 | Steris Plc |
| Emerging Markets | State Street Target | Sterling Bancorp |
| State Street Emerging | Retirement 2045 | Sterling Bancorp Inc |
| Markets Equity Index | State Street Target | Sterling Bancshares Inc |
| State Street Equity 500 Index | Retirement 2050 | Sterling Banks Inc |
| State Street ESG Liquid | State Street Target | Sterling Cap Prime Money |
| Reserves | Retirement 2055 | Market |
| State Street Global All Cap | State Street Total Return | Sterling Cap US Treasury |
| Equity ex-US Index | V.I.S. | Money Markent Fund |
| State Street Hedged | State Street Treasury | Sterling Capital Mid Value |
| International Developed | Obligations Money Market | Sterling Capital Small Cap |
| Equity Index | State Street US Core Equity | Value |
| State Street Income | Staten Island Bancorp Inc | Sterling Check Corp |
| State Street Institutional | STATION CASINOS INC | Sterling Chemicals Inc |
| International Eqty | STATS CHIPPAC LTD | STERLING FINANCIAL CORP |
| State Street Institutional | STATSURE DIAGNOSTIC | Sterling Financial Corp/WA |
| Liquid Reserves | SYSTEMS | Sterling Infrastructure Inc |
| | SteadyMed Ltd | Sterling Mining Co |

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| Stevanato Group S.p.A. | Stonex Group Inc | Strategic Education Inc |
| Steven Madden Ltd | STORA ENSO OYJ | Strategic Global Income Fd |
| Stewardship Financial Corp | Storage Computer Corp | Strategic Hotels & Resorts |
| STEWART & STEVENSON | STORAGE TECHNOLOGY CP | Inc |
| SERVICES | STORE Capital Corp | Strategic Ptnr Bond |
| Stewart Enterprises Inc | STR Holdings Inc | Strategic Ptnr Capital Growth |
| Stewart Information Services | STR Sub Inc | Strategic Ptnr Concentrated |
| Corp | Straight Path | Growth |
| STG Group, Inc. | Communications Inc | Strategic Ptnr Intl Growth |
| STI Classic Balanced | STRATA OIL & GAS INC | Strategic Ptnr Total Return |
| STI Classic IL Super Sht Inc+ | STRATAGENE CORP | Bond |
| STI Classic Large Cap Quant | Strategic Advisers Core | STRATEGY INTL INSUR GRP |
| Eqty | Income | INC |
| STI Classic Ltd Fed Mrtg | Strategic Advisers Emerging | Strategy Shares - NASDAQ |
| STI Classic Mid Cap Core Eqty | Markets | 7HANDL Index ETF |
| STI Classic Strtgc Income | Strategic Advisers Fidelity | STRATEX NETWORKS INC |
| STI Classic Tax Sens Growth | Core Income | Stratos International Inc |
| Stifel Financial Corp. | Strategic Advisers Fidelity | Strattec Security Corp |
| Stillwater Mining Co | Emerging Markets | Stratus Media Group Inc |
| Stitch Fix Inc | Strategic Advisers Fidelity | Stratus Properties Inc |
| STMicroelectronics NV | International | Stream Global Services Inc |
| Stock Yards Bancorp Inc | Strategic Advisers Fidelity US | Streamline Health Solutions |
| STOCKERYALE INC | Total Stock | Stride Inc |
| Stockhouse Inc | Strategic Advisers Growth | STRIDE RITE CORP |
| Stock-Trak Group Inc | Strategic Advisers Income | Strong Adv Bond |
| Stoke Therapeutics Inc | Opportunities | Strong Adv Municipal Bond |
| STOLT OFFSHORE SA | Strategic Advisers | Strong Blue Chip |
| STOLT-NIELSEN SA | International | Strong Growth 20 |
| Stone Energy Corp | Strategic Advisers Large Cap | Strong Money Market |
| Stone Harbor Emerging | Strategic Advisers Municipal | Strong Multi Cap Value |
| Markets Income Fund | Bond | Strong TxFr Money |
| Stone Harbor High Yield | Strategic Advisers Short | Strongbridge Biopharma PLC |
| Bond | Duration | Stronghold Digital Mining Inc |
| Stone Harbor Local Markets | Strategic Advisers Small Mid | STRYKER CORP |
| Stone Ridge Alternative | Cap | Student Loan Corp (The) |
| Lending Risk Premium | Strategic Advisers Tax- | Studio City International |
| Stone Tan China Acquisition | Sensitive Short Duration | Holdings Ltd |
| Corp | Strategic Advisers US | STUDIO ONE MEDIA INC |
| Stonegate Mortgage Corp | Opportunity | STURGIS BANCORP INC |
| Stoneleigh Partners | Strategic Advisers US | Sturm Ruger & Co Inc. |
| Acquisition Corp | Opportunity II | Suburban Propane Partners |
| StoneMor Inc | Strategic Advisers Value | LP |
| STONEPATH GROUP INC | STRATEGIC DISTRIBUTION | Sucampo Pharmaceuticals |
| Stoneridge Inc. | INC | Inc |

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| | | |
|-------------------------------|------------------------------|-------------------------------|
| SuccessFactors Inc | SunAmerica Alternative | SunAmerica Strategic Bond |
| SUEZ | Strategies | SunAmerica US Govt Sec |
| Suffolk Bancorp | SunAmerica Balanced Assets | Sunbridge Capital Emerging |
| SULPHCO INC | SunAmerica Core Bond | Markets |
| Sumitomo Mitsui Financial | SunAmerica Focus Bal Strtgy | SunCoke Energy Inc |
| Group Inc | SunAmerica Focus Eqty | SunCoke Energy Partners LP |
| SUMMA INDUSTRIES | Strtgy | SUNCOM WIRELESS |
| Summer Infant Inc | SunAmerica Focus Gro & Inc | HOLDINGS INC |
| Summit America Television | SunAmerica Focus Growth | Suncor Energy Inc. |
| Inc | SunAmerica Focus Intl Equity | SunEdison Semiconductor |
| SUMMIT BANCSHARES | SunAmerica Focus Lg Cap | Ltd |
| INC/TX | Growth | Sunesis Pharmaceuticals Inc |
| SUMMIT BANK CORP/GA | SunAmerica Focus Lg Cap | SUNGARD DATA SYSTEMS |
| Summit Financial Group Inc | Value | INC |
| SUMMIT FINL CORP | SunAmerica Focus Mlt Ass | Sungy Mobile Ltd |
| Summit Hotel Properties Inc | Strt | Sunhydrogen Inc |
| Summit Materials Inc | SunAmerica Focus Small Cap | Sunlands Technology Group |
| Summit Midstream Partners | Growth | Sunlight Financial Holdings |
| LP | SunAmerica Focus Small Cap | Inc |
| Summit Properties Inc | Value | SunLink Health Systems Inc. |
| Summit Therapeutics Inc. | SunAmerica Focus Value | Sunnova Energy |
| Sumo Logic Inc | SunAmerica Focused Alpha | International Inc |
| SumTotal Systems Inc. | Growth Fund Inc | Sunoco Inc. |
| Sun American Bancorp | SunAmerica Focused Alpha | Sunoco LP |
| Sun Bancorp | Lg-Cp Fd | SunOpta Inc |
| Sun Bancorp Inc | SunAmerica GNMA Sec | Sunovia Energy Technologies |
| Sun Capital Davis Venture Val | SunAmerica Growth & | Inc |
| Sun Capital Invest Grd Bond | Income | SunPower Corp |
| Sun Capital Lord Abbett | SunAmerica High Yield Bond | SUNRISE ENERGY SERVICES |
| Growth & Income | SunAmerica Senior Floating | INC |
| Sun Capital PIMCO Total | Rate | Sunrise Real Estate Group Inc |
| Return | SunAmerica Ser Balanced | SUNRISE SENIOR LIVING INC |
| Sun Capital Real Estate | SunAmerica Ser Emerging | SUNRISE TELECOM INC |
| Sun Communities Inc. | Market | SUNSET FINANCIAL RES INC |
| Sun Country Airlines Holdings | SunAmerica Ser Global Bond | Sunshine Bancorp Inc |
| Inc | SunAmerica Ser High Yield | Sunshine Heart Inc |
| Sun Healthcare Group Inc | Bond | Sunstone Hotel Investors Inc |
| Sun Life Financial Inc | SunAmerica Ser Intl Grow & | SUNTERRA CORP |
| SUN MICROSYSTEMS INC | Inc | SUN-TIMES MEDIA GROUP |
| Sun River Energy Inc | SunAmerica Ser PUT Gro | INC |
| Sunair Services Corp | Voyager | SUNTRON CORP |
| SunAmerica 2010 Hi | SunAmerica Ser Real Estate | SunTrust Banks Inc. |
| Watermark | SunAmerica Ser Small Co | SunVesta Inc |
| | Value | |

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| | | |
|--------------------------------|-------------------------------|-------------------------------|
| Sunwin International | Svt Inc | Synergy Financial Group Inc |
| Neutraceuticals Inc | Swank Inc | Syneron Medical Ltd |
| Super Group SGHC Limited | Swedish Match Ab | Syngenta AG, Basel |
| Super League Gaming Inc | Sweetgreen Inc | Syniverse Holdings Inc |
| Super Micro Computer Inc | SWIFT TRANSPORTATION CO | SYNLOGIC, INC. |
| SuperCom Ltd | INC | Synopsys Inc |
| Superconductor | Swisher Hygiene Inc | Synova Healthcare Group Inc |
| Technologies Inc | Swiss Helvetia Fund Inc (The) | Synovics Pharmaceuticals Inc |
| Superior Bancorp | SWISSCOM AG | Synovis Life Technologies Inc |
| Superior Consultant Hldgs Cp | Switch & Data Facilities Co | Synovus Financial Corp. |
| Superior Drilling Products Inc | Inc | Synplicity Inc |
| Superior Energy Services Inc. | Switch Inc | Syntax-Brilliant Corp |
| Superior Essex Inc | Switchboard Inc | Syntel Inc |
| Superior Financial Corp De | SWS Group Inc. | Synthesis Energy Systems Inc |
| Superior Group of Cos Inc | Sybase Inc. | Synthetic Biologics Inc |
| Superior Industries | SYBRON DENTAL SPECIALTIES | Synthorx Inc |
| International Inc. | SYCAMORE NETWORKS INC | Syntroleum Corp |
| SUPERIOR WELL SERVICES | Sydys Corp | Synutra International Inc |
| INC | SYKES ENTERPRISES INC | Sypris Solutions Inc |
| Superlattice Power Inc | Sylvamo Corp | Syros Pharmaceuticals Inc |
| SuperMedia Inc | Sylvan Inc | SYS |
| Supernus Pharmaceuticals | SYMBION INC | Sysco Corporation |
| Inc | SYMBOL TECHNOLOGIES | Sysorex Inc |
| Supertex Inc | Symetra Financial Corp | System1 Inc |
| SUPERVALU INC. | Symmetricom Inc. | Systems & Computer Tech |
| Support.com Inc | Symmetry Medical Inc | Corp |
| Supreme Industries Inc. | Symmetry Panoramic Global | SYSWIN Inc |
| SureWest Communications | Equity | Szygy Entertainment Ltd |
| SURFECT HOLDINGS INC | Symmetry Panoramic US | T 3 Energy Services Inc |
| Surgalign Holdings Inc | Equity | T Rowe Price All-Cap |
| Surgery Partners Inc | Symmetry Surgical Inc | Opportunities |
| Surgical Care Affiliates Inc | SYMYX TECHNOLOGIES INC | T Rowe Price Balanced |
| SurModics Inc | Synacor Inc | T Rowe Price Blue Chip |
| Surna Inc | Synageva BioPharma Corp | Growth |
| SURREY BANCORP | SYNAGRO TECHNOLOGIES | T Rowe Price CA TxFr Bond |
| Surrozen Inc | INC | T Rowe Price Capital |
| Susquehanna Bancshares Inc | SYNAPTICS INC | Appreciation |
| Susser Holdings Corp | Synchronoss Technologies | T Rowe Price Cash Reserves |
| Sutor Technology Group Ltd | Inc | T Rowe Price |
| Sutro Biopharma Inc | Synchrony Financial | Communications & |
| Sutron Corp | Syndax Pharmaceuticals Inc | Technology |
| SUTURA INC | Syneos Health Inc | T Rowe Price Corporate |
| Suzano SA | Synergetics USA Inc | Income |
| SVB FINANCIAL SERVICES INC | Synergy CHC Corp | |

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| T Rowe Price Diversified Mid Cap Growth | T Rowe Price Inflation Protected Bond | T Rowe Price Mid Cap Growth |
| T Rowe Price Dividend Growth | T Rowe Price Institutional Core Plus | T Rowe Price Mid Cap Value |
| T Rowe Price Dynamic Global Bond | T Rowe Price Institutional Emerging Markets Equity | T Rowe Price New Asia |
| T Rowe Price Emerging Europe | T Rowe Price Institutional Floating Rate | T Rowe Price New Era |
| T Rowe Price Emerging Market Bond | T Rowe Price Institutional High Yield | T Rowe Price New Horizons |
| T Rowe Price Emerging Market Stock | T Rowe Price Institutional Large Cap Core Growth | T Rowe Price New Income |
| T Rowe Price Emerging Markets Corporate Bond | T Rowe Price Institutional Mid Cap Equity Growth | T Rowe Price New Jersey Tax Free Bond |
| T Rowe Price Emerging Markets Discovery Stock | T Rowe Price Institutional Small Cap Stock | T Rowe Price New York Tax Free Bond |
| T Rowe Price Emerging Markets Local Currency Bond | T Rowe Price Institutional US Structured Research | T Rowe Price Overseas Stock |
| T Rowe Price Equity Income | T Rowe Price International Bond | T Rowe Price QM US Bond Index |
| T Rowe Price Equity Index 500 | T Rowe Price International Bond (USD Hedged) | T Rowe Price QM US Small-Cap Growth Equity |
| T Rowe Price European Stock | T Rowe Price International Disciplined Equity | T Rowe Price Real Assets |
| T Rowe Price Extended Equity Market Index | T Rowe Price International Discovery | T Rowe Price Real Estate |
| T Rowe Price Financial Services | T Rowe Price International Equity Index | T Rowe Price Retirement 2005 |
| T Rowe Price Floating Rate | T Rowe Price International Stock | T Rowe Price Retirement 2010 |
| T Rowe Price Global Allocation | T Rowe Price International Value Equity | T Rowe Price Retirement 2015 |
| T Rowe Price Global Growth Stock | T Rowe Price Japan | T Rowe Price Retirement 2020 |
| T Rowe Price Global Multi-Sector Bond | T Rowe Price Large Cap Growth | T Rowe Price Retirement 2025 |
| T Rowe Price Global Stock | T Rowe Price Large Cap Value | T Rowe Price Retirement 2030 |
| T Rowe Price Global Technology | T Rowe Price Latin America | T Rowe Price Retirement 2035 |
| T Rowe Price GNMA | T Rowe Price Limited Duration Inflation Focused Bond | T Rowe Price Retirement 2040 |
| T Rowe Price Government Money | T Rowe Price Maryland Tax Free Bond | T Rowe Price Retirement 2045 |
| T Rowe Price Growth & Income | T Rowe Price MD Tax Free Short Bond | T Rowe Price Retirement 2050 |
| T Rowe Price Growth Stock | | T Rowe Price Retirement 2055 |
| T Rowe Price Health Sciences | | T Rowe Price Retirement 2060 |
| T Rowe Price High Yield | | T Rowe Price Retirement Balanced |

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| T Rowe Price Retirement Balanced I | T Rowe Price Summit Muni Intermediate | T3 Motion Inc |
| T Rowe Price Retirement I 2010 | T Rowe Price Target 2025 | TA Idex Janus Growth |
| T Rowe Price Retirement I 2015 | T Rowe Price Target 2030 | TA Idex Salomon Investors Val |
| T Rowe Price Retirement I 2020 | T Rowe Price Tax Efficient Equity | TA Idex Van Kampen Small Company Gro |
| T Rowe Price Retirement I 2025 | T Rowe Price Tax Exempt Money Market | Tableau Software Inc |
| T Rowe Price Retirement I 2030 | T Rowe Price Tax Free High Yield | Taboola.com Ltd |
| T Rowe Price Retirement I 2035 | T Rowe Price Tax Free Income | Tabula Rasa HealthCare Inc |
| T Rowe Price Retirement I 2040 | T Rowe Price Tax Free Short Intermediate | Tactile Systems Technology Inc |
| T Rowe Price Retirement I 2045 | T Rowe Price Total Equity Market Index | TAG ENTERTAINMENT CORP |
| T Rowe Price Retirement I 2050 | T Rowe Price Total Return | TAG OIL LTD |
| T Rowe Price Retirement I 2055 | T Rowe Price U.S. High Yield | TAG-IT PACIFIC INC |
| T Rowe Price Retirement I 2060 | T Rowe Price Ultra Short Term Bond | Tahoe Resources Inc |
| T Rowe Price Science & Technology | T Rowe Price US Equity Research | Tailored Brands Inc |
| T Rowe Price Short Term Bond | T Rowe Price US Large Cap Core | Taiwan Fund Inc. (The) |
| T Rowe Price Small Cap Stock | T Rowe Price US Limited Duration TIPS Index | Taiwan Semiconductor Manufacturing Co Ltd |
| T Rowe Price Small Cap Value | T Rowe Price US Treasury Intermediate Index | Takeda Pharmaceutical Co Ltd |
| T Rowe Price Spectrum Conservative Allocation | T Rowe Price US Treasury Long Term Index | Take-Two Interactive Software Inc |
| T Rowe Price Spectrum Diversified Equity | T Rowe Price US Treasury Money | Takung Art Co Ltd |
| T Rowe Price Spectrum Income | T Rowe Price Value | TAL Education Group |
| T Rowe Price Spectrum International Equity | T Rowe Price Virginia Tax Free Bond | TAL International Group Inc |
| T Rowe Price Spectrum Moderate Allocation | T. Rowe Price Blue Chip Growth | Talbots Inc. (The) |
| T Rowe Price Spectrum Moderate Growth Allocation | T. Rowe Price Equity Income | Talecris Biotherapeutics Holdings Corp |
| T Rowe Price Summit Muni Income | T. Rowe Price Group Inc | Talen Energy Corp |
| | T. Rowe Price Health Science | Talend SA |
| | T. Rowe Price Intl Stock | Taleo Corp |
| | T. Rowe Price Mid-Cap Growth | Talis Biomedical Corp |
| | T2 Biosystems Inc | Talisman Energy Inc. |
| | | TALK AMERICA HOLDINGS INC |
| | | TALKPOINT COMMUNICATIONS INC |
| | | Talkspace, Inc. |
| | | Tallgrass Energy GP LP |
| | | Tallgrass Energy Partners LP |
| | | Talmer Bancorp Inc |
| | | Talos Energy Inc |
| | | TALX CORP |

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|-----------------------------|-----------------------------|---------------------------|
| TAM SA | TARRAGON CORP | TCW Special Purpose |
| Tamalpais Bancorp | TARRANT APPAREL GROUP | Acquisition Corp |
| Tamarack Enterprise | Tarsus Pharmaceuticals Inc | TCW Total Return Bond |
| Tamarack Instl Prime MM | Taseko Mines Ltd | TCW Value Opportunities |
| Tamarack Instl TxFr MMkt | TASKER PRODUCTS CORP | Tcw/Dw Term Trust 2003 |
| Tamarack Micro Cap Value | Tasman Metals Ltd | TD AMERITRADE Holding |
| Tamarack Value | Tasty Baking Co | Corp |
| Taminco Corp | TAT Technologies Ltd | TD BANKNORTH INC |
| Tamm Oil and Gas Corp | Tata Communications Ltd | TD Institutional Treasury |
| Tandem Diabetes Care Inc | Tata Motors Ltd | Obligations MM |
| TANDY BRANDS | Taubman Centers Inc. | TD Institutional US |
| ACCESSORIES INC | Tax Free Fund For Utah | Government |
| Tandy Leather Factory Inc | Tax Free Fund Of Colorado | TD Money Market |
| Tanger Factory Outlet | Tax Free Trust Of Arizona | TD Municipal MM |
| Centers Inc. | TaxFree Money Market | TD SYNEX Corporation |
| Tango Therapeutics Inc. | TAYLOR CALVIN B | TD US Government |
| Tangoe Inc | BANKSHARES | TD Waterhouse MM Plus |
| TANOX INC | Taylor Capital Group Inc | TDAM CA Muni MM |
| Tapestry Inc | Taylor Morrison Home | TDC A/S |
| TAPESTRY | Corporation | TDCX Inc |
| PHARMACEUTICALS INC | Taysha Gene Therapies Inc | TDK CORP |
| TARA GOLD RESOURCES | TB WOOD'S CORP | Tdk Mediactive Inc |
| CORP | TBC CORP | TE Connectivity Ltd |
| TARANTELLA INC | TBS International Plc | Team Financial Inc |
| Tarena International Inc | TC Energy Corp | Team Health Holdings Inc |
| Targa Resources Corp | TC PipeLines LP | Team Inc |
| Targa Resources Partners LP | TCF Financial Corp | TearLab Corp |
| Targanta Therapeutics Corp | TCM Small Cap Growth | Teavana Holdings Inc |
| Target Corp | TCP International Holdings | Tech Data Corp |
| Target Growth Allocation | Ltd | Tech/Ops Sevcon Inc. |
| Target Hospitality Corp | TCR2 Therapeutics Inc | TECHE HOLDING CO |
| Target International Eqty | TCV Acquisition Corp | Technicolor |
| Port | Tcw Conv Sec Fund | TechnipFMC plc |
| Target Large Cap Growth | TCW Core Fixed Income | TECHNOCONCEPTS INC |
| Port | TCW Emerging Markets | Technology Research Corp |
| Target Large Cap Value Port | Income | Technology Select Sector |
| TARGET LOGISTICS INC | TCW Galileo High Yield Bond | SPDR Fund (The) |
| Target Moderate Allocation | TCW Money Market | TECHNOLOGY SOLUTIONS CO |
| Target Total Return Bond | TCW Relative Value Dividend | TechPrecision Corp |
| Port | Appreciation | TechTarget Inc |
| TARGETED GENETICS CORP | TCW Relative Value Large | TechTeam Global Inc |
| Targeted Medical Pharma Inc | Cap | Techwell Inc |
| Taro Pharmaceutical | TCW Select Equities | Teck Resources Ltd |
| Industries Ltd | TCW Small Cap Growth | Tecnoglass Inc |

Public Company Accounting Oversight Board
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|-----------------------------|-----------------------------|-----------------------------|
| TECNOMATIX | Telefonica De Argentina SA | Templeton Emerging |
| TECHNOLOGIES LTD | TELEFONICA MOVILES SA | Markets Income Fund Inc. |
| TECO Energy Inc. | Telefonica SA | Templeton Foreign |
| Tecogen Inc | Telefonos De Mexico SA | Templeton Foreign Smaller |
| Tecumseh Products Co | Telmex | Co |
| Teekay Corp | TELEKOM AUSTRIA AG | Templeton Frontier Markets |
| Teekay LNG Partners LP | TELEMIG CELULAR | Templeton Global Bond |
| Teekay Offshore Partners LP | PARTICIPACOE | Templeton Global Income |
| Teekay Tankers Ltd | Telenav Inc | Fund |
| Tefron Ltd | TELENOR ASA | Templeton Global Long-Short |
| Tegal Corp | TELENT PLC | Templeton Global |
| TEGNA Inc | Telephone and Data Systems | Opportunity |
| TEJAS INC | Inc | Templeton Global Small Co |
| Tejon Ranch Co. | TELEPLUS ENTERPRISES INC | Templeton Global Total |
| Tekelec | Telesat Corp | Return |
| Tekla Healthcare Investors | Telesis Bio Inc | Templeton Growth |
| Tekla Healthcare | Telestone Technologies Corp | Templeton Institutional |
| Opportunities Fund | TELESYSTEM INTL WIRELESS | International Equity |
| Tekla World Healthcare Fund | INC | Templeton Instl Foreign Sm |
| TEKOIL & GAS CORP | TELEWEST GLOBAL INC | Co. |
| TEKTRONIX INC | Teliasonera Ab | Templeton Intl Emerging |
| TEL OFFSHORE TRUST | Teligent Inc | Market |
| TELA Bio Inc | TELIK INC | Templeton Intl Foreign |
| Teladoc Health Inc | Telkom Sa Ltd | Templeton NVIT |
| TELANETIX INC | TELKONET INC | International Value |
| Telava Networks Inc | Tellabs Inc | Templeton Russia & East |
| TELE CENTRO OESTE | Tellium Inc | European Fund Inc. |
| CELULAR | Tellurian Inc. | Templeton World |
| TELE LESTE CELULAR | Telmex Internacional SAB de | Tempur Sealy International |
| PARTICIPA | CV | Inc |
| Tele Nordeste Celular Part | Telos Corp/MD | Tenable Holdings Inc |
| TELE NORTE CELULAR | TELSTRA CORP LTD | Tenaris SA, Luxembourg |
| PARTICIPA | Telvent GIT SA | Tenax Therapeutics Inc |
| TELE NORTE LESTE | Tembec Inc | Tenaya Therapeutics Inc |
| PARTICIPACO | Temecula Valley Bancorp Inc | Tencent Music |
| TELE SUDESTE CELULAR PART | Temple-Inland Inc. | Entertainment Group |
| Telecom Argentina SA | Templeton BRIC | Tenet Healthcare Corp |
| Telecom Corp of New | Templeton Cap Accumulator | Tenfold Corp |
| Zealand Ltd | Templeton China World | Tengion Inc |
| Telecom Italia SPA | Templeton Developing | TENGTU INTL CORP |
| TeleCommunication Systems | Market | Tennant Co |
| Inc | Templeton Dragon Fund Inc | Tennessee Commerce |
| Teledyne Technologies Inc. | Templeton Emerging | Bancorp |
| Teleflex Inc | Markets Fund | Tezening Acquisition Corp |

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| | | |
|---------------------------------|---|--|
| TEPPCO Partners LP | Tetraphase Pharmaceuticals Inc | The Japan Fund |
| Teradata Corporation | Teucrium Commodity Trust | The Kraft Heinz Co |
| Teradyne Inc | Teva Pharmaceutical Industries Ltd | The Marygold Companies Inc |
| TERAFORCE TECHNOLOGY CORP | Texada Ventures Inc | The Music Acquisition Corp |
| TERAWULF INC | Texas Capital Bancshares Inc | The Necessity Retail REIT Inc |
| TERAYON COMMUN SYSTEMS INC | Texas Genco Holdings Inc | The New Economy Fund |
| TERCICA INC | Texas Industries Inc. | The New York Times Company |
| Terex Corp | Texas Instruments Inc | The ODP Corporation |
| Terminix Global Holdings Inc | Texas Pacific Land Corp | The PNC Financial Services Group Inc |
| Ternium SA | TEXAS REGL BCSHS INC -CL A | The Select Sector SPDR Trust - The Energy Select Sector SPDR Fun |
| Terns Pharmaceuticals Inc | TEXAS ROADHOUSE INC | The Select Sector SPDR Trust - The Financial Select Sector SPDR |
| TERRA ENERGY & RESOURCE TECH | TEXAS UTD BANCSHARES INC | The Select Sector SPDR Trust-Communication Services Select Secto |
| Terra Energy Resources Ltd | Texen Oil & Gas Inc | The Shyft Group Inc |
| Terra Industries Inc. | Textainer Group Holdings Ltd | The TxEt Bond Fund of America Inc |
| Terra Networks Sa | Textron Inc | The TxEt Fund CA |
| Terra Nitrogen Co LP | Textura Corp | The TxEt Fund MD |
| TERRA NOSTRA RESOURCES CORP | TF Financial Corp | The TxEt Fund VA |
| Terra Nova Financial Group Inc | TFF Pharmaceuticals Inc | The Valens Company Inc |
| TerraForm Global Inc | TFI International Inc | The Ziegler Companies, Inc. |
| TerraForm Power Inc | TFS Financial Corp | The9 Ltd |
| Terran Orbital Corporation | TFS Market Neutral | Theragenics Corp |
| Terrapin 3 Acquisition Corp | TG Therapeutics Inc | TherapeuticsMD Inc |
| TerraVia Holdings Inc | TGIT Templeton Emerging Markets Small Cap | THERASENSE INC |
| Terremark Worldwide Inc. | TGIT Templeton Global Balanced | Theratechnologies Inc |
| Terreno Realty Corp | The Allstate Corporation | Theravance Biopharma Inc |
| TerreStar Corp | The Aristotle Corp | THERMA WAVE INC |
| Territorial Bancorp Inc | The Bank of Nova Scotia | Thermadyne Holdings Corp |
| TESARO Inc | The Bond Fund of America Inc | Thermo Fisher Scientific Inc |
| Tesco Corp | The Chesapeake Core Growth | THERMOENERGY CORP |
| Tesla Inc | The Cigna Group | THERMOGENESIS CORP |
| Tesma Internatnal Inc -CI A | The Duckhorn Portfolio Inc | Thermon Group Holdings Inc |
| TESSCO TECHNOLOGIES INC | The Growth Fund of America Inc | TheStreet Inc |
| TETON ENERGY CORP | The Income Fund of America Inc | thinkorswim Group Inc |
| TETON Westwood Mighty Mites | The Investment Company of America | Third Avenue Focused Credit |
| Tetra Tech Inc | The J M Smucker Company | Third Avenue Intl Value |
| TETRA Technologies Inc. | | |
| TetraLogic Pharmaceuticals Corp | | |

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| | | |
|--|---|---|
| Third Avenue Real Estate Value | Thornburg Ltd Term US Govt | Thrivent VIP Balanced |
| Third Avenue Small Cap Value | Thornburg Small/Mid Cap Core | Thrivent VIP Bond Index |
| Third Avenue Value | Thornburg Small/Mid Cap Growth | Thrivent VIP Diversified Income Plus |
| Third Avenue Value Port VAR | Thornburg Strategic Income | Thrivent VIP Global Stock |
| Third Coast Bancshares Inc | Thorne HealthTech Inc | Thrivent VIP High Yield |
| Third Harmonic Bio Inc | Thoughtworks Holding Inc | Thrivent VIP Income |
| THIRD WAVE TECHNOLOGIES INC | THQ INC | Thrivent VIP International Allocation |
| Thistle Group Hldgs Co | Threadneedle International Opportunity | Thrivent VIP Large Cap Gro II |
| Thomas & Betts Corp | ThredUp Inc | Thrivent VIP Large Cap Growth |
| THOMAS EQUIPMENT INC | THREE-FIVE SYSTEMS INC | Thrivent VIP Large Cap Index |
| THOMAS GROUP INC | Thrive Acquisition Corporation | Thrivent VIP Large Cap Value |
| THOMAS INDUSTRIES INC | Thrivent Aggressive Allocation | Thrivent VIP Limited Bond |
| Thomas Properties Group Inc | Thrivent Balanced | Thrivent VIP Mid Cap Growth |
| Thomas Weisel Partners Group Inc | Thrivent Diversified Income Plus | Thrivent VIP Mid Cap Index |
| Thomas White International | Thrivent Global Stock | Thrivent VIP Mid Cap Stock |
| THOMASVILLE BANCSHARES | Thrivent High Yield | Thrivent VIP Moderate Allocation |
| Thompson Bond | Thrivent Income | Thrivent VIP Moderately Aggressive Allocation |
| Thompson Creek Metals Co Inc | Thrivent International Allocation | Thrivent VIP Moderately Conservative Allocation |
| Thompson Plumb Growth | Thrivent Large Cap Growth | Thrivent VIP Money Market |
| Thomson Reuters Corp | Thrivent Large Cap Value | Thrivent VIP Partner Intl Stk |
| Thor Industries Inc. | Thrivent Ltd Mat Bond | Thrivent VIP Real Est Sec |
| Thoratec Corp | Thrivent Mid Cap Growth | Thrivent VIP Small Cap Idx |
| Thornburg CA Limited Term Municipal | Thrivent Mid Cap Stock | Thrivent VIP Small Cap Stk |
| Thornburg Developing World | Thrivent Moderate Allocation | Thryv Holdings Inc |
| Thornburg Global Opportunities | Thrivent Moderately Aggressive Allocation | THT Heat Transfer Technology Inc |
| Thornburg Income Builder Opportunities Trust | Thrivent Moderately Conservative Allocation | Thunder Bridge Acquisition II Ltd |
| Thornburg Intermediate Municipal | Thrivent Money Market | Thunder Bridge Capital Partners III Inc |
| Thornburg International Equity | Thrivent Municipal Bond | Thunder Bridge Capital Partners IV Inc |
| Thornburg International Growth | Thrivent Opportunity Income Plus | TIAA-CREF Bond Index |
| Thornburg Investment Income Builder | Thrivent Partner Intl Stock | TIAA-CREF Bond Plus |
| Thornburg Ltd Term Income | Thrivent Small Cap Stock | TIAA-CREF Core Bond |
| Thornburg Ltd Term Muni | Thrivent VIP Aggressive Allocation | TIAA-CREF Core Impact Bond |
| | | TIAA-CREF Core Plus Bond |

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| | | |
|---|--|--|
| TIAA-CREF Emerging Markets Debt | TIAA-CREF Lifecycle Index 2025 | TIAA-CREF Short-Term Bond Index |
| TIAA-CREF Emerging Markets Equity | TIAA-CREF Lifecycle Index 2030 | TIAA-CREF Small Cap Blend Index |
| TIAA-CREF Emerging Markets Equity Index | TIAA-CREF Lifecycle Index 2035 | TIAA-CREF Social Choice Equity |
| TIAA-CREF Equity Index | TIAA-CREF Lifecycle Index 2040 | TIAA-CREF Social Choice International Equity |
| TIAA-CREF Growth & Income | TIAA-CREF Lifecycle Index 2045 | TIAA-CREF Social Choice Low Carbon Equity |
| TIAA-CREF Growth Equity | TIAA-CREF Lifecycle Index 2050 | TIAA-CREF Tax Exempt Bond |
| TIAA-CREF High Yield | TIAA-CREF Lifecycle Index 2055 | Tianli Agritech Inc |
| TIAA-CREF High Yield Bond | TIAA-CREF Lifecycle Index 2060 | Tianyin Pharmaceutical Co Inc |
| TIAA-CREF Inflation Linked Bond | TIAA-CREF Lifecycle Index Retirement Income | TIB Financial Corp |
| TIAA-CREF International Bond | TIAA-CREF Lifecycle Retirement Income | TIBCO Software Inc |
| TIAA-CREF International Equity | TIAA-CREF Lifestyle Moderate | TICC Capital Corp |
| TIAA-CREF International Equity Index | TIAA-CREF Managed Allocation | Ticketmaster Entertainment Inc |
| TIAA-CREF International Opportunities | TIAA-CREF Mid Cap Growth | Tidelands Bancshares Inc |
| TIAA-CREF Large Cap Growth | TIAA-CREF Mid Cap Value | TIDELANDS OIL & GAS CORP |
| TIAA-CREF Large Cap Growth Index | TIAA-CREF Money Market | TIDELANDS ROYALTY TRUST B |
| TIAA-CREF Large Cap Value | TIAA-CREF PA Stock Index | Tidewater Inc. |
| TIAA-CREF Large Cap Value Index | TIAA-CREF Quant International Equity | Tiens Biotech Group USA Inc. |
| TIAA-CREF Life Stock Index | TIAA-CREF Quant International Small-Cap Equity | Tier REIT Inc |
| TIAA-CREF Lifecycle 2010 | TIAA-CREF Quant Large-Cap Growth | TIERONE CORP |
| TIAA-CREF Lifecycle 2015 | TIAA-CREF Quant Large-Cap Value | TIFF Multi Asset |
| TIAA-CREF Lifecycle 2020 | TIAA-CREF Quant Small Cap Equity | TIFI Emerging Mkts Series |
| TIAA-CREF Lifecycle 2025 | TIAA-CREF Quant Small/Mid-Cap Equity | TigerLogic Corp |
| TIAA-CREF Lifecycle 2030 | TIAA-CREF Real Estate Sec | TII NETWORK |
| TIAA-CREF Lifecycle 2035 | TIAA-CREF S&P 500 Index | TECHNOLOGIES INC |
| TIAA-CREF Lifecycle 2040 | TIAA-CREF Short Term Bond | Tilly's Inc |
| TIAA-CREF Lifecycle 2045 | | Tilray Brands Inc |
| TIAA-CREF Lifecycle 2050 | | TIM HELLAS TELECOMM SA |
| TIAA-CREF Lifecycle 2055 | | Tim Hortons Inc |
| TIAA-CREF Lifecycle Index 2010 | | Tim Participacoes SA |
| TIAA-CREF Lifecycle Index 2015 | | TIM S.A. |
| TIAA-CREF Lifecycle Index 2020 | | Timberland Bancorp Inc |
| | | Timberland Co (The) |
| | | Timberline Resources Corp |
| | | Timberline Software Corp |
| | | TIMCO AVIATION SERVICES INC |

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 Issuers With No Outstanding Past-Due
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| | | |
|------------------------------|------------------------------|-------------------------------|
| Time Inc | Toll Brothers Inc. | Tortoise MLP & Energy |
| Time Warner Cable Inc | Tollgrade Communications | Income |
| Time Warner Inc | Inc | TortoiseEcofin Acquisition |
| Timken Co (The) | TOM ONLINE INC | Corp III |
| TimkenSteel Corp | Tomahawk Industries Inc | TORVEC INC |
| TIPPERARY CORP | TOMI Environmental | TOTAL ENTMT RESTAURANT |
| Tippingpoint Technologies | Solutions Inc | CORP |
| Tiptree Inc | TOMKINS PLC | Total Nutraceutical Solutions |
| Tishman Speyer Innovation | TOMMY HILFIGER CORP | Inc |
| Corp II | TomoTherapy Inc | Total System Services Inc. |
| TISSERA INC | Tompkins Financial Corp | TotalEnergies SE |
| TITAN CORP | Tongjitang Chinese | Touchstone Balanced |
| Titan International Inc | Medicines Co | Touchstone Diversified Small |
| Titan Machinery Inc | Tongxin International Ltd | Cap Value |
| Titan Pharmaceuticals Inc. | Tonix Pharmaceuticals | Touchstone Dividend Equity |
| TITANIUM GROUP LTD | Holding Corp | Touchstone Emerging |
| TITANIUM METALS CORP | Tootsie Roll Industries Inc. | Markets Equity |
| Tivity Health Inc | TOP Financial Group Limited | Touchstone Flexible Income |
| TiVo Corp | Top Image Systems Ltd | Touchstone Focused |
| TiVo Inc | Top Ships Inc | Touchstone Instl Money |
| Tix Corp | TopBuild Corp | Market |
| TJX Companies Inc (The) | Topgolf Callaway Brands | Touchstone Large Cap |
| TKB Critical Technologies 1 | Corp | Focused |
| TLC VISION CORP | TOPPS CO INC | Touchstone Merger |
| TLG Acquisition One Corp | TOPS Managed Risk Balanced | Arbitrage |
| TLGY Acquisition Corporation | ETF | Touchstone Mid Cap |
| TM Entertainment & Media | TOR MINERALS INTL INC | Touchstone Mid Cap Growth |
| Inc | TORCH ENERGY ROYALTY | Touchstone Mid Cap Value |
| Tmbr/Sharp Drilling Inc | TRUST | Touchstone Money Market |
| TMC THE METALS COMPANY | Torch Offshore Inc | Touchstone Non-US ESG |
| INC | Toreador Resources Corp | Equity |
| T-Mobile US, Inc. | Torm PLC | Touchstone OH TxFr MM |
| TMS International Corp | Toro Co (The) | Touchstone Sands Capital |
| T-Netix Inc | Toronto-Dominion Bank | Emerging Markets Growth |
| TNS Inc. | (The) | Touchstone Sands Capital |
| TNT NV | Torray Fund | Institutional Growth |
| Toast Inc | Torray Institutional Fund | Touchstone Sands Capital |
| Tobira Therapeutics, Inc. | TorreyPines Therapeutics Inc | Select Growth |
| Tocqueville Delafield Fund | Torrid Holdings Inc | Touchstone Small Cap |
| Tocqueville Fund | Tortoise Energy Capital Corp | Touchstone Small Cap |
| TODCO | Tortoise Energy | Growth |
| Todd Shipyards Corp | Infrastructure Corp | Touchstone Small Company |
| TODHUNTER INTL INC/DE | Tortoise Energy | Touchstone Ultra Short |
| Tokai Pharmaceuticals Inc | Infrastructure Total Return | Duration Fixed Income |

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|--|--|---|
| Touchstone Value | Trans World Entertainment Corp. | Transam ST GS Managed Risk Conservative ETF VP |
| Tournigan Energy Ltd | TranS1 Inc | Transam ST GS Managed Risk Growth ETF VP |
| TOUSA Inc | TransAct Technologies Inc | Transam ST International Focus |
| Tower Automotive Inc | TransAlta Corp | Transam ST Janus Balanced VP |
| Tower Bancorp Inc | Transam Premier Balanced | Transam ST Janus Mid Cap Growth VP |
| Tower Financial Corp | Transam Premier Diversified Equity | Transam ST Jennison Growth |
| Tower Group International Ltd | Transam Premier Equity | Transam ST JPMorgan Asset Allocation Conservative VP |
| Tower International Inc | Transam ST Aegon High Yield | Transam ST JPMorgan Asset Allocation Growth VP |
| TOWER PROPERTIES CO | Transam ST Aegon Bond VP | Transam ST JPMorgan Asset Allocation Moderate Growth VP |
| Tower Semiconductor Ltd | Transam ST Aegon Sustainable Equity Income VP | Transam ST JPMorgan Asset Allocation Moderate VP |
| Towers Watson & Co | Transam ST AEGON US Government Sec | Transam ST JPMorgan Core Bond |
| TowerStream Corp | Transam ST Am Cent Lg Co Val | Transam ST JPMorgan Enhanced Index VP |
| TOWN & COUNTRY TRUST | Transam ST American Funds Managed Risk VP | Transam ST JPMorgan International Moderate Growth |
| Town Sports International Holdings Inc | Transam ST BlackRock Global Real Estate Securities VP | Transam ST JPMorgan Mid Cap Value |
| Townsquare Media Inc | Transam ST BlackRock Government Money Market VP | Transam ST JPMorgan Tactical Allocation VP |
| TOYOTA MOTOR CORP | Transam ST BlackRock iShares Active Asset Allocation Moderate VP | Transam ST Legg Mason Dynamic Allocation Growth VP |
| Toys R Us Inc | Transam ST BlackRock iShares Dynamic Allocation Balanced VP | Transam ST Marsico Growth |
| TPC Group Inc | Transam ST BlackRock iShares Edge 50 VP | Transam ST Morgan Stanley Capital Growth VP |
| TPCO Holding Corp | Transam ST BlackRock Tactical Allocation VP | Transam ST Morgan Stanley Global Allocation VP |
| TPG Inc | Transam ST Cap Guard Val Eqty | Transam ST Multi-Managed Balanced VP |
| TPG Pace Beneficial Finance Corp | Transam ST Diversified Equity | Transam ST PIMCO Tactical Balanced |
| TPG RE Finance Trust Inc | Transam ST Growth Opport | |
| TPI Composites Inc | Transam ST GS Managed Risk Balanced ETF VP | |
| TRACK DATA CORP | | |
| Track Group Inc | | |
| TRACON Pharmaceuticals Inc | | |
| Trade Desk Inc (The) | | |
| Trade Street Residential Inc | | |
| TradeStation Group Inc | | |
| Tradeweb Markets Inc | | |
| Traeger Inc | | |
| TRAFFIX INC | | |
| Trailer Bridge Inc | | |
| Trajectory Alpha Acquisition Corp | | |
| TRAMMELL CROW CO | | |
| Trane Inc | | |
| Trane Technologies plc | | |
| TRANS ENERGY INC | | |
| TRANS WORLD CORP/NV | | |

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|---|--|---|
| Transam ST QS Investors Active Asset Allocation Moderate Growth | Transamerica Dynamic Income | Transamerica Marsico Intl Growth |
| Transam ST Small/Mid Cap Value VP | Transamerica Emerging Markets Debt | Transamerica Mid Cap Value Opportunities |
| Transam ST T Rowe Equity Inc | Transamerica Emerging Markets Equity | Transamerica MLP & Energy Income |
| Transam ST T Rowe Growth Stk | Transamerica Emerging Markets Opportunities | Transamerica Morgan Stanley Emerging Markets Debt |
| Transam ST T Rowe Small Cap VP | Transamerica Evergreen Health Care | Transamerica Morgan Stanley Mid Cap Growth |
| Transam ST Third Avenue Value | Transamerica Evergreen Intl Small Cap | Transamerica Multi Managed Balanced |
| Transam ST Value Balanced | Transamerica Floating Rate | Transamerica Multi Manager Alternative Strategies |
| Transam ST WMC US Growth VP | Transamerica Government Money Market | Transamerica Multi Manager International |
| Transamerica AmCentury Lg Co Val | Transamerica Growth | Transamerica Multi-Asset Income |
| Transamerica AQR Managed Futures Strategy | Transamerica Hansberger International Value | Transamerica Multi-Cap Growth |
| Transamerica Asset Alloc Consrv | Transamerica High Yield Bond | Transamerica Neuberger Berman International |
| Transamerica Asset Alloc Growth | Transamerica Income & Growth | Transamerica Oppenheimer Small & Mid Cap Value |
| Transamerica Asset Alloc Moderate | Transamerica Intermediate Bond | Transamerica Partners Core Bond |
| Transamerica Asset Alloc Modrt Gr | Transamerica Intermediate Muni | Transamerica Partners Government Money Market |
| Transamerica Blackrock Global Allocation | Transamerica International Equity | Transamerica Partners Instl Intrmd Horizon |
| Transamerica Blackrock Large Cap Value | Transamerica International Focus | Transamerica Partners Instl Intrmd/Long Horizon |
| Transamerica Bond | Transamerica International Small Cap Value | Transamerica Partners Instl Long Horizon |
| Transamerica Capital Growth | Transamerica Intl Small Cap | Transamerica Partners Instl MM |
| Transamerica Clarion Global Real Est Sec | Transamerica JPM International Bond | Transamerica Partners International Eqty |
| Transamerica Concentrated Growth | Transamerica JPM Mid Cap Value | Transamerica Partners Intrmd Horizon |
| Transamerica Core Bond | Transamerica Large Cap Value | Transamerica Partners Intrmd/Long Horizon |
| Transamerica Developing Markets Equity | Transamerica Large Growth | |
| Transamerica Dividend Focused | Transamerica Large Value Opportunities | |
| | Transamerica Marsico Growth | |

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| Transamerica Partners Inv Large Value | Transcommunity Financial Corp | Travelers US Govt |
| Transamerica Partners Large Growth | Transcontinental Realty Investors Inc. | Travelport Worldwide Ltd |
| Transamerica Partners Large Value | TRANSDIGM GROUP INC | Travelzoo |
| Transamerica Partners Long Horizon | Transforma Acquisition Group Inc | Travere Therapeutics Inc |
| Transamerica Partners Mid Value | Transgenomic Inc | TRC Cos Inc |
| Transamerica Partners Stock Index | Trans-India Acquisition Corp | Treace Medical Concepts Inc |
| Transamerica Real Return TIPS | Transition Therapeutics Inc | Treasurers Domestic Prime MM |
| Transamerica Select Equity | TRANSKARYOTIC THERAPIES INC | Treasurers TxEx Money Mkt |
| Transamerica Short-Term Bond | Translate Bio Inc | Treasury Cash Series |
| Transamerica Small Cap Growth | Transmedics Group Inc | Treasury Cash Series II |
| Transamerica Small Cap Value | TRANSMETA CORP | Tredegar Corp |
| Transamerica Small Mid Cap Value | TRANSMONTAIGNE INC | Trelawney Mining and Exploration Inc |
| Transamerica Stock Index | TransMontaigne Partners LP | Tremis Energy Acquisition Corp II |
| Transamerica Templeton | Transnational Automotive Group | TREND MICRO INC |
| Transamerica Global | Transocean Ltd | Trendstar Small Cap |
| Transamerica Third Avenue Value | Transocean Partners LLC | Trevena Inc |
| Transamerica Thornburg International Value | Transphorm Inc | Trevi Therapeutics Inc |
| Transamerica Total Return | TRANSPORT CORP AMERICA INC | Trex Co Inc |
| Transamerica UBS Large Cap Value | Transportadora De Gas Del Sur SA Tgs | Tri City Bankshares Corp |
| Transamerica Unconstrained Bond | TransTech Services Partners Inc | TRI Pointe Homes Inc |
| Transamerica US Growth | TransUnion | TRIAD GUARANTY INC |
| Transamerica WMC Diversified Growth | TranSwitch Corp | TRIAD HOSPITALS INC |
| Transamerica WMC Emerging Markets | Travel Plus Leisure Co | Trian Acquisition I Corp |
| Transatlantic Holdings Inc. | TravelCenters Of America Inc | Triangle Petroleum Corp |
| TransAtlantic Petroleum Ltd | Travelers Companies Inc (The) | Tribune Media Co |
| Transcat Inc | Travelers Equity Income | Tribune Publishing Co |
| Transcend Services Inc | Travelers Growth & Income | Tributary Small Company |
| | Travelers Managed Income | TRICELL INC |
| | Travelers MFS Total Return | TriCo Bancshares |
| | Travelers Ser Capital Apprec | Trico Marine Services Inc |
| | Travelers Ser MFS Mid Cap Gr | TRICOM SA -ADR |
| | Travelers Ser Money Market | Tricon Residential Inc |
| | Travelers Strategic Equity Port | TRIKON TECHNOLOGIES INC |
| | | Trillium Therapeutics Inc |
| | | Trilogy International Partners Inc |
| | | TriMas Corp |
| | | Trimble Inc |
| | | Trine II Acquisition Corp |
| | | Trinet Group Inc |
| | | Trinity Biotech PLC |

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|-------------------------------|-----------------------------|------------------------------|
| TRINITY LEARNING CORP | TRUBION | Turmeric Acquisition Corp |
| Trinity Merger Corp | PHARMACEUTICALS INC | Turner Emerging Growth |
| Trinity Place Holdings Inc | True Religion Apparel Inc | Turner Large Growth |
| Trinseo PLC | TrueBlue Inc | Turner Mid Cap Growth |
| TRINSIC INC | TrueCar Inc | Turner Short Fixed Inc |
| Trintech PLC, Dublin | Truist Financial Corp | Turner Small Cap Growth |
| Trio Resources Inc | Trulia Inc | Turning Point Brands Inc |
| Trio-Tech International | Trump Entertainment | Turning Point Therapeutics |
| Trip.com Group Ltd | Resorts Inc | Inc |
| TripAdvisor Inc | Trunkbow International | Turnstone Systems Inc |
| TRIPATH IMAGING INC | Holdings Ltd | Turquoise Hill Resources Ltd |
| TRIPLE CROWN MEDIA INC | Trupanion Inc | Turtle Beach Corp |
| Triple Flag Precious Metals | Trust for Short US Govt Sec | TuSimple Holdings Inc |
| Corp | TrustCo Bank Corp NY | TUT SYSTEMS INC |
| TRIPLE P NV | Trustmark Corp | TUTOGEN MEDICAL INC |
| Triplecrown Acquisition Corp | TRUSTREET PROPERTIES INC | Tutor Perini Corp |
| Triple-S Management Corp | TRW Automotive Holdings | TV AZTECA SA DE CV |
| TRIPOS INC | Corp | TVI Corp |
| TriQuint Semiconductor Inc | TRX Gold Corporation | TVIA INC |
| Tristar Acquisition I Corp | TRX INC | TVSL SA |
| TriState Capital Holdings Inc | TSAKOS ENERGY | tw telecom inc |
| Tri-Tech Holding Inc | NAVIGATION LTD | TWC Tech Holdings II Corp |
| Triterras Inc | TScan Therapeutics Inc | Tweedy Browne |
| Triton Distribution Systems | TSR INC | International Value |
| Inc | TTEC Holdings Inc | Tweedy Browne |
| Triton Emission Solutions Inc | TTI Team Telecom | International Value II |
| Triton International Ltd | International Ltd, Israel | Currency Unhedged |
| Triumph Financial Inc | TTM Technologies Inc | Tweedy Browne Value |
| Triumph Group Inc. | TubeMogul Inc | Tweedy Browne Worldwide |
| Trius Therapeutics Inc | Tucows Inc | High Dividend Yield Value |
| trivago N.V. | Tudou Holdings Ltd | Tween Brands Inc |
| TRI-VALLEY CORP | Tuesday Morning Corp. | Twelve Seas Investment Co |
| TriVascular Technologies Inc | Tufco Technologies Inc | Twenty-First Century Fox Inc |
| TRIZEC PROPERTIES INC | Tuffnell Ltd | Twilio Inc |
| TriZetto Group Inc (The) | Tufin Software Technologies | Twin Disc Inc |
| TRM CORP | Ltd | Twinlab Consolidated |
| Troika Media Group Inc | Tularik Inc | Holdings Inc |
| Tropical Sportswear Intl Cp | Tumbleweed | Twist Bioscience Corp |
| Tropicana Entertainment Inc | Communications Corp. | Two |
| Trover Solutions Inc | Tumi Holdings Inc | Two Harbors Investment |
| Troy Financial Corp | Tuniu Corp | Corp |
| Troy Group Inc | Tupperware Brands Corp | Two River Bancorp |
| TRP Summit Muni Money | Turbochef Technologies Inc | TXCO Resources Inc |
| Market | Turkcell Iletisim Hizmet AS | TxFr Money Market |

Public Company Accounting Oversight Board
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|---|-------------------------------------|-----------------------------------|
| TXU CORP | UBS Prime Reserves | UFP Industries Inc |
| TYLER RESOURCES INC | UBS Retirement Money | UFP Technologies Inc |
| Tyler Technologies Inc | UBS RMA CA Muni Money | UGI Corp |
| Tyme Technologies Inc | UBS RMA Government | U-Haul Holding Co |
| Tyra Biosciences Inc | Money Market | UIB Group Ltd |
| Tyson Foods Inc. | UBS RMA Money Market | Uici |
| TZP Strategies Acquisition Corp | UBS RMA NJ Muni Money | UIL Holdings Corp |
| U S B HOLDING INC | UBS RMA NY Muni Money | UiPath Inc |
| U S BIODEFENSE INC | UBS RMA Tax Free | Ultra Beauty Inc |
| U S Liquids Inc | UBS RMA US Government | ULTICOM INC |
| U S Restaurant Pptys | UBS Select ESG Prime Preferred | Ultimate Electronics Inc |
| U S Silica Holdings Inc | UBS Select Government Capital | Ultimate Escapes Inc |
| U.S. Antimony Corp | UBS Select Government Institutional | Ultimate Software Group Inc (The) |
| U.S. Bancorp | UBS Select Government Investor | Ultra Clean Holdings Inc |
| U.S. China Mining Group Inc | UBS Select Government Preferred | Ultra NASDAQ100 ProFund |
| U.S. Eastern European | UBS Select Prime Capital | Ultra Petroleum Corp. |
| U.S. Global Investors Inc | UBS Select Prime Institutional | Ultra Series Bond |
| U.S. Global Jets ETF | UBS Select Prime Preferred | Ultra Series Diversified Income |
| U.S. Global Resources | UBS Select Prime Preferred | Ultra Series Large Cap Growth |
| U.S. Govt Securities Savings | UBS Select Prime Preferred | Ultra Series Large Cap Value |
| U.S. Shipping Partners LP | UBS Select Tax Free Capital | Ultra Series Mid Cap |
| U.S. World Precious Minerals | UBS Select Treasury Capital | Ultra Series Mid Cap Growth |
| UAP HOLDING CORP | UBS Select Treasury Institutional | Ultra Series Moderate Allocation |
| UBIQUITEL INC | UBS Select Treasury Investor | Ultragenyx Pharmaceutical Inc |
| Ubiquiti Inc | UBS Select Treasury Preferred | Ultrapar Participacoes SA |
| UBS Absolute Return Bond | UBS Tax Free Preferred | UltraShort Dow30 ProShares |
| UBS Cash Reserves | UBS Tax Free Reserves | Ultratech Inc |
| UBS Cashfund | UBS Ultra Short Income | Uluru Inc |
| UBS Dynamic Alpha Strategy | UBS US Allocation | UMB Financial Corp |
| UBS Emerging Markets Equity Opportunity | UBS US Large Cap Equity | UMH Properties Inc |
| UBS Global Allocation | UBS US Small Cap Growth | Umpqua Holdings Corp |
| UBS Global Equity | UCI MEDICAL AFFILIATES INC | Under Armour Inc |
| UBS Government Money Market | Ucommune Group Holdings Ltd | Undiscovered Behavioral Value |
| UBS Group AG | UCP Inc | Undiscovered Small Cap Growth |
| UBS Liquid Assets Government | UDEMY INC | UNIBANCO UNIAO DE BANCO |
| UBS LIR Govt Securities | UDR Inc | Unica Corp |
| UBS LIR Money Market | | |
| UBS LIR Treasury Securities | | |
| UBS Prime Investor | | |
| UBS Prime Preferred | | |

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| UNICO AMERICAN CORP | United Development Funding | UNITED SURGICAL PRTNR |
| Unicorp Inc | III LP | INTL |
| UNIFI INC. | United Development Funding | UNITED THERAPEUTICS CORP |
| UniFirst Corp | IV | UNITED UTILITIES PLC |
| UNIFY CORP | UNITED ENERGY CORP | UNITEDGLOBALCOM INC -CL |
| Unigene Laboratories Inc | United Financial Bancorp Inc | A |
| Unilever NV | UNITED FINANCIAL | United-Guardian Inc. |
| Unilever PLC | CORP/MN | UNITEDHEALTH GROUP INC |
| Unilife Corp | UNITED FINANCIAL MTG | UniTek Global Services Inc |
| Union Bankshares Co | CORP | Uniti Group Inc |
| UNION BANKSHARES INC | United Fire Group Inc | Unitil Corp |
| Union Bridge Holdings Ltd | United Fuel & Energy Corp | Unity Bancorp Inc |
| UNION CMNTY BANCORP | United Health Products Inc | Unity Biotechnology Inc |
| Union Drilling Inc | UNITED INDUSTRIAL CORP | Unity Software Inc |
| Union National Financial | United Insurance Holdings | Univar Solutions Inc |
| Corp | Corp | Universal Access Global Hldg |
| UNION PACIFIC CORP | United Microelectronics Corp | Universal American Corp |
| Union Planters Corp | United National Bancorp/Nj | Universal Business Payment |
| Union Street Acquisition | United Natural Foods Inc | Solutions Acquisition Corp |
| Corp | UNITED ONLINE INC | UNIVERSAL COMPRESSION |
| UnionBanCal Corp | United PanAm Financial Corp | HLDGS |
| Uni-Pixel Inc | United Parcel Service Inc | Universal Corp |
| Unique Fabricating Inc | United Refining Energy Corp | Universal Display |
| UniQure NV | United Rentals Inc. | Corporation |
| Unisys Corp | UNITED RETAIL GROUP INC | Universal Electronics Inc |
| UNIT CORP | United Security Bancshares | Universal Energy Corp |
| United Airlines Holdings Inc | United Security Bancshares | UNIVERSAL GUARDIAN |
| United American Healthcare | Inc | HLDGS INC |
| Corp | United States 12 Month | Universal Health Realty |
| United Assn S&P500 Index | Natural Gas Fund LP | Income Trust |
| United Bancorp Inc | United States 12 Month Oil | Universal Health Services Inc. |
| United Bancorporation of | Fund LP | Universal Insurance Holdings |
| Alabama Inc | United States Cellular Corp | Universal Logistics Holdings |
| United Bancshares Inc/OH | United States Commodity | Inc |
| United Bankshares Inc | Index Funds Trust | Universal Potash Corp |
| United Capital Corp. | United States Exploration | UNIVERSAL POWER GROUP |
| United Communications | United States Gasoline Fund | INC |
| Partners Inc | LP | Universal Property |
| United Community Bancorp | United States Lime & | Development and |
| United Community Banks Inc | Minerals Inc | Acquisition Corp |
| United Community Financial | United States Natural Gas | Universal Security |
| Corp. | Fund LP | Instruments Inc. |
| UNITED DEFENSE | United States Oil Fund LP | Universal Stainless & Alloy |
| INDUSTRIES | United States Steel Corp | Products Inc |

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| | | |
|------------------------------------|--|-------------------------------------|
| Universal Technical Institute Inc. | US LEC CORP | USAA Sustainable World |
| Universal Travel Group | Us Oncology Inc | USAA Target Managed Allocation |
| Univest Financial Corp | US Physical Therapy Inc | USAA Target Retirement 2020 |
| UNIVISION COMMUNICATIONS INC | US Silver & Gold Inc | USAA Target Retirement 2030 |
| UNIZAN FINANCIAL CORP | US Treasury Money Fund of Amer | USAA Target Retirement 2040 |
| UNOCAL CORP | US UNWIRED INC | USAA Target Retirement 2050 |
| UNS Energy Corp | US Well Services Inc | USAA Target Retirement Income |
| Unum Group | US Xpress Enterprises Inc | USAA Total Return Strategy |
| Unwharrie Capital Corp | US XPRESS ENTP INC -CL A LP | USAA Treasury Money Market |
| UP Fintech Holding Ltd | USA Compression Partners | USAA TxEt CA Money Market |
| Upbound Group Inc | USA Synthetic Fuel Corp | USAA TxEt Intermediate Term |
| Upland Software Inc | USA Truck Inc | USAA TxEt Long-Term |
| UPM-KYMMENE CORP | USA Uranium Corp | USAA TxEt Money Market |
| Upstart Holdings Inc | USAA 500 Index | USAA TxEt Short-Term |
| UPSTREAM BIOSCIENCES INC | USAA Aggressive Growth | USAA TxEt VA Money Market |
| Upwork Inc | USAA CA Bond | USAA TxFr FL Income |
| UQM Technologies Inc. | USAA Capital Growth | USAA Ultra Short-Term Bond |
| URANERZ ENERGY CORP | USAA Cornerstone Moderate | USAA VA Bond |
| Uranium 308 Corp | USAA Cornerstone Moderately Aggressive | USAA Value |
| Uranium Energy Corp | USAA Emerging Markets | USANA Health Sciences Inc |
| Uranium Royalty Corp | USAA Extended Market Index | USCB Financial Holdings Inc |
| Urban Edge Properties | USAA Global Managed Volatility | USD Partners LP |
| Urban One Inc | USAA Government Securities | UserTesting Inc |
| Urban Outfitters Inc | USAA Growth | USF CORP |
| urban-gro Inc | USAA Growth & Income | USG Corp |
| Ur-Energy Inc | USAA Growth & Tax Strategy | USHG Acquisition Corp |
| UroGen Pharma Ltd | USAA High Income | USI HOLDINGS CORP |
| UROLOGIX INC | USAA Income | Usio Inc |
| Urovant Sciences Ltd | USAA Income Stock | USMD Holdings Inc |
| URS Corp | USAA Intermediate-Term Bond | USN CORP |
| Urstadt Biddle Properties Inc. | USAA International | UST Inc. |
| US Airways Group Inc | USAA Managed Allocation | Utah Medical Products Inc |
| US BIOENERGY CORP | USAA Money Market | Utendahl Institutional Money Market |
| US Concrete Inc | USAA NASDAQ 100 Index | UTG Inc |
| US DATAWORKS INC | USAA Precious Metals & Minerals | UTi Worldwide Inc |
| US Ecology Inc | USAA Science & Technology | |
| US Foods Holding Corp | USAA Short-Term Bond | |
| US GLOBAL NANOSPACE INC | USAA Small Cap Stock | |
| US Government Securities Fund | | |
| US Govt Money Market | | |
| US Home Systems Inc | | |

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| | | |
|---|---|-------------------------------------|
| Utilities Select Sector SPDR Fund (The) | VALIC I Government Money Market I | VALOR COMMUNICATIONS GROUP |
| UTime Ltd | VALIC I Growth | Valspar Corp (The) |
| Utstarcom Holdings Corp | VALIC I Health Sciences | Value Line Cash |
| Utz Brands Inc | VALIC I High Yield Bond | Value Line Fund |
| UWINK INC | VALIC I Inflation Protected | VALUE LINE INC |
| UWM Holdings Corp | VALIC I International Opportunities | Value Line Larger Companies |
| Uxin Ltd | VALIC I International Value | Value Line Premier Growth |
| V.F. Corp | VALIC I Intl Equities Index | Value Line Var Centurion |
| V2X Inc | VALIC I Intl Growth | Value Line Var Strategic Asset |
| VA Muni Cash Trust | Valic I Large Cap Growth | Valuence Merger Corp I |
| Va US Large Value | VALIC I Large Capital Growth | Valvoline Inc |
| Va US Targeted Value | VALIC I Mid Cap Index | VAN DER MOOLEN NV |
| Vaalco Energy Inc | VALIC I Mid Cap Strategic Growth | Van Eck Ins WrlDwd Emrg Mkt |
| Vacasa Inc | VALIC I Mid Cap Value | Van Eck VIP Global Hard Assets Fund |
| Vaccinogen Inc | VALIC I Moderate Growth Lifestyle | Van Kampen Adv Muni |
| Vaccitech Plc | VALIC I Nasdaq 100 Index | Van Kampen Aggressive Growth |
| VAIL BANKS INC | VALIC I Science & Tech | Van Kampen CA Insured TxFr |
| Vail Resorts Inc. | VALIC I Small Cap | Van Kampen Core Plus Fixed Income |
| Valaris Ltd | VALIC I Small Cap Growth | Van Kampen Equity Growth |
| Valassis Communications Inc. | VALIC I Small Cap Index | Van Kampen Equity Premium Income |
| Valcent Products Inc | Valic I Small Cap Special Values | Van Kampen Focus Equity |
| Vale SA | VALIC I Small Cap Value | Van Kampen Global Eqty Alloc |
| Valeant Pharmaceuticals Inc | VALIC I Stock Index | Van Kampen Global Value Equity |
| Valence Technology Inc | VALIC I Systematic Core | Van Kampen GVIT MultiSect Bd |
| Valens Semiconductor Ltd | VALIC I US Socially Responsible | Van Kampen High Yield old |
| VALENTIS INC | VALIC II Money Market II | Van Kampen Leaders |
| VALERA PHARMACEUTICALS INC | VALIC II Strategic Bond | Van Kampen Limited Duration |
| VALERO ENERGY CORP | Validus Holdings Ltd | Van Kampen Money Market |
| Valero Energy Partners LP | VALLEY BANCORP | Van Kampen Mun Inc |
| Valhi Inc. | VALLEY COMMERCE BANCORP | Van Kampen Select Growth |
| Valiant General MM | VALLEY FINANCIAL CORP | Van Kampen Senior Float Rate |
| Valiant US Treasury MM | Valley Forge Composite Technologies Inc | |
| VALIC I Aggrsv Gro Lifestyle | Valley National Bancorp | |
| VALIC I Blue Chip Growth | VALLEY NATIONAL GASES INC | |
| VALIC I Core Bond | Valmont Industries Inc | |
| VALIC I Dividend Value | | |
| VALIC I Emerging Economies | | |
| VALIC I Global Real Estate | | |
| VALIC I Global Social Awareness | | |
| VALIC I Global Strategy | | |

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| | | |
|---|---|--|
| Van Kampen Strategic Growth | Vanguard Asset Allocation | Vanguard Extended Duration Treasury Index |
| Van Kampen Strategic Muni Inc | Vanguard Balanced Index | VANGUARD EXTENDED MARKET ETF |
| Van Kampen Technology | Vanguard CA Intrmd TxEx | Vanguard Extended Mkt Index |
| Van Kampen Value Muni | Vanguard CA Long TxEx | Vanguard Federal MM |
| VancelInfo Technologies Inc | Vanguard CA Muni Money Market | Vanguard Financials Index |
| Vanda Pharmaceuticals Inc | Vanguard Cap Opportunity | Vanguard FL Focused Long Tax Exempt |
| VanEck Agribusiness ETF | Vanguard Capital Value | Vanguard FTSE All World ExUS Small Cap Index |
| VanEck BDC Income ETF | Vanguard Cash Reserves Federal Money Market | Vanguard FTSE All World ExUSA Index |
| VanEck Biotech ETF | Vanguard Commodity Strategy | Vanguard FTSE Social Idx |
| VanEck CM Commodity Index | Vanguard Communication Services Index | Vanguard Global Capital Cycles |
| VanEck Emerging Markets High Yield Bond ETF | Vanguard Consumer Discret Idx | Vanguard Global Equity |
| VanEck Fallen Angel High Yield Bond ETF | Vanguard Consumer Staples Idx | Vanguard Global ESG Select Stock |
| VanEck Global Resources | Vanguard Convertible Sec | Vanguard Global ex-US Real Estate Index |
| VanEck High Yield Muni ETF | Vanguard Core Bond | Vanguard Global Minimum Volatility |
| VanEck IG Floating Rate ETF | Vanguard Developed Markets Idx | Vanguard Global Wellesley Income |
| VanEck Intermediate Muni ETF | Vanguard Developed Markets Index | Vanguard Global Wellington |
| VanEck International Investors Gold | Vanguard Diversified Equity | Vanguard GNMA |
| VanEck J.P. Morgan EM Local Currency Bond ETF | Vanguard Dividend Appreciation Index | Vanguard Growth and Income |
| VanEck Junior Gold Miners ETF | Vanguard Dividend Growth | Vanguard Growth Equity |
| VanEck Morningstar Wide Moat ETF | Vanguard Emerging Markets Bond | Vanguard Growth Index |
| VanEck Oil Services ETF | Vanguard Emerging Markets Government Bond Index | Vanguard Health Care |
| VanEck Preferred Securities ex Financials ETF | Vanguard Emerging Markets Select Stock | Vanguard Health Care Index |
| VanEck Rare Earth/Strategic Metals ETF | Vanguard Emerging Markets Stock Index | Vanguard Health Systems Inc. |
| VanEck Russia ETF | Vanguard Energy | Vanguard High Dividend Yield Index |
| VanEck Semiconductor ETF | Vanguard Energy Index | Vanguard High Yield TxEx |
| VanEck Vectors ETF Trust | Vanguard Equity Income | Vanguard High-Yield Corporate |
| VanEck Vectors Gold Miners ETF | Vanguard European Stock Index | Vanguard IL Developed Markets Idx |
| VanEck Video Gaming and eSports ETF | Vanguard Explorer | Vanguard IL Index |
| VanEck Vietnam ETF | Vanguard Explorer Value | |
| Vanguard 500 Index | | |

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| | | |
|---|--|---|
| Vanguard IL Tot Bd Mkt Index | Vanguard Intermediate TxEx | Vanguard Mega Cap Value Index |
| Vanguard IL Tot Stk Mkt Index | Vanguard Intermediate-Term Treasury Index | Vanguard Mid Cap Growth |
| Vanguard Industrials Indx | Vanguard International Core Stock | Vanguard Mid Cap Growth Index |
| Vanguard Inflatn Protect Sec | Vanguard International Dividend Appreciation | Vanguard Mid Cap Index |
| Vanguard Info Tech Idx | Vanguard International Explorer | Vanguard Mid Cap Value Index |
| Vanguard Insrld Long TxEx | Vanguard International Growth | VANGUARD MID CAP VIPERS |
| Vanguard Institutional Intermediate-Term Bond | Vanguard International High Dividend Yield | Vanguard Morgan Growth |
| Vanguard Institutional Short-Term Bond | Vanguard International Value | Vanguard Mortgage Backed Securities Index |
| Vanguard Institutional Target Retirement 2010 | Vanguard Large Cap Index | Vanguard Municipal Money Market |
| Vanguard Institutional Target Retirement 2015 | Vanguard LifeStrategy Cnsr Gro | Vanguard Natural Resources Inc |
| Vanguard Institutional Target Retirement 2020 | Vanguard LifeStrategy Growth | Vanguard NJ Long TxEx |
| Vanguard Institutional Target Retirement 2025 | Vanguard LifeStrategy Income | Vanguard NJ Muni Money Market |
| Vanguard Institutional Target Retirement 2030 | Vanguard LifeStrategy Mod Gro | Vanguard NY Long TxEx |
| Vanguard Institutional Target Retirement 2035 | Vanguard Limited TxEx | Vanguard NY Muni Money Market |
| Vanguard Institutional Target Retirement 2040 | Vanguard Long Bond Index | Vanguard OH Long Tax Exempt |
| Vanguard Institutional Target Retirement 2045 | Vanguard Long Invst Grade | Vanguard OH TxEx Money Market |
| Vanguard Institutional Target Retirement 2050 | Vanguard Long Term Corporate Bond Index | Vanguard PA Long TxEx |
| Vanguard Institutional Target Retirement 2055 | Vanguard Long Term Tax Exempt | Vanguard PA Muni Money Market |
| Vanguard Institutional Target Retirement 2060 | Vanguard Long Treasury | Vanguard Pacific Stock Index |
| Vanguard Institutional Target Retirement Income | Vanguard Long-Term Treasury Index | Vanguard PRIMECAP |
| Vanguard Intermediate Bond Index | Vanguard MA TxEx | Vanguard PRIMECAP Core |
| Vanguard Intermediate Investment Grade | Vanguard Managed Allocation | Vanguard Real Estate II Index |
| Vanguard Intermediate Term Corporate Bond Index | Vanguard Managed Payout Distribution Focus | Vanguard Real Estate Index |
| Vanguard Intermediate Treasury | Vanguard Market Neutral | VANGUARD REIT INDEX VIPERS |
| | Vanguard Materials Index | Vanguard Russell 1000 Growth Index |
| | Vanguard Mega Cap Growth Index | Vanguard Russell 1000 Index |
| | Vanguard Mega Cap Index | Vanguard Russell 1000 Value Index |
| | | Vanguard Russell 2000 Growth Index |
| | | Vanguard Russell 2000 Index |

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|---|---|--|
| Vanguard Russell 2000 Value Index | Vanguard Strategic Small Cap Equity | Vanguard Total Bond Market II Index |
| Vanguard Russell 3000 Index | Vanguard Structured Broad Market | Vanguard Total Bond Market Index |
| Vanguard S&P 500 Growth Index | Vanguard Structured Lg Cap Equity | Vanguard Total International Bond II Index |
| Vanguard S&P 500 Value Index | Vanguard Target Retirement 2010 | Vanguard Total International Bond Index |
| Vanguard S&P Mid-Cap 400 Growth Index | Vanguard Target Retirement 2015 | Vanguard Total International Stock Index |
| Vanguard S&P Mid-Cap 400 Index | Vanguard Target Retirement 2020 | Vanguard Total Intl Stock Index |
| Vanguard S&P Mid-Cap 400 Value Index | Vanguard Target Retirement 2025 | VANGUARD TOTAL STOCK MKT ETF |
| Vanguard S&P Small-Cap 600 Growth Index | Vanguard Target Retirement 2030 | Vanguard Total Stock Mkt Index |
| Vanguard S&P Small-Cap 600 Index | Vanguard Target Retirement 2035 | Vanguard Total World Stock Index |
| Vanguard S&P Small-Cap 600 Value Index | Vanguard Target Retirement 2040 | Vanguard Treasury MM |
| Vanguard Scottsdale Funds - Vanguard Total Corporate Bond ETF | Vanguard Target Retirement 2045 | Vanguard Treasury Money Market |
| Vanguard Scottsdale Funds - Vanguard Total World Bond ETF | Vanguard Target Retirement 2050 | Vanguard Ultra Short Term Bond |
| Vanguard Selected Value | Vanguard Target Retirement 2055 | Vanguard Ultra-Short Bond ETF |
| Vanguard Short Bond Index | Vanguard Target Retirement 2060 | Vanguard Ultra-Short-Term Tax-Exempt |
| Vanguard Short Federal | Vanguard Target Retirement 2065 | Vanguard US Growth |
| Vanguard Short Invst Grade | Vanguard Target Retirement 2065 | Vanguard US Value |
| Vanguard Short Term Corporate Bond Index | Vanguard Target Retirement Inc | Vanguard Utilities Index |
| Vanguard Short Term Inflation Protected Securities Index | Vanguard Target Retirement 2005 | Vanguard Value Index |
| Vanguard Short Treasury | Vanguard Tax Exempt Bond Index | VANGUARD VALUE VIPERS |
| Vanguard Short-Term Treasury Index | Vanguard Tax Managed Balanced | Vanguard VIF Balanced |
| Vanguard Small Cap Gro Index | Vanguard Tax Managed Capital Appreciation | Vanguard VIF Capital Growth |
| Vanguard Small Cap Index | Vanguard Tax Managed Small Cap | Vanguard VIF Conservative Allocation |
| Vanguard Small Cap Value Index | Vanguard Tax Mngd Gro & Inc | Vanguard VIF Diversified Value |
| Vanguard Star Fund | | Vanguard VIF Equity Income |
| Vanguard Strategic Equity | | Vanguard VIF Equity Index |
| | | Vanguard VIF Growth |
| | | Vanguard VIF High Yield |
| | | Vanguard VIF International |
| | | Vanguard VIF Mid Cap Index |

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| Vanguard VIF Moderate Allocation | Vantagepoint Growth & Income | Variable Portfolio Managed Volatility Growth |
| Vanguard VIF Money Market | Vantagepoint Growth Stock | Variable Portfolio Managed Volatility Moderate Growth |
| Vanguard VIF Real Estate Index | Vantagepoint Inflation Focused | Variable Portfolio Moderate |
| Vanguard VIF Short Invst Grade | Vantagepoint International | Variable Portfolio |
| Vanguard VIF Small Co Growth | Vantagepoint Long Growth Model | Moderately Aggressive |
| Vanguard VIF Total Bd Mkt Idx | Vantagepoint Low Duration Bond | Variable Portfolio |
| Vanguard VIF Total International Stock Market Index | Vantagepoint Mid Sml Co Idx | Moderately Conservative |
| Vanguard VIF Total Stk Mkt Idx | Vantagepoint Milestone 2015 | Variable Portfolio Partners Core Bond |
| Vanguard Wellesley Income | Vantagepoint Milestone 2020 | Variable Portfolio Partners Small Cap Growth |
| Vanguard Wellington | Vantagepoint Milestone 2025 | Variable Portfolio US Flexible Growth |
| Vanguard Windsor | Vantagepoint Milestone 2030 | Variable Portfolio US Flexible Moderate Growth |
| Vanguard Windsor II | Vantagepoint Money Market | Varian Inc |
| Vanguard World Fund - Vanguard ESG International Stock ETF | Vantagepoint S&P 500 Stock Index | VARIAN MEDICAL SYSTEMS INC |
| Vanguard World Fund - Vanguard ESG U.S. Stock ETF | Vantagepoint Savings Oriented Model | Varian Semiconductor Equipment Associates Inc |
| Vanity Events Holding Inc | Vantagepoint Select Value | Varonis Systems Inc |
| Vans Inc | Vantagepoint Traditional Growth Model | Varsity Brands Inc |
| Vantage Drilling Co | VantageSouth Bancshares Inc | VARSITY GROUP INC |
| Vantage Energy Acquisition Corp | Vapotherm Inc | Vascular Biogenics Ltd |
| Vantagepoint Aggressive Opp | Varco International Inc | Vascular Solutions Inc |
| Vantagepoint Asset Allocation | Varex Imaging Corp | Vasogen Inc |
| Vantagepoint Broad Market Index | Variable Portfolio Aggressive | VASOMEDICAL INC |
| Vantagepoint Conservative Growth Model | Variable Portfolio BlackRock | Vasta Platform Ltd |
| Vantagepoint Core Bond Index | Global Inflation Protected Sec | VASTERA INC |
| Vantagepoint Diversifying Strategies | Variable Portfolio Columbia | Vaughan Nelson Small Cap Value |
| Vantagepoint Equity Income | Wanger Intl Eq | Vaughan Nelson Value Opportunity |
| Vantagepoint Global Equity Growth Model | Variable Portfolio Eaton | Vaxart Inc |
| | Vance Floating Rate Income | Vaxcyte Inc |
| | Variable Portfolio Managed Volatility Conservative | VaxGen Inc |
| | Variable Portfolio Managed Volatility Conservative Growth | Vaxxinity Inc |
| | | VBI Vaccines Inc/BC |
| | | VCA Inc |
| | | VCG Holding Corp |
| | | VectivBio Holding AG |
| | | VectoIQ Acquisition Corp II |

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|--------------------------------|---|--|
| Vector Acquisition Corp II | VERISK ANALYTICS INC | Viacom Inc |
| Vector Group Ltd | VERITAS DGC INC | VIAD CORP |
| Vectren Corp | VERITAS SOFTWARE CORP | VIALTA INC |
| Vedanta Ltd | Veritex Holdings Inc | Viant Technology Inc |
| Veeco Instruments Inc | Veritiv Corp | ViaSat Inc. |
| Veeva Systems Inc | Veritone Inc | VIASPACE INC |
| Velo3D Inc | VERITY INC | VIASYS HEALTHCARE INC |
| Velocity Acquisition Corp | Verizon Communications Inc | Viasystems Group Inc |
| VELOCITY EXPRESS CORP | Vermilion Energy Inc | Viatris Inc |
| Velocity Financial Inc | Vermont Pure Holdings Ltd. | Viavi Solutions Inc |
| Velocity Portfolio Group Inc | VERMONT TEDDY BEAR INC | Vical Inc |
| Velodyne Lidar Inc | Verona Pharma PLC | Vicarious Surgical Inc. |
| Venator Materials PLC | VERRA MOBILITY Corp | VICEROY EXPLORATION LTD |
| Venoco Inc | Verrica Pharmaceuticals Inc | VICI Properties Inc |
| VENTANA MEDICAL SYSTEM INC | VersaBank | Vicinity Motor Corp |
| Ventas Inc. | Versant Corp | Vicon Industries Inc. |
| Ventyx Biosciences Inc | Versar Inc. | Vicor Corp. |
| Venus Concept Inc | Verso Corp | Vicor Technologies Inc |
| Veolia Environnement, Paris | Versum Materials Inc | Victorias Secret and Co |
| VEON Ltd | Versus Capital Multi- Manager Real Estate Income | Victory Balanced |
| Veoneer Inc | Versus Capital Real Assets | Victory Capital Holdings Inc |
| Vera Bradley Inc | Vertex Energy Inc | Victory Diversified Stock |
| Vera Therapeutics Inc | Vertex Inc | Victory Federal MM |
| Veracyte Inc | Vertex Pharmaceuticals Inc | Victory Financial Reserve |
| Verastem Inc | Vertical Aerospace Ltd | Victory Floating Rate |
| VERASUN ENERGY CORP | VERTICAL | Victory Global Natural Resources |
| Verb Technology Co Inc | COMMUNICATIONS INC | Victory Govt Reserve |
| Verecloud Inc | VERTICALNET INC | Victory Growth Stock |
| VEREIT Inc | Vertiv Holdings Co | Victory INCORE Fund for Income |
| Verenium Corp | VERTRUE INC | Victory INCORE Investment Quality Bond VIP Series I |
| Vericel Corp | Veru Inc | Victory INCORE Low Duration Bond |
| VeriChip Corp | VeruTEK Technologies Inc | Victory Instl Money Market |
| Vericity Inc | Verve Therapeutics Inc | Victory Integrity Small Cap Value |
| Veridian Corp | VESTA INSURANCE GROUP INC | Victory Intermediate Income |
| VERIDICOM INTERNATIONAL INC | Vestin Group Inc | Victory Market Neutral Income |
| Verifone Systems Inc | Vestin Realty Mortgage I Inc | Victory Munder Mid Cap Core Growth |
| Verigy Ltd | Vestin Realty Mortgage II Inc | |
| VERILINK CORP | Via Net.Works Inc | |
| Verint Systems Inc | VIA optronics AG | |
| Veris Residential Inc | VIA Pharmaceuticals Inc | |
| Verisign Inc | Via Renewables Inc | |
| Verisity Ltd | VIACELL INC | |

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|--|---------------------------------------|--|
| Victory OH Muni Money Market | Viking Therapeutics Inc | VIOPHARMA INC |
| Victory Prime Obligation | Village Bank and Trust Financial Corp | VIRTU FINANCIAL INC |
| Victory RS Large Cap Alpha | Village Super Market Inc | Virtual Radiologic Corporation |
| Victory RS Large Cap Alpha VIP Series I | Villere Balanced | VirtualScopics Inc |
| Victory RS Mid Cap Growth | Vimeo Inc | Virtus AllianzGI Convertible & Income Fund |
| Victory RS Partners | Vimicro International Corp | Virtus AllianzGI Convertible & Income Fund II |
| Victory RS Select Growth | Vina De Concha Y Toro Sa Conchatoro | Virtus AllianzGI Dividend Interest & Premium Strategy Fund |
| Victory RS Small Cap Growth | Vince Holding Corp | Virtus Allocator Premium Alphasector |
| Victory RS Value | Vinci Partners Investments Ltd | Virtus Alternatives Diversifier |
| Victory Sophus Emerging Markets | Vineyard National Bancorp | Virtus Artificial Intelligence & Technology Opportunities Fund |
| Victory Special Value | Vintage Equity | Virtus Balanced |
| Victory Stock Index | VINTAGE PETROLEUM INC | Virtus Capital Growth |
| Victory Sycamore Established Value | Vintage Wine Estates, Inc. | Virtus Ceredex Large Cap Value Equity |
| Victory Sycamore Small Company Opportunity | Violin Memory Inc | Virtus Ceredex Mid Cap Value Equity |
| Victory Trivalent International Small Cap | Viomi Technology Co Ltd | Virtus Ceredex Small Cap Val Equity |
| Victory TxFr Money Market | VION PHARMACEUTICALS INC | Virtus Convertible |
| Victory Value | VIOQUEST PHARMACEUTICALS INC | Virtus Duff & Phelps Real Estate Securities |
| Victory VIF Diversified Stock | Viosolar Inc | Virtus Duff & Phelps Water |
| Victory VIF Instl Liquid Resrv | Viper Energy Partners LP | Virtus Dynamic Alphasector |
| VictoryShares Core | VIPER POWERSPORTS INC | Virtus Equity & Convertible Income Fund |
| Intermediate Bond ETF | VIQ Solutions Inc | Virtus Income & Growth |
| VictoryShares US 500 Enhanced Volatility Wtd ETF | Vir Biotechnology Inc | Virtus Insight Govt MM |
| VictoryShares US 500 Volatility Wtd ETF | Viracta Therapeutics Inc | Virtus Insight MM |
| VictoryShares US EQ Income Enhanced Volatility Wtd ETF | Virage Inc | Virtus Insight TxEx MM |
| VICURON | Virage Logic Corp | Virtus Investment Partners Inc |
| PHARMACEUTICALS INC | VIRAGEN INC | Virtus KAR Capital Growth |
| VIDEO DISPLAY CORP | Viral Genetics Inc | Virtus KAR International Small-Mid Cap |
| Vidler Water Resources Inc | VIRBAC CORP | Virtus KAR Mid Cap Core |
| VIE FINANCIAL GROUP INC | VIRCO MFG. CORP | Virtus KAR Mid Cap Growth |
| Viela Bio Inc | ViRexx Medical Corp | |
| Viemed Healthcare Inc | Virgin America Inc | |
| View Inc | Virgin Galactic Holdings, Inc | |
| Viggle Inc | Virgin Media Inc | |
| Vigil Neuroscience Inc | Virgin Mobile USA Inc | |
| Vignette Corp | Virginia Mines Inc | |
| | Virginia National Bankshares Corp | |
| | Viridian Therapeutics Inc | |
| | VirnetX Holding Corp | |

Public Company Accounting Oversight Board
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| Virtus KAR Small Cap Core | Viscogliosi Brothers | VIVO PARTICIPACOES SA |
| Virtus KAR Small Cap Growth | Acquisition Corp | Vivos Therapeutics Inc |
| Virtus KAR Small Cap Value | VISEON INC | Vivus Inc |
| Virtus KAR Small-Mid Cap Core | Vishay Intertechnology Inc. | Vixel Corp |
| Virtus Mid Cap Value | Vishay Precision Group Inc | Vizio Holding Corp. |
| Virtus Newfleet Low | VISICU INC | VLOV Inc |
| Duration Core Plus Bond | VISION BANCSHARES INC | VMG Consumer Acquisition Corp |
| Virtus Newfleet Multi Sector Short Term Bond | VISION GLOBAL SOLUTIONS INC | VMware Inc |
| Virtus Newfleet Senior Floating Rate | Vision Industries Corp | VNET Group Inc |
| Virtus Newfleet Short Duration High Income | Vision Marine Technologies Inc | VNUS Medical Technologies Inc |
| Virtus NFJ Dividend Value | VisionChina Media Inc | VOC Energy Trust |
| Virtus NFJ Mid-Cap Value | VISIONGATEWAY INC | VOCALTEC |
| Virtus NFJ Small-Cap Value | Vision-Sciences Inc | COMMUNICATIONS LTD |
| Virtus Rampart Equity Trend | Vislink Technologies Inc | Vocera Communications Inc |
| Virtus Sector Trend | VIST Financial Corp | Vocus Inc |
| Virtus Seix Floating Rate High Income | Vista Gold Corp | Vodafone Group Public Limited Company |
| Virtus Seix High Income | Vista Outdoor Inc | VOICE MOBILITY INTL INC |
| Virtus Seix Investment Grade Tax Exempt Bond | VISTACARE INC | VOIP INC |
| Virtus Seix Total Return Bond | VistaGen Therapeutics Inc | VoIP-PAL.com |
| Virtus Seix US Government Ultra Short Bond | Visteon Corp. | Volcan Holdings Inc |
| Virtus Silvant Focused Growth | Vistra Corp | Volcano Corp |
| Virtus Stone Harbor Emerging Markets Debt Income | Visual Networks Inc | Volcom Inc |
| Virtus Tactical Allocation | VISX INC/DE | Volcon Inc |
| Virtus Vontobel Emerging Markets Opportunities | Vita Coco Company Inc | VolitionRX Ltd |
| Virtus Vontobel Foreign Opportunities | Vitacost.com Inc | Voltaire Ltd |
| Virtus Zevenbergen Innovative Growth Stock | Vitae Pharmaceuticals Inc | Volterra Semiconductor Corp |
| Virtus Zevenbergen Technology | Vital Energy Inc | VOLVO AB |
| Virtusa Corp | Vital Farms Inc | Vontier Corp |
| Visa Inc | Vital Images Inc | Vor Biopharma Inc |
| | VITAL LIVING INC | VORNADO REALTY TRUST |
| | Vital Signs Inc. | Vox Royalty Corp |
| | VITALSTREAM HOLDINGS INC | voxeljet AG |
| | Vitamin Shoppe Inc | VOXWARE INC |
| | Vitamin Spice | VOXX International Corp |
| | Vitesse Semiconductor Corp | Voya Aggregate Bond Portfolio |
| | VITRIA TECHNOLOGY INC | Voya Balanced Portfolio |
| | Vitro Sab De CV | Voya Corporate Leaders 100 |
| | VIVENDI UNIVERSAL SA | Voya Corporate Leaders Series B |
| | Viveve Medical Inc | |
| | Vivic Corp | |
| | Vivid Seats LLC | |
| | Vivint Solar Inc | |

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| Voya Emerging Markets Index Portfolio | Voya Intermediate Bond Portfolio | Voya Solution 2025 Portfolio |
| Voya Financial Inc | Voya International Index Portfolio | Voya Solution 2035 Portfolio |
| Voya Floating Rate | Voya International Real Estate | Voya Solution 2045 Portfolio |
| Voya Global Bond | Voya Large Cap Value | Voya Solution Income Portfolio |
| Voya Global Diversified Payment | Voya Large Cap Value Portfolio | Voya Solution Moderately Aggressive Portfolio |
| Voya Global Equity Dividend and Premium Opportunity Fund | Voya Large Cp Growth Portfolio | Voya Strategic Income Opportunities |
| Voya Global High Dividend Low Volatility Portfolio | Voya Large-Cap Growth | Voya US Bond Index Portfolio |
| Voya Global Real Estate | Voya MidCap Opportunities Portfolio | Voyager Learning Co |
| Voya Global Resources Portfolio | Voya MidCap Opportunities Portfolio | Voyager Therapeutics Inc |
| Voya GNMA Income | Voya Multi-Manager International Equity | Voyant International Corp |
| Voya Government Money Market Portfolio | Voya Real Estate | VROOM INC |
| Voya Growth & Income Portfolio | Voya Retirement Conservative Portfolio | VSBC Bancorp Inc/NY |
| Voya High Yield Bond | Voya Retirement Growth Portfolio | VSE Corp |
| Voya High Yield Portfolio | Voya Retirement Moderate Growth Portfolio | VTTI Energy Partners LP |
| Voya Index Plus Large Cap Portfolio | Voya Retirement Moderate Portfolio | vTv Therapeutics Inc |
| Voya Index Plus MidCap Portfolio | Voya Russell Large Cap Growth Index Portfolio | VULCAN INTL CORP |
| Voya Index Solution 2025 Portfolio | Voya Russell Large Cap Index Portfolio | Vulcan Materials Co |
| Voya Index Solution 2030 Portfolio | Voya Russell Large Cap Value Index Portfolio | Vulcan Value Partners |
| Voya Index Solution 2035 Portfolio | Voya Russell Mid Cap Growth Index Portfolio | Vulcan Value Partners Small Cap |
| Voya Index Solution 2040 Portfolio | Voya Russell Mid Cap Index Portfolio | Vuzix Corp |
| Voya Index Solution 2045 Portfolio | Voya Russell Small Cap Index Portfolio | VVIT Virtus International Series |
| Voya Index Solution 2050 Portfolio | Voya Senior Income | VWR Corp |
| Voya Index Solution 2055 Portfolio | Voya Small Company | VY Baron Growth Portfolio |
| Voya Index Solution Income Portfolio | Voya Small Company Portfolio | VY BlackRock Inflation Protected Bond Portfolio |
| Voya Intermediate Bond | Voya SmallCap Opportunities | VY Clarion Real Estate Portfolio |
| | Voya Solution 2015 Portfolio | VY FMR Diversified Mid Cap Portfolio |
| | | VY Franklin Income Portfolio |
| | | VY Franklin Mutual Shares Portfolio |
| | | VY Franklin Templeton Founding Strategy Portfolio |
| | | Vy Global Growth |
| | | VY Invesco Comstock Portfolio |
| | | VY Invesco Equity & Income Portfolio |

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|---|---------------------------------------|--|
| VY Invesco Global Portfolio | W. P. Carey Inc | Warburg Pincus Capital Corp |
| VY Invesco Growth & Income Portfolio | W.P. Stewart & Co. Ltd | I A |
| VY JPM Emerging Markets Equity Portfolio | WA CA Muni Money Market | Warburg Pincus Capital Corporation I B |
| VY JPM Mid Cap Value Portfolio | WA Institutional Money Market | Warnaco Group Inc (The) |
| VY T Rowe Diversified Mid Cap Growth Portfolio | WA MA Muni MM | Warner Bros. Discovery Inc |
| VY T Rowe Equity Income Portfolio | WA Money Market | Warner Chilcott Plc |
| VY T Rowe Growth Equity Portfolio | WA Muni MM | Warner Music Group Corp |
| VY Templeton Foreign Equity Portfolio | WA NY Muni MM | WARREN RESOURCES INC |
| VY Templeton Global Growth Portfolio | WA NY TxFr Money Market | Warrior Met Coal Inc |
| Vyteris Inc | WA Premium Liquid Reserve | Warrior Technologies Acquisition Co |
| Vyvo Inc | WA Premium US Treas Reserv | Warwick Cmnty Bancorp Inc |
| W Holding Co Inc. | WA/Citi TxFr Reserves | Wasatch Core Growth |
| W&R Advisors Continental Inc | Wabash National Corp | Wasatch Emerging India |
| W&R Advisors Core Investment | WABCO Holdings Inc | Wasatch Emerging Markets Small Cap |
| W&R Advisors Dividend Opportunities | WACCAMAW BANKSHARES INC | Wasatch Frontier Emerging Small Countries |
| W&R Advisors Global Bond | Wachovia Corp | Wasatch Heritage Growth |
| W&R Advisors Global Growth | WACOAL HOLDINGS CORP | Wasatch International Growth |
| W&R Advisors Govt Securities | Waddell & Reed Financial Inc. | Wasatch International Opportunities |
| W&R Advisors High Income | WaferGen Bio-systems Inc | Wasatch Large Cap Value |
| W&R Advisors Muni High Income | Wag Group Co | Wasatch Long/Short |
| W&R Advisors Municipal Bond | WageWorks Inc | Wasatch Micro Cap |
| W&R Advisors New Concepts | Waitr Holdings Inc | Wasatch Small Cap Growth |
| W&R Advisors Retirement Shrs | WAKE FOREST BANCSHARES INC | Wasatch Small Cap Value |
| W&R Advisors Science & Tech | Walgreens Boots Alliance Inc | Wasatch Ultra Growth |
| W&R Advisors Small Cap | Walker & Dunlop Inc | Washington Banking Co |
| W&R Advisors Value | Walking Company Holdings Inc (The) | Washington Federal Inc. |
| W&R Advisors Vanguard | WalkMe Ltd | WASHINGTON GROUP INTL INC |
| W&T Offshore Inc | Wallbox NV | Washington Mutual Investors |
| | Walmart Inc | Washington Prime Group Inc |
| | Walt Disney Co (The) | Washington Trust Bancorp Inc |
| | Walter Energy Inc | WashingtonFirst Bankshares Inc |
| | Walter Investment Management Corp | Waste Connections Inc. |
| | Walthausen Small Cap Value | WASTE CONNECTIONS, INC. |
| | Wanda Sports Group Co Ltd | Waste Industries USA Inc |
| | Wanger Intl | |
| | Wanger Select | |
| | Wanger USA | |

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| | | |
|-----------------------------|-----------------------------|-------------------------------|
| Waste Management Inc. | Weatherford International | Wells Fargo Advantage High |
| WASTE SERVICES INC | plc | Income |
| WATCHGUARD | Weave Communications Inc | Wells Fargo Advantage |
| TECHNOLOGIES INC | Web.com Group Inc | Municipal Money Market |
| Water Pik Technologies Inc | WEB.COM INC | Wells Fargo Avtg Aggressive |
| Waterdrop Inc | Webco Industries Inc | Allocation |
| Waters Corp | Weber Inc | Wells Fargo Avtg Asia Pacific |
| Waterstone Financial Inc | WEBEX COMMUNICATIONS | Wells Fargo Avtg CA TxFr |
| Watford Holdings Ltd | INC | MM Trust |
| Watsco Inc | WEBFINANCIAL CORP | Wells Fargo Avtg Capital |
| Watts Water Technologies | WebMD Health Corp | Growth |
| Inc | WebMediaBrands Inc | Wells Fargo Avtg CB Lg Cap |
| WAUSAU PAPER CORP | webMethods Inc | Value |
| Wave Life Sciences Ltd | WEBSIDESTORY INC | Wells Fargo Avtg Classic |
| Wave Systems Corp. | Webster City Fed Bancorp | Value |
| WAVECOM SA | Webster Financial Corp | Wells Fargo Avtg |
| Waverider Communications | WEBZEN INC | Conservative Allocation |
| Inc | WEC Energy Group Inc | Wells Fargo Avtg Core Equity |
| Wayfair Inc | Weibo Corp | Wells Fargo Avtg Corporate |
| Wayne Bancorp Inc/Oh | Weikang Bio-Technology | Bond |
| Wayne Hummer Money | Group Co Inc | Wells Fargo Avtg Disciplined |
| WAYNE SAVINGS | Weingarten Realty Investors | Value |
| BANCSHARES INC | Weis Markets Inc. | Wells Fargo Avtg Diversified |
| Waypoint Financial Corp | Weitz Partners III | Equity |
| WCA WASTE CORP | Opportunity | Wells Fargo Avtg Diversified |
| WCI Communities Inc | Weitz Partners Value | International |
| WCM Focused Emerging | Weitz Series Hickory | Wells Fargo Avtg Diversified |
| Markets | Weitz Short Duration Income | Small Cap |
| WCM Focused Global | Weitz Value | Wells Fargo Avtg Emerging |
| Growth | Welbilt Inc | Markets Focus |
| WCM Focused International | WELLCHOICE INC | Wells Fargo Avtg Equity |
| Growth | Wellesley Bancorp Inc | Income |
| WCM International Small Cap | Wellman Inc. | Wells Fargo Avtg Equity |
| Growth | WELLPOINT HEALTH | Index |
| WD-40 Co | NETWRKS INC | Wells Fargo Avtg Equity |
| We Save Homes Inc | Wells Fargo & Co | Value |
| Wealth Minerals | Wells Fargo Adjustable Rate | Wells Fargo Avtg Global |
| Wealthbuilder Conservative | Government | Opportunities |
| Allocation | Wells Fargo Advantage | Wells Fargo Avtg Growth & |
| Wealthbuilder Equity | Endeavor Select | Income |
| Wealthbuilder Moderate | Wells Fargo Advantage | Wells Fargo Avtg Growth |
| Balanced | Global Dividend Opportunity | Balanced |
| Wealthcraft Systems Inc | Fund | Wells Fargo Avtg Growth |
| Wealthfront Risk Parity | | Equity |

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| | | |
|---|--|---|
| Wells Fargo Avtg High Yield Bd | Wells Fargo CA Municipal Money Market | Welsbach Technology Metals Acquisition Corp |
| Wells Fargo Avtg International Equity | Wells Fargo Cash Invest Money Market | Wendy's Co |
| Wells Fargo Avtg Intrmd Govt Income | Wells Fargo Conservative Income | Wendy's International Inc. |
| Wells Fargo Avtg Large Company Core | Wells Fargo Divers Bond | Werewolf Therapeutics Inc |
| Wells Fargo Avtg Large Company Growth | Wells Fargo Dow Jones Target 2010 | Werner Enterprises Inc. |
| Wells Fargo Avtg Liquidity Reserve MM | Wells Fargo Dow Jones Target 2015 | WesBanco Inc |
| Wells Fargo Avtg Mid Cap Growth | Wells Fargo Dow Jones Target 2045 | WESCAST INDUSTRIES -CL A |
| Wells Fargo Avtg Moderate Balanced | Wells Fargo Dow Jones Target Today | Wesco Aircraft Holdings Inc |
| Wells Fargo Avtg Money Market Trust | Wells Fargo Emerging Markets Equity Income | WESCO FINANCIAL CORP |
| Wells Fargo Avtg Natl TxFr MM Trust | Wells Fargo Govt IL MM | WESCO International Inc |
| Wells Fargo Avtg NY Muni MM | Wells Fargo Growth | WESCORP ENERGY INC |
| Wells Fargo Avtg Overland Exp Sweep | Wells Fargo High Yield Bond | Wesmark Growth |
| Wells Fargo Avtg PA Tax-Free | Wells Fargo Income | West Bancorporation Inc |
| Wells Fargo Avtg Precious Metals | Wells Fargo International Bond | West Coast Bancorp |
| Wells Fargo Avtg Prime Invst MM | Wells Fargo International Value | West Corp |
| Wells Fargo Avtg Small Cap Disciplined | Wells Fargo MN TxFr | West Fraser Timber Co Ltd |
| Wells Fargo Avtg Small Cap Growth | Wells Fargo Multi-Sector Income Fund | West Marine Inc |
| Wells Fargo Avtg Small Cap Opport | Wells Fargo Municipal Cash Management Money Market | West Pharmaceutical Services Inc. |
| Wells Fargo Avtg Stable Income | Wells Fargo Prime Inv IL MM | WEST POINTE BANCORP INC |
| Wells Fargo Avtg Strategic Large Cap Growth | Wells Fargo SIFE Splzd Finl | Westaff Inc |
| Wells Fargo Avtg US Value | Wells Fargo Small Cap Value | Westaim Corp (The) |
| Wells Fargo Avtg Utility & Telecommunications | Wells Fargo Target 2020 | Westamerica Bancorporation |
| | Wells Fargo Target 2025 | Westar Energy Inc. |
| | Wells Fargo Target 2030 | WESTBANK CORP |
| | Wells Fargo Target 2035 | WESTBOROUGH FINL SVCS INC |
| | Wells Fargo Target 2040 | Westbury Bancorp Inc |
| | Wells Fargo Target 2050 | Westcore Flexible Income |
| | Wells Financial Corp | Westcore Growth |
| | Wells S&P REIT Index | Westcore Select |
| | Wells-Gardner Electronics Corp | Westcore Small Cap Value |
| | Welltower Inc | WESTCORP |
| | | Westell Technologies Inc |
| | | Western Alliance Bancorporation |
| | | Western Asset 2008 |
| | | Worldwide Dollar Government Term Trust Inc |
| | | Western Asset CA Municipals |
| | | Western Asset Core Bond |
| | | Western Asset Core Plus Bond |

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| | | |
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| Western Asset Corporate Bond | Western Asset Managed High Income Fund Inc | WESTERN GAS RESOURCES INC |
| Western Asset Emerging Markets Income Fund Inc | Western Asset Managed Municipals | Western Goldfields Inc |
| Western Asset Global Corporate Defined Opportunity Fund Inc | Western Asset Managed Municipals Fund Inc | Western Liberty Bancorp |
| Western Asset Global High Income Fund Inc | Western Asset Mortgage Capital Corp | Western Midstream Partners LP |
| Western Asset Global High Yield Bond | Western Asset Mortgage Total Return | Western New England Bancorp Inc |
| Western Asset Government Reserves | Western Asset Municipal Defined Opportunity Trust Inc | Western Ohio Finl Corp |
| Western Asset High Income Fund II Inc | Western Asset Municipal High Income | Western Refining Inc |
| Western Asset High Yield | Western Asset NY Municipals | Western Refining Logistics LP |
| Western Asset High Yield Defined Opportunity Fund Inc | Western Asset Premier Institutional Government Reserves | WESTERN SIERRA BANCORP |
| Western Asset Income | Western Asset Premier Institutional Liquid Reserves | WESTERN SIERRA MINING CORP |
| Western Asset Inflation Index Plus Bond | Western Asset Premier Institutional US Treasury Reserves | WESTERN SILVER CORP |
| Western Asset Institutional AMT Free Municipal Money Market | Western Asset Select Tax Free Reserves | Western Sizzlin Corp |
| Western Asset Institutional Cash Reserve | Western Asset Short Duration High Income | Western Standard Energy Corp |
| Western Asset Institutional Government Reserves | Western Asset Short Duration Municipal Income | Western Union Co |
| Western Asset Institutional Liquid Reserve | Western Asset Short Term Bond | WESTERN WIRELESS CORP - CL A |
| Western Asset Institutional US Treasury Obligations Money Market | Western Asset Total Return Unconstrained | Westinghouse Air Brake Technologies Corp |
| Western Asset Institutional US Treasury Reserve | Western Asset Ultra-Short Income | Westlake Chemical Partners LP |
| Western Asset Intermediate Bond | Western Asset US Treasury Reserves | Westlake Corp |
| Western Asset Intermediate Term Municipals | Western Asset/Claymore Inflation-Linked Securities & Income Fund | Westpoint Stevens Inc |
| Western Asset Liquid Reserves | WESTERN DIGITAL CORP | Westport Fuel Systems Inc |
| Western Asset Macro Opportunities | Western Gas Partners Lp | Westport Fund |
| | | Westport Resources Corp |
| | | Westport Select Cap |
| | | Westrock Coffee Co |
| | | WestRock Company |
| | | WESTSIDE ENERGY CORP |
| | | Weststar Financial Services Corp |
| | | Westway Group Inc |
| | | Westwood Holdings Group Inc. |
| | | Westwood Income Opportunity |
| | | Westwood Quality SmallCap |
| | | Westwood Salient MLP & Energy Infrastructure |

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|---|---|---|
| Westwood Smidcap | WideOpenWest Inc | Willis Lease Finance Corp |
| WeWork Inc. | Widepoint Corp | Willis Towers Watson plc |
| WEX Inc | WIDERTHAN CO LTD -ADS | Williston Basin / Mid North America Stock |
| Weyco Group Inc | WiFiMed Holdings Co Inc | Willow Creek Enterprises Inc |
| Weyerhaeuser Co | WILBER CORP | Willow Financial Bancorp Inc |
| WFAVT Asset Allocation | WILD OATS MARKETS INC | Wilmington Broad Market Bond |
| WFAVT Opportunity | WildHorse Resource Development Corp | Wilmington International Wilmington Large Cap Strategy |
| WFS FINANCIAL INC | Willamette Valley Vineyards Inc | Wilmington Multi Mgr Intl Wilmington Multi Mgr Real Asset |
| WGL Holdings Inc. | Willbros Group Inc. | Wilmington Premier Money Mkt |
| WGNB Corp. | Willdan Group Inc | Wilmington Prime MM Wilmington Prime Money Market |
| W-H Energy Services Inc | William Blair Emerging Markets Growth | Wilmington Tax-Exempt MM |
| Wheaton Precious Metals Corp | William Blair Institutional International Growth | Wilmington Trust Corp |
| WHEATON RIVER MINERALS LTD | William Blair International Growth | Wilmington TxEx MM |
| Wheeler Real Estate Investment Trust Inc | William Blair International Leaders | Wilmington US Government MM |
| Wheeling Pittsburgh Corp | William Blair Large Cap Growth | Wilmington US Govt MM |
| Wheels Up Experience Inc | William Blair Small Cap Growth | Wilmington US Treasury Money Mkt |
| Where Food Comes From Inc | William Blair Small Cap Value | Wilshire 5000 Index Portfolio |
| WHERIFY WIRELESS INC | William Blair Small Mid Growth | Wilshire Bancorp Inc |
| Whirlpool Corp | William Lyon Homes | Wilshire Enterprises Inc. |
| White Electronic Designs Corp | William Penn Bancorp | Wilshire Large Company Growth |
| WHITE MOUNTAIN TITANIUM CORP | Williams Capital Govt Money Market | Wilshire VIT Equity |
| White Mountains Insurance Group Ltd | Williams Coal Seam Gas Royalty Trust | Wilson Holdings Inc |
| White Oak Select Growth | Williams Controls Inc | Wilson's The Leather Experts Inc |
| White River Capital Inc | Williams Cos Inc. (The) | Witel Communications Group |
| Whitebox Tactical Opportunities | Williams Industrial Services Group Inc | Wimm-Bill-Dann Foods OJSC |
| WHITEHALL JEWELLERS INC | WILLIAMS PARTNERS L.P. | Winc Inc |
| Whitestone REIT | WILLIAMS PARTNERS LP | Wind Energy America Inc |
| WhiteWave Foods Co (The) | Williams Pipeline Partners LP | Wind River Systems Inc |
| Whiting Petroleum Corp | Williams Rowland Acquisition Corp | WINDROSE MEDICAL PPTYS TR |
| Whiting USA Trust I | WILLIAMS SCOTSMAN INTL | |
| Whiting USA Trust II | Williams-Sonoma Inc | |
| Whitney Holding Corp. | | |
| WHITNEY INFORMATION NET INC | | |
| WHITTIER ENERGY CORP | | |
| Whole Earth Brands Inc | | |
| WHOLE FOODS MARKET INC | | |

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|-----------------------------|----------------------------|------------------------------|
| Windstream Holdings Inc | WisdomTree Emerging | WisdomTree US MidCap |
| Windtree Therapeutics Inc | Markets Small Cap Dividend | Earnings Fund |
| Wingstop Inc | Fund | WisdomTree US SmallCap |
| Winland Ocean Shipping | WisdomTree Europe Hedged | Dividend Fund |
| Corp | Equity Fund | WisdomTree US SmallCap |
| Winmark Corp | WisdomTree Europe | Earnings Fund |
| Winn-Dixie Stores Inc. | SmallCap Dividend Fund | WisdomTree US Total |
| Winnebago Industries Inc. | WisdomTree Floating Rate | Dividend Fund |
| Winner Medical Group Inc | Treasury Fund | WisdomTree Yield Enhanced |
| Winslow Green Growth | WisdomTree Inc | U.S. Aggregate Bond Fund |
| WinSonic Digital Media | WisdomTree India Earnings | Wiser Oil Co |
| Group Ltd | Fund ETF | Witness Systems Inc |
| WINSTON HOTELS INC | WisdomTree International | WITS BASIN PRECIOUS |
| Winston Pharmaceuticals Inc | Dividend Top 100 Fund | MINERALS |
| Wintergreen Fund | WisdomTree International | Wix.com Ltd |
| Winthrop Realty Trust Inc | Equity Fund | WJ Communications Inc |
| Winton Financial Corp | WisdomTree International | Wm Blair Bond |
| Wintrust Financial Corp. | Hedged Quality Dividend | Wm Blair Growth |
| WINWIN GAMING INC | Growth Fund | Wm Blair Income |
| Wipro Ltd | WisdomTree International | Wm Blair Instl Intl Equity |
| Wireless Ronin Technologies | SmallCap Dividend Fund | Wm Blair International Small |
| Inc | WisdomTree Japan Hedged | Cap Growth |
| Wireless Telecom Group Inc. | Equity Fund | Wm Blair Intl Equity |
| WIRELESS XCESSORIES GRP | WisdomTree Japan SmallCap | Wm Blair Macro Allocation |
| INC | Dividend Fund | Wm Blair Ready Reserves |
| WisdomTree Asia Local Debt | WisdomTree Trust - | Wm Blair Small Cap Value |
| Fund | WisdomTree Cloud | WM Growth |
| WisdomTree China ex-State- | Computing Fund | WM Growth & Income |
| Owned Enterprises Fund | WisdomTree Trust - | WM International Growth |
| WisdomTree Continuous | WisdomTree U.S. High | WM Money Market |
| Commodity Index Fund | Dividend Fund | WM REIT |
| WisdomTree Dreyfus Chinese | WisdomTree U.S. LargeCap | WM Small Cap Growth |
| Yuan Fund | Dividend Fund | WM Small Cap Value |
| WisdomTree Dreyfus | WisdomTree U.S. Quality | WM Tax Exempt Bond |
| Emerging Currency Fund | Dividend Growth Fund | WM TECHNOLOGY, INC. |
| WisdomTree Emerging | WisdomTree US Dividend ex- | WM Variable Growth & |
| Markets ex-State-Owned | Financials Fund | Income |
| Enterprises Fund | WisdomTree US Efficient | WM Variable Growth Fund |
| WisdomTree Emerging | Core | WM. Wrigley Jr. Co |
| Markets High Dividend Fund | WisdomTree US LargeCap | WMC RESOURCES LTD |
| WisdomTree Emerging | Fund | WMS Industries Inc. |
| Markets Local Debt Fund | WisdomTree US MidCap | WNS (Holdings) Ltd |
| | Dividend Fund | Wolfspeed Inc |
| | | Wolohan Lumber Co |

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|--|------------------------------------|---|
| Wolverine Tube Inc. | WPP PLC | XETA Technologies Inc |
| Wolverine World Wide Inc. | WPX Energy Inc | XETHANOL CORP |
| Women First Healthcare | Wrap Technologies Inc | XFONE INC |
| Woodbridge Holdings Corp | Wright Medical Group Inc | Xhibit Corp |
| Woodbridge Liquidation Trust | Wright Medical Group N.V. | Xicor Inc |
| WOODHEAD INDUSTRIES INC | WSB Financial Group Inc | Xilinx Inc. |
| Woodward Inc | WSB Holdings Inc | Xilio Therapeutics Inc |
| Woori Financial Group Inc | WSFS Financial Corp | XINHUA CHINA LTD |
| WORDLOGIC CORP | WSP Holdings Ltd | Xinhua Sports & Entertainment Ltd |
| Workday Inc | Wuhan General Group (China) Inc | Xinyuan Real Estate Co Ltd |
| Workflow Mgmt Inc | WuXi PharmaTech (Cayman) Inc | XL Group Ltd |
| Workhorse Group Inc | WVS Financial Corp. | XM Satellite Radio Holdings Inc |
| Workiva Inc | WYETH | XO Group Inc |
| Workstream Inc | Wyndham Hotels & Resorts Inc | XO Holdings Inc |
| World Acceptance Corp | WYNDHAM INTERNATIONAL INC | XOMA Corp |
| WORLD AIR HOLDINGS INC | Wynn Resorts Ltd | Xometry Inc |
| World Color Press Inc | X CHANGE CORP | Xoom Corp |
| World Energy Solutions Inc | X Financial | Xos, Inc. |
| World Fuel Services Corp | X4 Pharmaceuticals, Inc. | XPEL Inc |
| World Gold Trust - SPDR Gold MiniShares Trust | Xactly Corp | XPeng Inc |
| WORLD HEALTH ALTERNATIVES | XATA Corp | Xperi Inc |
| World Heart Corp | XBiotech Inc | XPO Inc |
| World Point Terminals LP | Xcel Brands Inc | Xponential Fitness Inc |
| World Quantum Growth Acquisition Corp | Xcel Energy Inc. | XRG INC |
| WORLD WASTE TECHNOLOGIES INC | XCELERA INC | X-Rite Inc |
| World Wrestling Entertainment Inc. | Xcerra Corp | XSTREAM MOBILE SOLUTIONS CP |
| WORLDGATE COMMUNICATIONS INC | Xcorporeal Inc | XSUNX INC |
| Worldpay Inc | XCYTE THERAPIES INC | Xtant Medical Holdings Inc |
| WORLDSPACE INC | XECHEM INTERNATIONAL INC | XTENT INC |
| WORLDWIDE BIOTECH & PHARMA | Xencor Inc | Xtera Communications Inc |
| Worldwide Restaurant Concept | Xenetic Biosciences PLC | XTL BIOPHARMACEUTICALS |
| WORONOCO BANCORP INC | Xenia Hotels & Resorts Inc | XTO Energy Inc. |
| Worthington Industries Inc. | Xenith Bankshares Inc | Xtrackers Harvest CSI 300 China A-Shares ETF |
| Wowjoint Holdings Ltd | Xeno Transplants Corp | Xtrackers MSCI EAFE Hedged Equity ETF |
| WPCS INTERNATIONAL INC | XENOGEN CORP | Xtrackers MSCI EAFE High Dividend Yield Equity ETF |
| | Xenon Pharmaceuticals Inc | Xtrackers MSCI Europe Hedged Equity ETF |
| | Xenonics Holdings Inc | |
| | XENOPORT INC | |
| | Xerox Holdings Corp | |

Public Company Accounting Oversight Board
 Issuers With No Outstanding Past-Due
 Share as of January 15, 2024

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| XTRACKERS MSCI KOKUSAI EQUITY ETF | Youdao Inc | Zhongpin Inc |
| Xtrackers S&P 500 ESG ETF | Young Broadcasting Inc | Zi Corp |
| Xtrackers USD High Yield Corporate Bond ETF | Young Innovations Inc | Ziff Davis Inc |
| Xtra-Gold Resources Corp | Youngevity International Inc | Zila Inc |
| Xura Inc | Your Community Bancshares Inc | Zillow Group Inc |
| XWELL Inc | Ypf Sociedad Anonima | Zilog Inc |
| XYBERNAUT CORP | Yacimientos Petroliferos Fiscales | ZIM Integrated Shipping Services Ltd |
| Xylem Inc | YTB INTERNATIONAL INC | Zimmer Biomet Holdings Inc |
| Xynomic Pharmaceuticals Holdings Inc | Yucheng Technologies Ltd | Zimmer Energy Transition Acquisition Corp |
| Xyratex Ltd | Yuhe International Inc | ZimVie Inc |
| Yadkin Financial Corp | YUM BRANDS INC | Zion Oil & Gas Inc |
| YAK COMMUNICATIONS INC | Yum China Holdings Inc | Zions Bancorporation National Association |
| Yalla Group Limited | Yuma Energy Inc | Zipcar Inc |
| Yamana Gold Inc | Yumanity Therapeutics Inc | ZipRealty Inc |
| Yandex NV | YuMe Inc | ZipRecruiter Inc |
| Yanglin Soybean Inc | Yunji Inc | Zix Corp. |
| YANKEE CANDLE CO INC | Zagg Inc | Zoes Kitchen Inc |
| YARDVILLE NATIONAL BANCORP | Zai Lab Limited | Zoetis Inc |
| Yasheng Group Ltd | ZAIS Group Holdings, Inc. | Zogenix Inc |
| Yayi International Inc | Zale Corp | Zoll Medical Corp |
| Yellow Corp | Zanett Inc | Zoltek Cos Inc |
| Yellowcake Mining Inc | ZAP | ZOMAX INC/MN |
| Yelp Inc | Zarlink Semiconductor Inc. | Zomedica Corp |
| YETI Holdings Inc | Zayo Group Holdings Inc | ZONE 4 PLAY INC |
| Yext Inc | ZaZa Energy Corp | Zoned Properties Inc |
| YieldQuest Total Return Bond | ZBB Energy Corp | ZONES INC |
| Yingli Green Energy Holdings Co Ltd | Zebra Technologies Corp. | Zoo Entertainment Inc |
| Yintech Investment Holdings Ltd | Zedge Inc | ZOOM TECHNOLOGIES INC |
| Yiren Digital Ltd | ZELTIQ Aesthetics Inc | Zoom Video Communications Inc |
| YM BIOSCIENCES INC | ZENDESK INC | ZoomInfo Technologies Inc |
| Y-mAbs Therapeutics Inc | Zenith National Insurance Corp | Zoran Corp |
| Yodlee Inc | Zentalis Pharmaceuticals Inc | Zoro Mining Corp |
| YORK INTERNATIONAL CORP | Zentek Ltd | Zosano Pharma Corp |
| York Water Co (The) | Zep Inc | Zovio Inc |
| Yorktown Multi-Sector Bond | Zepp Health Corp | ZS Pharma Inc |
| Yorktown Short Term Bond | Zero Gravity Solutions Inc | Zscaler Inc |
| Youbet.com Inc | Zeta Global Holdings Corp | ZST Digital Networks Inc |
| | Zevia PBC | ZTO Express (Cayman) Inc |
| | Zevra Therapeutics Inc | Z-TRIM HOLDINGS INC |
| | Zhaoheng Hydropower Co | zulily inc |
| | Zhihu Inc | |

Public Company Accounting Oversight Board
Issuers With No Outstanding Past-Due
Share as of January 15, 2024

Zulu Energy Corp
Zumiez Inc
Zuora Inc
Zurn Elkay Water Solutions
Corp
ZVUE Corp
Zweig Fund Inc. (The)
Zweig Total Return Fund Inc.
(The)
ZYGO CORP
Zymergen Inc
Zymeworks Inc
ZYMOGENETICS INC
Zynerba Pharmaceuticals Inc
Zynex Inc
Zynga Inc